

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2020-003-00
<b>Temporary Application Number</b>	6750-2020-1
<b>Application Name</b>	Ponca Tribe of NE FY19 Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2023
<b>Current Period of Performance End Date</b>	N/A    Revision #: N/A

### Part 1: Recipient Information

**Name: Ponca Tribe Of Nebraska**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6750	Indian Tribe	PONCA TRIBE OF NEBRASKA	957113418

Location Type	Address	City	State	Zip
Headquarters	2521 SPRUCE AVE	NIOBRARA	NE	687606103
Physical Address	2521 SPRUCE AVE	NIOBRARA	NE	68760
Mailing Address	P.O. BOX 288	NIOBRARA	NE	68760

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Ponca Tribe of NE FY19 Grant**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-003-00	Final Concurrence / Reservation	Grant	2/5/2020	2/5/2020	No

#### Application Executive Summary

Using Tribal Transit Section 5311 (c) (1) FY 2019 funds in the amount of \$384,249 will be used for operating expenses, non-operating expenses and a transit feasibility study for fiscal year FY19-FY21. The grant will fund (4) FTEs, benefits, training expenses, fuel and oil, drug & alcohol testing, uniforms, vehicle wraps for any new vehicle purchases, feasibility study and indirect costs. The Tribe is using Federal funds at the 100%/0% match ratio for the time period 10/1/2019-9/30/2021.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is not using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Tribe's approved IDC rate will be uploaded to this application. FY20 IDC is 30.85%.

#### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

#### Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

### Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933

Stephanie Slobotski	Transportation Planner	sslobotski@poncatribe- ne.org	(402) 438-9222 2016
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## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$384,249
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$384,249</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-003-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$384,249.00	\$0.00	\$384,249.00	0
NE-2020-003-01-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES	\$100,000.00	\$0.00	\$100,000.00	0
NE-2020-003-01-00	30.09.01 UP TO 100% FEDERAL SHARE - TTP	\$284,249.00	\$0.00	\$284,249.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: Operating, Non-Operating and Feasibility

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-003-01-00	6750-2020-1-P1	2/6/2020	9/29/2020	9/29/2022

**Project Description**

FY19 funding is to pay for operating and non-operating expenses for of our rural transit program. The grant will fund 4FTE positions, benefits, training expenses, fuel & oil, drug & alcohol testing, uniforms, vehicle wraps for any newly purchased vehicles during this funding year, transit feasibility study and indirect costs.

**Project Benefits**

The benefits are to expand the ridership of our rural program out of Norfolk, NE.

**Additional Information**

The operating and non-operating expenses will support our current ridership in the Norfolk, NE area. The feasibility study will be an in-depth study of our current program and how to make it more efficient and how to best support the needs of the area we serve.

**Location Description**

Nebraska's first Congressional District seat (abbreviated as NE-1) encompasses most of the eastern quarter of the state, except Omaha, and some of it's suburbs. It includes the state capital in Lincoln, Bellevue, Fremont and Norfolk. It is currently held by Jeff Fortenberry.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
310000	Nebraska

**Congressional District Information**

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	2	Brad Ashford
Nebraska	3	Adrian Smith

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: Page 21-22

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$384,249
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$384,249</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-003-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$384,249.00	\$0.00	\$384,249.00	0
NE-2020-003-01-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES	\$100,000.00	\$0.00	\$100,000.00	0
NE-2020-003-01-00	30.09.01 UP TO 100% FEDERAL SHARE - TTP	\$284,249.00	\$0.00	\$284,249.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE - TTP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

FY 19 funding (\$284,249) is to pay for operating and non-operating expenses for fiscal year FY 19 of our rural transit program. The grant will fund 4 FT positions, benefits, training expenses, fuel & oil, drug & alcohol testing, uniforms, vehicle wraps for any new vehicles and indirect costs in Norfolk, NE for the Tribe's operating year of 10/1/19-9/30/22.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$284,249
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$284,249</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2020	
End Date	9/30/2022	Milestone will be complete when operating funds are expended.

**Budget Activity Line Item: 11.71.11 - OTHER 3RD PARTY CONTRACTUAL SERVICES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

**Extended Budget Description**

This line item is to pay for 3rd party contracting of a feasibility study for our rural transit program to increase ridership and become more efficient in our service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$100,000</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	10/1/2020	Project will begin in 2020
End Date	9/30/2021	Project will be complete when final report is submitted.

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	3/9/2020

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
OTHER PROGRAM COSTS (600-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	0	\$100,000.00	\$100,000.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and

people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	3/9/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% FEDERAL SHARE - TTP	0	\$284,249.00	\$284,249.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Logan Daniels

Comment Type DOL Review for Information

Date 3/31/2020

Comment The Ponca Tribe in Nebraska are coming in for their funds \$384,249 for Operating & 3rd Party Services

### Application Review Comments

**Comment By** Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date 2/24/2020

Comment Please move the Operating under the same 600-00 Scope as your other ALI, then delete the current 300-00 Scope and ALI

### Application Review Comments

**Comment By** Beth Held

Comment Type Environmental Findings

Date 3/9/2020

Project Title Operating, Non-Operating and Feasibility

Project Number	NE-2020-003-01-00
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Comment The proposed operating and planning assistance activities, that will fund (4) FTEs, benefits, training expenses, fuel and oil, drug & alcohol testing, uniforms, feasibility study and indirect costs, are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

**Comment By Beth Held**

Comment Type Environmental Findings

Date 3/9/2020

Project Title Operating, Non-Operating and Feasibility

Project Number	NE-2020-003-01-00
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Comment The proposed acquisition activity for vehicle wraps for any new vehicle purchases, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### Recipient Information

Recipient Name: Ponca Tribe Of Nebraska

Recipient ID: 6750

DUNS No: 957113418

### Application Information

Federal Award Identification Number: NE-2020-003-00

Application Name: Ponca Tribe of NE FY19 Grant

Application Start Date: N/A

Original Award End Date: 6/30/2023

Current Award End Date: N/A

Application Executive Summary: Using Tribal Transit Section 5311 (c) (1) FY 2019 funds in the amount of \$384,249 will be used for operating expenses, non-operating expenses and a transit feasibility study for fiscal year FY19-FY21. The grant will fund (4) FTEs, benefits, training expenses, fuel and oil, drug & alcohol testing, uniforms, vehicle wraps for any new vehicle purchases, feasibility study and indirect costs. The Tribe is using Federal funds at the 100%/0% match ratio for the time period 10/1/2019-9/30/2021.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### Application Budget

Total Application Budget: \$384,249.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$384,249.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$384,249.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$384,249
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$384,249</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Ponca Tribe Of Nebraska*