

Application

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|---|---|
| Federal Award Identification Number (FAIN) | VT-2020-004-00 |
| Temporary Application Number | 1393-2020-6 |
| Application Name | Vermont Care Act 5311 Recovery Operations |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 7/31/2021 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: Transportation, Vermont Agency Of

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|----------------------------------|----------------|
| 1393 | State Agency | VERMONT AGENCY OF TRANSPORTATION | 809376296 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|------------|-------|-------|
| Headquarters | 109 STATE ST STE 5 | MONTPELIER | VT | 05609 |
| Physical Address | 1 NATIONAL LIFE DR | MONTPELIER | VT | 05602 |
| Mailing Address | 219 N. MAIN STREET | BARRE | VT | 05641 |

Union Information

| | |
|-------------------|---|
| Union Name | INTERNATIONAL BROTHERHOOD OF TEAMSTERS |
| Address 1 | 25 LOUISIANA AVE., NW |
| Address 2 | |
| City | WASHINGTON |
| State | District of Columbia |

| | |
|-------------------|---|
| Zipcode | 20001 |
| Contact Name | TOM SEVER |
| Telephone | 8024764159 |
| Fax | |
| E-mail | |
| Website | |
| Union Name | INTERNATIONAL BROTHERHOOD OF TEAMSTERS |
| Address 1 | QUARRY HILL RD |
| Address 2 | PO BOX 424 |
| City | BARRE |
| State | Vermont |
| Zipcode | 05641 |
| Contact Name | RONALD RABIDEAU |
| Telephone | 800-371-17 |
| Fax | 802-476-41 |
| E-mail | |
| Website | |
| Union Name | TEAMSTERS, LOCAL NO. 597 |
| Address 1 | 149 Quarry Hill Road |
| Address 2 | |
| City | Barre |
| State | Vermont |
| Zipcode | 56410 |
| Contact Name | Duane Messier |
| Telephone | 800-371-17 |
| Fax | 802-476-41 |
| E-mail | |
| Website | |

Part 2: Application Information

Title: Vermont Care Act 5311 Recovery Operations

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| VT-2020-004-00 | Final Concurrence / Reservation | Grant | 4/27/2020 | 4/27/2020 | No |

Application Executive Summary

VTrans requests \$5,462,005 million of FFY2020 Section 5311 CARES Act funds allocated directly to Vermont for expenses related to COVID-19. These funds will cover operating expenses which will:

* Allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21.

* Cover 5311 Operations Costs for a portion of FY20 and all of FY21

* Cover COVID-19 Direct Costs for the proposed Coverage Period– staff time, PPE, cleaning supplies, equipment, etc.

Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

VTrans agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|------------------------------|----------------|
| Stephanie | Reilly | Program Coordinator | stephanie.reilly@vermont.gov | (802) 522-7120 |
| Sergio | Coronado | Transportation Program Specialist | sergio.coronado@dot.gov | 617-494-2792 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$5,462,005 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,462,005 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| VT-2020-004-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$5,462,005.00 | \$0.00 | \$5,462,005.00 | 0 |
| VT-2020-004-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$5,462,005.00 | \$0.00 | \$5,462,005.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

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|---|
| Project Title: Cares Act Vermont 5311 Recovery |
|---|

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| VT-2020-004-01-00 | 1393-2020-6-P1 | 5/1/2020 | 1/20/2020 | 7/31/2021 |

Project Description

FFY20 CARE funding will be used by VTrans to fund Operating expenses through 2020 and allow our rural providers to address any expenses related to providing service during the COVID-19 Pandemic.

Strategic Objectives for FTA CARES Act Funding: Overall goals are to fully address the costs associated with the COVID response, maximize Federal funds to reduce match pressures from State and municipal resources. Current reserved/set-aside funds may be needed for FY21 capital, projection vs. actual adjustments, etc. These funds will allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21. More specifically the operating funds will cover:

- 1.) 5311 Operations Costs for a portion of FY20 and all of FY21 o Replaces a portion of FY21 Municipal Revenue costs for local match share – assumes tight local budgets and need for some relief; FY20 costs are already covered. Replaces T Fund match share
- 2.) COVID-19 Direct Operating Costs for the proposed Coverage Period– staff time, PPE, cleaning supplies, equipment, etc.
- 3.) Federal share of 5311 operating costs which will potentially allow for reduced FHWA FLEX Funding

Project Benefits

These funds will go directly to our providers: TVT, AT, SEVT, GMCN, GMT Rural, VPTA, Greyhound, Premier, MVRTD, RCT, VT Translines all of which operate in largely rural areas and are providing the necessary transit to those who do not own a vehicle yet still need to travel to work, grocery stores, or medical appointments. These providers play a pivotal role in moving the community more now than ever.

Additional Information

None provided.

Location Description

State of Vermont

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 500000 | Vermont |

Congressional District Information

| State | District | Representative |
|---------|----------|----------------|
| Vermont | 1 | Peter Welch |

Program Plan Information

STIP/TIP
 Date: N/A
 Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$5,462,005 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,462,005 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| VT-2020-004-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$5,462,005.00 | \$0.00 | \$5,462,005.00 | 0 |
| VT-2020-004-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$5,462,005.00 | \$0.00 | \$5,462,005.00 | 0 |

Project Budget Activity Line Items

| Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share | | | | |
|---|-------------|----------------|----------|----------|
| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |

OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

Extended Budget Description

Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward for demand response, ADA Paratransit, Fixed Route and Shuttle Services. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker. Further information is reference in the attached PoP for a breakdown of providers and amounts.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$5,462,005 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,462,005 |

| Milestone Name | Est. Completion Date | Description |
|-------------------------------------|----------------------|--|
| Start of COVID Emergency Operations | 1/20/2020 | All sub recipients begin incurring expenses related to COVID-19 and official declaration of emergency. |
| End Date | 7/31/2021 | |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Sergio Coronado

Comment Type DOL Review for Information

Date 5/7/2020

The Grantee requests \$5,462,005 in Federal funds FY20 Section 5311 CARE funds. Activities to be funded under this application includes: Facility Repairs and Administrative Capital.

Please advise of the 49 USC Section 5333(b) (formerly §13(c)) terms and conditions for inclusion in the grant agreement. Thank you.

Comment Grantee Contact:
Ross MacDonald
(802) 522-7120
ross.macdonald@vermont.gov

FTA Contact:
Sergio Coronado
617-494-2792
sergio.coronado@dot.gov

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this

Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Vermont Agency Of

Recipient ID: 1393

DUNS No: 809376296

Application Information

Federal Award Identification Number: VT-2020-004-00

Application Name: Vermont Care Act 5311 Recovery Operations

Application Start Date: N/A

Original Award End Date: 7/31/2021

Current Award End Date: N/A

Application Executive Summary: VTTrans requests \$5,462,005 million of FFY2020 Section 5311 CARES Act funds allocated directly to Vermont for expenses related to COVID-19. These funds will cover operating expenses which will:

- * Allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21.
- * Cover 5311 Operations Costs for a portion of FY20 and all of FY21
- * Cover COVID-19 Direct Costs for the proposed Coverage Period– staff time, PPE, cleaning supplies, equipment, etc.

Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be

programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

VTrans agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the RobertT. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$5,462,005.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,462,005.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$5,462,005.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|--------------------|
| 5311 - Rural Area Formula (CARES Act) | 5311-5 | 20509 | \$5,462,005 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,462,005 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Vermont Agency Of