

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	CO-2020-028-00
Temporary Application Number	1130-2020-5
Application Name	Colorado DOT Section 5311 CARES Act - Operations, Project Administration, ICB and State/Program Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Colorado Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1130	State Agency	Colorado Department of Transportation	960738771

Location Type	Address	City	State	Zip
Headquarters	2829 W HOWARD PL	DENVER	CO	802042305
Physical Address	2829 W HOWARD PL	DENVER	CO	80204
Mailing Address	2829 W HOWARD PL	DENVER	CO	80204

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Colorado DOT Section 5311 CARES Act - Operations, Project Administration, ICB and State/Program Administration
--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CO-2020-028-00	Final Concurrence / Reservation	Grant	7/3/2020	7/3/2020	No

Application Executive Summary

Colorado DOT (CDOT) was allocated \$39,754,866 FFY2020 FTA-5311 CARES Act funds and CDOT is distributing FTA-5311 CARES Act funds through a phased approach. This application is for the phase I distribution of \$27,727,987 (partial FFY2020 apportionment). Per the CARES Act, the grant requests 100% federal share. The funding is being utilized to prevent, prepare for, and respond to COVID-19.

This grant scope of work includes the following projects:

P1: Operating assistance, project administration for 37 rural transit agencies; and state/program administration for CDOT to administer the 5311 CARES Act program (9.81% of apportionment).

P2: ICB Operating assistance, project admin, preventive maintenance and vehicle rebuild (15% of apportionment).

The remaining CARES Act funds of \$12,026,879 is in the process of Phase II distribution, CDOT will amend the application to add the third project at a later date.

Details are included in the Program of Projects (POP) in the application documents.

The CDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	303-362-2392
Qing	Lin	Transit Programming Manager	qing.lin@state.co.us	303-757-9973
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,727,987
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,727,987

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$14,622,745.00	\$0.00	\$14,622,745.00	37
CO-2020-028-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$14,622,745.00	\$0.00	\$14,622,745.00	37

CO-2020-028-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$3,900,000.00	\$0.00	\$3,900,000.00	1
CO-2020-028-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,900,000.00	\$0.00	\$3,900,000.00	1
CO-2020-028-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$3,241,922.00	\$0.00	\$3,241,922.00	29
CO-2020-028-01-00	11.79.00	PROJECT ADMINISTRATION	\$3,241,922.00	\$0.00	\$3,241,922.00	29
CO-2020-028-02-00	600-00 (600-A6)	OTHER PROGRAM COSTS	\$350,000.00	\$0.00	\$350,000.00	1
CO-2020-028-02-00	11.7A.00	PREVENTIVE MAINTENANCE	\$350,000.00	\$0.00	\$350,000.00	1
CO-2020-028-02-00	620-00 (620-A5)	PROJECT ADMINISTRATION	\$606,574.00	\$0.00	\$606,574.00	4
CO-2020-028-02-00	11.79.00	PROJECT ADMINISTRATION	\$606,574.00	\$0.00	\$606,574.00	4
CO-2020-028-02-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$5,006,746.00	\$0.00	\$5,006,746.00	7
CO-2020-028-02-00	11.14.08	REHAB/REBUILD INTERCITY BUS	\$27,100.00	\$0.00	\$27,100.00	1
CO-2020-028-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,979,646.00	\$0.00	\$4,979,646.00	6

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Phase 1: Operating Assistance, Project Admin and State Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2020-028-01-00	1130-2020-5-P1	7/3/2020	1/20/2020	12/31/2023

Project Description

FFY2020 CARES Act 5311 funds will be used for Colorado rural public transit operating and project administration; and state administration in the phase 1 distribution in the amount of \$ \$21,764,667.

Please see Program of Projects (POP) for the detailed information by rural agencies and amounts allocated.

Project Benefits

The funds are essential for transit agencies in rural communities to continue to provide transit service during the COVID-19 pandemic. These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments. Colorado rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

Additional Information

None provided.

Location Description

Please see the POP for the detailed service areas for each rural transit agency.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	1	Diana DeGette
Colorado	2	Joe Neguse
Colorado	3	Scott R Tipton
Colorado	4	Ken Buck
Colorado	5	Doug Lamborn
Colorado	6	Jason Crow
Colorado	7	Ed Perlmutter

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: Per CARES Act requirements, no STIP documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$21,764,667
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$21,764,667

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$14,622,745.00	\$0.00	\$14,622,745.00	37
CO-2020-028-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$14,622,745.00	\$0.00	\$14,622,745.00	37
CO-2020-028-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$3,900,000.00	\$0.00	\$3,900,000.00	1
CO-2020-028-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,900,000.00	\$0.00	\$3,900,000.00	1
CO-2020-028-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$3,241,922.00	\$0.00	\$3,241,922.00	29
CO-2020-028-01-00	11.79.00 PROJECT ADMINISTRATION	\$3,241,922.00	\$0.00	\$3,241,922.00	29

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	37

Extended Budget Description

FFY 2020 CARES ACT 5311 funds were distributed to 37 Colorado rural transit agencies during the Phase I distribution. The funds will provide operating assistance to Colorado rural public transportation systems.

Federal share is 100%. Operating funds will cover expenses from January 20, 2020 to December 31, 2020, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses. See attached Program of Projects for a list of rural transit agencies. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$14,622,745
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,622,745

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Beginning of operating period
End Date	12/31/2020	End of operating period

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	29

Extended Budget Description

FFY2020 CARES Act funds will be used by 29 transit agencies for Project Administration to provide transportation services in their communities and coordinate with other transit agencies in surrounding communities for public transportation. Federal share is 100%. Project Admin expenses are from January 20, 2020 to December 31, 2020 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,241,922
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,241,922

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Start of period for project admin expense.
End Date	12/31/2020	End of period for project admin expenses. This is an approximation of when the funding will be expended.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

FFY20 CARES Act 5311 funds in the amount of \$3.9 Million will be used for state/program admin of the 5311 program. Federal share is 100%. This amount is 9.81% of CO total FFY20 CARES Act apportionment, which satisfies the maximum amount allowed of 10%.

The funds will be used by CDOT to administer and manage the 5311 program, including salaries, overhead expenses, supplies, office equipment and consultant services used to administer the 5311 program. This also includes paying administrative leave for staff and purchase of personal protective equipment and other supplies or services due to the COVID-19 pandemic.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,900,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,900,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Start of Program Admin
End Date	12/31/2023	End of Program Admin

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/31/2020

Project Title: Phase 1: ICB Operations, Project Admin, Preventive Maintenance, and Vehicle Rehab

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2020-028-02-00	1130-2020-5-P2	7/3/2020	10/7/2019	12/31/2020

Project Description

FFY20 CARES Act 5311 funds in the amount of \$5,963,320 million will be used by 10 Colorado ICB providers. Federal share is 100%. This amount is 15% of Colorado total FFY20 CARES Act apportionment for ICB service, meeting the minimum 15% requirement.

This ICB project includes the following ALIs:

- 1) Preventive Maintenance
- 2) Project Administration
- 3) Bus Rehab
- 4) Operating Assistance

Project Benefits

The CARES Act funds will be utilized to sustain intercity bus (ICB) operations across the state of Colorado.

Additional Information

Support and maintain continued Colorado ICB operations

Location Description

Please see POP for detailed services areas of each ICB operator.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	1	Diana DeGette
Colorado	2	Joe Neguse
Colorado	3	Scott R Tipton
Colorado	4	Ken Buck
Colorado	5	Doug Lamborn
Colorado	6	Jason Crow
Colorado	7	Ed Perlmutter

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per CARES Act requirements, no STIP documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,963,320
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,963,320

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2020-028-02-00	600-00 (600-A6) OTHER PROGRAM COSTS	\$350,000.00	\$0.00	\$350,000.00	1
CO-2020-028-02-00	11.7A.00 PREVENTIVE MAINTENANCE	\$350,000.00	\$0.00	\$350,000.00	1
CO-2020-028-02-00	620-00 (620-A5) PROJECT ADMINISTRATION	\$606,574.00	\$0.00	\$606,574.00	4
CO-2020-028-02-00	11.79.00 PROJECT ADMINISTRATION	\$606,574.00	\$0.00	\$606,574.00	4
CO-2020-028-02-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$5,006,746.00	\$0.00	\$5,006,746.00	7

CO-2020-028-02-00	11.14.08	REHAB/REBUILD INTERCITY BUS	\$27,100.00	\$0.00	\$27,100.00	1
CO-2020-028-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,979,646.00	\$0.00	\$4,979,646.00	6

Project Budget Activity Line Items

Budget Activity Line Item: 11.14.08 - REHAB/REBUILD INTERCITY BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.14.08	REHAB/REBUILD INTERCITY BUS	REHAB/REBUILD - CAPITAL BUS	1

Extended Budget Description

FFY2020 CARES Act funds will be used by Steamboat Transit to rehab a ICB bus engine used on the Craig to Steamboat Spring intercity route service. The federal share is 100%.

A local heavy diesel maintenance facility will perform the in-frame engine overhaul. It will consist of reconditioning, repairing or replacing the cylinder head, packs, oil pump, bearings, water pump, injectors and turbochargers. The block and other components will be thoroughly examined and repaired if needed. This action will add four more additional useful life years to the bus.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,100
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,100

Milestone Name	Est. Completion Date	Description
RFP	7/15/2020	Steamboat Transit will submit a procurement package to CDOT for approval.
Contract Award Date	8/28/2020	Contract awarded with local vendor (update date)

Contract Completion Date	12/31/2020	The project will be complete by the end of the year.
--------------------------	------------	--

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	4

Extended Budget Description

FFY 2020 CARES Act funds will be used for Project Administration by four ICB operators. Federal share is 100%. Project Admin expenses are from January 20, 2020 to December 31, 2020 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

Please see the POP for details.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$606,574
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$606,574

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Start of period for project admin expense
End Date	12/31/2020	End of period of project admin expenses.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	6
--	----------	---	-------------------------	---

Extended Budget Description

FFY2020 CARES Act funds will be used by 6 ICB operators for ICB operating assistance at 100% federal share. Operating funds will cover expenses from January 20, 2020 to December 31, 2020, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,979,646
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,979,646

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Begin Operating Assistance
End Date	12/31/2020	End of Operating Assistance

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY2020 CARES Act funds will be used for CDOT Bustang service PM expenses to retro-fit the Bustang fleet with safety, security and sanitizing equipment, including small capital purchases for preventive maintenance and repairs to rolling stock, equipment and facilities. This also includes the purchase of personal protective equipment and other supplies to modify and clean rolling stock, equipment and facilities expressly due to the COVID-19 pandemic. Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award. Federal share is 100%. Timeframe for PM expenses is from January 20, 2020 to December 31, 2020.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Milestone Name	Est. Completion Date	Description
RFP	10/7/2019	Release RFP for Maintenance Contracts (update please)
Contact Award Date	5/30/2020	CDOT will start to issue purchase orders for the equipment.
Contract Completion Date	12/31/2020	CDOT will complete all purchases for these equipment.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/31/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.14.08	REHAB/REBUILD INTERCITY BUS	1	\$27,100.00	\$27,100.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/31/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	4	\$606,574.00	\$606,574.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/31/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
-------------------	------------------	----------------	----------	------------	---------------------

INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	6	\$4,979,646.00	\$4,979,646.00
---	----------	--	---	----------------	----------------

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	7/31/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$350,000.00	\$350,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type	DOL Review for Information
--------------	----------------------------

Date	8/17/2020
------	-----------

Comment	Recipient contact: Qing Lin, Transit Programming Unit Manager Certified Transit Program Administrator P 303.757.9973 F 303.757.9727 2829 West Howard PL, Suite 422 f, Denver, CO 80204 qing.lin@state.co.us http://www.codot.gov www.cotrip.org
---------	--

Application Review Comments

Comment By Emma Belmont

Comment Type	FTA Post Review Comments for Grantee
Date	7/16/2020
Comment	Please fix the 3rd Party Contract ALI Milestones, by adding the appropriate dates and information as requested. Also, figure out if the engine rehab will be a sole source or RFP process and update the milestones as needed. Thanks, Emma

Comment By Emma Belmont

Comment Type	FTA Post Review Comments for Grantee
Date	8/10/2020
Comment	Qing, I tried to get in touch with you, David and Kim to get this question answered so I didn't have to send this back to you, but never heard back from anyone. The State/Program Admin has an end date of 2023 where all other activities end in 2020. We need to confirm if this is true or not. Also the Period of Performance needs updated to either 90 days past 2020 or 90 days past 2023 if that date is accurate. Please fix and re-transmit. Thanks, Emma

Application Review Comments

Comment By Emma Belmont

Comment Type	Environmental Findings
Date	7/31/2020
Project Title	Phase 1: ICB Operations, Project Admin, Preventive Maintenance, and Vehicle Rehab
Project Number	CO-2020-028-02-00
Comment	Concur with CE C-list types noted

Comment By Emma Belmont

Comment Type	Environmental Findings
Date	7/31/2020
Project Title	Phase 1: Operating Assistance, Project Admin and State Admin
Project Number	CO-2020-028-01-00

Comment Concur that all activities in this project are covered by a CE C-list Type 4

Application Review Comments

Comment By **Morgan Hecht**

Comment Type Application Details

Date 8/3/2020

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

Comment If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

(1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019,
<http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and

provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Colorado Department Of

Recipient ID: 1130

DUNS No: 960738771

Application Information

Federal Award Identification Number: CO-2020-028-00

Application Name: Colorado DOT Section 5311 CARES Act - Operations, Project Administration, ICB and State/Program Administration

Application Start Date: N/A

Original Award End Date: 3/31/2024

Current Award End Date: N/A

Application Executive Summary: Colorado DOT (CDOT) was allocated \$39,754,866 FFY2020 FTA-5311 CARES Act funds and CDOT is distributing FTA-5311 CARES Act funds through a phased approach. This application is for the phase I distribution of \$27,727,987 (partial FFY2020 apportionment). Per the CARES Act, the grant requests 100% federal share. The funding is being utilized to prevent, prepare for, and respond to COVID-19.

This grant scope of work includes the following projects:

P1: Operating assistance, project administration for 37 rural transit agencies; and state/program administration for CDOT to administer the 5311 CARES Act program (9.81% of apportionment).

P2: ICB Operating assistance, project admin, preventive maintenance and vehicle rebuild (15% of apportionment).

The remaining CARES Act funds of \$12,026,879 is in the process of Phase II distribution, CDOT will amend the application to add the third project at a later date.

Details are included in the Program of Projects (POP) in the application documents.

The CDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$27,727,987.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$27,727,987.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$27,727,987.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$27,727,987
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,727,987

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Colorado Department Of