

Application

Federal Award Identification Number (FAIN)	MN-2020-020-00
Temporary Application Number	2039-2020-1
Application Name	Section 5311 Capital Funded with Surface Transportation Block Grant Program (STBGP) Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Minnesota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551800
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 Capital Funded with Surface Transportation Block Grant Program (STBGP) Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2020-020-00	Final Concurrence / Reservation	Grant	3/31/2020	3/31/2020	No

Application Executive Summary

This grant to the Minnesota Department of Transportation is for Federal Fiscal Year 2020 Surface Transportation Block Grant Program (STBGP) funds flexed from the Federal Highway Administration in the amount of \$4,278,400 with \$1,069,600 in match for replacement and expansion vehicles during the period of September 1, 2020 through December 31, 2023. Funds will be administered under Section 5311 and used to purchase 48 less than 30 Ft. replacement buses and 10 less than 30 Ft. expansion buses for rural operators.

State Match will be provided by the State of Minnesota utilizing Greater Minnesota Transit Funds. Local Match will be provided by the local tax levies (City and County) and contributions from not-for-profit agencies.

In executing this grant, the MnDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, MnDOT agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	312-705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$4,278,400
Local			\$623,100
Local/In-Kind			\$0
State			\$446,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,348,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-020-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$4,278,400.00	\$1,069,600.00	\$5,348,000.00	58
MN-2020-020-01-00	BUY CY 2020 11.12.04 STBGP FUNDED	\$3,572,000.00	\$893,000.00	\$4,465,000.00	48

MN-2020-020-01-00	11.13.04	REPLACEMENT Less Than 30 FT BUS (SECTION 5311) BUY LESS THAN 30 FT. BUS FOR EXPANSION	\$706,400.00	\$176,600.00	\$883,000.00	10
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2020 Surface Transportation Block Grant Program Funded - Section 5311 Vehicles (CY 2020)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-020-01-00	2039-2020-1-P1	3/31/2020	4/30/2020	12/31/2023

Project Description

This project will utilize \$4,278,400 of Federal Fiscal Year 2020 Surface Transportation Block Grant Program (STBGP) Funds for the purchase of 58 Body-On-Chassis Replacement and Expansion Vehicles for Rural Public Transportation, as follows:

\$3,572,000 of Federal Fiscal Year 2020 STBPG funds for the purchase of 48 Body-On-Chassis Replacement Vehicles and; \$706,400 for the purchase of ten (10) Body-On-Chassis Expansion vehicles for Rural Public Transit Service in Greater Minnesota.

State Match will be provided by the State of Minnesota utilizing Greater Minnesota Transit Funds. Local Match will be provided by the local entity that receives the funds.

Project Benefits

These projects will maintain the safety and reliability of public transit services provided rural areas of Greater Minnesota by replacing vehicles that have met their useful life and allowing expansion of public transportation in areas where there is additional demand.

Additional Information

The 2020-2023 Minnesota Department of Transportation STIP Approval Letter, dated November 27, 2019, applicable STIP Project Pages, and STIP Amendment Approval Letter have been attached to Program Plan Information.

Location Description

Replacement and expansion buses will be awarded to transit systems that serve rural areas in and around the cities of Bemidji, Brainerd, Crookston, Detroit Lakes, Fairmount, Fergus Fall, Glencoe, Lowry, Marshall, Montevideo, New Ulm, Owatonna, Plainview, Rushford, St. Cloud, St. James, Virginia, Wadena, Willmar, and Worthington, MN.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: 3/31/2020

Description: 2020 Minnesota STIP Approval Letter and STIP Amendment Page

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$4,278,400
Local			\$623,100
Local/In-Kind			\$0
State			\$446,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,348,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-020-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$4,278,400.00	\$1,069,600.00	\$5,348,000.00	58
MN-2020-020-01-00	11.12.04 BUY CY 2020 STBGP FUNDED REPLACEMENT Less Than 30 FT BUS (SECTION 5311)	\$3,572,000.00	\$893,000.00	\$4,465,000.00	48
MN-2020-020-01-00	11.13.04 BUY LESS THAN 30 FT. BUS FOR EXPANSION	\$706,400.00	\$176,600.00	\$883,000.00	10

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY LESS THAN 30 FT. BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	10

Extended Budget Description

This ALI will use \$706,400 of FFY 2020 Surface Transportation Block Grant Program (STBGP) funds for the purchase of the following expansion vehicles to be used in rural public transit:

Arrowhead Economic Opportunity Agency, Inc. (1 Class 400 Bus);
 Central Community Transit Joint Powers Board (1 Class 400 Bus);
 Faribault-Martin Counties Joint Powers Board (1 Class 200 Bus);
 Prairie Five CAC, Inc. (2 Class 400 Buses);
 Semcac(2 Class 400 Buses);
 Semcac (1Class 400 Bus);
 Tri-County Action Program Inc. (1 Class 400 Bus);
 United Community Action Partnership, Inc. (1 Class 400 Bus)
 STIP Sequence TRS-9100-20T 20.00.30; approved 02/28/20

Local Match will be paid by the transit agency that receives the funding for the bus.

The new Class 400 buses will have a useful life of 5 years and 150,000 miles. The new Class 200 Vehicle will have a useful life of 4 years/100,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Various Sized Body-on Chassis Vehicles. Most less than 30 Ft.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$706,400
Local			\$176,600
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$883,000

Milestone Name	Est. Completion Date	Description
RFP Issue Date	4/30/2020	Minnesota Department of Administration release of RFP documents for Statewide Bus Procurement.
Contract Award Date	9/1/2020	Award of Contracts to Bus Vendors Selected from the RFP.
Initial Delivery Date	2/1/2021	First CY 2020 STP funded Rural Expansion less than 30 Ft. body-on-chassis vehicle delivered to sub-recipient.
Final Delivery Date	6/30/2022	All STP funded less than 30 ft. body-on-chassis rural Expansion vehicles delivered
Contract Completion Date	12/31/2023	All CY 2020 STP Rural Expansion vehicle sub-recipient agreements completed and audited.

Budget Activity Line Item: 11.12.04 - BUY CY 2020 STBGP FUNDED REPLACEMENT Less Than 30 FT BUS (SECTION 5311)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	48

Extended Budget Description

This ALI will use \$3,572,000 of FFY 2020 STBPG funds for the purchase of 48 Body-On-Chassis Replacement Vehicles that have met their useful life for the following public transit agencies:

Arrowhead Economic Opportunity Agency, Inc. (2 Class 400 Buses);
 Becker County Transit (1 Class 400 Bus);
 Brown County (1 Class 400 Bus);
 Cedar Valley Services, Inc. (6 Class 400 Buses);
 Central Community Transit Joint Powers Board (3 Class 400 Buses);

City of Brainerd (1 Class 400 Bus);
 Faribault-Martin Counties Joint Powers Board (2 Class 400 Buses);
 Paul Bunyan Transit (1 Class 400 Bus);
 Prairie Five CAC, Inc. (2 Class 400 Buses);
 Productive Alternatives, Inc. (2 Class 400 Buses);
 Productive Alternatives, Inc. (1 Class 500 Bus);
 Rainbow Rider Transit Board (6 Class 400 Buses);
 Southwestern Minnesota Opportunity Council, Inc. (1 Class 400 Bus);
 Three Rivers Community Action, Inc. (4 Class 400 Buses);
 Trailblazer Joint Powers Board (1 Class 400 Bus);
 Tri-County Action Program Inc. (3 Class 400 Buses);
 Tri-Valley Opportunity Council, Inc. (6 Class 400 Buses);
 United Community Action Partnership, Inc. (1 Class 300 Bus);
 United Community Action Partnership, Inc. (2 Class 400 Buses);
 Wadena County Highway Department (1 Class 400 Bus);
 Watonwan County (1 Class 400 Bus)

STIP Sequence TRS-9100-20T 20.00.30; approved 02/28/20

Local Matching funds will be provided by the Local Entity that will be receiving the funds. The useful life of the old and the new Class 300 bus is 4 years, 100,000 miles. The useful life of both the old and new Class 400 buses is 5 years/150,000 miles. The useful life of both the old and new Class 500 bus is 7 years/200,000 miles. All vehicles that are being replaced have met useful life thresholds.

A schedule of replaced vehicles is attached in Application Documents.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Various sizes of Body-on-Chassis Vehicles. Most vehicles Less than 30 Ft.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$3,572,000
Local			\$446,500
Local/In-Kind			\$0
State			\$446,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,465,000

Milestone Name	Est. Completion Date	Description
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RFP Issue Date	4/30/2020	Minnesota Department of Administration release of RFP documents for Statewide Bus Procurement.
Contract Award Date	9/1/2020	Award of Contracts to Bus Vendors Selected from the RFP.
Initial Delivery Date	2/1/2021	First CY 2020 STP funded Rural replacement less than 30 Ft. body-on-chassis vehicle delivered to sub-recipient.
Final Delivery Date	6/30/2022	All STP funded less than 30 ft. body-on-chassis rural replacement vehicles delivered
Contracts Complete	12/31/2023	All CY 2020 STP Rural replacement vehicle sub-recipient agreements completed and audited.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **William Wheeler**

Comment Type DOL Review for Information

Date 4/30/2020

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Minnesota Department Of

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2020-020-00

Application Name: Section 5311 Capital Funded with Surface Transportation Block Grant Program (STBGP) Funds

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This grant to the Minnesota Department of Transportation is for Federal Fiscal Year 2020 Surface Transportation Block Grant Program (STBGP) funds flexed from the Federal Highway Administration in the amount of \$4,278,400 with \$1,069,600 in match for replacement and expansion vehicles during the period of September 1, 2020 through December 31, 2023. Funds will be administered under Section 5311 and used to purchase 48 less than 30 Ft. replacement buses and 10 less than 30 Ft. expansion buses for rural operators.

State Match will be provided by the State of Minnesota utilizing Greater Minnesota Transit Funds. Local Match will be provided by the local tax levies (City and County) and contributions from not-for-profit agencies.

In executing this grant, the MnDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, MnDOT agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$5,348,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$4,278,400.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$1,069,600.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$4,278,400.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,069,600.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$4,278,400
Local			\$623,100
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,348,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Minnesota Department Of