

Application

Federal Award Identification Number (FAIN)	NE-2020-006-00
Temporary Application Number	6776-2020-3
Application Name	Winnebago Tribe: FY2020 TTP 5311 Formula Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Winnebago Tribe Of Nebraska

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Winnebago Tribe: FY2020 TTP 5311 Formula Operating Assistance</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-006-00	Final Concurrence / Reservation	Grant	4/21/2020	4/21/2020	No

Application Executive Summary

4/22/2020:

The Winnebago Tribe of Nebraska requests Section 5311 Tribal Transit Program funds: FFY20 \$370,397 to assist with ongoing operational and administrative costs of the Winnebago Tribal Transit Program.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 4/01/2020 to 3/31/2022.

There is no local match requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Winnebago Tribe's fixed carryforward Indirect Cost rate is 11.7%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Rita	Dunn	Grant and Contract Specialist	rita.dunn@winnebagotribe.com	(402) 878-2272 2213

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,397
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,397

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$370,397.00	\$0.00	\$370,397.00	0
NE-2020-006-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$370,397.00	\$0.00	\$370,397.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Winnebago Tribe FY20-FY22 TTP Formula Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-006-01-00	6776-2020-3-P1	4/21/2020	4/1/2020	3/31/2022

Project Description

The Winnebago Tribe requests Section 5311 FY20 Federal funds, in the amount of \$370,397, to assist with the ongoing operation and administration of the Winnebago Tribal Transit Program. The Tribe is claiming Operating funding for the time period of 4/01/2020 to 3/31/2022.

Project Benefits

This project will benefit the 4,000 patrons (Tribal and General Public) of the Winnebago Tribal Transit Program, who are primarily low-income individuals, including the elderly, disabled, young, and unlicensed individuals. The Winnebago Tribal Transit program provides rides to Tribal members and members of the general public, living both on and off the Winnebago Reservation, in Nebraska and Iowa. Our program operates in the transportation of patrons to and from jobs, healthcare facilities, higher educational institutions, grocery stores, and personal activities.

Additional Information

The Winnebago Tribal Transit Program empowers individuals to increase family income by providing access to employment and higher education opportunities. The majority of our program's patrons are from low- to very low-income families. Through our program, Native Americans from the Siouxland area in northeast Nebraska, northwest Iowa, and southeastern South Dakota are able to access healthcare services from the Winnebago 12 Clans Unity Hospital/Winnebago Comprehensive Healthcare Services in Winnebago, Nebraska. Additionally, Native and non-Native residents of the Winnebago Reservation use our program's transportation services to address the challenge of living in a food desert.

Location Description

This project will provide services in Dakota and Thurston Counties in Nebraska, as well as in Woodbury County in Iowa.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Iowa	4	Steve King

Program Plan Information

STIP/TIP

Date: 3/31/2020

Description: As it pertains to the Road Department filed with the Bureau of Indian Affairs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,397
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,397

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$370,397.00	\$0.00	\$370,397.00	0
NE-2020-006-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$370,397.00	\$0.00	\$370,397.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 30.09.01 UP TO 50% FEDERAL SHARE OPERATING ASSISTANCE 0

Extended Budget Description

The Winnebago Tribe requests Section 5311 FY20 Federal funds, in the amount of \$370,397, to assist with the ongoing operation and administration of the Winnebago Tribal Transit Program. The Tribe is claiming Operating funding for the time period of 4/01/2020 to 3/31/2022.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,397
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$370,397

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2020	Current Tribal grant anticipated to be completely drawn at this date.
End Date	3/31/2022	Estimated date for all funds to be drawn.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
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Class IIc CE Approved

5/7/2020

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

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Date Description	Date
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Class IIc CE Approved	
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
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Date	5/8/2020
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Comment	The Winnebago Tribe is applying for their FY 2020 Operating funds amount \$370,397, thanks
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Application Review Comments

Comment By Beth Held

Comment Type	Environmental Findings
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Date	5/7/2020
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Project Title	Winnebago Tribe FY20-FY22 TTP Formula Operating Assistance
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Project Number	NE-2020-006-01-00
Comment	The proposed operating assistance activity to assist with ongoing operational and administrative costs of the Winnebago Tribal Transit Program, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Winnebago Tribe Of Nebraska

Recipient ID: 6776

DUNS No: 041643834

Application Information

Federal Award Identification Number: NE-2020-006-00

Application Name: Winnebago Tribe: FY2020 TTP 5311 Formula Operating Assistance

Application Start Date: N/A

Original Award End Date: 3/31/2023

Current Award End Date: N/A

Application Executive Summary: 4/22/2020:

The Winnebago Tribe of Nebraska requests Section 5311 Tribal Transit Program funds: FFY20 \$370,397 to assist with ongoing operational and administrative costs of the Winnebago Tribal Transit Program.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 4/01/2020 to 3/31/2022.

There is no local match requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$370,397.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$370,397.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$370,397.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$370,397

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$370,397

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and

- (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Winnebago Tribe Of Nebraska