

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	MP-2020-001-00
Temporary Application Number	7169-2020-1
Application Name	COTA FFY2020 5311 CARES Act Grant - Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/1/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Commonwealth Office Of Transit Authority

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7169	Transit Authority	COMMONWEALTH OFFICE OF TRANSIT AUTHORITY	854858263

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	ISA DRIVE FIRST FLOOR ADMINIS	SAIPAN	MP	96950
Mailing Address	CALLER BOX 10007	SAIPAN	MP	96950

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: COTA FFY2020 5311 CARES Act Grant - Operating Assistance
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MP-2020-001-00	Final Concurrence / Reservation	Grant	4/15/2020	4/15/2020	No

Application Executive Summary

This application will utilize CARES act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$1,047,695.00 in Section 5311 CARES Act for the Commonwealth Office of Transit Authority (COTA) to support operating costs as defined by the NTD Reporting System for the period of January 20, 2020 through June 01, 2022. The approved rate of 9.23% will be charged to the applicable activity line description. The approved rate from the U.S. Department of the Interior has been uploaded as a supporting document for this grant application. This grant will not be using lapsing funds.

The recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The approved rate of 9.23% will be charged to the applicable activity line description. The approved rate from the U.S. Department of the Interior has been uploaded as a supporting document for this grant application.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	202-748-0677
Alfreda	Camacho	Special Assistant for Public Trans.	alfreda.camacho@gov.mp	670-664-2682

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,047,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,047,695

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2020-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,047,695.00	\$0.00	\$1,047,695.00	1
MP-2020-001-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,047,695.00	\$0.00	\$1,047,695.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2020-001-01-00	7169-2020-1-P1	4/28/2020	6/1/2020	6/1/2022

Project Description

In addition to the recent year's National Transit Database (NTD) data submitted, COTA determined the requested operating assistance based on the past natural disasters that required COTA to respond to emergency activations during the 2015 Super Typhoon Soudelor, and the 2018 Super Typhoon Yutu. COTA will utilize these funds to operate and fulfill its role in Emergency Support Functions (ESF) 1: Transportation to prevent, prepare for, and respond to the COVID-19 public health emergency and future public health emergencies in the Commonwealth of the Northern Mariana Islands.

Project Benefits

COTA's goal is to provide a safe, efficient, effective, flexible, and responsive transit services that meet the public demand and provide a more reliable form of transportation for the community, the elderly, and individuals with disabilities while also responding to the COVID-19 public health emergency and future public health emergencies in the Commonwealth of the Northern Mariana Islands. These funds will also allow COTA to continue operating the transit services of the Call-A-Ride Saipan (CARS) Demand Response Shared Ride Services.

Additional Information

None provided.

Location Description

The Operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

Program Plan Information

STIP/TIP

Date: Not Provided

Description: TIP/TTIP exemption per CARES Act for programming of operating assistance projects

UPWP

Date: Not Provided
Description: N/A

Long Range Plan

Date: Not Provided
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,047,695
Local			\$0
Local/In-Kind			\$0
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Total Eligible Cost			\$1,047,695

Project Budget

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MP-2020-001-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,047,695.00	\$0.00	\$1,047,695.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance from CARES Act at 100% federal share.

PERSONNEL**Wages and Salaries**

To ensure that COTA is prepared and ready to respond to COVID-19 threat at any time, COTA must allocate an adequate amount from this award to pay for its employees' wages and salaries (regular, overtime and hazardous pay) in order to fulfill its ESF-1 role in combating this COVID-19 pandemic. COTA's role includes the pickup of travelers returning from affected international locations and drop them at designated quarantine sites on Saipan. These funds will also allow COTA to operate the regular operations such as the Call-A-Ride Saipan (CARS) Demand Response Shared Ride Services for the community.

FRINGE BENEFITS

COTA includes in this award funds to pay for its employees' fringe benefits, outside of its stated wage and salary.

SUPPLIES**Personal Protective Equipment (PPE)**

COTA has to procure all necessary PPE such as Tyvek suits, face shields, face masks, latex gloves, disposable surgical masks for its personnel to protect them from COVID-19. The procurement of this PPE will also be used for all future public health emergency responses.

OTHER**Fuel**

To ensure that COTA is prepared and ready to respond to COVID-19 threat at any time, COTA must allocate an adequate amount from this award to pay for vehicles' fuel costs for the next 12 months.

Small Repairs and Maintenance

To ensure that COTA is prepared and ready to respond to COVID-19 threat at any time, COTA must allocate an adequate amount from this award to pay for vehicles' repairs and maintenance costs. The repairs and maintenance will ensure safety of the vehicles for all passengers and extend the life span of the vehicles to continue providing public transportation. 3rd Party Contractors will not be used for COTA's small repairs and maintenance on all vehicles.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,047,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,047,695

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2020	
End Date	6/1/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Ryan Fujii**

Comment Type DOL Review for Certification

Date 8/24/2020

Comment Forwarding for DOL certification CARES ACT application for operational assistance

Application Review Comments

Comment By **Nicholas Sun**

Comment Type Application Details

Date	5/15/2020
	<p>COTA must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities</p> <p>Specifically, COTA must comply with the ADA Standards for Transportation Facilities when repairing, rebuilding, or remanufacturing vehicles. The following is a non-exhaustive list of ADA standards that COTA must comply with:</p> <ul style="list-style-type: none"> • As required by DOT ADA Regulation 49 C.F.R. 37.75, DOT ADA Regulation 49 C.F.R. 37.83, and DOT ADA Regulation 49 C.F.R. 37.89, COTA must ensure remanufactured or rebuilt vehicles are accessible.
Comment	<ul style="list-style-type: none"> • As required by DOT ADA Regulation 49 C.F.R. 37.75 (c), DOT ADA Regulation 49 C.F.R. 37.83(c), and DOT ADA Regulation 49 C.F.R. 37.89(c), if a remanufactured or rebuilt vehicle will not be accessible, COTA must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. <p>The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.</p>

Application Review Comments

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	5/15/2020
Comment	<p>Correct period of performance as discussed. Also include indirect cost rate document. Include explanation of operating cost determination. include STIP/TIP statement. Review sample application</p>

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	6/12/2020
Comment	<p>Revise executive summary as shown in sample application, attach indirect cost rate and verify maintenance is not a 3rd party contract</p>

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
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Date	7/2/2020
Comment	Returning to verify indirect cost rate
Comment By Ryan Fujii	
Comment Type	FTA Post Review Comments for Grantee
Date	8/10/2020
Comment	address lapsing funds and indirect cost in executive summary

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Commonwealth Office Of Transit Authority

Recipient ID: 7169

DUNS No: 854858263

Application Information

Federal Award Identification Number: MP-2020-001-00

Application Name: COTA FFY2020 5311 CARES Act Grant - Operating Assistance

Application Start Date: N/A

Original Award End Date: 6/1/2022

Current Award End Date: N/A

Application Executive Summary: This application will utilize CARES act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$1,047,695.00 in Section 5311 CARES Act for the Commonwealth Office of Transit Authority (COTA) to support operating costs as defined by the NTD Reporting System for the period of January 20, 2020 through June 01, 2022. The approved rate of 9.23% will be charged to the applicable activity line description. The approved rate from the U.S. Department of the Interior has been uploaded as a supporting document for this grant application. This grant will not be using lapsing funds.

The recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,047,695.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,047,695.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,047,695.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,047,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,047,695

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Commonwealth Office Of Transit Authority