

Application

Federal Award Identification Number (FAIN)	SD-2020-007-00
Temporary Application Number	1160-2020-6
Application Name	FFY20 Section 5311 Project Admin, ICB, Operating, RTAP & State Admin.
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, South Dakota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1160	State Agency	South Dakota Department of Transportation	809588098

Location Type	Address	City	State	Zip
Headquarters	500 E CAPITOL AVE	PIERRE	SD	57501
Physical Address	700 E BROADWAY AVE	PIERRE	SD	57501
Mailing Address	700 E BROADWAY AVE	PIERRE	SD	57501

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY20 Section 5311 Project Admin, ICB, Operating, RTAP & State Admin.

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2020-007-00	Final Concurrence / Reservation	Grant	4/9/2020	4/9/2020	No

Application Executive Summary

SDDOT is coming in for FFY2020 5311 Apportionment of \$7,361,501 (federal). The remaining FFY2020 amount of \$248,316 (federal) will be for Rosebud Sioux Tribe to come in directly to FTA. See the attached letter in application documents. The award will consist of the following breakdown:

\$3,650,171 Operating Assistance for rural providers
 \$121,188 RTAP (training)
 \$2,900,141 Project Administration for rural providers
 \$545,402 Intercity Bus (7% of 15% minimum for Capital, Operating and Project Administration)
 \$144,599 State/Program Administration for SDDOT (2% of maximum allowable 10%)

Total FTA funds in the amount of \$7,361,501

\$7,488,629 (total FFY20 apportionment)
 - \$248,316 5311 allocation to Rosebud Sioux Tribe
 + \$121,188 FFY20 RTAP

\$7,361,501 total federal amount in this grant

Not including state admin, RTAP and Rosebud transferred funds SDDOT will award \$7,488,629 to 5311 eligible subrecipients for projects throughout the state.

For specific details of each selected subrecipient refer to the attached Program of Projects (POP). Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match.

SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without receiving the full allowable 15% of the 5311 funds.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ranae	Tunison	Transportation Program Specialist	ranae.tunison@dot.gov	(303) 362-2397
Lisa	Donner		lisa.donner@state.sd.us	6057734169
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,361,501
Local			\$4,448,457
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,809,958

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-	634-00 INTERCITY BUS TRANSPORTATION	\$545,402.00	\$221,827.00	\$767,229.00	3

007-01-00	(634-A1)						
SD-2020-007-01-00		11.13.08	BUY INTERCITY BUS-EXPANSION	\$208,000.00	\$52,000.00	\$260,000.00	1
SD-2020-007-01-00		11.79.00	PROJECT ADMINISTRATION	\$199,611.00	\$41,407.00	\$241,018.00	1
SD-2020-007-01-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$137,791.00	\$128,420.00	\$266,211.00	1
SD-2020-007-02-00	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$144,599.00	\$0.00	\$144,599.00	1
SD-2020-007-02-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$144,599.00	\$0.00	\$144,599.00	1
SD-2020-007-02-00	620-00 (620-A4)		PROJECT ADMINISTRATION	\$2,900,141.00	\$824,691.00	\$3,724,832.00	15
SD-2020-007-02-00		11.79.00	PROJECT ADMINISTRATION	\$2,900,141.00	\$824,691.00	\$3,724,832.00	15
SD-2020-007-03-00	635-00 (635-A2)		RURAL TRANSIT ASSISTANCE PROGRAM	\$121,188.00	\$0.00	\$121,188.00	1
SD-2020-007-03-00		43.50.01	TRAINING	\$121,188.00	\$0.00	\$121,188.00	1
SD-2020-007-04-00	600-00 (600-A5)		OTHER PROGRAM COSTS	\$3,650,171.00	\$3,401,939.00	\$7,052,110.00	1
SD-2020-007-04-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$3,650,171.00	\$3,401,939.00	\$7,052,110.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Intercity Bus Assistance
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-007-01-00	1160-2020-6-P1	4/9/2020	8/17/2016	3/31/2021

Project Description

SDDOT is coming in for FFY2020 5311 funds in the amount of \$545,402, this is 7% of the minimum amount of 15%. SDDOT has a letter from the Governor certifying all of their Intercity Bus needs have been met. This letter is attached under application documents.

This project will provide administrative and operating funds for 1 ICB provider. This project also includes the purchase of one 35 foot bus for Jefferson lines.

Project Benefits

Funds will assist providers to continue to provide public transportation to the rural communities served.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: STIP pages 350 - 352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$545,402
Local			\$221,827
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$767,229

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-007-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$545,402.00	\$221,827.00	\$767,229.00	3
SD-2020-007-01-00	11.13.08 BUY INTERCITY BUS-EXPANSION	\$208,000.00	\$52,000.00	\$260,000.00	1
SD-2020-007-01-00	11.79.00 PROJECT ADMINISTRATION	\$199,611.00	\$41,407.00	\$241,018.00	1
SD-2020-007-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$137,791.00	\$128,420.00	\$266,211.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.08 - BUY INTERCITY BUS-EXPANSION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.08	BUY INTERCITY BUS-EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

ICB Intercity Jefferson over the road bus that will be ADA accessible. Useful life 12 years or 500,000 miles. This ALI is applying a federal match of 80%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$208,000
Local			\$52,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$260,000

Milestone Name	Est. Completion Date	Description
RFP Out for bid	8/17/2016	
Award Date	10/16/2016	
Vehicle Delivery	12/31/2020	One vehicle under this grant.
Final Delivery	12/31/2020	Only one vehicle in this grant
Contract Completion Date	3/31/2021	Final Draw down

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

Intercity operation such as fuel, driver wages & benefits, maintenance, garage utilities. In-kind match from revenue sources exceeding the amount of 5311 award. The list of local match sources are included in the attached POP for each subrecipient. SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without receiving the full allowable 15% of the 5311 funds. The timeframe to use the operating funds is from 10/1/2019 until 12/31/2020. Federal Match 51.76%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$137,791
Local			\$128,420
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$266,211

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Project operating eligible activities start date.
End Date	12/31/2020	Project operating final draw down.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Project Administration for intercity services, such as salaries, benefits, utilities, marketing, insurance. Local match is generated from revenue sources above and beyond the 5311 funds. The list of local match sources are included in the attached POP for each subrecipient. This ALI will cover administration services starting October 1, 2019 until December 31, 2020. SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without receiving the full allowable 15% of the 5311 funds. 82.82% federal match rate.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$199,611
Local			\$41,407
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$241,018
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Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Intercity eligible activity start date.
End Date	12/31/2020	Intercity final draw down.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	1	\$199,611.00	\$241,018.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

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Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$137,791.00	\$266,211.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.13.08	BUY INTERCITY BUS-EXPANSION	1	\$208,000.00	\$260,000.00

Project Title: Project & State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-007-02-00	1160-2020-6-P2	4/16/2020	10/1/2019	12/31/2020

Project Description

SDDOT is coming in for FFY2020 5311 federal funds in the amount of \$3,044,740 for Project Administration (\$2,900,141) and State Administration (\$144,599).

Project Benefits

Project Administration funds will assist providers to continue to provide public transportation to the rural communities served. State Administration funds for SDDOT will provide ongoing training, technical support and monitoring of the 5311 Program.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information**STIP/TIP**

Date: 9/15/2019

Description: pages 350-352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,044,740
Local			\$824,691
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,869,431

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-007-02-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$144,599.00	\$0.00	\$144,599.00	1
SD-2020-007-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$144,599.00	\$0.00	\$144,599.00	1
SD-2020-007-02-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$2,900,141.00	\$824,691.00	\$3,724,832.00	15
SD-2020-007-02-00	11.79.00 PROJECT ADMINISTRATION	\$2,900,141.00	\$824,691.00	\$3,724,832.00	15

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	15

Extended Budget Description

Project Administration for rural provider such as salaries, benefits, utilities, marketing and training. Local match consists of Medicaid, contracts, state funds, IIIB, city, health care organizations, churches, nursing homes, other. The timeframe to use the project administration funds is from 10/1/2019 until 12/31/2020. The list of the local match sources are included in the attached POP for each subrecipient. This ALI is using SDDOT sliding scale match rate of 82.82% federal.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,900,141
Local			\$824,691
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$3,724,832

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Eligible activity start date.
End Date	12/31/2020	Final draw down.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State administration activities for SDDOT to administer the 5311 program. No local match required. The 10% State Administration that is allowable is \$748,862, this application is requesting 2% for State Administration at \$144,599. This ALI will be from a period of 10/1/2019 until 12/31/2020.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$144,599
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$144,599

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Eligible activity start date.
End Date	12/31/2020	Final draw down.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-007-03-00	1160-2020-6-P3	4/16/2020	10/1/2019	12/31/2020

Project Description

SDDOT is coming in for FFY2020 RTAP funds in the amount of \$121,188 to be used for training, technical assistance, research and related services.

Project Benefits

The RTAP funds will provide ongoing training and technical support.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: pages 350-352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$121,188
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$121,188

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-007-03-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$121,188.00	\$0.00	\$121,188.00	1
SD-2020-007-03-00	43.50.01 TRAINING	\$121,188.00	\$0.00	\$121,188.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

RURAL TRANSIT ASSISTANCE PROGRAM (635-00)

43.50.01 TRAINING

RURAL TRANSIT ASST PROGRAM 1

Extended Budget Description

RTAP funds for training, services, technical assistance. No local match required.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$121,188
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$121,188

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Eligible activity start date.
End Date	12/31/2020	Final draw down date.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-007-04-00	1160-2020-6-P4	4/16/2020	10/1/2019	12/31/2020

Project Description

SDDOT is coming in for FFY2020 5311 federal funds in the amount of \$3,650,171, this will provide operating funds for 16 rural public transit providers.

Project Benefits

Funds will assist providers to continue to provide public transportation to the rural communities served.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: pages 350-352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$3,650,171
Local			\$3,401,939
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,052,110

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-007-04-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$3,650,171.00	\$3,401,939.00	\$7,052,110.00	1
SD-2020-007-04-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$3,650,171.00	\$3,401,939.00	\$7,052,110.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance for rural providers such as fuel, driver wages & benefits, maintenance, garage utilities. Local match consists of Medicaid, contracts, state funds, IIB, city health care organizations, churches, nursing homes, other. The list of local match sources are included in the attached POP for each subrecipient. The timeframe to use the operating funds is from 10/1/2019 until 12/31/2020. The SDDOT sliding scale federal match rate is 51.76%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,650,171
Local			\$3,401,939
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,052,110

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Eligible activity start date.
End Date	12/31/2020	Date of final draw down.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ranae Tunison

Comment Type DOL Review for Information

Date 5/20/2020

Comment Mrs. Lisa Donner
Transportation Specialist
Office of Air, Rail and Transit
South Dakota DOT
700 E. Broadway Avenue
Pierre, SD 57501
Lisa.Donner@state.sd.us

Application Review Comments**Comment By Ranae Tunison**

Comment Type FTA Post Review Comments for Grantee

Date 4/16/2020

Comment Please separate and group together like projects, ICB (3 ALI?s), Project Administration (2 ALI?s), RTAP, Operating (1 ALI).

Comment By Ranae Tunison

Comment Type FTA Post Review Comments for Grantee

Date 5/7/2020

Comment For the ICB Project ALI 11.13.08 in the budget I did the math and it looks like the federal match rate is 80% instead of 82.2%. Go ahead and change the budget to have more federal match at 82.2% instead of 80%. Under the Operating Project please change the scope code to 600 for the ALI, currently the scope code is 300.

Application Review Comments**Comment By Lisa Donner**

Comment Type Application Details

Date 5/7/2020

Comment The ALI was changed from 300 to 600 for the Operating Projects. The project under 11.13.08 is a 80/20 project due to being a capital related project; therefore, no change was made to the grant.

Comment By Morgan Hecht

Comment Type Application Details

Date	5/19/2020
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Requirements to Remember for: FTA-assisted vehicle purchases:

Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website:

<https://www.surveymonkey.com/r/vehicleawardreportsurvey>

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Comment

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, South Dakota Department Of

Recipient ID: 1160

DUNS No: 809588098

Application Information

Federal Award Identification Number: SD-2020-007-00

Application Name: FFY20 Section 5311 Project Admin, ICB, Operating, RTAP & State Admin.

Application Start Date: N/A

Original Award End Date: 6/30/2021

Current Award End Date: N/A

Application Executive Summary: SDDOT is coming in for FFY2020 5311 Apportionment of \$7,361,501 (federal). The remaining FFY2020 amount of \$248,316 (federal) will be for Rosebud Sioux Tribe to come in directly to FTA. See the attached letter in application documents. The award will consist of the following breakdown:

\$3,650,171 Operating Assistance for rural providers
\$121,188 RTAP (training)
\$2,900,141 Project Administration for rural providers
\$545,402 Intercity Bus (7% of 15% minimum for Capital, Operating and Project Administration)
\$144,599 State/Program Administration for SDDOT (2% of maximum allowable 10%)

Total FTA funds in the amount of \$7,361,501

\$7,488,629 (total FFY20 apportionment)
- \$248,316 5311 allocation to Rosebud Sioux Tribe
+ \$121,188 FFY20 RTAP

\$7,361,501 total federal amount in this grant

Not including state admin, RTAP and Rosebud transferred funds SDDOT will award \$7,488,629 to 5311 eligible subrecipients for projects throughout the state.

For specific details of each selected subrecipient refer to the attached Program of Projects (POP). Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match.

SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without receiving the full allowable 15% of the 5311 funds.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$11,809,958.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,361,501.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$4,448,457.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,361,501.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$4,448,457.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,361,501
Local			\$4,448,457
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,809,958

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,

- (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, South Dakota Department Of