

Application

Federal Award Identification Number (FAIN)	OR-2020-030-00
Temporary Application Number	6806-2020-1
Application Name	CARES Act - FY 2020 Section 5311 TTP Operations, and Indirect Costs for The Klamath Tribes
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	5/1/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Klamath Tribes, The

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6806	Indian Tribe	KLAMATH TRIBES	161155288

Location Type	Address	City	State	Zip
Headquarters	501 CHILOQUIN BLVD	CHILOQUIN	OR	976246773
Physical Address	501 CHILOQUIN BLVD	CHILOQUIN	OR	97624
Mailing Address	PO BOX 436	CHILOQUIN	OR	97624

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: CARES Act - FY 2020 Section 5311 TTP Operations, and Indirect Costs for The Klamath Tribes</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2020-030-00	Final Concurrence / Reservation	Grant	5/11/2020	5/11/2020	No

Application Executive Summary

This grant includes \$99,520 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, operating costs, disinfecting supplies and activities, and indirect costs using a Federally approved indirect cost rate to prevent, prepare for, and respond to the COVID-19 pandemic.

***** Special Condition *****

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved The Klamath Tribes indirect cost rate on 8/27/2019 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 31.23% or the most current rate will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michelle	Carson	Transportation Manager	michelle.carson@klamathtribes.com	541-783-2219
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$99,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$99,520

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-030-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$99,520.00	\$0.00	\$99,520.00	0
OR-2020-030-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$9,952.00	\$0.00	\$9,952.00	0

OR-2020-030-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$89,568.00	\$0.00	\$89,568.00	0
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - FY 2020 Section 5311 TTP Operations, and Indirect Cost

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2020-030-01-00	6806-2020-1-P1	5/11/2020	1/20/2020	2/1/2021

Project Description

This grant includes \$99,520 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, operating costs, disinfecting supplies and activities, and indirect costs using a Federally approved indirect cost rate to prevent, prepare for, and respond to the COVID-19 pandemic

Project Benefits

This project will maintain transportation operations and reliable transit service for the members of the The Klamath Tribes and general public from Chiloquin to Klamath Falls, with one route a week running from Chiloquin to Sprague River to Beatty to Bonanza to Klamath Falls.

Additional Information

None provided.

Location Description

Chiloquin, Klamath Falls, Sprague River, Beatty, and Bonanza. All located in the State of Oregon and in Klamath County.

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

Congressional District Information

State	District	Representative
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Oregon	2	Greg Walden
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Program Plan Information

STIP/TIP

Date: Not Provided

Description: CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$99,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$99,520

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2020-030-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$99,520.00	\$0.00	\$99,520.00	0
OR-2020-030-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$9,952.00	\$0.00	\$9,952.00	0

OR-2020-030-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	\$89,568.00	\$0.00	\$89,568.00	0
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This ALI funds \$89,568 in CARES Act 2020 Section 5311 TTP at 100% Federal for operating costs including administrative leave, transit service shutdown and startup costs, and disinfecting supplies and services for the time period of 1/20/2020 through 2/1/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$89,568
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$89,568

Milestone Name	Est. Completion Date	Description
Start Operating	1/20/2020	
End Operating	2/1/2021	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This ALI funds \$9,952 in CARES Act 2020 Section 5311 TTP at 100% Federal for indirect costs using a Federally approved indirect cost rate related to transit expenses for the time period of 1/20/2020 through 2/1/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$9,952
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,952

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	1/20/2020	
End Indirect Costs	2/1/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act 2020 Section 5311 TTP 100:00)(00)	0	\$89,568.00	\$89,568.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - INDIRECT (CARES Act 2020 Section 5311 TTP 100:00)(00)	0	\$9,952.00	\$9,952.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kristine Mcguill

Comment Type DOL Review for Information

Date 5/28/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Klamath Tribes, The

Recipient ID: 6806

DUNS No: 161155288

Application Information

Federal Award Identification Number: OR-2020-030-00

Application Name: CARES Act - FY 2020 Section 5311 TTP Operations, and Indirect Costs for The Klamath Tribes

Application Start Date: N/A

Original Award End Date: 5/1/2021

Current Award End Date: N/A

Application Executive Summary: This grant includes \$99,520 in CARES Act FY 2020 Section 5311 TTP funds, which is the full amount allocated. These funds will be used at 100% federal share for operating costs including administrative leave and shutdown and startup costs, operating costs, disinfecting supplies and activities, and indirect costs using a Federally approved indirect cost rate to prevent, prepare for, and respond to the COVID-19 pandemic.

***** Special Condition *****

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$99,520.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$99,520.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$99,520.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$99,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$99,520

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

Comment By Francis Eugenio

Comment Type Special Conditions

Date 5/22/2020

Comment On February 28, 2020, FTA published its Fiscal Year (FY) 2020 Certifications and Assurances (C&As). Due to the challenges of responding to the COVID-19 outbreak, FTA is permitting this grant award prior to the Recipient's execution of the FY 2020 C&As. The Recipient's executed FY 2019 C&As apply to this grant. By executing this award, the Recipient certifies that its FY 2019 C&As continue to apply until the Recipient executes its FY 2020 C&As, and that it will execute the FY2020 C&As as soon as practicable, but no later than May 31, 2020.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Klamath Tribes, The