

Application

Federal Award Identification Number (FAIN)	NY-2020-026-00
Temporary Application Number	1791-2020-1
Application Name	NYS DOT FY2020 Section 5311 Rural Area CARES Act Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	12/31/2022	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Transportation, New York Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1791	State Agency	NEW YORK STATE DEPARTMENT OF TRANSPORTATION	835422064

Location Type	Address	City	State	Zip
Headquarters	EXECUTIVE CHAMBER STATE CAPITOL	ALBANY	NY	12224
Physical Address	50 WOLF RD STE 5	ALBANY	NY	12205
Mailing Address	50 WOLF RD STE 5	ALBANY	NY	12205

Union Information

Union Name	PUBLIC EMPLOYEE`S FEDERATION
Address 1	P.O. Box 12414

Address 2	
City	Albany
State	New York
Zipcode	12212
Contact Name	Wayne Spence
Telephone	518-785-1900
Fax	518-785-1814
E-mail	WSpence@pef.org
Website	www.pef.org

Part 2: Application Information

Title: NYSDOT FY2020 Section 5311 Rural Area CARES Act Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NY-2020-026-00	Final Concurrence / Reservation	Grant	5/7/2020	5/7/2020	No

Application Executive Summary

This is an FFY 2020 Section 5311 CARES Act application.

This CARES Act Application is for \$48,616,895, of which \$158,202 (out of \$200,000 originally allocated) is Appalachian Development Transportation Assistance Program (ADTAP) funding. Per the CARES Act, the grant requests 100 percent federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Intercity Operating Assistance that exceeds the 15% required assistance, ADTAP funding for Operating assistance to the rural 14 counties in New York State, Mobility Management funding for those sub-recipients who have active rural programs, and the remainder of the funding is provided for operating assistance to the Sub-recipients in rural areas. The attached Program of Projects lists the agencies and allocations for each TrAMS project.

Though NYS was originally apportioned \$71,644,684 (See the published FTA CARES tables), this grant only requests \$48,616,896. NYSDOT requested that the FTA Region 2 Administrator transfer \$4,478,754 to the Small Urban Areas (SUZA) in the State (see attached letter in TrAMS) and transfer \$18,548,035 to the Large Urban Areas that also perform rural service (see attached letter in TrAMS). The transfer to SUZAs goes to FTA direct recipients, including: Broome County (Binghamton), Chemung County (Elmira), Tompkins County (Ithaca) and Ulster County (Kingston), all for rural service provided.

The \$18,549,035 transfer to Large Urban Areas that also perform rural service was requested after FTA granted additional flexibility via the FTA Emergency Relief Docket (FTA-2020-0001-0106). This transfer goes to FTA direct recipients, including: NFTA (Buffalo), RGRTA (Rochester), CNYRTA (Syracuse), Orange, and Dutchess County in UZA 89 and Putnam County in UZA 1 for rural services provided.

NYSDOT fairly and equitably allocated the supplemental CARES Act funding, including to the SUZA and Large Urban Area agencies, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution. ADTAP was distributed based on the standard population-based percentages. The Mobility Management distribution was based on the Annual Federal Award amount.

NYSDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

This grant seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation. No part (0%) of the state's CARES Act allocation will be used for state administration

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
James	Goveia	Community Planner	James.Goveia@dot.gov	(212) 668-2325

Thomas	Vaughan	Deputy Dir., Public Trans Bureau	thomas.vaughan@dot.ny.gov	518-457-7248
Jonathan	Howard	Transportation Program Specialist	j.howard@dot.gov	212-824-2421

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$48,458,693
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$158,202
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$48,616,895

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-026-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$23,262,560.00	\$0.00	\$23,262,560.00	9
NY-2020-026-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,262,560.00	\$0.00	\$23,262,560.00	9
NY-2020-026-02-00	648-00 (648-A2) APPALACHIAN DEV PUB TRANSIT ASSIST	\$158,202.00	\$0.00	\$158,202.00	10
NY-2020-026-02-00	30.09.01 UP TO 100% FEDERAL SHARE	\$158,202.00	\$0.00	\$158,202.00	10
NY-2020-026-03-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$1,958,276.00	\$0.00	\$1,958,276.00	15

NY-2020-026-03-00		11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$1,958,276.00	\$0.00	\$1,958,276.00	15
NY-2020-026-04-00	300-00 (300-A4)		OPERATING ASSISTANCE	\$23,237,857.00	\$0.00	\$23,237,857.00	1
NY-2020-026-04-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,237,857.00	\$0.00	\$23,237,857.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: NYSDOT CARES Rural Intercity Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-026-01-00	1791-2020-1-P1	5/7/2020	1/20/2020	12/31/2022

Project Description

This project uses Section 5311 funds at 100% Federal Share to support the NYS 5311(f) intercity program in the amount of \$23,262,560. This amount exceeds the required 15% of the total grant to support Intercity Services. This project seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation.

Project Benefits

The CARES Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases increase.

Additional Information

None provided.

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not applicable

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$23,262,560
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,262,560

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-026-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$23,262,560.00	\$0.00	\$23,262,560.00	9
NY-2020-026-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,262,560.00	\$0.00	\$23,262,560.00	9

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	9

Extended Budget Description

The following subrecipients, across the state of New York, will receive rural operating assistance in FY 20 to maintain intercity bus transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Intercity Carrier 5311(f) Funding
 ADIRONDACK TRANSIT \$10,322,310.00
 Birnie Bus (Intercity) \$1,053,497.00
 Butler Motor Transit Coach USA - Erie \$869,406.00
 CHENANGO VALLEY BUS \$1,176,521.00
 FIRST TRANSIT INC \$450,018.00
 FULLINGTON Auto Bus Co \$244,124.00
 HUDSON TRANSIT \$3,101,846.00
 Passenger Bus Corp \$2,936,477.00
 Pine Hill-Kingston Bus Corp. \$3,108,361.00
 TOTAL \$23,262,560.00

Descriptions of operating expenses commonly incurred include, but may not be limited to:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave

- Lost Revenue
- COVID-19 related cleaning/maintenance activities
- Personal Protective Equipment

NYSDOT fairly and equitably allocated the supplemental CARES Act funding, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$23,262,560
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,262,560

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible start date for funding
End Date	12/31/2022	Expected end date of project

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	5/7/2020

Project Title: NYSDOT CARES Appalachian Dev Transit Assistance Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-026-02-00	1791-2020-1-P2	5/7/2020	1/20/2020	12/31/2022

Project Description

This project uses Section 5311 funds at 100% Federal Share to support the NYS Appalachian Development Transit Assistance Program (ADTAP) in the amount of \$158,202. The remainder of the full allocation of \$200,000 was transferred to the Small Urbanized areas (see Letter attached in TrAMS). This project seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation.

Project Benefits

The CARES Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases increase.

Additional Information

None provided.

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko

New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: Not Provided

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$158,202
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$158,202

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-026-02-00	648-00 (648-A2) APPALACHIAN DEV PUB TRANSIT ASSIST	\$158,202.00	\$0.00	\$158,202.00	10
NY-2020-026-02-00	30.09.01 UP TO 100% FEDERAL SHARE	\$158,202.00	\$0.00	\$158,202.00	10

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	10

Extended Budget Description

The following subrecipients, represent the rural Counties of the Appalachian Development Transit Assistance Program (ADTAP). These funds will support rural operating assistance in FY 20 to maintain transit services in these rural areas, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

ADTAP SubRecipients 5311 Operating
 CORTLAND County \$13,757.00
 SCHOHARIE County \$8,995.00
 OTSEGO County \$16,931.00
 ALLEGANY County \$13,757.00
 SCHUYLER County \$5,291.00
 STEUBEN County \$26,984.00
 OLEAN \$16,402.00
 CHAUTAUQUA County \$37,037.00
 CHENANGO County \$13,757.00
 SENECA NATION of INDIANS \$5,291.00
 Total \$158,202

Descriptions of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities

NYSDOT fairly and equitably allocated the supplemental CARES Act ADTAP funding based on the standard population-based percentages.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$158,202
Local			\$0

Local/In-Kind				\$0
State				\$0
State/In-Kind				\$0
Other Federal				\$0
Transportation Development Credit				\$0
Adjustment				\$0
Total Eligible Cost				\$158,202

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible start date for Project
End Date	12/31/2022	End of Project

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: NYSDOT CARES Rural Mobility Management Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-026-03-00	1791-2020-1-P3	5/8/2020	1/20/2020	12/31/2020

Project Description

This project uses Section 5311 funds at 100% Federal Share to support the NYS rural Mobility management program in the amount of \$1,958,276. These funds will support the on-going needs to identify transportation options for individuals in rural areas to manage their critical needs during the COVID-19 Pandemic. This project seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation.

Project Benefits

The CARES Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases increase.

Additional Information

N/A

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,958,276
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,958,276

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-026-03-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$1,958,276.00	\$0.00	\$1,958,276.00	15
NY-2020-026-03-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$1,958,276.00	\$0.00	\$1,958,276.00	15

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	15

Extended Budget Description

Extended Budget Description

The following subrecipients, represent the rural Counties that are currently involved in providing mobility management services to their respective areas. These funds will support rural Mobility management assistance in FY 20 to maintain transit services in these rural areas, pay for administrative leave for Mobility Manager personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Mobility Management 5311 MM
 ONEIDA County \$128,464.00
 CORTLAND County \$148,469.00
 SCHOHARIE County \$69,588.00
 ESSEX County \$142,835.00
 ALLEGANY County \$268,089.00
 GREENE County \$119,546.00
 SCHUYLER County \$118,737.00
 ST. LAWRENCE County \$74,732.00
 STEUBEN County \$249,017.00
 Tioga County \$340,978.00
 CLINTON County \$19,776.00
 CHAUTAUQUA County \$61,204.00
 MADISON County \$67,131.00
 Oswego County \$82,509.00
 City of GLOVERSVILLE \$67,201.00
 TOTAL \$1,958,276

Descriptions of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities

NYS DOT fairly and equitably allocated the supplemental CARES Act funding. The distribution for Mobility Management was based on the Annual Federal Award amount.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,958,276
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,958,276

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: NYSDOT CARES Rural 5311 Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-026-04-00	1791-2020-1-P4	5/8/2020	1/20/2020	12/31/2022

Project Description

This project uses Section 5311 funds at 100% Federal Share to support the NYS 5311 standard rural program in the amount of \$23,237,857. These funds will support the current eligible recipients as they meet the needs of their rural constituents and their agencies and operators. This project seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation.

Project Benefits

The CARES Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases increase.

Additional Information

N/A

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$23,237,857
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$23,237,857

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-026-04-00	300-00 (300-A4) OPERATING ASSISTANCE	\$23,237,857.00	\$0.00	\$23,237,857.00	1
NY-2020-026-04-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$23,237,857.00	\$0.00	\$23,237,857.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Extended Budget Description

The subrecipients listed in the attached Program of Projects across the state of New York, will receive rural operating assistance in FY 20 to maintain rural public Transportation services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Descriptions of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave

- Lost Revenue
- COVID-19 related cleaning/maintenance activities

NYSDOT fairly and equitably allocated the supplemental CARES Act funding, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$23,237,857
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,237,857

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By James Goveia

Comment Type DOL Review for Information

Date 5/28/2020

Comment The following grant is submitted for informational purposes only under the CARES Act

Application Review Comments

Comment By James Goveia

Comment Type FTA Post Review Comments for Grantee

Date 5/19/2020

Comment Per conversation with FTA Pre and Post manager please make the required adjustment.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New York Department Of

Recipient ID: 1791

DUNS No: 835422064

Application Information

Federal Award Identification Number: NY-2020-026-00

Application Name: NYSDOT FY2020 Section 5311 Rural Area CARES Act Program

Application Start Date: N/A

Original Award End Date: 12/31/2022

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act application.

This CARES Act Application is for \$48,616,895, of which \$158,202 (out of \$200,000 originally allocated) is Appalachian Development Transportation Assistance Program (ADTAP) funding. Per the CARES Act, the grant requests 100 percent federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Intercity Operating Assistance that exceeds the 15% required assistance, ADTAP funding for Operating assistance to the rural 14 counties in New York State, Mobility Management funding for those sub-recipients who have active rural programs, and the remainder of the funding is provided for operating assistance to the Sub-recipients in rural areas. The attached Program of Projects lists the agencies and allocations for each TrAMS project.

Though NYS was originally apportioned \$71,644,684 (See the published FTA CARES tables), this grant only requests \$48,616,896. NYSDOT requested that the FTA Region 2 Administrator transfer \$4,478,754 to the Small Urban Areas (SUZA) in the State (see attached letter in TrAMS) and transfer \$18,548,035 to the Large Urban Areas that also perform rural service (see attached letter in TrAMS). The transfer to SUZAs goes to FTA direct recipients, including: Broome County (Binghamton), Chemung County (Elmira), Tompkins County (Ithaca) and Ulster County (Kingston), all for rural service provided.

The \$18,549,035 transfer to Large Urban Areas that also perform rural service was requested after FTA granted additional flexibility via the FTA Emergency Relief Docket (FTA-2020-0001-0106). This transfer goes to FTA direct recipients, including: NFTA (Buffalo), RGRTA (Rochester), CNYRTA (Syracuse), Orange, and Dutchess County in UZA 89 and Putnam County in UZA 1 for rural services provided.

NYSDOT fairly and equitably allocated the supplemental CARES Act funding, including to the SUZA and Large Urban Area agencies, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution. ADTAP was distributed based on the standard population-based percentages. The Mobility Management distribution was based on the Annual Federal Award amount.

NYSDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

This grant seeks Pre-award authority back to 1/20/20 as allowed in the CARES legislation. No part (0%) of the state's CARES Act allocation will be used for state administration

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$48,616,895.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$48,616,895.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$48,616,895.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$48,458,693
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$158,202
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$48,616,895

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New York Department Of