

Application

Federal Award Identification Number (FAIN)	WA-2020-051-00
Temporary Application Number	6801-2020-1
Application Name	CARES Act - FY 2020 Section 5311 TTP Operations, Indirect, and Preventive Maintenance for the Stillaguamish Tribe of Indians of Washington
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	4/1/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Stillaguamish Tribe Of Indians Of Washington

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6801	Indian Tribe	STILLAGUAMISH TRIBE OF INDIANS	034340406

Location Type	Address	City	State	Zip
Headquarters	3322 236TH ST NE	ARLINGTON	WA	982237233
Physical Address	3322 236TH ST NE	ARLINGTON	WA	98223
Mailing Address	P.O. BOX 277	ARLINGTON	WA	98223

Union Information

Union Name	NONE
-------------------	------

Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	--
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: CARES Act - FY 2020 Section 5311 TTP Operations, Indirect, and Preventive Maintenance for the Stillaguamish Tribe of Indians of Washington

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2020-051-00	Final Concurrence / Reservation	Grant	5/4/2020	5/4/2020	No

Application Executive Summary

This grant includes \$72,650 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, indirect costs using a Federally approved indirect cost rate, and preventive maintenance costs including disinfecting vehicles and the purchase of related supplies to prevent, prepare for, and respond to the COVID-19 pandemic.

*** Special Condition ***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved the Stillaguamish Tribe of Indians indirect cost rate on 1/24/2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 24.66% or the most current rate will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tom	Dietz	Transportation Manager	tdietz@stillaguamish.com	(360) 631-5812
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$72,650
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$72,650

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2020-051-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$72,650.00	\$0.00	\$72,650.00	0
WA-2020-051-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$7,265.00	\$0.00	\$7,265.00	0
WA-2020-051-01-00	11.7A.00 PREVENTIVE MAINTENANCE (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$3,000.00	\$0.00	\$3,000.00	0
WA-2020-051-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$62,385.00	\$0.00	\$62,385.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - FY 2020 Section 5311 TTP Operations, Indirect, and Preventive Maintenance for the Stillaguamish Tribe of Indians of Washington

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2020-051-01-00	6801-2020-1-P1	5/4/2020	2/1/2020	1/31/2021

Project Description

This Project includes \$72,650 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, indirect costs using a Federally approved indirect cost rate, and preventive maintenance costs including disinfecting vehicles and the purchase of related supplies to prevent, prepare for, and respond to the COVID-19 pandemic.

Project Benefits

Sustain a safe and healthy transportation option for drivers and the general public

Additional Information

None provided.

Location Description

The demand-response service area includes Smokey Point (core area), Arlington (8 miles), Granite Falls (16 miles), Lake Stevens (15 miles), Marysville (8 miles), Stanwood (13 miles), Mount Vernon (21 miles, north in Skagit County), and Darrington (35 miles). Occasionally trips are made into the Everett area where new regional medical facilities are located.

The Rideshare/vanpool serves employees that who reside in Snohomish, Skagit, Whatcom, Island, and King counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

Congressional District Information

State	District	Representative
Washington	1	Suzane DelBene
Washington	2	Rick Larsen

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 Tribal formula (CARES Act)	5311-7	20509	\$72,650
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$72,650

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2020-051-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$72,650.00	\$0.00	\$72,650.00	0
WA-2020-051-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$7,265.00	\$0.00	\$7,265.00	0
WA-2020-051-01-00	11.7A.00 PREVENTIVE MAINTENANCE (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$3,000.00	\$0.00	\$3,000.00	0
WA-2020-051-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$62,385.00	\$0.00	\$62,385.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

OTHER PROGRAM COSTS (600-00) 11.79.00 PROJECT ADMINISTRATION OTHER CAPITAL ITEMS (BUS) 0

Extended Budget Description

This ALI funds \$7,265 in CARES Act 2020 Section 5311 TTP at 100% Federal for indirect costs using a Federally approved indirect cost rate related to transit expenses for the time period of 2/1/2020 through 1/31/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$7,265
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,265

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	2/1/2020	
End Indirect Costs	1/31/2021	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This ALI funds \$62,385 in CARES Act 2020 Section 5311 TTP at 100% Federal for operating costs including administrative leave and transit service shutdown and startup costs for the time period of 2/1/2020 through 1/31/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$62,385
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$62,385

Milestone Name	Est. Completion Date	Description
Start Operating Costs	2/1/2020	
End Operating Costs	1/31/2021	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE (CARES Act 2020 Section 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This ALI funds \$3,000 in CARES Act 2020 Section 5311 TTP at 100% Federal for preventive maintenance of transit vehicles including labor and supplies to disinfect vehicles for the time period of 2/1/2020 through 1/31/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes. 23 CFR §§ 450.326(e)(5), 450.218(g)(5).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 Tribal formula (CARES Act)	5311-7	20509	\$3,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,000

Milestone Name	Est. Completion Date	Description
Start Preventive Maintenance	2/1/2020	
End Preventive Maintenance	1/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	0	\$7,265.00	\$7,265.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	0	\$62,385.00	\$62,385.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE (CARES Act 2020 Section 5311 TTP 100:00)(00)	0	\$3,000.00	\$3,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kristine Mcguill

Comment Type DOL Review for Information

Date 5/8/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Stillaguamish Tribe Of Indians Of Washington

Recipient ID: 6801

DUNS No: 034340406

Application Information

Federal Award Identification Number: WA-2020-051-00

Application Name: CARES Act - FY 2020 Section 5311 TTP Operations, Indirect, and Preventive Maintenance for the Stillaguamish Tribe of Indians of Washington

Application Start Date: N/A

Original Award End Date: 4/1/2021

Current Award End Date: N/A

Application Executive Summary: This grant includes \$72,650 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, indirect costs using a Federally approved indirect cost rate, and preventive maintenance costs including disinfecting vehicles and the purchase of related supplies to prevent, prepare for, and respond to the COVID-19 pandemic.

***** Special Condition *****

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$72,650.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$72,650.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$72,650.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$72,650
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$72,650

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

Comment By Francis Eugenio

Comment Type Special Conditions

Date 5/4/2020

Comment On February 28, 2020, FTA published its Fiscal Year (FY) 2020 Certifications and Assurances (C&As). Due to the challenges of responding to the COVID-19 outbreak, FTA is permitting this grant award prior to the Recipient's execution of the FY 2020 C&As. The Recipient's executed FY 2019 C&As apply to this grant. By executing this award, the Recipient certifies that its FY 2019 C&As continue to apply until the Recipient executes its FY 2020 C&As, and that it will execute the FY2020 C&As as soon as practicable, but no later than May 31, 2020.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Stillaguamish Tribe Of Indians Of Washington