

## Application

<b>Federal Award Identification Number (FAIN)</b>	MT-2020-012-00
<b>Temporary Application Number</b>	6902-2020-1
<b>Application Name</b>	FY 18/19 5311 Rural Formula Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/30/2020
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Chippewa Cree Tribe Of The Rocky Boy Reservation, The**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6902	Indian Tribe	CHIPPEWA CREE TRIBE	059756981

Location Type	Address	City	State	Zip
Headquarters	96 CLINIC RD N	BOX ELDER	MT	595218849
Physical Address	96 CLINIC RD N	BOX ELDER	MT	59521
Mailing Address	96 CLINIC RD N	BOX ELDER	MT	59521

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY 18/19 5311 Rural Formula Operating**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MT-2020-012-00	Final Concurrence / Reservation	Grant	2/20/2020	2/20/2020	No

**Application Executive Summary**

FY 18 and FY 19 5311 Rural Formula funds from the State of Montana rural apportionment, will be used to cover operating expenses from October 1, 2017 to September 30, 2020. Montana DOT has set aside funds for Chippewa Cree Tribe from section 5311 rural apportionment (Letters attached).

\$60,000 (full amount) FY18 5311 Formula  
+ \$60,000 (full amount) FY19 5311 Formula

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= \$120,000 Total requested.

Unlike Sec. 5311 Tribal Transit Program funds, these 5311 Rural funds must be matched. The funding source will include a match ratio of FTA 54.11/local 45.89, in accordance with the approved Sliding Scale match rate, Table 2, in Circular 9040.1G.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect cost is 28.14%

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394
Jacintha	Four Souls	Transit Supervisor	jacinthas1@gmail.com	(406) 395-5025

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,000
Local			\$101,770
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$221,770</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MT-2020-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$120,000.00	\$101,770.00	\$221,770.00	1
MT-2020-012-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$120,000.00	\$101,770.00	\$221,770.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: FY 2018/2019 OPERATING</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MT-2020-012-01-00	6902-2020-1-P1	2/20/2020	10/1/2017	9/30/2020

**Project Description**

The Fy 18 and FY 19 5311 Rural Formula Funds totaling \$120,000 will be used to cover operating expenses consistent with Circular 9040.1 including fuel, salaries, and licenses. The Local match total \$101,770.00.

**Project Benefits**

The Chippewa Cree Tribal Members will benefit daily from the reliable transportation provided by the transit program . Our community will be greatly impacted by these services, as we are very rural, the transportation/funding will help maintain and expand services to our community members, for employment, schools and improve service to all riders.

**Additional Information**

The 5311 Rural Formula Operating funds will run from fiscal year October 2017 to September 2020, with a match ratio FTA-54.11/local-45.89 for operating.

**Location Description**

Rocky Boy is located in North Central Montana, we will provide services within a 130 mile radius of our community.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
300000	Montana

**Congressional District Information**

State	District	Representative
Montana	1	Greg Gianforte

**Program Plan Information**

**STIP/TIP**  
Date: N/A  
Description: N/A

**UPWP**  
Date: N/A  
Description: N/A

**Long Range Plan**  
Date: N/A  
Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,000
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MT-2020-012-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$120,000.00	\$101,770.00	\$221,770.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

FY 18 and FY19 5311 Formula funds through state DOT will be used for operating assistance for October 1, 2017 to September 30, 2020. Operating expenses include fuel, driver/dispatcher salaries and fringe benefits, and licenses consistent with Circular 9040.1 allowable costs at a match of 54.11%/45.89%.

\$60,000 FY18 5311 Formula (full apportionment)+

\$60,000 FY 19 5311 Formula (full apportionment)

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\$120,000 total requested.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,000
Local			\$101,770
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$221,770</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	Start date of project
End Date	9/30/2020	End date of project

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/21/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Eboni Younger-Riehl

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Comment Type DOL Review for Information

Date 5/28/2020

Comment FY 18 and FY 19 5311 Rural Formula funds from the State of Montana rural apportionment, will be used to cover operating expenses from October 1, 2017 to September 30, 2020. Montana DOT has set aside funds for Chippewa Cree Tribe from section 5311 rural apportionment .

### Application Review Comments

**Comment By** Eboni Younger-Riehl

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Comment Type Application Details

Date 5/21/2020

Comment The funding in this application will be used for operating assistance only. It does not require a technical review or a civil rights review.

**Comment By** Eboni Younger-Riehl

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Comment Type Application Details

Date 5/21/2020

Comment Funds requested in application consistent with fund balance sheet for FY 19 and POP in MT-2018-011-01. Fund balance sheet needs to be updated to reflect FY18 5311 Formula \$60,000 transfer to Chippewa Cree.

**Comment By** Eboni Younger-Riehl

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Comment Type Application Details

Date 5/28/2020

Comment All ad hoc review comments have been addressed.

### Application Review Comments

**Comment By** Eboni Younger-Riehl

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Comment Type Application Details

Date	5/27/2020
Comment	Added language to ES as suggested by Operations Director for purposes of clarity.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: Chippewa Cree Tribe Of The Rocky Boy Reservation, The

Recipient ID: 6902

DUNS No: 059756981

#### Application Information

Federal Award Identification Number: MT-2020-012-00

Application Name: FY 18/19 5311 Rural Formula Operating



Application Start Date: N/A

Original Award End Date: 12/30/2020

Current Award End Date: N/A

Application Executive Summary: FY 18 and FY 19 5311 Rural Formula funds from the State of Montana rural apportionment, will be used to cover operating expenses from October 1, 2017 to September 30, 2020. Montana DOT has set aside funds for Chippewa Cree Tribe from section 5311 rural apportionment (Letters attached).

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$221,770.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$120,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$101,770.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$120,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$101,770.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$120,000
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State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$221,770</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Chippewa Cree Tribe Of The Rocky Boy Reservation, The*