

Application

Federal Award Identification Number (FAIN)	CT-2020-008-00
Temporary Application Number	1334-2020-5
Application Name	CTDOT Section 5311 CARES ACT Operating, Preventative Maintenance, Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Connecticut Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1334	State Agency	CONNECTICUT DEPARTMENT OF TRANSPORTATION	807854583

Location Type	Address	City	State	Zip
Headquarters	210 CAPITOL AVE STE 202	HARTFORD	CT	06106
Physical Address	2800 BERLIN TURNPIKE	NEWINGTON	CT	06111
Mailing Address	2800 BERLIN TPKE	NEWINGTON	CT	06111

Union Information

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 281
Address 1	139 Orange Street

Address 2	
City	New Haven
State	Connecticut
Zipcode	06510
Contact Name	Philip Madonna
Telephone	2035622252
Fax	
E-mail	
Website	

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 425
Address 1	222 BURNSIDE AVE APT. 2A
Address 2	
City	EAST HARTFORD
State	Connecticut
Zipcode	06108
Contact Name	Alvin Douglas
Telephone	8602829933
Fax	8602829933
E-mail	
Website	

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 443
Address 1	P.O. BOX 15385
Address 2	PARK SQUARE STATION
City	STAMFORD
State	Connecticut
Zipcode	06901
Contact Name	Thomas Oliver
Telephone	2034060181
Fax	00000
E-mail	
Website	

Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
Address 1	24 Tina Lane
Address 2	
City	Hopewell Junction
State	New York
Zipcode	12533
Contact Name	William Mills
Telephone	9142711512

Fax	9142711963
E-mail	gcbm504@aol.com
Website	

Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
Address 1	15 Turtle Court
Address 2	
City	Bethany
State	Connecticut
Zipcode	06524
Contact Name	James Kelly
Telephone	2039774137
Fax	
E-mail	
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION 1 CONDUCTORS/YARDSME
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Anthony Bottalico
Telephone	2125995856
Fax	2125992029
E-mail	ajb1956@gmail.com
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 113 RAIL TRAFFI
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	James Fahey
Telephone	2125995856
Fax	2125992029
E-mail	
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 166 SIGNALMEN
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Thomas Ryder
Telephone	2125995856
Fax	2125992029
E-mail	thomas.ryder@snet.net
Website	
Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 37 POWER DIRECTO
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Joseph Savio
Telephone	2123402100
Fax	
E-mail	cricketjr1@aol.com
Website	
Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 9
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Michael Doyle
Telephone	2125995856
Fax	2125992029
E-mail	mfdoyle@aol.com
Website	
Union Name	HOTEL & RESTAURANT EMPLOYEES INTERNATIONAL DINING CAR EMPLOYEES UNION
Address 1	1004 Ravens Worth Court
Address 2	

City	Accokeek
State	Maryland
Zipcode	20607
Contact Name	Dwayne Bateman
Telephone	3012924219
Fax	3012924731
E-mail	
Website	

Union Name	INTERNATIONAL ASSOCIATION OF MACHINISTS & AEROSPACE WORKERS
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Address 1	2031 Gerritsen Avenue
Address 2	
City	Brooklyn
State	New York
Zipcode	12229
Contact Name	Norman Brown
Telephone	7183828523
Fax	7183828523
E-mail	
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM
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Address 1	P.O. Box 459
Address 2	
City	Soddy Daisy
State	Tennessee
Zipcode	37379
Contact Name	Alan Scheer
Telephone	4233320111
Fax	4233320112
E-mail	
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM
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Address 1	
Address 2	
City	
State	New York
Zipcode	
Contact Name	Gary Caliendo

Telephone	9142711512
Fax	
E-mail	
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS
Address 1	RR #2 Box 561
Address 2	
City	Hollidaysburg
State	Pennsylvania
Zipcode	16648
Contact Name	Michael Giansante
Telephone	8146961924
Fax	8146961524
E-mail	ibewsc7hbg@aol.com
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS (SUPERVISORS)
Address 1	112 High Street
Address 2	
City	Yonkers
State	New York
Zipcode	10703
Contact Name	Thomas Williams
Telephone	9149695675
Fax	
E-mail	tswfore@optonline.net
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	22-43 Jackson Avenue
Address 2	
City	Long Island City
State	New York
Zipcode	11101
Contact Name	Glenn Conklin
Telephone	7183891900
Fax	7183892171
E-mail	
Website	None

Union Name	NATIONAL CONFERENCE OF FIREMEN & OILERS
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Address 1	4201 Church Road
Address 2	Suite 7
City	Mt. Laurel
State	New Jersey
Zipcode	08054
Contact Name	Dean DeVita
Telephone	8567784411
Fax	8567786169
E-mail	
Website	

Union Name	SHEETMETAL WORKERS INTERNATIONAL ASSOCIATION
Address 1	22 North Street
Address 2	
City	Albany
State	New York
Zipcode	12205
Contact Name	Charles Fraley
Telephone	5184822070
Fax	5164590211
E-mail	cfraley@smwia.org
Website	

Union Name	TRANSPORT WORKERS UNION OF AMERICA
Address 1	570 Taxter Road
Address 2	
City	Elmsford
State	New York
Zipcode	10523
Contact Name	Peter McMahon
Telephone	9145920221
Fax	9145920097
E-mail	hfe2001@aol.com ; Jrf624@aol.com
Website	

Union Name	TRANSPORTATION COMMUNICATIONS INTERNATIONAL UNION
Address 1	309 A Street
Address 2	
City	Wilmington
State	Delaware
Zipcode	19801
Contact Name	Russell Oathout

Telephone	3024980959
Fax	3024980969
E-mail	tcussb86@aol.com
Website	

Part 2: Application Information

Title: CTDOT Section 5311 CARES ACT Operating, Preventative Maintenance, Capital

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CT-2020-008-00	Final Concurrence / Reservation	Grant	5/12/2020	5/12/2020	No

Application Executive Summary

The Connecticut Department of Transportation (CTDOT) is requesting \$10,140,499 of FFY 2020 Section 5311 CARES ACT funds.

This application utilizes Section 5311 CARES Act funding to prevent, prepare for, and respond to coronavirus. This application will support the operational, preventative maintenance, and capital expenses due to the coronavirus for five (5) Rural Transit Districts in the State of Connecticut which include Estuary Transit District (ETD), Middletown Area Transit District (MAT), Northeastern CT Transit District (NECTTD), Northwestern CT Transit District (NWCTTD) and Windham Region Transit District (WRTD). In addition, this application requests funds for the rural regional planning agencies, Northeastern CT COG and the Northwestern Hills COG, Intercity Bus Transportation as well as funds for state administration.

The application scope of work includes:

- 1) Estuary TD – Operations/Preventative Maintenance \$171,849 (100% federal)
- 2) Middletown Area TD – Operations/Preventative Maintenance \$305,263 (100% federal)
- 3) Northeastern CT TD – Operations/Preventative Maintenance \$1,232,526 (100% federal)
- 4) Northwestern CT TD – Operations/Preventative Maintenance \$3,061,473 (100% federal)
- 5) Windham Region TD – Operations/Preventative Maintenance \$3,391,188 (100% federal)
- 6) ETD, MAT, NECTTD, NWCTTD & WRTD – Capital Support Equip - \$50,000 ea. (100% federal)
- 7) Intercity Bus Transportation - \$1,521,075 (100% federal)
- 8) Rural Planning Agencies (NECOG & NWHills COG) - \$5,000 ea. (100% federal)
- 9) State Administration - \$197,125 (100% federal)

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is (are) not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

CTDOT pinned their FY2020 certs in TrAMS. DUNS#: 807854583.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A***Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Sergio	Coronado	Transportation Program Specialist	sergio.coronado@dot.gov	617-494-2792
Kelsey	Bertrand	Fiscal Administrative Officer	kelsey.bertrand@ct.gov	860-594-2812

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,140,499
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,140,499

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2020-008-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$250,000.00	\$0.00	\$250,000.00	0
CT-2020-008-01-00	11.42.20 Purchase Misc. Support Equipment	\$250,000.00	\$0.00	\$250,000.00	0
CT-2020-008-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$8,162,299.00	\$0.00	\$8,162,299.00	0
CT-2020-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST/Preventative Maintenance - 100% Fed Share	\$8,162,299.00	\$0.00	\$8,162,299.00	0
CT-2020-008-01-00	442-00 (442-A5) METROPOLITAN PLANNING	\$10,000.00	\$0.00	\$10,000.00	0
CT-2020-008-01-00	44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$10,000.00	\$0.00	\$10,000.00	0
CT-2020-008-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$197,125.00	\$0.00	\$197,125.00	0
CT-2020-008-01-00	11.80.00 STATE ADMINISTRATION	\$197,125.00	\$0.00	\$197,125.00	0
CT-2020-008-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,521,075.00	\$0.00	\$1,521,075.00	0
CT-2020-008-01-00	30.09.08 EMER RELIEF - ICB OPERATING ASSIST - 100% Fed Share	\$1,521,075.00	\$0.00	\$1,521,075.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 CARES ACT Project

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CT-2020-008-01-00	1334-2020-5-P1	5/12/2020	1/20/2020	6/30/2024

Project Description

The Funding requested will support multiple projects:

- 1) Estuary TD – Operations/Preventative Maintenance \$171,849 (100% federal)
- 2) Middletown Area TD – Operations/Preventative Maintenance \$305,263 (100% federal)
- 3) Northeastern CT TD – Operations/Preventative Maintenance \$1,232,526 (100% federal)
- 4) Northwestern CT TD – Operations/Preventative Maintenance \$3,061,473 (100% federal)
- 5) Windham Region TD – Operations/Preventative Maintenance \$3,391,188 (100% federal)
- 6) ETD, MAT, NECTTD, NWCTTD & WRTD – Capital Support Equip - \$50,000 ea. (100% federal)
- 7) Intercity Bus Transportation - \$1,521,075 (100% federal)
- 8) Rural Planning Agencies (NECOG & NWHills COG) - \$5,000 ea. (100% federal)
- 9) State Administration - \$197,125 (100% federal)

Total Project Cost \$10,140,499 (100% federally funded)

This application will support the operational, preventative maintenance, and capital expenses due to the coronavirus for five (5) Rural Transit Districts in the State of Connecticut which include Estuary Transit District (ETD), Middletown Area Transit District (MAT), Northeastern CT Transit District (NECTTD), Northwestern CT Transit District (NWCTTD) and Windham Region Transit District (WRTD). In addition, this application requests funds for the rural regional planning agencies, Northeastern CT COG and the Northwestern Hills COG, Intercity Bus Transportation as well as funds for state administration.

Project Benefits

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents to prevent, prepare for, and respond to coronavirus.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Without these funds those services would cease to exist.

Additional Information

Third Party Contractor:

All Transit Districts directly operate services except for the NWCTTD's Deviated Flex Route which is provided by a third party, North-East Transportation Company.

Location Description

This grant will support services in the following service areas:

Estuary Transit District

Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, Westbrook.

Middletown Transit District

East Hampton, Portland and Middletown

Northeastern CT Transit District

Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, Union.

Northwestern CT Transit District

Barkhamsted, Canaan / North Canaan, Colebrook, Cornwall, Falls Village, Goshen, Harwinton, Kent, Litchfield,

Morris, New Hartford, Norfolk, Salisbury, Sharon, Torrington, Warren, Winsted.
 Windham Region Transit District
 Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham.

Project Location (Urbanized Areas)

UZA Code	Area Name
090000	Connecticut

Congressional District Information

State	District	Representative
Connecticut	1	John B Larson
Connecticut	2	Joe Courtney
Connecticut	3	Rosa L DeLauro
Connecticut	4	James A Himes
Connecticut	5	Jahana Hayes

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,140,499
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$10,140,499

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2020-008-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$250,000.00	\$0.00	\$250,000.00	0
CT-2020-008-01-00	11.42.20 Purchase Misc. Support Equipment	\$250,000.00	\$0.00	\$250,000.00	0
CT-2020-008-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$8,162,299.00	\$0.00	\$8,162,299.00	0
CT-2020-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST/Preventative Maintenance - 100% Fed Share	\$8,162,299.00	\$0.00	\$8,162,299.00	0
CT-2020-008-01-00	442-00 (442-A5) METROPOLITAN PLANNING	\$10,000.00	\$0.00	\$10,000.00	0
CT-2020-008-01-00	44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$10,000.00	\$0.00	\$10,000.00	0
CT-2020-008-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$197,125.00	\$0.00	\$197,125.00	0
CT-2020-008-01-00	11.80.00 STATE ADMINISTRATION	\$197,125.00	\$0.00	\$197,125.00	0
CT-2020-008-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,521,075.00	\$0.00	\$1,521,075.00	0
CT-2020-	30.09.08 EMER RELIEF - ICB OPERATING ASSIST - 100% Fed Share	\$1,521,075.00	\$0.00	\$1,521,075.00	0

008-01-00

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

This line item requests \$10,000 of Section 5311 CARES Act funds for the Northeastern Connecticut Council of Governments (NECCOG) and the Northwest Hills Council of Governments (NHCOG). The funds under this ALI will be used for transportation planning activities in the NECCOG and NHCOG rural areas. The activities include, but not limited to rural transit planning and management, technical assistance and coordination with member towns, general public and other transit matters.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,000

Milestone Name	Est. Completion Date	Description
Begin Activities	1/20/2020	Begin utilizing Section 5311 CARES Act funding for operating activities.
Complete Activities	6/30/2024	Completion of Activities.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - ICB OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0
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Extended Budget Description

The grant also provides operating assistance to support Intercity Bus Service in the State of Connecticut. Funds in the amount of \$1,521,075 (15% of the apportionment) at 100% federal share will be used to subsidize operating costs for Intercity Bus routes. The Intercity Bus operators and routes will be evaluated and selected by the Department based on qualifying expenses submitted by the Intercity Bus providers. The Department will determine qualifying expenses based on the percent of miles operated in the state of Connecticut since 1/20/2020 or if the provider submits actual quantifiable and eligible operating expenses dating back to 1/20/2020, the Department will evaluate and consider such data. The Department will review the data provided and submitted from the operators and determine a fair and equitable distribution of the Intercity Bus set aside. After the application(s) from the providers have been approved by the Department, funds will be appropriately allocated to the Intercity Bus Operators and an updated POP will be uploaded to TrAMS.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,521,075
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,521,075

Milestone Name	Est. Completion Date	Description
Begin Activities	1/20/2020	Begin utilizing Section 5311 CARES Act funding for ICB Operations.
Complete Activities	6/30/2024	Completion of Activities

Budget Activity Line Item: 11.80.00 - STATE ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

This line item requests \$197,125 of Section 5311 CARES Act funds for state administration and to provide technical support as related to the Rural program. No more than 10% of apportionment will be used on state/program administration as demonstrated in attachment CT-2020-XXX Section 5311 POP. Funds are used for the salaries and overhead of CTDOT's personnel whose duties include administration, planning, and oversight of the Section 5311 program. There is no plan to use State Administration funds to hire any third party contractors.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$197,125
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$197,125

Milestone Name	Est. Completion Date	Description
Begin Activities	1/20/2020	Begin utilizing Section 5311 CARES Act funding for state administration.
Complete Activities	6/30/2024	Completion of Activities

Budget Activity Line Item: 11.42.20 - Purchase Misc. Support Equipment

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

This line item is requesting \$250,000 of Section 5311 CARES Act funds for the purchase of necessary equipment and supplies to continue to support public transportation services and operate as safely as possible during the COVID-19 health crisis. Some examples of capital support equipment funded include but are not limited to:
 Facility and rolling stock modifications necessary to respond to the pandemic
 Purchase and installation of driver barriers
 Atomizer sprayers and
 Other necessary support equipment

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$250,000
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$250,000

Milestone Name	Est. Completion Date	Description
Begin Activities	1/20/2020	Begin utilizing Section 5311 CARES Act funding for misc. support equipment.
Complete Activities	6/30/2024	Completion of Activities

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST/Preventative Maintenance - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This line item is requesting \$8,162,299 of Section 5311 CARES Act funds, for all operating activities (net fare revenues) incurred as of January 20, 2020 forward.

Operating Expenses

Operating expenses include costs necessary to operate, maintain, oversight/manage a public transportation system, driver salaries, fuel, personal protective equipment, cleaning supplies, additional cleaning activities of rolling stock and facilities, and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

Preventative Maintenance: Preventive maintenance is considered an operating activity and includes activities for vehicles and facilities includes all activities, supplies, materials, labor, services, and associated costs required to preserve or extend the functionality and serviceability of the asset in a cost-effective manner, up to and including the current state of the art for maintaining such asset.

This application will fund preventative maintenance activities for vehicles and facilities including but not limited to labor, services and associated costs required to preserve or extend the functionality and serviceability of the assets in a cost-effective manner, up to and including the current state of the art for maintaining such assets. Some examples of activities funded include but are not limited to:

Increase in daily cleaning of rolling stock and facilities

Hand sanitizers and dispenser

Public service announcements and signage related to the prevention of spreading COVID 19

Increase in cleaning of bus shelters

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,162,299
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit Adjustment			\$0
Total Eligible Cost			\$8,162,299

Milestone Name	Est. Completion Date	Description
Begin Activities	1/20/2020	Begin utilizing Section 5311 CARES Act funding for operating activities.
Complete Activities	6/30/2024	Completion of Activities

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST/Preventative Maintenance - 100% Fed Share	0	\$8,162,299.00	\$8,162,299.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore

categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	Purchase Misc. Support Equipment	0	\$250,000.00	\$250,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.80.00	STATE ADMINISTRATION	0	\$197,125.00	\$197,125.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - ICB OPERATING ASSIST - 100% Fed Share	0	\$1,521,075.00	\$1,521,075.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	0	\$10,000.00	\$10,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Sergio Coronado

Comment Type	DOL Review for Information
Date	5/13/2020
	<p>The Grantee requests \$10,140,499 of FFY 2020 Section 5311 CARES ACT funds. Activities to be funded under this application includes: Operations and Preventive Maintenance.</p> <p>Please advise of the 49 USC Section 5333(b) (formerly §13(c)) terms and conditions for inclusion in the grant agreement. Thank you.</p>
Comment	<p>Grantee Contact: Maureen Kent 860-594-2908 maureen.kent@ct.gov</p> <p>FTA Contact: Sergio Coronado 617-494-2792 sergio.coronado@dot.gov</p>

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Connecticut Department Of

Recipient ID: 1334

DUNS No: 807854583

Application Information

Federal Award Identification Number: CT-2020-008-00

Application Name: CTDOT Section 5311 CARES ACT Operating, Preventative Maintenance, Capital

Application Start Date: N/A

Original Award End Date: 6/30/2024

Current Award End Date: N/A

Application Executive Summary: The Connecticut Department of Transportation (CTDOT) is requesting \$10,140,499 of FFY 2020 Section 5311 CARES ACT funds.

This application utilizes Section 5311 CARES Act funding to prevent, prepare for, and respond to coronavirus. This application will support the operational, preventative maintenance, and capital expenses due to the coronavirus for five (5) Rural Transit Districts in the State of Connecticut which include Estuary Transit District (ETD), Middletown Area Transit District (MAT), Northeastern CT Transit District (NECTTD), Northwestern CT Transit District (NWCTTD) and Windham Region Transit District (WRTD). In addition, this application requests funds for the rural regional planning agencies, Northeastern CT COG and the Northwestern Hills COG, Intercity Bus Transportation as well as funds for state administration.

The application scope of work includes:

- 1) Estuary TD – Operations/Preventative Maintenance \$171,849 (100% federal)
- 2) Middletown Area TD – Operations/Preventative Maintenance \$305,263 (100% federal)
- 3) Northeastern CT TD – Operations/Preventative Maintenance \$1,232,526 (100% federal)
- 4) Northwestern CT TD – Operations/Preventative Maintenance \$3,061,473 (100% federal)
- 5) Windham Region TD – Operations/Preventative Maintenance \$3,391,188 (100% federal)
- 6) ETD, MAT, NECTTD, NWCTTD & WRTD – Capital Support Equip - \$50,000 ea. (100% federal)
- 7) Intercity Bus Transportation - \$1,521,075 (100% federal)
- 8) Rural Planning Agencies (NECOG & NWHills COG) - \$5,000 ea. (100% federal)
- 9) State Administration - \$197,125 (100% federal)

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal

agency, or an insurance company.

Per the CARES Act, the project(s) in this application is (are) not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

CTDOT pinned their FY2020 certs in TrAMS. DUNS#: 807854583.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$10,140,499.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$10,140,499.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,140,499.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,140,499
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,140,499

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Connecticut Department Of