DOT

# FTA

#### U.S. Department of Transportation

#### Federal Transit Administration

# **Application**

Federal Award Identification Number (FAIN)	MA-2020-021-00
Temporary Application Number	1349-2020-2
Application Name	MassDOT Section 5311 FFY20 CARES Act- Operating, Intercity and Admin MA-18-X061
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/29/2023
Current Period of Performance End Date	N/A Revision #: N/A

# **Part 1: Recipient Information**

Name: Transportation, Massachusetts Executive Office Of				
Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS	
1349	State Agency	MASSACHUSETTS DEPARTMENT OF TRANSPORTATION	824848659	

Location Type	Address	City	State	Zip
Headquarters	24 BEACON ST RM 360	BOSTON	MA	02133
Physical Address	10 PARK PLAZA STE 3170	BOSTON	MA	02116
Mailing Address	10 PARK PLAZA, SUITE 5562	BOSTON	MA	02116

## **Union Information**

Union Name	AMALGAMATED TRANSIT UNION LOCAL 690
Address 1	163 Prichard Street

Address 2	
City	Fitchburg
State	Massachusetts
Zipcode	01420
Contact Name	Michael Prest
Telephone	9787294550
Fax	
E-mail	
Website	
Union Name	UNITED ELECTRICAL, RADIO, AND MACHINE WORKERS OF AMERICA LOCAL 274
Address 1	80 School Street
Address 2	
City	Greenfield
State	Massachusetts
Zipcode	01301
Contact Name	Paul Ryan
Telephone	4137739519
Fax	
E-mail	
Website	

# **Part 2: Application Information**

Title: MassDOT Section 5311 FFY20 CARES Act- Operating, Intercity and Admin MA-18-X061

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MA-2020- 021-00	Final Concurrence / Reservation	Grant	4/23/2020	4/23/2020	No

#### **Application Executive Summary**

The Massachusetts Department of Transportation (MassDOT) requests \$12,619,719 of FFY2020 Section 5311 statewide eligible operating expenses incurred since January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Projects included in this grant are:

• Operating Assistance to (3) Rural Transit Authorities (FRTA, VTA, NRTA) and (1) partially rural transit authority (BRTA).

• 15% of funding to be used for Intercity Bus Operating Assistance.

• 10% of the funding to be used for State Administration and technical assistance.

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

MassDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

MassDOT is current with all Civil Rights submissions. The FY20 Certifications and Assurances were executed in TrAMS on 4/15/2020. All administrative and statutory requirements have been met in accordance with the §5311 Formula Grants for Rural Areas Program, as articulated in FTA Circular 9040.1G.

#### Frequency of Milestone Progress Reports (MPR) Annual

Frequency of Federal Financial Reports (FFR)

Annual

**Does this application include funds for research and/or development activities?** This award does not include research and development activities.

#### **Pre-Award Authority**

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

#### Indirect Rate Details: N/A

**Requires E.O. 12372 Review** No, this application does not require E.O. 12372 Review.

#### **Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Bartlett	Transportation Program Specialist	ryan.bartlett@dot.gov	617-494- 3940
Lauren	Richmond	Business Management Specialist	lauren.richmond@state.ma.us	857-368- 8962

# Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,619,719
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,619,719

## Application Budget

Project Number		Budget Item	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
MA-2020- 021-01-00	300- 00 (300- A1)	OPERATING ASSISTANCE	\$9,464,791.00	\$0.00	\$9,464,791.00	1
MA-2020- 021-01-00		30.09.08 BMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,464,791.00	\$0.00	\$9,464,791.00	1
MA-2020- 021-01-00	610- 00 (610- A2)	STATE / PROGRAMS ADMINISTRATION	\$1,261,971.00	\$0.00	\$1,261,971.00	1
MA-2020- 021-01-00		STATE OR 11.80.00 PROGRAM ADMINISTRATION	\$1,261,971.00	\$0.00	\$1,261,971.00	1
MA-2020- 021-01-00	634- 00 (634- A3)	INTERCITY BUS TRANSPORTATION	\$1,892,957.00	\$0.00	\$1,892,957.00	1

MA-2020- 021-01-00         EMER RELIEF - OPERATING           30.09.08         ASSIST - 100%         \$1,892,957.00         \$0.00         \$1,892,957.00           Fed Share-Intercity Bus MassDOT         Bus MassDOT         \$1,892,957.00         \$1,892,957.00	1
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## **Discretionary Allocations**

This application does not contain discretionary allocations.

## Part 3: Project Information

# Project Title: FFY'20 CARES Act - Operating, Intercity Bus and Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MA-2020-021-01-00	1349-2020-2-P1	4/23/2020	1/20/2020	9/29/2023

#### **Project Description**

The Massachusetts Department of Transportation (MassDOT) requests \$12,617,719 of FFY2020 Section 5311 CARES Act funds for Operating Assistance, Intercity Bus and state administration. The selected projects will utilize funding as follows:

OPERATING ASSISTANCE:

MassDOT requests \$9,464,791 FFY'20 statewide apportionment for Operating Assistance to four (4) regional transit authorities operating in totally or partially rural areas of the Commonwealth as follows:

Franklin Regional Transit Authority (FRTA), Martha`s Vineyard Transit Authority (VTA), Nantucket Regional Transit Authority (NRTA) and Berkshire Regional Transit Authority (BRTA) - partially rural.

INTERCITY BUS PROJECTS (\$1,892,957 / 15%) of the statewide apportionment):

The grant also provides operating assistance to support Intercity Bus Service in the Commonwealth of Massachusetts. Funds of \$1,892,957 (100%) will be used to subsidize operating costs for Intercity Bus routes. The Intercity Bus operators and routes will be selected from a formula based on percent of miles being operated since 1/20/2020. MassDOT is reviewing the data from the operators and after the application has been approved, will create a budget revision to move the funds to the appropriate Intercity Bus ALI code for each sub-recipient.

#### ADMINISTRATION:

MassDOT hereby requests ten (10%) percent of the FFY'20 CARES Act apportionment (\$1,261,971) to be used for State administration and technical assistance.

In accordance with the CARES Act, the grant requests 100% federal share.

#### **Project Benefits**

This project provides operating assistance to three non-urbanized RTAs for operations throughout the entire service area and Berkshire Regional Transit Authority, a partially non-urbanized RTA. Intercity bus projects will be used to subsidize operating costs for rural routes.

#### Additional Information

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

#### **Location Description**

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

### **Project Location (Urbanized Areas)**

UZA Code	Area Name
250000	Massachusetts

### **Congressional District Information**

State	District	Representative
Massachusetts	1	Richard E Neal
Massachusetts	3	Niki Tsongas
Massachusetts	4	Joseph P Kennedy
Massachusetts	5	Katherine M Clark
Massachusetts	6	Seth Moulton
Massachusetts	7	Michael E Capuano
Massachusetts	8	Stephen F Lynch
Massachusetts	9	William R Keating

### **Program Plan Information**

#### **STIP/TIP**

Date: Not Provided Description: In accordance with the CARES Act, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP** Date: Not Provided Description: N/A

Long Range Plan Date: Not Provided Description: N/A

# **Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,619,719
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,619,719

# Project Budget

Project Number		Bude	get Item	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
MA-2020- 021-01-00	300- 00 (300- A1)	OPERATI	ING ASSISTANCE	\$9,464,791.00	\$0.00	\$9,464,791.00	1
MA-2020- 021-01-00		201000	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,464,791.00	\$0.00	\$9,464,791.00	1
MA-2020- 021-01-00	610- 00 (610- A2)	STATE / F ADMINIS	PROGRAMS TRATION	\$1,261,971.00	\$0.00	\$1,261,971.00	1
MA-2020- 021-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,261,971.00	\$0.00	\$1,261,971.00	1
MA-2020- 021-01-00	634- 00 (634- A3)	INTERCI TRANSPO	TY BUS ORTATION	\$1,892,957.00	\$0.00	\$1,892,957.00	1
MA-2020- 021-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share-Intercity Bus MassDOT	\$1,892,957.00	\$0.00	\$1,892,957.00	1

## **Project Budget Activity Line Items**

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION					
Scope Name / Code Line Line Item Name Activity Quan					
STATE / PROGRAMS ADMINISTRATION (610- 00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1	

#### **Extended Budget Description**

MassDOT hereby requests ten (10%) percent of the total FFY'20 CARES Act statewide allocation (\$1,261,971) for State Administration. These funds will be used to support staff salaries, planning activities, and technical assistance. Currently, there are no plans for a federal procurement to hire 3rd party contractors. However, in the future, 3rd Party Technical Assistance may be needed.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,261,971
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,261,971

Milestone Name	Est. Completion Date	Description
Start Date	5/18/2020	Anticipated start date for Admin spending is 5/18/20.
End Date	9/29/2023	Anticipate Admin to be spent down in three years.

#### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share-Intercity Bus MassDOT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Fifteen (15%) percent of the FFY20 CARES Act statewide apportionment (\$1,892,957) will be used for Intercity bus service in the Commonwealth of Massachusetts. Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward. Operating expenses include costs

necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker. The Intercity Bus operators and routes will be selected from a formula based on percent of miles being operated since 1/20/2020. MassDOT is reviewing the data from the operators and after the application has been approved, will create a budget revision to move the funds to the appropriate Intercity Bus ALI code for each sub-recipient.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,892,957
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,892,957

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	9/30/2020	

#### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating assistance for Berkshire Regional Transit Authority (BRTA), Franklin Regional Transit Authority (FRTA), Martha's Vineyard Transit Authority (VTA) and Nantucket Regional Transit Authority (NRTA) in the amount of \$9,464,79. Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward for demand response, ADA Paratransit, Fixed Route and Shuttle Services. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$9,464,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,464,791

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	9/29/2022	

## **Project Environmental Findings**

#### Finding: Class II(c) - Categorical Exclusions (C-List)

#### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## **Part 4: Fleet Details**

No fleet data exists for this application.

## **Part 5: FTA Review Comments**

## FTA Comments for DOL

Comment by	Nyan Danieu
Comment Type	DOL Review for Information
Date	5/8/2020
	The Massachusetts Department of Transportation (MassDOT) requests \$12,619,719 of FFY2020 Section 5311 CARES Act funds.
Comment	<ul> <li>Projects included in this grant are:</li> <li>Operating Assistance to (3) Rural Transit Authorities (FRTA, VTA, NRTA) and (1) partially rural transit authority (BRTA).</li> <li>Intercity Bus Operating Assistance.</li> <li>State Administration and technical assistance.</li> </ul>

## Part 6: Agreement

Comment By Ryan Bartlett

#### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement: (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019,

http://www.transit.dot.gov,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

#### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Massachusetts Executive Office Of

Recipient ID: 1349

DUNS No: 824848659

#### **Application Information**

Federal Award Identification Number: MA-2020-021-00

<u>Application Name:</u> MassDOT Section 5311 FFY20 CARES Act- Operating, Intercity and Admin MA-18-X061

Application Start Date: N/A

Original Award End Date: 9/29/2023

Current Award End Date: N/A

<u>Application Executive Summary:</u> The Massachusetts Department of Transportation (MassDOT) requests \$12,619,719 of FFY2020 Section 5311 statewide eligible operating expenses incurred since January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Projects included in this grant are:

• Operating Assistance to (3) Rural Transit Authorities (FRTA, VTA, NRTA) and (1) partially rural transit authority (BRTA).

• 15% of funding to be used for Intercity Bus Operating Assistance.

• 10% of the funding to be used for State Administration and technical assistance.

MassDOT is charged with managing all modes of transportation within the Commonwealth of Massachusetts. MassDOT has oversight responsibility for the Registry of Motor Vehicles Division, the Aeronautics Division, the Highway Division, and the Rail & Transit Division. MassDOT Transit provides fiscal guidance and oversight over all fifteen (15) Regional Transit Authorities (RTAs), providing local bus transit across the Commonwealth.

MassDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

MassDOT is current with all Civil Rights submissions. The FY20 Certifications and Assurances were executed in TrAMS on 4/15/2020. All administrative and statutory requirements have been met in accordance with the §5311 Formula Grants for Rural Areas Program, as articulated in FTA Circular 9040.1G.

<u>Research and Development:</u> This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

<u>Suballocation Funds</u>: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$12,619,719.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$12,619,719.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,619,719.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,619,719
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,619,719

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

# U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

#### **Special Conditions**

There are no special conditions.

#### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

#### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION U.S. DEPARTMENT OF TRANSPORTATION Contact Info: Award Date:

#### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Massachusetts Executive Office Of