

Application

Federal Award Identification Number (FAIN)	ND-2020-004-00
Temporary Application Number	1153-2020-1
Application Name	NDDOT Section 5311 CARES Act Funds for Operating, Administration, PM & ICB
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, North Dakota Dept Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1153	State Agency	North Dakota Department of Transportation	802742742

Location Type	Address	City	State	Zip
Headquarters	600 E BOULEVARD AVE	BISMARCK	ND	58505
Physical Address	608 E BOULEVARD AVE	BISMARCK	ND	58505
Mailing Address	608 E BOULEVARD AVE	BISMARCK	ND	58505

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: NDDOT Section 5311 CARES Act Funds for Operating, Administration, PM & ICB

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2020-004-00	Final Concurrence / Reservation	Grant	4/6/2020	4/6/2020	No

Application Executive Summary

NDDOT is requesting the full apportionment of FY2020 CARES Act funding in the amount of \$17,996,449. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. The grant scope of work includes Operating, Project Administration, Preventive Maintenance and ICB projects.

\$10,621,449 Operating
 \$4,450,532 Project Administration
 \$225,000 Preventive Maintenance
 \$2,699,468 Intercity Bus Service (15%)

Total FFY2020 5311 Rural CARES Act Federal funds \$17,996,449

This grant is requesting \$2,699,468 million for Intercity Bus funds which meets the 15% requirement for this request.

A break out of these funds is included in the Program of Projects uploaded in the application documents.

Per the CARES Act, the projects in this grant are not required to be programmed in the Statewide Transportation Improvement Program.

The NDDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?
 No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?
 This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review
 No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt
 No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ranae	Tunison	Transportation Program Specialist	ranae.tunison@dot.gov	(303) 362-2397
Becky	Hanson	Transit Program Manager	bhanson@nd.gov	701-328-2542
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$17,996,449
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,996,449

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-01-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$10,621,449.00	\$0.00	\$10,621,449.00	1

ND-2020-004-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$10,621,449.00	\$0.00	\$10,621,449.00	1
ND-2020-004-02-00	600-00 (600-A5)		OTHER PROGRAM COSTS	\$4,450,532.00	\$0.00	\$4,450,532.00	1
ND-2020-004-02-00		11.79.00	PROJECT ADMINISTRATION	\$4,450,532.00	\$0.00	\$4,450,532.00	1
ND-2020-004-03-00	600-00 (600-A6)		OTHER PROGRAM COSTS	\$225,000.00	\$0.00	\$225,000.00	1
ND-2020-004-03-00		11.7A.00	PREVENTIVE MAINTENANCE	\$225,000.00	\$0.00	\$225,000.00	1
ND-2020-004-04-00	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$1,550,000.00	\$0.00	\$1,550,000.00	1
ND-2020-004-04-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,550,000.00	\$0.00	\$1,550,000.00	1
ND-2020-004-05-00	634-00 (634-A2)		INTERCITY BUS TRANSPORTATION	\$949,468.00	\$0.00	\$949,468.00	1
ND-2020-004-05-00		11.79.00	PROJECT ADMINISTRATION	\$949,468.00	\$0.00	\$949,468.00	1
ND-2020-004-06-00	634-00 (634-A1)		INTERCITY BUS TRANSPORTATION	\$200,000.00	\$0.00	\$200,000.00	1
ND-2020-004-06-00		11.7A.00	PREVENTIVE MAINTENANCE FOR VEHICLES	\$200,000.00	\$0.00	\$200,000.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-01-00	1153-2020-1-P1	4/6/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting CARES Act 5311 funds to provide operating assistance to ND rural transit agencies. Operating funds will cover expenses for existing service incurred beginning January 20, 2020 until September 30, 2025, including driver salaries, vehicle maintenance, fuel, training, cleaning and office supplies. The federal share of these funds will be 100%.

Project Benefits

Funding for operations is essential for transit agencies in rural communities and the COVID-19 emergency has been especially hard in these areas. Many agencies have had to cut staff and hours due to decreased demands and will these funds to get back to running as normal in the coming months.

These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments. ND rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

Additional Information

None provided.

Location Description

Statewide, ND

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information**STIP/TIP**

Date: 11/5/2019

Description: This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is need. Attached are the Transit pages from the 2019-2022 STIP. The 2019-2022 STIP was approved by FTA on November 2, 2019.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,621,449
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,621,449

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-01-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$10,621,449.00	\$0.00	\$10,621,449.00	1
ND-2020-004-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$10,621,449.00	\$0.00	\$10,621,449.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Funding to provide operation assistance to rural transit agencies who provide transportation in their communities and coordinate with neighboring transit agencies to provide transportation in their communities. All of our rural transit agencies have been effected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back

to normal operations. These funds will cover expenses for existing service incurred beginning 1/20/2020 until 9/30/2025.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,621,449
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,621,449

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	NDDOT will use these funds to reimburse for current contracts and for future contracts. Once applications have been approved.
End Date	9/30/2025	NDDOT will close contracts and projects once all invoicing is completed. I am anticipating the date of completion, at this time is unsure what costs may increase.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Project Administration - Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-02-00	1153-2020-1-P2	4/17/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting FFY2020 5311 CARES Act funds in the amount of \$4,450,532 for Project Administration for rural transit agencies to provide transportation services in ND. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing.

The federal share of these funds will be 100%.

Project Benefits

Our agencies have been doing a very good job of keeping their agencies going during these emergency times. The CARES Act Project Administration funds will allow them to provide transportation services in their communities and get back to normal operations when it is safe to do that.

Additional Information

None provided.

Location Description

Statewide in ND

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: 11/5/2019

Description: This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement

Plan (TIP) documentation is need. Attached are the Transit pages from the 2019-2022 STIP approved on November 6, 2019.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,450,532
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,450,532

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-02-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$4,450,532.00	\$0.00	\$4,450,532.00	1
ND-2020-004-02-00	11.79.00 PROJECT ADMINISTRATION	\$4,450,532.00	\$0.00	\$4,450,532.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Requesting CARES Act funds for Project Administration for rural transit agencies to provide transportation services in ND. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. All of our rural transit agencies have been effected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to providing their regular services. The Federal Share is 100%. These funds will cover expenses incurred beginning 1/20/2020 until 9/30/2025.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,450,532
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,450,532

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	These funds will be used dating back to January 20, 2020. NDDOT will reimburse the remaining amounts of the FY2020 contracts and use these funds for future contracts.
End Date	9/30/2025	NDDOT will close this project and all associated contracts when all invoicing is completed. This is an approximations of when the funding will be expended and updates will be made on future milestones.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Preventive Maintenance - Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-03-00	1153-2020-1-P3	4/17/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting FFY2020 5311 CARES Act Preventive Maintenance funds in the amount of \$225,000 for rural transit agencies to fund small capital/maintenance expenses needed for them to provide transportation services in their communities. The federal share of these funds will be 100%.

Project Benefits

Our agencies have been doing a great job of keeping their services going during these emergency times. These preventive maintenance funds will allow them to do repairs and make other small capital purchases to help their agencies get back to normal operations.

Additional Information

None provided.

Location Description

Statewide ND.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: 11/5/2019

Description: This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is need. Attached are the Transit page of the 2019-2022 STIP. The NDDOT received signed FTA approval on November 6, 2019.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$225,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$225,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-03-00	600-00 (600-A6) OTHER PROGRAM COSTS	\$225,000.00	\$0.00	\$225,000.00	1
ND-2020-004-03-00	11.7A.00 PREVENTIVE MAINTENANCE	\$225,000.00	\$0.00	\$225,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

OTHER PROGRAM COSTS (600-00)

11.7A.00

PREVENTIVE MAINTENANCE

OTHER CAPITAL ITEMS (BUS)

1

Extended Budget Description

Funding for rural transit agencies to use on small capital purchases (under \$5,000) for preventive maintenance and repairs to rolling stock, equipment and facilities. All of our rural transit agencies have been effected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to normal operations. Costs associated with cleaning and sanitizing the vehicles as well as maintenance on the lifts in order to maintain the fleet is operational. These funds will cover expenses incurred beginning 1/20/2020 until 9/30/2025.

A detailed list of purchases will be updated in the Program of Projects as transit agencies apply for these items. The useful life will also be updated for items in the Program of Projects.

The Federal Share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$225,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$225,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	These expenses will be reimbursed dating back to January 20, 2020. NDDOT will reimburse for existing contracts and use these funds on future contracts after a approval application process.
End Date	9/30/2025	NDDOT will close the contracts and this project once all invoicing is completed. This is an approximation of when the funding will be expended and will be updated in future milestones. Updates will also be made to the program of projects as needed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Project Title: Intercity Bus - Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-04-00	1153-2020-1-P4	4/17/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting FFY2020 5311 CARES Act funds in the amount of \$1,550,000 to provide Operating Assistance to ND intercity bus operators. Operating funds will cover expenses for existing service incurred beginning January 20, 2020 until September 30, 2025, including driver salaries, vehicle maintenance, fuel, training, cleaning and office supplies.

The Federal Share is 100%. ND will meet the 15% Intercity requirement.

Project Benefits

The intercity bus program is essential in providing transportation throughout ND. All of our intercity bus operators have been affected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to normal operations.

Additional Information

None provided.

Location Description

Statewide in ND.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: 11/5/2019

Description: Transit page of the 2019-2022 STIP are attached. The STIP was approved on November 6, 2019. This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is need.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,550,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,550,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-04-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,550,000.00	\$0.00	\$1,550,000.00	1

ND-2020-004-04-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,550,000.00	\$0.00	\$1,550,000.00	1
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Requesting CARES Act funds to provide operating assistance to ND intercity bus operators. Operating funds will cover expenses for existing service incurred beginning January 20, 2020 until September 30, 2025, including driver salaries, vehicle maintenance, fuel, training, cleaning and office supplies. The Federal Share is 100%. ND will meet the 15% Intercity requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,550,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,550,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	These funds will be used dating back to January 20, 2020. NDDOT will reimburse the remaining amounts of their FY2020 contracts and use these funds for future contracts.
End Date	9/30/2025	NDDOT will close this project and associated contracts when all invoicing is completed. This is an

approximation of when the funding will be expended and updates will be made to future milestones.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Intercity Bus - Project Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-05-00	1153-2020-1-P5	4/17/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting FFY2020 5311 CARES Act funds in the amount of \$949,468 for Project Administration for intercity bus operators to provide transportation services in ND. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing.

The Federal Share is 100%. ND will meet the 15% Intercity requirement.

Project Benefits

The intercity bus program is essential in providing transportation throughout ND. All of our intercity bus operators have been affected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to normal operations in ND.

Additional Information

None provided.

Location Description

Statewide, ND.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: 11/5/2019

Description: This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed. Attached is the 2019-2022 Transit STIP pages. The STIP was approved by FTA on November 6, 2019.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$949,468
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$949,468

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-05-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$949,468.00	\$0.00	\$949,468.00	1
ND-2020-004-05-00	11.79.00 PROJECT ADMINISTRATION	\$949,468.00	\$0.00	\$949,468.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Requesting CARES Act funds for Project Administration for intercity bus operators to provide transportation services in ND. These funds will be used to cover general administrative expense such as salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. These funds will cover expenses incurred beginning 1/20/2020 until 9/30/2025.

The Federal Share is 100%. ND will meet the 15% Intercity requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$949,468
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$949,468

Milestone Name	Est. Completion Date	Description
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Start Date	1/20/2020	These funds will be used dating back to January 20, 2020. NDDOT will reimburse the remaining amounts of their FY2020 contracts and use these funds for future contracts.
End Date	9/30/2025	NDDOT will close this project and associated contracts when all invoicing is completed. This is an approximation of when the funding will be expended and updates will be made in future milestones.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Intercity Bus - Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-004-06-00	1153-2020-1-P6	4/17/2020	1/20/2020	9/30/2025

Project Description

NDDOT is requesting FFY2020 5311 CARES Act funds for Preventive Maintenance for intercity bus operators to provide transportation services in ND from January 20, 2020 until September 30, 2025. Expense include cost of minor repairs (under \$5,000) and other miscellaneous capital.

The Federal Share is 100%. ND will meet the 15% Intercity requirement.

Project Benefits

The intercity bus program is essential in providing transportation throughout ND. All of our intercity bus operators have been affected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to normal operations

Additional Information

None provided.

Location Description
Statewide ND.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: 11/5/2019

Description: This project includes only operations, preventive maintenance, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per the CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed. Attached is the 2019-2022 Transit Pages of the STIP. This STIP was approved by FTA on November 6, 2019.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-004-06-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$200,000.00	\$0.00	\$200,000.00	1
ND-2020-004-06-00	11.7A.00 PREVENTIVE MAINTENANCE FOR VEHICLES	\$200,000.00	\$0.00	\$200,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE FOR VEHICLES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

These funds are for intercity bus operators to provide transportation services in ND from January 20, 2020 until September 30, 2025. Expense include cost of minor repairs (under \$5,000) and other miscellaneous capital.

The NDDOT will update this project as items are requested and a useful life will be included for those items over \$5,000. The Program of Projects will be updated for these items as the intercity bus operators request them to reflect the actual equipment. The useful life for each purchase will be included at that time as required.

COVID- 19 costs associated with cleaning and sanitizing the vehicles as well as maintenance on the lifts in order to maintain the fleet is operational.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$200,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	These funds will be used dating back to January 20, 2020. NDDOT will reimburse the remaining amounts of their FY2020 contracts and use these funds for future contracts.
End Date	9/30/2025	NDDOT will close this project and associated contracts when all invoicing is completed. This is an approximation of when the funding will be expended and will be updated in future milestones.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ranae Tunison

Comment Type DOL Review for Information

Date 5/11/2020

Comment Becky Hanson
NDDOT
Transit Program Manager
701-328-2542

Application Review Comments

Comment By Ranae Tunison

Comment Type FTA Post Review Comments for Grantee

Date 5/11/2020

Comment - For the Operating scope it is currently 300, please change to 600. The ALI will remain the same 30.09.08.
- For the PM scope it is currently 117, please change to 600. The ALIs will remain the same.
- For the Project Administration scope it is currently 117, please change to 600. The ALI will remain the same.
- For the milestone start date for all ALI's should the start date be 1/20/20?

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, North Dakota Dept Of

Recipient ID: 1153

DUNS No: 802742742

Application Information

Federal Award Identification Number: ND-2020-004-00

Application Name: NDDOT Section 5311 CARES Act Funds for Operating, Administration, PM & ICB

Application Start Date: N/A

Original Award End Date: 12/31/2025

Current Award End Date: N/A

Application Executive Summary: NDDOT is requesting the full apportionment of FY2020 CARES Act funding in the amount of \$17,996,449. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. The grant scope of work includes Operating, Project Administration, Preventive Maintenance and ICB projects.

\$10,621,449 Operating
\$4,450,532 Project Administration
\$225,000 Preventive Maintenance
\$2,699,468 Intercity Bus Service (15%)

Total FFY2020 5311 Rural CARES Act Federal funds \$17,996,449

This grant is requesting \$2,699,468 million for Intercity Bus funds which meets the 15% requirement for this request.

A break out of these funds is included in the Program of Projects uploaded in the application documents.

Per the CARES Act, the projects in this grant are not required to be programmed in the Statewide Transportation Improvement Program.

The NDDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and

reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$17,996,449.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$17,996,449.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$17,996,449.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$17,996,449
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,996,449

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, North Dakota Dept Of