

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AK-2020-028-00
Temporary Application Number	7202-2020-1
Application Name	FY 2019 Sec. 5311 TTP Discretionary funds for Vehicle Acquisition and Start Up Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Noorvik Native Community

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7202	Indian Tribe	NOORVIK NATIVE COMMUNITY	621385350

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	NORTHWIND DR	NOORVIK	AK	99763
Mailing Address	P.O. BOX 209	NOORVIK	AK	99763

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY 2019 Sec. 5311 TTP Discretionary funds for Vehicle Acquisition and Start Up Costs
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2020-028-00	Final Concurrence / Reservation	Grant	7/20/2020	7/20/2020	No

Application Executive Summary

This application includes \$186,155 in FY2019 Section 5311 Tribal Transit Program discretionary funding for paratransit vehicle acquisition (\$90,100 under D2020-TRTR-035) and transit system operational start up costs (\$96,055 under D2020-TRTR-036).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Berenda	Newlin	Transportation Planner	transportation@nuurvik.org	(907) 636-2144 13

Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$186,155
Local			\$18,616
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$204,771

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$186,155.00	\$18,616.00	\$204,771.00	1
AK-2020-028-01-00	11.13.04 BUY LESS THAN 30-FT BUS FOR EXPANSION (19 5311 TTP Disc 90:10)(00)	\$90,100.00	\$9,010.00	\$99,110.00	1
AK-2020-028-01-00	30.09.01 OPERATING ASSISTANCE - START UP COSTS (19 5311 TTP Disc 90:10)(00)	\$96,055.00	\$9,606.00	\$105,661.00	0

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-035	Para-Transit Vehicle Purchase	\$90,100	AK-2020-028-00	
D2020-TRTR-036	Program Startup Costs	\$96,055	AK-2020-028-00	

Part 3: Project Information

Project Title: FY 2019 Sec. 5311 TTP Discretionary funds for Vehicle Acquisition and Start Up Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-028-01-00	7202-2020-1-P1	7/20/2020	4/1/2020	12/31/2021

Project Description

This project uses \$186,155 in FY2019 Section 5311 Tribal Transit Program discretionary funding for paratransit vehicle acquisition (\$90,100 under D2020-TRTR-035) and transit system operational start up costs (\$96,055 under D2020-TRTR-036) for start up costs to include purchasing a paratransit vehicle, driver training and salaries. The funds to start the transit program will enable the Tribal Transportation Department to fulfill the need to provide Tribal and community members with reliable and safe transportation to important destinations, such as the airport, clinic, grocery store, school, and work.

Project Benefits

The key benefit will be the ability for the Tribal Transportation Department to provide the community with affordable and reliable access to important destinations and services.

Additional Information

None provided.

Location Description

Noorvik, Alaska

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$186,155
Local			\$18,616
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
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Adjustment			\$0
Total Eligible Cost			\$204,771

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$186,155.00	\$18,616.00	\$204,771.00	1
AK-2020-028-01-00	11.13.04 BUY LESS THAN 30-FT BUS FOR EXPANSION (19 5311 TTP Disc 90:10)(00)	\$90,100.00	\$9,010.00	\$99,110.00	1

AK-2020-028-01-00	30.09.01	OPERATING ASSISTANCE - START UP COSTS (19 5311 TTP Disc 90:10)(00)	\$96,055.00	\$9,606.00	\$105,661.00	0
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - OPERATING ASSISTANCE - START UP COSTS (19 5311 TTP Disc 90:10)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity line item uses FY2019 Section 5311 Tribal Transit Program discretionary funding for transit system operational start up costs (Discretionary ID D2020-TRTR-036) for start up costs to include driver training and salaries for the period of July 1, 2020 to December 31, 2021. The federal-to-local match ratio is 90:10 and the local match derives from Tribal Transportation Improvement Program (TTIP) - Transit funds.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$96,055
Local			\$9,606
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$105,661

Milestone Name	Est. Completion Date	Description
Operations Start Date	7/1/2020	

Operations End Date	12/31/2021	
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Budget Activity Line Item: 11.13.04 - BUY LESS THAN 30-FT BUS FOR EXPANSION (19 5311 TTP Disc 90:10)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

This activity line item uses FY2019 Section 5311 Tribal Transit Program discretionary for the purchase of a 28-foot, gas power paratransit vehicle (Discretionary ID D2020-TRTR-035). The federal-to-local match ratio is 90:10 and the local match derives from Tribal Transportation Improvement Program (TTIP) - Transit funds. The vehicle useful life is five years or 150,000 miles, whichever comes first.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$90,100
Local			\$9,010
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$99,110

Milestone Name	Est. Completion Date	Description
Obtain Dealership Quotes	4/1/2020	
Council Votes to Approve Vehicle Aquisition	4/24/2020	
Council Vehicle Acquisition Approval via Resolution	5/1/2020	
Purchase Vehicle from Dealership	6/1/2020	

Vehicle Barged to Noorvik	6/19/2020	
Vehicle Received	6/30/2020	
Dealership Invoices Paid and Project Closed	8/31/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY LESS THAN 30-FT BUS FOR EXPANSION (19 5311 TTP Disc 90:10)(00)	1	\$90,100.00	\$99,110.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	OPERATING ASSISTANCE - START UP COSTS (19 5311 0 TTP Disc 90:10)(00)	0	\$96,055.00	\$105,661.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Annette McKelvey**

Comment Type DOL Review for Information

Date 8/7/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By **Annette McKelvey**

Comment Type FTA Post Review Comments for Grantee

Date 8/3/2020

Comment for budget updates

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Noorvik Native Community

Recipient ID: 7202

DUNS No: 621385350

Application Information

Federal Award Identification Number: AK-2020-028-00

Application Name: FY 2019 Sec. 5311 TTP Discretionary funds for Vehicle Acquisition and Start Up Costs

Application Start Date: N/A

Original Award End Date: 3/31/2021

Current Award End Date: N/A

Application Executive Summary: This application includes \$186,155 in FY2019 Section 5311 Tribal Transit Program discretionary funding for paratransit vehicle acquisition (\$90,100 under D2020-TRTR-035) and transit system operational start up costs (\$96,055 under D2020-TRTR-036).

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$204,771.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$186,155.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$18,616.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$186,155.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$18,616.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$186,155
Local			\$18,616
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$204,771

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Noorvik Native Community