

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2018-015-02
Temporary Application Number	1003-2018-3
Application Name	KY-2018-0XX-00 KYTC Statewide Section 5311 - Rural - FFY18 Formula Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	8/15/2018	
Original Period of Performance End Date	3/30/2022	
Current Period of Performance End Date	3/30/2023	Revision #: 1

Part 1: Recipient Information

Name: Transportation Cabinet, Kentucky

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union
State	KY
Zipcode	00000

Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KY-2018-0XX-00 | KYTC Statewide Section 5311 - Rural - FFY18 Formula Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2018-015-02	Final Concurrence / Reservation	Grant	8/17/2020	8/17/2020	No

Application Executive Summary

Amendment #2 - August 17, 2020

KYTC Section 5311 Formula Grants for Rural Areas FFY19 Funds

FFY19 Apportionment: \$21,421,113

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for a total federal share of \$7,760,410 using a portion of the remaining balance of FFY19 Section 5311 funds, in the amount of \$7,760,410, on behalf of Kentucky Rural Areas Statewide for Operating, Intercity Operating, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. The breakdown of 5311 and 5311 Appalachian is as follows:

5311: \$6,817,852

5311 Appalachian: \$942,558

This leaves a balance of \$2,214,182 of FFY19 Section 5311 funds. This grant is for State Fiscal Year 2021 (July 1, 2020 - June 30, 2021).

Currently, only \$4,989,262 (14.26%) of the 5311 grant has been programed for Intercity. Although 15% (\$5,247,666) of the total combined 5311 FFY18 & FFY19 Apportionments has not yet been fully designated for Intercity, when the remaining apportionment balance of \$2,214,182 is applied for, it will include at least another \$258,404 for Intercity. This will meet the requirement of 15% of the total 5311 FFY18 & FFY19 Apportionments to be spent on Intercity.

See attached POP, STIP, & Toll Credit Letter.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.

This is not a Research and Development Grant.

Total Revised Federal Share: \$39,027,013

Total Revised Local Share: \$30,317,922

Toll Credit Match for Capital

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on

file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 - 6/12/2019

KYTC Section 5311 Formula Grants for Rural Areas FFY18/FFY19 Funds
FFY18 Apportionment: \$19,820,082
FFY19 Apportionment: \$21,421,113
Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for a total federal share of \$18,956,951 using the remaining balance of FFY18 Section 5311 funds, in the amount of \$7,510,430, and FFY19 Section 5311 funds, in the amount of \$11,446,521, on behalf of Kentucky Rural Areas Statewide for Operating, Project Administration, Intercity Operating, Intercity Project Administration, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. This leaves a balance of \$9,974,592 of FFY19 Section 5311 funds. This grant is for State Fiscal Year 2020 (July 1, 2019 - June 30, 2020).

Currently only \$3,715,552 (13.11%) of the 5311 grant has been programed for Intercity. Although 15% (\$5,567,806) of the total combined 5311 FFY18 & FFY19 Apportionments has not yet been fully designated for Intercity, when the remaining apportionment balance of \$9,974,592 is applied for, it will include at least another \$1,852,254 for Intercity. This will meet the requirement of 15% of the total 5311 FFY18 & FFY19 Apportionments to be spent on Intercity.

See attached POP, STIP, & Toll Credit Letter.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.
This is not a Research and Development Grant.

Total Revised Federal Share: \$31,266,603
Total Revised Local Share: \$25,199,723
Toll Credit Match for Capital

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

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maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

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Start date is Date of Award. End date is 03/30/2023.

Budget Revision #1 - 2/12/2019

ALI Code: 30.09-01 (300) - Operating

Added \$25,000 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$223,310 to \$248,310.

Deducted the \$25,000 from Local Share Amount which changed the total Local Share Amount from \$7,250,378 to \$7,225,378.

ALI Code: 30.09.1 (648) – Appalachian Operating

Added \$3,175 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$126,539 to \$129,714.

Deducted the \$3,175 from Local Share Amount which changed the total Local Share Amount from \$752,620 to \$749,445.

Please see attached, revised In-Kind Spreadsheet for breakdown of the In-Kind amount.

Original Application

KYTC Section 5311 Formula Grants for Rural Areas FFY18 Funds

FFY18 Apportionment: \$19,820,082

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for FFY18 Section 5311 funds, in the amount of \$12,309,652, on behalf of Kentucky Rural Areas Statewide for Operating, Project Administration, Intercity Operating, Intercity Project Administration, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. This grant is for State Fiscal Year 2019 (July 1, 2018 - June 30, 2019).

See attached POP, STIP, & Toll Credit Letter.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.
This is not a Research and Development Grant.

KY-2018-0XX-00

Total Federal Share: \$12,309,652

Total Local Share: \$10,294,927

Toll Credit Match for Capital

11.13.15 (111) - \$55,000 Federal Share - Capital to purchase (1) Expansion Vehicle for New Scottsville Transit Deviated Fixed Route (Useful Life: 4 Years and/or 100,000 Miles)
30.09.01 (300) - \$7,473,688 Federal Share - Operating
11.80.00 (610) - \$1,000,000 Federal Share- State Administration
11.79.00 (620) - \$1,197,870 Federal Share - Project Administration
11.79.00 (634) - \$29,724 Federal Share - Intercity Project Administration
30.09.01 (634) - \$1,635,181 Federal Share - Intercity Operating (Remaining 15% will be applied for in a future grant)
43.50.01 (635) - \$39,030 Federal Share - RTAP
30.09.01 (648) - \$879,159 Federal Share - Appalachian Operating

See attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2022.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Holly	Liles	Community Planner	holly.liles@dot.gov	404-865-5637
Eric	Perez	Acting Executive Director	eric.perez@ky.gov	502-564-7433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$39,027,013
Local			\$29,511,910
Local/In-Kind			\$863,732
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$751,054
Adjustment			\$0
Total Eligible Cost			\$69,402,655

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2018-015-01-02	111-00 (111-A1) BUS - ROLLING STOCK	\$922,826.00	\$0.00	\$922,826.00	20
KY-2018-015-01-02	11.12.15 BUY REPLACEMENT VAN	\$196,400.00	\$0.00	\$196,400.00	5
KY-2018-015-01-02	11.13.04 BUY	\$62,515.00	\$0.00	\$62,515.00	1
KY-2018-015-01-02	11.13.15 BUY VAN FOR SVC EXPANSION	\$663,911.00	\$0.00	\$663,911.00	14

KY-2018-015-01-02	113-00 (113-B1)	BUS - STATION/STOPS/TERMINALS	\$4,700.00	\$0.00	\$4,700.00	2
KY-2018-015-01-02		11.32.10 ACQUIRE - BUS PASSENGER SHELTERS	\$4,700.00	\$0.00	\$4,700.00	2
KY-2018-015-01-02	114-00 (114-A8)	BUS: SUPPORT EQUIP AND FACILITIES	\$419,418.00	\$0.00	\$419,418.00	27
KY-2018-015-01-02		11.42.07 ACQUIRE - ADP HARDWARE	\$19,418.00	\$0.00	\$19,418.00	26
KY-2018-015-01-02		11.42.08 ACQUIRE - ADP SOFTWARE	\$400,000.00	\$0.00	\$400,000.00	1
KY-2018-015-01-02	116-00 (116-A9)	SIGNAL & COMM EQUIPMENT (BUS)	\$18,937.00	\$0.00	\$18,937.00	43
KY-2018-015-01-02		11.62.03 PURCHASE RADIOS	\$18,937.00	\$0.00	\$18,937.00	43
KY-2018-015-01-02	300-00 (300-A2)	OPERATING ASSISTANCE	\$23,072,053.00	\$23,072,053.00	\$46,144,106.00	0
KY-2018-015-01-02		30.09.01 UP TO 50% FEDERAL SHARE	\$23,072,053.00	\$23,072,053.00	\$46,144,106.00	0
KY-2018-015-01-02	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$3,062,165.00	\$0.00	\$3,062,165.00	0
KY-2018-015-01-02		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,062,165.00	\$0.00	\$3,062,165.00	0
KY-2018-015-01-02	620-00 (620-A4)	PROJECT ADMINISTRATION	\$2,495,081.00	\$623,770.00	\$3,118,851.00	0
KY-2018-		11.79.00 PROJECT ADMINISTRATION	\$2,495,081.00	\$623,770.00	\$3,118,851.00	0

015-01-02		N					
KY-2018-015-01-02	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$4,989,262.00	\$3,694,568.00	\$8,683,830.00	27	
KY-2018-015-01-02		11.12.15 BUY REPLACEMENT VAN	\$869,092.00	\$0.00	\$869,092.00	17	
KY-2018-015-01-02		11.13.15 BUY VAN FOR SVC EXPANSION	\$31,632.00	\$0.00	\$31,632.00	1	
KY-2018-015-01-02		11.42.07 ACQUIRE - ADP HARDWARE	\$4,500.00	\$0.00	\$4,500.00	3	
KY-2018-015-01-02		11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$15,600.00	\$0.00	\$15,600.00	6	
KY-2018-015-01-02		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$498,493.00	\$124,623.00	\$623,116.00	0	
KY-2018-015-01-02		30.09.01 UP TO 50% FEDERAL SHARE	\$3,569,945.00	\$3,569,945.00	\$7,139,890.00	0	
KY-2018-015-01-02	635-00 (635-A6)	RURAL TRANSIT ASSISTANCE PROGRAM	\$514,571.00	\$0.00	\$514,571.00	0	
KY-2018-015-01-02		43.50.01 TRAINING	\$514,571.00	\$0.00	\$514,571.00	0	
KY-2018-015-01-02	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$3,528,000.00	\$2,985,251.00	\$6,513,251.00	43	
KY-2018-015-01-02		11.13.15 BUY VAN FOR SVC EXPANSION	\$524,949.00	\$0.00	\$524,949.00	11	
KY-2018-015-01-02		11.42.07 ACQUIRE - ADP HARDWARE	\$8,100.00	\$0.00	\$8,100.00	6	

KY-2018-015-01-02	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$9,700.00	\$0.00	\$9,700.00	26
KY-2018-015-01-02	30.09.01	UP TO 50% FEDERAL SHARE	\$2,985,251.00	\$2,985,251.00	\$5,970,502.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Rural 5311 FFY18 Formula Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2018-015-01-02	1003-2018-3-P1	8/17/2020	7/1/2018	6/30/2021

Project Description

Amendment #2 - August 17, 2020

KYTC Section 5311 Formula Grants for Rural Areas FFY19 Funds

FFY19 Apportionment: \$21,421,113

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for a total federal share of \$7,760,410 using a portion of the remaining balance of FFY19 Section 5311 funds, in the amount of \$7,760,410, on behalf of Kentucky Rural Areas Statewide for Operating, Intercity Operating, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. This leaves a balance of \$2,214,182 of FFY19 Section 5311 funds. This grant is for State Fiscal Year 2021 (July 1, 2020 - June 30, 2021).

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List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

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Budget Revision #1 - 2/12/2019

ALI Code: 30.09-01 (300) - Operating

Added \$25,000 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$223,310 to \$248,310.

Deducted the \$25,000 from Local Share Amount which changed the total Local Share Amount from \$7,250,378 to \$7,225,378.

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Original Application

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Kentucky Rural Areas Statewide

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The Kentucky Transportation Cabinet/Office of Transportation Delivery will program \$1,000,000 (Federal Share) for State Program Administration funds to implement, monitor, and conduct Compliance Reviews to ascertain that subrecipients are in compliance with FTA and State regulations. The \$1,000,000 Federal Share State Program Administration funds are 5% of the total FFY18 Apportionment. The \$1,664,905 Federal Share Intercity Bus funds are 8.4% of the total FFY18 Apportionment, and the remaining 6.6% (\$1,308,125) of the required 15% total (\$2,973,030) will be applied for at a later date.

See attached POP, STIP, & Toll Credit Letter.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

KY-2018-0XX-00

Total Federal Share: \$12,309,652

Total Local Share: \$10,294,927
Toll Credit Match for Capital

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30.09.01 (634) - \$1,635,181 Federal Share - Intercity Operating
43.50.01 (635) - \$39,030 Federal Share - RTAP
30.09.01 (648) - \$879,159 Federal Share - Appalachian Operating

See attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

The Kentucky Transportation Cabinet/OTD will require transit systems to have Independent Cost Estimates available on file along with the corresponding Price/Cost Analysis for the Scope of Work for these projects.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

The Kentucky Transportation Cabinet/OTD shall require sub grantees to follow all 3rd party procurement policies as defined in C 4220.1F (Third Party Contracting Guidance).

The rural transit systems will own, operate, and maintain the vehicles. The Kentucky Transportation Cabinet/OTD will hold liens on the vehicles. Sub grantees will be required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

The Kentucky Transportation Cabinet/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

All subrecipients will follow useful life standards as defined in the KY State Management Plan.

Start date is Date of Award. End date is 03/30/2022.

Project Benefits

These rural transit agencies will provide transportation services statewide to the general public in the rural areas of Kentucky, including the Appalachian Counties. Services will include transportation to jobs, medical/mental health appointments (including cancer treatment and dialysis), Veterans, shopping, education, recreation, etc. Intercity Bus services support the connection between non-urbanized areas and the larger regional/national system of intercity bus services. The technical assistance and oversight should result in subrecipient contract and grant compliance. It will, also, benefit in reporting and maintaining FTA/State transit equipment status. The purchase of replacement vehicles will assist in maintaining a state of good repair and provide safer and more economical vehicles on the road. Expansion vehicles will allow rotation of vehicles in high demand service areas and allow for expansion of Demand Response service in areas with increased ridership. The radios will allow drivers to have better communication with dispatchers. The tablets will allow better communication regarding schedules and trip data. The Grant Management Software will allow for better organization and communication, etc between the designated recipient and the subrecipients.

Additional Information

None provided.

Location Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery (OTD), the Designated Recipient, is applying for Section 5311 funds on behalf of Kentucky Rural Areas Statewide.

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information**STIP/TIP**

Date: 8/17/2020

Description: STIP Approved by FTA on 9/26/2016 STIP Mod Approved on 8/17/2020

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$39,027,013
Local			\$29,511,910
Local/In-Kind			\$863,732
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$751,054

Adjustment		\$0
Total Eligible Cost		\$69,402,655

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2018-015-01-02	111-00 (111-A1) BUS - ROLLING STOCK	\$922,826.00	\$0.00	\$922,826.00	20
KY-2018-015-01-02	11.12.15 BUY REPLACEMENT VAN	\$196,400.00	\$0.00	\$196,400.00	5
KY-2018-015-01-02	11.13.04 BUY	\$62,515.00	\$0.00	\$62,515.00	1
KY-2018-015-01-02	11.13.15 BUY VAN FOR SVC EXPANSION	\$663,911.00	\$0.00	\$663,911.00	14
KY-2018-015-01-02	113-00 (113-B1) BUS - STATION/STOPS/TERMINALS	\$4,700.00	\$0.00	\$4,700.00	2
KY-2018-015-01-02	11.32.10 ACQUIRE - BUS PASSENGER SHELTERS	\$4,700.00	\$0.00	\$4,700.00	2
KY-2018-015-01-02	114-00 (114-A8) BUS: SUPPORT EQUIP AND FACILITIES	\$419,418.00	\$0.00	\$419,418.00	27
KY-2018-015-01-02	11.42.07 ACQUIRE - ADP HARDWARE	\$19,418.00	\$0.00	\$19,418.00	26
KY-2018-015-01-02	11.42.08 ACQUIRE - ADP SOFTWARE	\$400,000.00	\$0.00	\$400,000.00	1
KY-2018-015-01-02	116-00 (116-A9) SIGNAL & COMM EQUIPMENT (BUS)	\$18,937.00	\$0.00	\$18,937.00	43

KY-2018-015-01-02		11.62.03	PURCHASE RADIOS	\$18,937.00	\$0.00	\$18,937.00	43
KY-2018-015-01-02	300-00 (300-A2)		OPERATING ASSISTANCE	\$23,072,053.00	\$23,072,053.00	\$46,144,106.00	0
KY-2018-015-01-02		30.09.01	UP TO 50% FEDERAL SHARE	\$23,072,053.00	\$23,072,053.00	\$46,144,106.00	0
KY-2018-015-01-02	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$3,062,165.00	\$0.00	\$3,062,165.00	0
KY-2018-015-01-02		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,062,165.00	\$0.00	\$3,062,165.00	0
KY-2018-015-01-02	620-00 (620-A4)		PROJECT ADMINISTRATION	\$2,495,081.00	\$623,770.00	\$3,118,851.00	0
KY-2018-015-01-02		11.79.00	PROJECT ADMINISTRATION	\$2,495,081.00	\$623,770.00	\$3,118,851.00	0
KY-2018-015-01-02	634-00 (634-A5)		INTERCITY BUS TRANSPORTATION	\$4,989,262.00	\$3,694,568.00	\$8,683,830.00	27
KY-2018-015-01-02		11.12.15	BUY REPLACEMENT VAN	\$869,092.00	\$0.00	\$869,092.00	17
KY-2018-015-01-02		11.13.15	BUY VAN FOR SVC EXPANSION	\$31,632.00	\$0.00	\$31,632.00	1
KY-2018-015-01-02		11.42.07	ACQUIRE - ADP HARDWARE	\$4,500.00	\$0.00	\$4,500.00	3
KY-2018-015-01-02		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$15,600.00	\$0.00	\$15,600.00	6
KY-2018-		11.80.00	STATE OR PROGRAM	\$498,493.00	\$124,623.00	\$623,116.00	0

015-01-02			ADMINISTRATI ON				
KY-2018-015-01-02	30.09.01	UP TO 50% FEDERAL SHARE	\$3,569,945.0 0	\$3,569,945.0 0	\$7,139,890.0 0	0	
KY-2018-015-01-02	635-00 (635-A6)	RURAL TRANSIT ASSISTANCE PROGRAM	\$514,571.00	\$0.00	\$514,571.00	0	
KY-2018-015-01-02	43.50.01	TRAINING	\$514,571.00	\$0.00	\$514,571.00	0	
KY-2018-015-01-02	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$3,528,000.0 0	\$2,985,251.0 0	\$6,513,251.0 0	43	
KY-2018-015-01-02	11.13.15	BUY VAN FOR SVC EXPANSION	\$524,949.00	\$0.00	\$524,949.00	11	
KY-2018-015-01-02	11.42.07	ACQUIRE - ADP HARDWARE	\$8,100.00	\$0.00	\$8,100.00	6	
KY-2018-015-01-02	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$9,700.00	\$0.00	\$9,700.00	26	
KY-2018-015-01-02	30.09.01	UP TO 50% FEDERAL SHARE	\$2,985,251.0 0	\$2,985,251.0 0	\$5,970,502.0 0	0	

Project Budget Activity Line Items

Budget Activity Line Item: 11.32.10 - ACQUIRE - BUS PASSENGER SHELTERS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.32.10	ACQUIRE - BUS PASSENGER SHELTERS	ACQUISITION - BUS STATIONS/TERMINALS	2

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code in the amount of \$4,700 (Federal Share) and \$1,175 (Local Share – Toll Credits) to purchase 2 Bus Shelter/Passenger Benches.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,175
Adjustment			\$0
Total Eligible Cost			\$4,700

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Estimated Start Date
Contract Award Date	1/1/2021	Estimated contract award date
End Date	6/30/2021	Estimated End Date

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code for \$31,632 (Federal Share) and \$7,908 (Local Share - Toll Credits) to purchase 1 expansion vehicle. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery.

Expansion vehicle will be added to the Berea Deviated Fixed Route as an additional route is being added.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$31,632
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$7,908
Adjustment			\$0
Total Eligible Cost			\$31,632

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	1/1/2021	Estimated contract Award Date
Final Delivery Date	4/30/2021	Estimated Final Delivery Date
Initial Delivery Date	4/30/2021	Estimated initial delivery date
Contract Completion Date	6/30/2021	Estimated contract completion date

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

00)

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code in the amount of \$4,500 (Federal Share) and \$1,125 (Local Share – Toll Credits) to purchase a quantity of 3 computer hardware.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,125
Adjustment			\$0
Total Eligible Cost			\$4,500

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Estimated start date
Contract Award Date	1/1/2021	Estimated contract award date
End Date	6/30/2021	Estimated end date

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634- 00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	6

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code in the amount of \$15,600 (Federal Share) and \$3,900 (Local Share – Toll Credits) to purchase 6 on-board security cameras.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on

file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$3,900
Adjustment			\$0
Total Eligible Cost			\$15,600

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Estimated start date
Contract Award Date	1/1/2021	Estimated contract award date
End Date	6/30/2021	Estimated end date

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUIRE - BUS SUPPORT EQUIP/FACIL APPALACHIAN	6

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code in the amount of \$8,100 (Federal Share) and \$2,025 (Local Share – Toll Credits) to purchase a quantity of 6 of computer hardware.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,100

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$2,025
Adjustment			\$0
Total Eligible Cost			\$8,100

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Estimated Start Date
Contract Award Date	1/1/2021	Estimated Contract Award Date
End Date	6/30/2021	Estimated End Date

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUIRE - BUS SUPPORT EQUIP/FACIL APPALACHIAN	26

Extended Budget Description

Amendment #2 - 8/17/2020

Add this ALI Code in the amount of \$9,700 (Federal Share) and \$2,425 (Local Share – Toll Credits) to purchase 26 pieces of office furniture.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$2,425

Adjustment		\$0
Total Eligible Cost		\$9,700

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Estimated start date
Contract Award Date	1/1/2021	Estimated contract award date
End Date	6/30/2021	Estimated end date

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	14

Extended Budget Description

Amendment #2 - 8/17/2020

Increase quantity by 8 for a total quantity of 14. Increase Federal Share by \$322,771 for a new total Federal Share of \$663,911. Toll Credit Match.

For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery

Please see attached POP for breakdown of agency details, replacement vehicle information, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Amendment #1 - 6/12/2019

Purchase 5 expansion vehicles to make a new total of 6 for this ALI Code. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification

on file with KYTC/Office of Transportation Delivery.

Expansion vehicles are needed due to expanding services in Gallatin County and due to increased ridership.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Original Application

Purchase one (1) expansion vehicle for new Scottsville Transit Deviated Fixed Route. There is, currently, no public transit in the City of Scottsville. This vehicle will be ADA accessible, Note: Scottsville is starting a new public transit system. They will be operating a Deviated Fixed Route. They do not, currently, have a transit vehicle, so they cannot start service until it is delivered. We are hoping they will be able to start operating by January 2019. There is no bus running in that city currently. This for the purchase of the first bus for this service.

City of Scottsville (Scottsville Transit)
201 West Main Street Suite 10 Scottsville, KY 42164
Contact: Gayle Davis
Phone: (270) 237-3238
City Government
County Served: Allen
One (1) Expansion Vehicle
Federal Share: \$55,000
Useful Life: Four (4) Years and/or 100,000 Miles

The Kentucky Transportation Cabinet/OTD will require transit systems to have Independent Cost Estimates available on file along with the corresponding Price/Cost Analysis for the Scope of Work for these projects.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

The Kentucky Transportation Cabinet/OTD shall require sub grantees to follow all 3rd party procurement policies as defined in C 4220.1F (Third Party Contracting Guidance).

The Kentucky Transportation Cabinet/OTD will hold liens on the vehicles. Sub grantees will be required to have insurance, oversee maintenance, and generate reports on the vehicles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	N/A	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$663,911
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$165,978
Adjustment			\$0
Total Eligible Cost			\$663,911

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/31/2018	Section 5311 FFY18 Expansion Van Estimated IFB Date is October 2018
Contract Award Date	1/2/2019	Contract Award Date of Expansion Van is Estimated as January 2019
Initial Delivery Date	4/30/2019	First Vehicle Delivery Date is Estimated as April 2019
Final Delivery Date	4/30/2019	Final Vehicle Delivery is Projected in April 2019
Contract Completion Date	6/30/2019	Completion for All Inspections/Invoices is Estimated to be in June 2019

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #2 - August 17, 2020

Add \$3,973,527 Federal Share for a Revised Federal Share Total of \$23,072,053.

Budget Revision

August 20, 2019

Revised Local/In-Kind Share from \$480,084 to \$546,484. Revised Local Share Amount from \$18,618,442 to \$18,552,042. For specific details, see Revised In Kind spreadsheet attached titled "IN KIND KY-2018-015-01 for Section 5311 SFY20 as of 8-20-2019".

Amendment #1 - June 12, 2019

Add \$11,624,838 Federal Share for a Revised Federal Share Total of \$19,098,526.

Budget Revision #1 - 2/12/2019

Added \$25,000 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$223,310 to \$248,310.

Deducted the \$25,000 from Local Share Amount which changed the total Local Share Amount from \$7,250,378 to \$7,225,378.

Please see attached, revised In-Kind Spreadsheet for breakdown of the In-Kind amount.

Original Application

30.09.01 – Operating

Blue Grass Community Action Partnership

Federal Share: \$700,000

Carroll County Wellness Transit

Federal Share: \$60,750

Central Kentucky Community Action Council

Federal Share: \$400,000

Daniel Boone Community Action Agency

Federal Share: \$1,371,709

Fulton County Transit Authority

Federal Share: \$280,000

Frankfort Transit

Federal Share: \$353,150

Gateway Community Services Organization

Federal Share: \$15,000

Glasgow Transit System

Federal Share: \$40,675

Harlan County Community Action Agency

Federal Share: \$300,000

Kentucky River Foothills Development Council
Federal Share: \$330,000

Licking Valley Community Action Program
Federal Share: \$58,446

Louisville Wheels Transportation
Federal Share: \$356,780

Murray Calloway Transit Authority
Federal Share: \$275,000

Middle Kentucky Community Action Partnership
Federal Share: \$150,000

Maysville Transit System
Federal Share: \$120,239

Northeast Kentucky Community Action Agency
Federal Share: \$120,000

Owen County Public Transit
Federal Share: \$161,130

Pennyrile Allied Community Services
Federal Share: \$250,000

Paducah Transit Authority
Federal Share: \$473,488

Scottsville Transit
Federal Share: \$47,887

Sandy Valley Transportation Services
Federal Share: \$1,609,434

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$23,072,053
Local			\$22,525,569
Local/In-Kind			\$546,484
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$46,144,106
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Operating
End Date	10/31/2019	Operating

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Amendment #2 - August 17, 2020

The Kentucky Transportation Cabinet/Office of Transportation Delivery will program \$1,062,165 (Federal Share) for State Program Administration funds to implement, monitor, and conduct Compliance Reviews to ascertain that subrecipients are in compliance with FTA and State regulations. This includes staff salaries, fringe, travel, and supplies. This brings the total Revised Federal Share for this ALI Code to \$3,062,165. The \$3,062,165 Federal Share State Program Administration funds are 7.43% of the total FFY18 and FFY19 Apportionments.

Amendment #1 - June 12, 2019

The Kentucky Transportation Cabinet/Office of Transportation Delivery will program \$1,000,000 (Federal Share) for State Program Administration funds to implement, monitor, and conduct Compliance Reviews to ascertain that subrecipients are in compliance with FTA and State regulations. The \$1,000,000 Federal Share State Program Administration funds are 4.67% of the total FFY19 Apportionment. This includes staff salaries, fringe, travel, and supplies. This brings the total Revised Federal Share for this ALI Code to \$2,000,000.

Original Application

The Kentucky Transportation Cabinet/Office of Transportation Delivery will program \$1,000,000 (Federal Share) for State Program Administration funds to implement, monitor, and conduct Compliance Reviews to ascertain that subrecipients are in compliance with FTA and State regulations. The \$1,000,000 Federal Share State Program Administration funds are 5% of the total FFY18 Apportionment. This includes staff salaries, fringe, travel, and supplies.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$3,062,165
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,062,165

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	State Administration
End Date	12/31/2019	State Administration

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Amendment #1 - June 12, 2019

Add \$1,297,211 Federal Share for a Revised Federal Share Total of \$2,495,081.

Original Application

11.79.00 - Project Administration

Central Kentucky Community Action Council

Federal Share: \$215,000

Frankfort Transit

Federal Share: \$65,579

Gateway Community Services Organization

Federal Share: \$10,000

Glasgow Transit System

Federal Share: \$15,000

Harlan County Community Action Agency

Federal Share: \$55,822

Kentucky River Foothills Development Council
Federal Share: \$155,602

Louisville Wheels Transportation
Federal Share: \$100,000

Murray Calloway Transit Authority
Federal Share: \$92,000

Middle Kentucky Community Action Partnership
Federal Share: \$5,400

Northeast Kentucky Community Action Agency
Federal Share: \$107,824

Owen County Public Transit
Federal Share: \$63,552

Paducah Transit Authority
Federal Share: \$302,091

Scottsville Transit
Federal Share: \$10,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,495,081
Local			\$623,770
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,118,851

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Project Administration
End Date	10/31/2019	Project Administration

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0
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Extended Budget Description

Amendment #2 - August 17, 2020

Add \$495,697 Federal Share for a Revised Federal Share Total of \$3,569,945.

Budget Revision

August 20, 2019

Revised Local/In-Kind Share from \$202,681 to \$119,681. Revised Local Share Amount from \$2,871,567 to \$2,954,567. For specific details, see Revised In Kind spreadsheet attached titled "IN KIND KY-2018-015-01 for Section 5311 SFY20 as of 8-20-2019".

Amendment #1 - June 12, 2019

Add \$1,439,067 Federal Share for a Revised Federal Share Total of \$3,074,248. See POP for specific details and amounts by Subrecipient.

Original Application

30.09.01 – Intercity Operating

Blue Grass Community Action Partnership
Federal Share: \$217,633

Central Kentucky Community Action Council
Federal Share: \$229,864

Daniel Boone Community Action Agency
Federal Share: \$34,405

Fulton County Transit Authority
Federal Share: \$70,000

Harlan County Community Action Agency
Federal Share: \$15,000

Kentucky River Foothills Development Council
Federal Share: \$71,247

Louisville Wheels Transportation
Federal Share: \$230,000

Middle Kentucky Community Action Partnership
Federal Share: \$16,537

Northeast Kentucky Community Action Agency

Federal Share: \$10,000

Pennyrile Allied Community Services
Federal Share: \$100,000

Paducah Transit Authority
Federal Share: \$348,182

Sandy Valley Transportation Services
Federal Share: \$292,313

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,569,945
Local			\$3,450,264
Local/In-Kind			\$119,681
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,139,890

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Intercity Operating
End Date	10/31/2019	Intercity Operating

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Amendment #2 - August 17, 2020

Add \$180,979 Federal Share for a Revised Federal Share Total of \$514,571.

Amendment #1 - June 12, 2019

Add \$294,562 Federal Share for a Revised Federal Share Total of \$333,592.

Original Application

Various training including: CPR & First Aid, Passenger Assistance, Drug & Alcohol, Driver Simulator Training, Defensive Driver, Blood Borne Pathogens, PASS, Safety and Security Training, Vehicles Maintenance Management and Inspection (VMMI) Training, and Certified Safety and Security Officer (CSSO) Training.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$514,571
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$514,571

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	RTAP - Training
End Date	10/31/2019	RTAP - Training

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Amendment #2 - August 17, 2020

Add \$686,018 Federal Share for a Revised Federal Share Total of \$2,985,251.

Budget Revision

August 20, 2019

Revised Local/In-Kind Share from \$180,967 to \$197,567. Revised Local Share Amount from \$2,118,266 to \$2,101,666. For specific details, see Revised In Kind spreadsheet attached titled "IN KIND KY-2018-

015-01 for Section 5311 SFY20 as of 8-20-2019".

Amendment #1 - June 12, 2019

Add \$1,420,074 Federal Share for a Revised Federal Share Total of \$2,299,233.

Budget Revision #1 - 2/12/2019

Added \$3,175 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$126,539 to \$129,714.

Deducted the \$3,175 from Local Share Amount which changed the total Local Share Amount from \$752,620 to \$749,445.

Please see attached, revised In-Kind Spreadsheet for breakdown of the In-Kind amount.

Original Application

30.09.01 – Appalachian Operating

Blue Grass Community Action Partnership
Federal Share: \$80,000

Central Kentucky Community Action Council
Federal Share: \$5,000

Gateway Community Services Organization
Federal Share: \$51,161

Harlan County Community Action Agency
Federal Share: \$100,000

Kentucky River Foothills Development Council
Federal Share: \$200,000

Licking Valley Community Action Program
Federal Share: \$65,662

Middle Kentucky Community Action Partnership
Federal Share: \$50,000

Northeast Kentucky Community Action Agency
Federal Share: \$246,539

Sandy Valley Transportation Services
Federal Share: \$80,797

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,985,251
Local			\$2,787,684
Local/In-Kind			\$197,567
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,970,502

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Appalachian Operating
End Date	10/31/2019	Appalachian Operating

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Amendment #2 - August 17, 2020

Add \$82,709 Federal Share for a Revised Federal Share Total of \$498,493. See POP for specific details and amounts by Subrecipient.

Amendment #1 - June 12, 2019

Add \$386,060 Federal Share for a Revised Federal Share Total of \$415,784. See POP for specific details and amounts by Subrecipient.

Original Application

11.80.00 – Intercity Administration; the agencies, listed under the State Administration ALI, are receiving Intercity Operating funds and provide Intercity services. The funding applied for under this ALI are for those agencies' Administrative expenses for the cost of Administering the Intercity Program.

Blue Grass Community Action Partnership
Federal Share: \$6,971

Central Kentucky Community Action Council
Federal Share: \$10,000

Daniel Boone Community Action Agency
Federal Share: \$2,753

Fulton County Transit Authority
Federal Share: \$10,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$498,493
Local			\$124,623
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$623,116

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Intercity Administration
End Date	10/31/2019	Intercity Administration

Budget Activity Line Item: 11.13.04 - BUY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Amendment #1 - 6/12/2019

Purchase 1 expansion vehicle. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery.

Expansion vehicle will be added to the Berea Deviated Fixed Route as an additional route is being added.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management

Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$62,515
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$15,629
Adjustment			\$0
Total Eligible Cost			\$62,515

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2019	Estimated IFB Date is July 2019
Contract Award Date	8/1/2019	Estimated Contract Award Date is August 2019
Initial Delivery Date	1/1/2020	Estimated Delivery Date of First Vehicle is January 2020
Final Delivery Date	3/31/2020	Estimated Final Delivery Date is March 2020
Contract Completion Date	6/30/2020	Estimated Completion for All Inspections/Invoices is June 2020

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	5

Extended Budget Description

Amendment #1 - 6/12/2109

Purchase 5 replacement vehicles. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery

Please see attached POP for breakdown of agency details, replacement vehicle information, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$196,400
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$49,100
Adjustment			\$0

Total Eligible Cost	\$196,400
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Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2019	Estimated IFB Date is July 2019
Contract Award Date	8/1/2019	Estimated Contract Award Date is August 2019
Initial Delivery Date	1/1/2020	Estimated Delivery Date of First Vehicle is January 2020
Final Delivery Date	4/30/2020	Estimated Delivery Date of the final vehicle is April 2020
Contract Completion Date	6/30/2020	Estimated Completion for All Inspections/Invoices is June 2020

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	26

Extended Budget Description

Amendment #1 - June 12, 2019

Purchase 26 Tablets.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,418
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$48,545
Adjustment			\$0
Total Eligible Cost			\$19,418

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2019	Estimated Start Date is September 2019
Contract Award Date	12/31/2019	Estimated Contract Award Date is December 2019
End Date	6/30/2020	Estimated Completion Date is June 2020

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Amendment #1 - June 11, 2019

Purchase Grant Management Software.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$100,000
Adjustment			\$0
Total Eligible Cost			\$400,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Estimated Start Date is July 2019
Contract Award Date	1/1/2020	Estimated Contract Award Date is January 2020
End Date	6/30/2020	Estimated End Date is June 2020

Budget Activity Line Item: 11.62.03 - PURCHASE RADIOS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	AQUISITION	43

Extended Budget Description

Amendment #1 - June 12, 2019

Purchase 43 mobile radios.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,937
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$4,734
Adjustment			\$0
Total Eligible Cost			\$18,937

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2019	Estimated Start Date is September 2019
Contract Award Date	12/31/2019	Estimated Contract Award Date is December 2019
End Date	6/30/2020	Estimated End Date is June 2020

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	17

Extended Budget Description

Amendment #2 - 8/17/2020

Increase quantity by 13 for a total quantity of 17. Increase Federal Share by \$643,572 for a new total of \$869,092. Toll Credit Match.

For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery

Please see attached POP for breakdown of agency details, replacement vehicle information, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Amendment #1 - 6/12/2109

Purchase 4 replacement vehicles. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery

Please see attached POP for breakdown of agency details, replacement vehicle information, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers

(TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$869,092
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$217,273
Adjustment			\$0
Total Eligible Cost			\$869,092

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Estimated Start Date is July 2019
Contract Award Date	8/1/2019	Estimated Contract Award Date is August 2019
Initial Delivery Date	1/1/2020	Estimated Initial Delivery Date is January 2020
Final Delivery Date	4/30/2020	Estimated Delivery Date of Final Vehicle is April 2020
End Date	6/30/2020	Estimated Completion for All Inspections/Invoices is June 2020

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - BUS ROLLING STOCK APPALACHIAN	11

Extended Budget Description

Amendment #2 - 8/17/2020

Increase quantity by 4 for a total quantity of 11. Increase Federal Share by \$238,740 for a new total of \$524,949. Toll Credit Match.

For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery

Please see attached POP for breakdown of agency details, replacement vehicle information, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Amendment #1 - 6/12/2019

Purchase 7 expansion vehicles. For each vehicle to be purchased that is not ADA accessible, the corresponding agency has an Equivalent Service Certification on file with KYTC/Office of Transportation Delivery.

Expansion vehicle are needed due to increased ridership.

Please see attached POP for breakdown of agency details, quantity by agency, Federal Share/Local Share by Agency, and Useful Life. Useful Life of these vehicles will follow the KY State Management Plan.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

The Kentucky Transportation Cabinet/OTD shall require the sub grantees to ensure contractors procured will not be on the FTA Debarment and Suspension List.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM)(<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$524,949

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$131,237
Adjustment			\$0
Total Eligible Cost			\$524,949

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Estimated Start Date is July 2019
Contract Award Date	8/1/2019	Estimated Contract Award Date is August 2019
Initial Delivery Date	1/1/2020	Estimated Delivery Date of First Vehicle is January 2020
Final Delivery Date	3/31/2020	Estimated Final Delivery Date is March 2020
End Date	6/30/2020	Estimated End Date is June 2020

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	14	\$663,911.00	\$663,911.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either

individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$23,072,053.00	\$46,144,106.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,062,165.00	\$3,062,165.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,495,081.00	\$3,118,851.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,569,945.00	\$7,139,890.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$514,571.00	\$514,571.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$2,985,251.00	\$5,970,502.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$498,493.00	\$623,116.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	1	\$62,515.00	\$62,515.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	5	\$196,400.00	\$196,400.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	26	\$19,418.00	\$19,418.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP	11.42.08	ACQUIRE - ADP	1	\$400,000.00	\$400,000.00

AND FACILITIES (114-00)

SOFTWARE

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	43	\$18,937.00	\$18,937.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	17	\$869,092.00	\$869,092.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.13.15	BUY VAN FOR SVC EXPANSION	11	\$524,949.00	\$524,949.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - STATION/STOPS/TERMINALS (113-00)	11.32.10	ACQUIRE - BUS PASSENGER SHELTERS	2	\$4,700.00	\$4,700.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	1	\$31,632.00	\$31,632.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.07	ACQUIRE - ADP HARDWARE	3	\$4,500.00	\$4,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	6	\$15,600.00	\$15,600.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.07	ACQUIRE - ADP HARDWARE	6	\$8,100.00	\$8,100.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	26	\$9,700.00	\$9,700.00

Part 4: Fleet Details

Fleet Type: Paratransit

Fleet Comments

None provided.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	2
	B. Spares	1
	C. Total (A+B)	3
	D. Spare Ratio (B/A)	50%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	3

Fleet Type: Fixed Route

Fleet Comments

Paducah Transit Authority will replace (2) 35 ft. buses. Total fleet will not change.
FFY18 5339(b) Competitive Grant

		Current Value
I.	Active Fleet	

	A. Peak Requirement	65
	B. Spares	3
	C. Total (A+B)	68
	D. Spare Ratio (B/A)	4.62%
II.	Inactive Fleet	
	A. Other	8
	B. Pending Disposal	2
	C. Total (A+B)	10
III.	Total (I.C and II.C)	78

Fleet Type: Other

Fleet Comments

Replace 7 vehicles total and add 13 expansion vehicles.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1346
	B. Spares	155
	C. Total (A+B)	1501
	D. Spare Ratio (B/A)	11.52%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	76
	C. Total (A+B)	76
III.	Total (I.C and II.C)	1577

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 8/31/2020

Comment This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only.

Application Review Comments

Comment By Holly Liles

Comment Type Application Details

Date	8/20/2020
	Planning Comments cleared. Applicable documentation attached, including most recently approved TIP/STIP.
Comment	CR Exempt This grant does not include: new construction, construction that involves alterations, rebuild or remanufacture of stations, stops, or vehicles, and does not require a civil rights review. Additionally, the civil rights programs are "Concurred", or are "In Review", or "N/A".

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation Cabinet, Kentucky

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2018-015-02

Application Name: KY-2018-0XX-00 | KYTC Statewide Section 5311 - Rural - FFY18 Formula Funds

Application Start Date: 8/15/2018

Original Award End Date: 3/30/2022

Current Award End Date: 3/30/2023

Application Executive Summary: Amendment #2 - August 17, 2020

KYTC Section 5311 Formula Grants for Rural Areas FFY19 Funds

FFY19 Apportionment: \$21,421,113

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for a total federal share of \$7,760,410 using a portion of the remaining balance of FFY19 Section 5311 funds, in the amount of \$7,760,410, on behalf of Kentucky Rural Areas Statewide for Operating, Intercity Operating, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. The breakdown of 5311 and 5311 Appalachian is as follows:

5311: \$6,817,852

5311 Appalachian: \$942,558

This leaves a balance of \$2,214,182 of FFY19 Section 5311 funds. This grant is for State Fiscal Year 2021 (July 1, 2020 - June 30, 2021).

Currently, only \$4,989,262 (14.26%) of the 5311 grant has been programed for Intercity. Although 15% (\$5,247,666) of the total combined 5311 FFY18 & FFY19 Apportionments has not yet been fully designated for Intercity, when the remaining apportionment balance of \$2,214,182 is applied for, it will include at least another \$258,404 for Intercity. This will meet the requirement of 15% of the total 5311 FFY18 & FFY19 Apportionments to be spent on Intercity.

See attached POP, STIP, & Toll Credit Letter.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.

This is not a Research and Development Grant.

Total Revised Federal Share: \$39,027,013

Total Revised Local Share: \$30,317,922

Toll Credit Match for Capital

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA

within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 - 6/12/2019

KYTC Section 5311 Formula Grants for Rural Areas FFY18/FFY19 Funds

FFY18 Apportionment: \$19,820,082

FFY19 Apportionment: \$21,421,113

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for a total federal share of \$18,956,951 using the remaining balance of FFY18 Section 5311 funds, in the amount of \$7,510,430, and FFY19 Section 5311 funds, in the amount of \$11,446,521, on behalf of Kentucky Rural Areas Statewide for Operating, Project Administration, Intercity Operating, Intercity Project Administration, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. This leaves a balance of \$9,974,592 of FFY19 Section 5311 funds. This grant is for State Fiscal Year 2020 (July 1, 2019 - June 30, 2020).

Currently only \$3,715,552 (13.11%) of the 5311 grant has been programed for Intercity. Although 15% (\$5,567,806) of the total combined 5311 FFY18 & FFY19 Apportionments has not yet been fully designated for Intercity, when the remaining apportionment balance of \$9,974,592 is applied for, it will include at least another \$1,852,254 for Intercity. This will meet the requirement of 15% of the total 5311 FFY18 & FFY19 Apportionments to be spent on Intercity.

See attached POP, STIP, & Toll Credit Letter.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.

This is not a Research and Development Grant.

Total Revised Federal Share: \$31,266,603

Total Revised Local Share: \$25,199,723

Toll Credit Match for Capital

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2023.

Budget Revision #1 - 2/12/2019

ALI Code: 30.09-01 (300) - Operating

Added \$25,000 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$223,310 to \$248,310.

Deducted the \$25,000 from Local Share Amount which changed the total Local Share Amount from \$7,250,378 to \$7,225,378.

ALI Code: 30.09.1 (648) – Appalachian Operating

Added \$3,175 Local/In-Kind Share which changed the total Local/In-Kind Share Amount from \$126,539 to \$129,714.

Deducted the \$3,175 from Local Share Amount which changed the total Local Share Amount from \$752,620 to \$749,445.

Please see attached, revised In-Kind Spreadsheet for breakdown of the In-Kind amount.

Original Application

KYTC Section 5311 Formula Grants for Rural Areas FFY18 Funds

FFY18 Apportionment: \$19,820,082

Kentucky Rural Areas Statewide

The Kentucky Transportation Cabinet (KYTC)/Office of Transportation Delivery (OTD), Designated Recipient, is applying for FFY18 Section 5311 funds, in the amount of \$12,309,652, on behalf of Kentucky Rural Areas Statewide for Operating, Project Administration, Intercity Operating, Intercity Project Administration, Appalachian Operating, Capital, State Administration, and RTAP Section 5311 funds. This grant is for State Fiscal Year 2019 (July 1, 2018 - June 30, 2019).

See attached POP, STIP, & Toll Credit Letter.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.

This is not a Research and Development Grant.

KY-2018-0XX-00

Total Federal Share: \$12,309,652

Total Local Share: \$10,294,927

Toll Credit Match for Capital

11.13.15 (111) - \$55,000 Federal Share - Capital to purchase (1) Expansion Vehicle for New Scottsville Transit Deviated Fixed Route (Useful Life: 4 Years and/or 100,000 Miles)

30.09.01 (300) - \$7,473,688 Federal Share - Operating

11.80.00 (610) - \$1,000,000 Federal Share- State Administration

11.79.00 (620) - \$1,197,870 Federal Share - Project Administration

11.79.00 (634) - \$29,724 Federal Share - Intercity Project Administration

30.09.01 (634) - \$1,635,181 Federal Share - Intercity Operating (Remaining 15% will be applied for in a future grant)

43.50.01 (635) - \$39,030 Federal Share - RTAP

30.09.01 (648) - \$879,159 Federal Share - Appalachian Operating

See attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2022.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$69,402,655.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,760,410.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$5,175,919.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$39,027,013.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$30,375,642.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$39,027,013
Local			\$29,511,910
Local/In-Kind			\$863,732
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$751,054
Adjustment		\$0
Total Eligible Cost		\$69,402,655

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation Cabinet, Kentucky