

**FY 2021**

**CONGRESSIONAL BUDGET JUSTIFICATION**

**OFFICE OF INSPECTOR GENERAL**

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# OFFICE OF INSPECTOR GENERAL

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# OFFICE OF INSPECTOR GENERAL

## INSPECTOR GENERAL REFORM ACT

The Inspector General Act of 1978 [Public Law 95-452; 5 U.S.C. App., as amended through P.L. 114-317] requires certain specifications concerning Office of Inspector General (OIG) budget submissions each fiscal year. Each Inspector General (IG) is required to transmit a budget request to the head of the establishment to which the IG reports specifying: the aggregate amount of funds requested for the operations of the OIG, the portion of this amount requested for OIG training, including a certification from the IG that the amount requested satisfies all OIG training requirements for that fiscal year, the portion of this amount necessary to support the Council of the Inspectors General on Integrity and Efficiency, and any comments from the IG with respect to the proposal.

For FY 2021, this information is as follows:

- OIG's aggregate funding request to the OMB is \$93,826,000.
- OIG's funding request for training needs is \$850,000.
- Funding necessary to support the Council of Inspectors General on Integrity and Efficiency is \$319,014.

# OFFICE OF INSPECTOR GENERAL

## APPROPRIATION LANGUAGE

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$87,833,000, together with not to exceed \$5,660,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund.

*(Division A – Departments Of Labor, Health And Human Services, and Education, and Related Agencies Appropriations Act, 2020 (HR 1865))*

## OFFICE OF INSPECTOR GENERAL

| <b>AMOUNTS AVAILABLE FOR OBLIGATION</b>  |                            |                 |                            |                 |                            |                 |
|--|----------------------------|-----------------|----------------------------|-----------------|----------------------------|-----------------|
| (Dollars in Thousands)   |                            |                 |                            |                 |                            |                 |
|  | <b>FY 2019<br/>Enacted</b> |                 | <b>FY 2020<br/>Enacted</b> |                 | <b>FY 2021<br/>Request</b> |                 |
|  | <b>FTE</b>                 | <b>Amount</b>   | <b>FTE</b>                 | <b>Amount</b>   | <b>FTE</b>                 | <b>Amount</b>   |
| <b>A. Appropriation</b>  | <b>297</b>                 | <b>\$83,487</b> | <b>298</b>                 | <b>\$85,187</b> | <b>300</b>                 | <b>\$87,833</b> |
| <i>Subtotal</i>  | <i>297</i>                 | <i>\$83,487</i> | <i>298</i>                 | <i>\$85,187</i> | <i>300</i>                 | <i>\$87,833</i> |
| Offsetting Collections From:   |                            |                 |                            |                 |                            |                 |
| Reimbursements   | 0                          | \$500           | 0                          | \$500           | 0                          | \$500           |
| Unemployment Trust Fund  | 35                         | \$5,660         | 35                         | \$5,660         | 35                         | \$5,660         |
| Black Lung Disability Trust Fund   | 1                          | \$310           | 1                          | \$311           | 1                          | \$333           |
| Sequestration Reduction Pursuant to the<br>Balanced Budget and Emergency Deficit<br>Control Act of 1985 as Amended | 0                          | \$0             | 0                          | \$0             | 0                          | \$0             |
| <i>Subtotal</i>  | <i>36</i>                  | <i>\$6,470</i>  | <i>36</i>                  | <i>\$6,471</i>  | <i>36</i>                  | <i>\$6,493</i>  |
| <b>B. Gross Budget Authority</b>   | <b>333</b>                 | <b>\$89,957</b> | <b>334</b>                 | <b>\$91,658</b> | <b>336</b>                 | <b>\$94,326</b> |
| Reimbursements   | 0                          | -\$500          | 0                          | -\$500          | 0                          | -\$500          |
| <i>Subtotal</i>  | <i>333</i>                 | <i>\$89,457</i> | <i>334</i>                 | <i>\$91,158</i> | <i>336</i>                 | <i>\$93,826</i> |
| <b>C. Budget Authority Before Committee</b>  | <b>333</b>                 | <b>\$89,457</b> | <b>334</b>                 | <b>\$91,158</b> | <b>336</b>                 | <b>\$93,826</b> |
| Reimbursements   | 0                          | \$500           | 0                          | \$500           | 0                          | \$500           |
| <i>Subtotal</i>  | <i>333</i>                 | <i>\$89,957</i> | <i>334</i>                 | <i>\$91,658</i> | <i>336</i>                 | <i>\$94,326</i> |
| <b>D. Total Budgetary Resources</b>  | <b>333</b>                 | <b>\$89,957</b> | <b>334</b>                 | <b>\$91,658</b> | <b>336</b>                 | <b>\$94,326</b> |
| Lapsed FTE & Unobligated Balance<br>Expiring   | 0                          | \$0             | 0                          | \$0             | 0                          | \$0             |
| Reimbursements   | 0                          | \$0             | 0                          | \$0             | 0                          | \$0             |
| <b>E. Total, Estimated Obligations</b>   | <b>333</b>                 | <b>\$89,957</b> | <b>334</b>                 | <b>\$91,658</b> | <b>336</b>                 | <b>\$94,326</b> |

# OFFICE OF INSPECTOR GENERAL

## SUMMARY OF CHANGES

(Dollars in Thousands)

|                                  | FY 2020<br>Enacted | FY 2021<br>Request | Net Change      |
|----------------------------------|--------------------|--------------------|-----------------|
| <b>Budget Authority</b>          |                    |                    |                 |
| General Funds                    | \$85,187           | \$87,833           | +\$2,646        |
| Trust Funds                      | \$5,971            | \$5,993            | +\$22           |
| <b>Total</b>                     | <b>\$91,158</b>    | <b>\$93,826</b>    | <b>+\$2,668</b> |
| <br><b>Full Time Equivalents</b> |                    |                    |                 |
| General Funds                    | 298                | 300                | 2               |
| Trust Funds                      | 36                 | 36                 | 0               |
| <b>Total</b>                     | <b>334</b>         | <b>336</b>         | <b>2</b>        |

| Explanation of Change                                | FY 2021 Change |          |             |        |               |        |       |        |
|--|----------------|----------|-------------|--------|---------------|--------|-------|--------|
|  | FY 2020 Base   |          | Trust Funds |        | General Funds |        | Total |        |
|  | FTE            | Amount   | FTE         | Amount | FTE           | Amount | FTE   | Amount |
| <b>Increases:</b>                                    |                |          |             |        |               |        |       |        |
| <b>A. Built-Ins:</b>                                 |                |          |             |        |               |        |       |        |
| To Provide For:                                      |                |          |             |        |               |        |       |        |
| Costs of pay adjustments                             | 334            | \$47,418 | 0           | \$3    | 0             | \$722  | 0     | \$725  |
| Personnel benefits                                   | 0              | \$17,595 | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Employee health benefits                             | 0              | \$0      | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| One day less of Pay                                  | 0              | \$0      | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Federal Employees' Compensation Act (FECA)           | 0              | \$445    | 0           | \$0    | 0             | \$138  | 0     | \$138  |
| Travel and transportation of persons                 | 0              | \$2,430  | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Transportation of things                             | 0              | \$0      | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Rental payments to GSA                               | 0              | \$4,920  | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Rental payments to others                            | 0              | \$108    | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Communications, utilities, and miscellaneous charges | 0              | \$700    | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Printing and reproduction                            | 0              | \$14     | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Advisory and assistance services                     | 0              | \$5,494  | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Other services from non-Federal sources              | 0              | \$1,220  | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Working Capital Fund                                 | 0              | \$7,203  | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Other Federal sources (DHS Charges)                  | 0              | \$621    | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Other goods and services from Federal sources        | 0              | \$620    | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Operation and maintenance of facilities              | 0              | \$10     | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Operation and maintenance of equipment               | 0              | \$386    | 0           | \$0    | 0             | \$0    | 0     | \$0    |
| Supplies and materials                               | 0              | \$380    | 0           | \$0    | 0             | \$0    | 0     | \$0    |

# OFFICE OF INSPECTOR GENERAL

## FY 2021 Change

| Explanation of Change                | FY 2020 Base |                  | Trust Funds |              | General Funds |                 | Total    |                 |
|--------------------------------------|--------------|------------------|-------------|--------------|---------------|-----------------|----------|-----------------|
|                                      | FTE          | Amount           | FTE         | Amount       | FTE           | Amount          | FTE      | Amount          |
| Equipment                            | 0            | \$1,530          | 0           | \$0          | 0             | \$0             | 0        | \$0             |
| Grants, subsidies, and contributions | 0            | \$0              | 0           | \$0          | 0             | \$0             | 0        | \$0             |
| Insurance claims and indemnities     | 0            | \$64             | 0           | \$0          | 0             | \$0             | 0        | \$0             |
| <b>Built-Ins Subtotal</b>            | <b>334</b>   | <b>+\$91,158</b> | <b>0</b>    | <b>+\$3</b>  | <b>0</b>      | <b>+\$860</b>   | <b>0</b> | <b>+\$863</b>   |
| <b>B. Programs:</b>                  |              |                  |             |              |               |                 |          |                 |
| OIG Operations                       | 347          | \$85,187         | 0           | \$0          | 2             | \$2,020         | 2        | \$2,020         |
| Processing of Mandatory Workload     | 1            | \$311            | 0           | \$21         | 0             | \$0             | 0        | \$21            |
| <b>Programs Subtotal</b>             |              |                  | <b>0</b>    | <b>+\$21</b> | <b>2</b>      | <b>+\$2,020</b> | <b>2</b> | <b>+\$2,041</b> |
| <b>Total Increase</b>                | <b>334</b>   | <b>+\$91,158</b> | <b>0</b>    | <b>+\$24</b> | <b>2</b>      | <b>+\$2,880</b> | <b>2</b> | <b>+\$2,904</b> |
| <b>Decreases:</b>                    |              |                  |             |              |               |                 |          |                 |
| <b>A. Built-Ins:</b>                 |              |                  |             |              |               |                 |          |                 |
| To Provide For:                      |              |                  |             |              |               |                 |          |                 |
| One day less of Pay                  | 0            | \$0              | 0           | -\$2         | 0             | -\$234          | 0        | -\$236          |
| <b>Built-Ins Subtotal</b>            | <b>0</b>     | <b>\$0</b>       | <b>0</b>    | <b>-\$2</b>  | <b>0</b>      | <b>-\$234</b>   | <b>0</b> | <b>-\$236</b>   |
| <b>B. Programs:</b>                  |              |                  |             |              |               |                 |          |                 |
| <b>Total Decrease</b>                | <b>0</b>     | <b>\$0</b>       | <b>0</b>    | <b>-\$2</b>  | <b>0</b>      | <b>-\$234</b>   | <b>0</b> | <b>-\$236</b>   |
| <b>Total Change</b>                  | <b>334</b>   | <b>+\$91,158</b> | <b>0</b>    | <b>+\$22</b> | <b>2</b>      | <b>+\$2,646</b> | <b>2</b> | <b>+\$2,668</b> |

## OFFICE OF INSPECTOR GENERAL

| <b>SUMMARY BUDGET AUTHORITY AND FTE BY ACTIVITY</b> |                    |               |                    |               |                    |               |                                      |              |
|---|--------------------|---------------|--------------------|---------------|--------------------|---------------|--------------------------------------|--------------|
| (Dollars in Thousands)                              |                    |               |                    |               |                    |               |                                      |              |
|   | FY 2019<br>Enacted |               | FY 2020<br>Enacted |               | FY 2021<br>Request |               | Diff. FY21 Request /<br>FY20 Enacted |              |
|   | FTE                | Amount        | FTE                | Amount        | FTE                | Amount        | FTE                                  | Amount       |
| <b>OIG Program Activity</b>                         | <b>333</b>         | <b>89,457</b> | <b>334</b>         | <b>91,158</b> | <b>336</b>         | <b>93,826</b> | <b>2</b>                             | <b>2,668</b> |
| General Funds                                       | 333                | 83,487        | 298                | 85,187        | 300                | 87,833        | 2                                    | 2,646        |
| Unemployment Trust Funds                            | 0                  | 5,660         | 35                 | 5,660         | 35                 | 5,660         | 0                                    | 0            |
| Black Lung Disability Trust Funds                   | 0                  | 310           | 1                  | 311           | 1                  | 333           | 0                                    | 22           |
|   |                    |               |                    |               |                    |               |                                      |              |
| <b>Total</b>  | <b>333</b>         | <b>89,457</b> | <b>334</b>         | <b>91,158</b> | <b>336</b>         | <b>93,826</b> | <b>2</b>                             | <b>2,668</b> |
| <b>General Funds</b>                                | <b>333</b>         | <b>83,487</b> | <b>298</b>         | <b>85,187</b> | <b>300</b>         | <b>87,833</b> | <b>2</b>                             | <b>2,646</b> |
| <b>Unemployment Trust Funds</b>                     | <b>0</b>           | <b>5,660</b>  | <b>35</b>          | <b>5,660</b>  | <b>35</b>          | <b>5,660</b>  | <b>0</b>                             | <b>0</b>     |
| <b>Black Lung Disability Trust Funds</b>            | <b>0</b>           | <b>310</b>    | <b>1</b>           | <b>311</b>    | <b>1</b>           | <b>333</b>    | <b>0</b>                             | <b>22</b>    |

NOTE: 2019 reflects actual FTE.

## OFFICE OF INSPECTOR GENERAL

| <b>BUDGET AUTHORITY BY OBJECT CLASS</b> |  |                    |                    |                    |  |
|---|--|--------------------|--------------------|--------------------|--|
| (Dollars in Thousands)                  |  |                    |                    |                    |  |
|   |  | FY 2019<br>Enacted | FY 2020<br>Enacted | FY 2021<br>Request | Diff. FY21<br>Request /<br>FY20<br>Enacted |
|   | Full-Time Equivalent                                 |                    |                    |                    |  |
|   | Full-time Permanent                                  | 333                | 334                | 336                | 2  |
|   | <b>Total</b>   | <b>333</b>         | <b>334</b>         | <b>336</b>         | <b>2</b>                                   |
|   | Average ES Salary                                    | \$184,400          | \$185,725          | \$185,725          | \$0  |
|   | Average GM/GS Grade                                  | 13                 | 13                 | 13                 | 0  |
|   | Average GM/GS Salary                                 | \$117,100          | \$131,250          | \$131,250          | \$0  |
|   |  |                    |                    |                    |  |
| 11.1                                    | Full-time permanent                                  | 40,184             | 41,450             | 42,315             | 865  |
| 11.3                                    | Other than full-time permanent                       | 1,473              | 1,473              | 1,473              | 0  |
| 11.5                                    | Other personnel compensation                         | 4,495              | 4,495              | 4,495              | 0  |
| 11.8                                    | Special personal services payments                   | 0                  | 0                  | 0                  | 0  |
| 11.9                                    | <b>Total personnel compensation</b>                  | <b>46,152</b>      | <b>47,418</b>      | <b>48,283</b>      | <b>865</b>                                 |
| 12.1                                    | Civilian personnel benefits                          | 18,143             | 18,040             | 18,323             | 283  |
| 21.0                                    | Travel and transportation of persons                 | 2,430              | 2,430              | 2,430              | 0  |
| 22.0                                    | Transportation of things                             | 0                  | 0                  | 0                  | 0  |
| 23.1                                    | Rental payments to GSA                               | 5,552              | 4,920              | 4,920              | 0  |
| 23.2                                    | Rental payments to others                            | 100                | 108                | 108                | 0  |
| 23.3                                    | Communications, utilities, and miscellaneous charges | 700                | 700                | 700                | 0  |
| 24.0                                    | Printing and reproduction                            | 14                 | 14                 | 14                 | 0  |
| 25.1                                    | Advisory and assistance services                     | 4,430              | 5,494              | 5,494              | 0  |
| 25.2                                    | Other services from non-Federal sources              | 660                | 1,220              | 2,305              | 1,085                                      |
| 25.3                                    | Other goods and services from Federal sources 1/     | 8,606              | 8,444              | 8,444              | 0  |
| 25.4                                    | Operation and maintenance of facilities              | 10                 | 10                 | 10                 | 0  |
| 25.7                                    | Operation and maintenance of equipment               | 386                | 386                | 386                | 0  |
| 26.0                                    | Supplies and materials                               | 380                | 380                | 380                | 0  |
| 31.0                                    | Equipment  | 1,830              | 1,530              | 1,965              | 435  |
| 41.0                                    | Grants, subsidies, and contributions                 | 0                  | 0                  | 0                  | 0  |
| 42.0                                    | Insurance claims and indemnities                     | 64                 | 64                 | 64                 | 0  |
|   | <b>Total</b>   | <b>89,457</b>      | <b>91,158</b>      | <b>93,826</b>      | <b>2,668</b>                               |
|   |  |                    |                    |                    |  |
|   | 1/Other goods and services from Federal sources      |                    |                    |                    |  |
|   | Working Capital Fund                                 | 7,365              | 7,203              | 7,203              | 0  |
|   | DHS Services   | 621                | 621                | 621                | 0  |
|   | HHS Services   | 310                | 310                | 310                | 0  |
|   | Services by Other Government Departments             | 310                | 310                | 310                | 0  |

# OFFICE OF INSPECTOR GENERAL

## AUTHORIZING STATUTES

| <b>Public Law/Act</b>       | <b>Legislation</b>                        | <b>Statute No.<br/>U.S. Code</b> | <b>Expiration<br/>Date</b> |
|-----------------------------|---|----------------------------------|----------------------------|
| Pub. L. 95-452, as amended  | Inspector General Act of 1978             | 5 U.S.C.<br>Appendix             | Indefinite                 |
| Pub. L. 114-317, as amended | Inspector General Empowerment Act of 2016 | 5 U.S.C.<br>Appendix             | Indefinite                 |

## OFFICE OF INSPECTOR GENERAL

| <b>APPROPRIATION HISTORY</b> |   |                            |                             |                       |            |
|------------------------------|---|----------------------------|-----------------------------|-----------------------|------------|
| (Dollars in Thousands)       |   |                            |                             |                       |            |
|                              | <b>Budget<br/>Estimates to<br/>Congress</b> | <b>House<br/>Allowance</b> | <b>Senate<br/>Allowance</b> | <b>Appropriations</b> | <b>FTE</b> |
| 2011                         |   |                            |                             |                       |            |
| Base Appropriation...1/      | \$85,082                                    | \$84,173                   | \$84,173                    | \$83,846              | 417        |
| 2012                         |   |                            |                             |                       |            |
| Base Appropriation           | \$84,445                                    | \$84,772                   | \$84,772                    | \$83,687              | 417        |
| 2013                         |   |                            |                             |                       |            |
| Base Appropriation...2/      | \$85,108                                    |                            |                             | \$79,310              | 403        |
| 2014                         |   |                            |                             |                       |            |
| Base Appropriation           | \$86,041                                    |                            |                             | \$80,311              | 387        |
| 2015                         |   |                            |                             |                       |            |
| Base Appropriation           | \$83,993                                    |                            |                             | \$81,590              | 379        |
| 2016                         |   |                            |                             |                       |            |
| Base Appropriation           | \$87,985                                    | \$84,660                   | \$79,311                    | \$86,300              | 373        |
| 2017                         |   |                            |                             |                       |            |
| Base Appropriation           | \$94,541                                    |                            |                             | \$87,721              | 357        |
| 2018                         |   |                            |                             |                       |            |
| Base Appropriation...3/      | \$86,136                                    | \$89,147                   |                             | \$89,147              | 347        |
| 2019                         |   |                            |                             |                       |            |
| Base Appropriation...4/      | \$87,721                                    |                            |                             | \$89,147              | 345        |
| 2020                         |   |                            |                             |                       |            |
| Base Appropriation           | \$90,121                                    | \$90,847                   |                             | \$90,847              | 334        |
| 2021                         |   |                            |                             |                       |            |
| Base Appropriation           | \$93,493                                    |                            |                             |                       | 336        |

Unemployment Insurance (UI) trust fund is included in the amount for each year indicated.  
 Black Lung Disability (BL) trust fund is not included in the amount for each year indicated.

1/ A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared.

2/ Reflects a 0.2% across the board rescission pursuant to P.L. 113-6 and the sequestration reduction pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985.

3/ A full-year 2018 appropriation for this account was not enacted at the time the budget was prepared.

4/ This bill was passed by the Senate. It was passed out of the House Subcommittee but was not reported out of the House Committee or by the full House.

5/ This bill was passed by the House. It was not taken up by the Senate Appropriations Subcommittee or full Appropriations Committee.

# OFFICE OF INSPECTOR GENERAL

## OVERVIEW

### **Introduction**

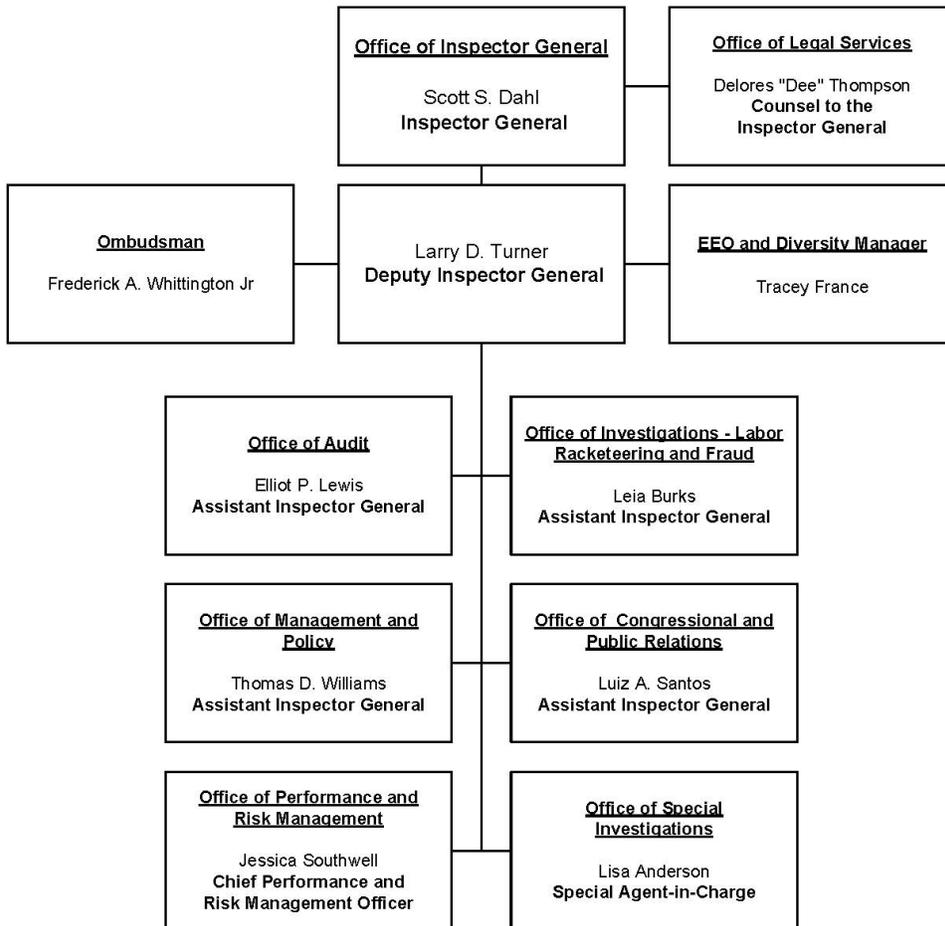
The Office of Inspector General (OIG) at the U.S. Department of Labor (DOL) serves the American Workforce, the Agency, and the Congress by providing independent and objective oversight of Departmental programs through audits and investigations, and by combatting the influence of labor racketeering in the workplace.

The OIG conducts audits to review the effectiveness, efficiency, economy, and integrity of all DOL programs and operations, including those performed by its contractors and grantees. OIG conducts this work in order to determine whether: DOL efficiently and economically utilizes its resources; DOL programs achieve their intended results; and DOL programs and operations comply with applicable laws and regulations.

The OIG also conducts criminal, civil, and administrative investigations relating to violations of Federal laws, rules or regulations, including those performed by DOL contractors and grantees; as well as investigations into allegations of misconduct on the part of DOL employees. In addition, the OIG conducts criminal investigations to combat the influence of labor racketeering and organized crime in the nation's labor unions. The OIG conducts labor racketeering investigations in three areas: employee benefit plans, labor-management relations, and internal union affairs. The OIG also works with other law enforcement partners on human trafficking matters.

In FY 2021, the OIG requests \$93,493,000 (not including Black Lung Trust Funds) and 336 Full Time Equivalent (FTE) to continue efforts to support numerous national priorities including: addressing the opioid crisis by fighting fraud against the Federal Employees' Compensation Act program; combatting threats to the integrity of foreign labor certification programs; and overseeing the efficiency and integrity of DOL programs and operations. In addition, the OIG will continue its efforts to transition from measuring its performance based solely on the number of audits and investigations conducted, focusing instead on large-scale, high-impact work that provides the greatest benefit to American workers and taxpayers.

# OFFICE OF INSPECTOR GENERAL





## OFFICE OF INSPECTOR GENERAL PROGRAM ACTIVITY

| <b>BUDGET AUTHORITY BEFORE THE COMMITTEE</b> |                            |                            |                            |  |
|--|----------------------------|----------------------------|----------------------------|--|
| (Dollars in Thousands)                       |                            |                            |                            |  |
|  | <b>FY 2019<br/>Enacted</b> | <b>FY 2020<br/>Enacted</b> | <b>FY 2021<br/>Request</b> | <b>Diff. FY21<br/>Request /<br/>FY20<br/>Enacted</b> |
| <b>Activity Appropriation</b>                | <b>89,457</b>              | <b>91,158</b>              | <b>93,826</b>              | <b>2,668</b>   |
| FTE  | 333                        | 334                        | 336                        | 2  |

NOTE: FY 2019 reflects actual FTE. Authorized FTE for FY 2019 was 345.

### **Introduction**

Over the past ten fiscal years, every dollar invested in the U.S. Department of Labor (DOL), Office of Inspector General (OIG) resulted in a return on investment of nearly \$9 to the Federal government and American taxpayers. Over this period, the OIG identified more than \$7.2 billion in questioned costs, recommendations that funds be put to better use, and investigative recoveries and savings. This demonstrates that the OIG offers a solid investment for U.S. taxpayers, particularly in times of resource constraint.

The FY 2021 funding that OIG requests will allow the Agency to continue the important criminal law enforcement and oversight activities. Without additional funding, OIG will need to reduce and limit program integrity oversight and criminal investigative efforts. Consequently, the significant, positive return on investment that the OIG generates for the American taxpayer will be diminished.

In carrying out its statutory responsibility to conduct and supervise audits and investigations relating to the programs and operations of the DOL, the Inspector General provides program direction over: the Office of Audit, the Office of Investigations – Labor Racketeering and Fraud, and the Executive Direction and Management function.

- **Office of Audit:** The Office of Audit is responsible for conducting and supervising audits relating to the Department’s programs and operations and for making recommendations to promote economy and efficiency in the administration of DOL programs and operations. It is similarly responsible for preventing and detecting fraud, waste, abuse, and mismanagement in these programs and operations. Finally, it assists the Inspector General in keeping the Secretary and the Congress fully informed about current problems and deficiencies relating to the administration of the Department’s programs and operations, as well as the necessity for, and progress of, corrective action.
  
- **Office of Investigations – Labor Racketeering and Fraud:** The mission of the Office of Investigations – Labor Racketeering and Fraud is to prevent and detect fraud and abuse in DOL programs and operations. In order to accomplish this mission, the OIG conducts criminal investigations relating to programs, operations, and employees of the Department. In addition, the OIG conducts criminal investigations to combat the influence of labor racketeering and organized crime in the nation’s labor unions in three areas: employee benefit plans, labor-management relations, and internal union affairs.

## OFFICE OF INSPECTOR GENERAL PROGRAM ACTIVITY

Through criminal investigations and collaboration with other Federal law enforcement agencies, the OIG works diligently to ensure the prosecution of individuals involved in the infiltration, exploitation, and/or control of a union, employee benefit plan, employer entity, or workforce for personal benefit by illegal, violent, or fraudulent means.

- **Executive Direction and Management.** This function provides the overall direction, planning, management, administration, and inspections necessary to independently carry out the OIG's nationwide mission, supplying centralized management of OIG headquarters and regional staff. The major components of the Executive Direction and Management function include:
  - Office of Management and Policy: Provides overall planning, direction and management of all administrative responsibility of the OIG. This includes: independently providing the full range of human resources; developing OIG policy; supporting information technology; budget planning; and procurement.
  - Office of Legal Services: Provides independent legal services and counsel to the IG and the OIG components. Services include legal support and advice relating to audit and investigative activities, representation of OIG employees, litigation support, ethics training, and disclosure determinations. Moreover, the office operates a hotline and complaint analysis unit to address stakeholder allegations of wrongdoing involving DOL operations and programs.
  - Office of Special Investigations: Responsible for employee integrity investigations involving OIG employees and high-level DOL employees.
  - Office of Congressional and Public Relations: Provides independent Congressional, media and public relations services to the OIG. The office is also responsible for legislative review and external reporting.
  - Office of Performance and Risk Management: Leads a variety of organizational performance and risk management activities across the OIG, working closely with the Assistant Inspector Generals and senior leadership to improve how OIG manages performance and risks to the OIG mission.

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## Five-Year Budget Activity History<sup>1</sup>

| <u>Fiscal Year</u> | <u>Funding</u><br>(Dollars in Thousands) | <u>FTE</u> |
|--------------------|--|------------|
| 2016               | \$86,300                                 | 373        |
| 2017               | \$87,721                                 | 357        |
| 2018               | \$89,147                                 | 347        |
| 2019               | \$89,147                                 | 345        |
| 2020               | \$90,847                                 | 334        |

## FY 2021

### Request Summary:

In FY 2021, the OIG requests \$93,493,000 (not including Black Lung Disability Trust Funds) and 336 FTE. The following is illustrative of FY 2021 OIG audit and investigative oversight activities of important DOL programs and operations. In particular, in FY 2021, the OIG will continue its efforts to transition from measuring its performance based solely on the number of audits and investigations conducted, focusing instead on large-scale, high-impact work that provide the greatest benefit to American workers and taxpayers.

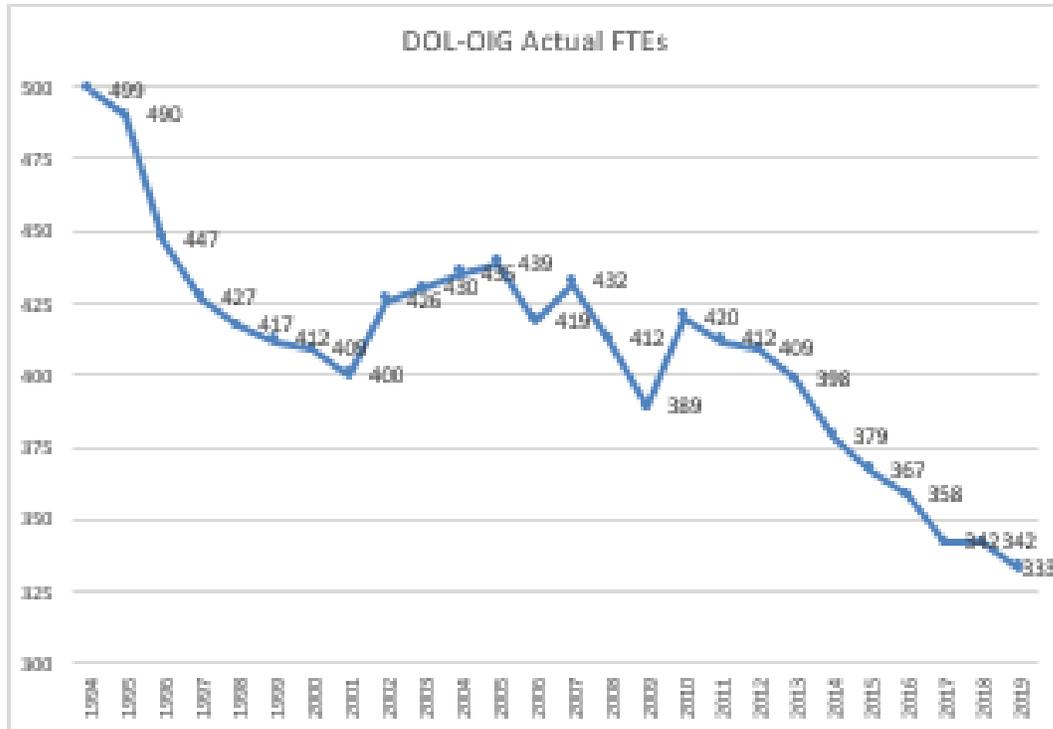
### FTE History

The OIG Full Time Equivalent (FTE) level is currently at its lowest level in 25 years. This reduction in operational capacity has been detrimental to our ability to provide audit and investigative oversight to DOL programs and operations. In some cases, the OIG has been forced to eliminate, delay the timing of, and reduce the scope of audit and investigative work. The following chart depicts OIG FTE reductions for FY 1994 – FY 2019.

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<sup>1</sup> This table reflects direct discretionary funding sources and FTE.

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### **Fighting Fraud Involving Opioids and Other Pharmaceuticals in the Federal Employees' Compensation Act (FECA) Program (+\$835,000)**

In addition to the OIG's investigative work combatting recent increases in the costs and abuse of compounded drug medications in the FECA program, the OIG has initiated more investigations relating to criminal activity involving opioids. The OIG also anticipates an increase in the number of organized crime and labor racketeering investigations involving opioids and union-affiliated health and welfare plans. The OIG has recently joined a Federal opioid taskforce and plans to join another opioid taskforce shortly. Finally, the Office of Workers' Compensation Programs (OWCP) has focused significant resources towards its Program Integrity Unit as well as in managing opioid use. The OIG has seen a significant increase in the number of criminal fraud referrals made by the OWCP Program Integrity Unit to the OIG for further investigation during the past year. The OIG expects a further increase in the amount of criminal fraud referrals made to the OIG by OWCP in the area of opioid fraud and abuse.

In FY 2021, the OIG will continue working with OWCP and other OIGs that face similar problems with opioids in an effort to identify best practices and programmatic changes that reduce susceptibility to fraud. The OIG will also continue its review of OWCP's management of pharmaceutical costs in all of its compensation programs, including reviewing OWCP's management of the use of opioids, which has become an area of concern for the OIG. The FY 2021 funding that OIG requests will allow the Agency to continue important criminal law enforcement and audit oversight activities.

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The OIG will use the additional funds as follows:

- \$310,000 will support the provision of contracted medical specialists related to FECA reviews of pharmaceutical program to include contracted Pharmacy Benefits Managers services.
- \$325,000 for contracted professional services to assist in the investigation and prosecution of healthcare fraud in OWCP.
- \$200,000 for coordination with other agencies and forensic support to include support for the Organized Crime and Drug Enforcement Task Force (OCDETF).

### Audit Oversight

Since the OIG's audit of OWCP pharmaceutical spending began in 2016, annual opioid spending in the FECA program has fallen by approximately \$15 million. However, the number of new opioid prescriptions still represents 42 percent of all prescriptions.

As a result of OIG audit oversight and reports, OWCP recently instituted controls to address opioid risks, such as requiring letters of medical necessity before approving prescriptions. OWCP is also ramping up its data analytics capabilities in response to OIG recommendations. This will allow the tracking of opioid users and identify those at risk of addiction or in need of treatment.

In May 2017, the OIG issued an audit report (*Interim Report on Audit of Pharmaceutical Management in DOL Benefit Program: OWCP Needs Better Controls Over Compounded Prescription Drugs – Report No. 03-17-001-04-431*) on OWCP's management of FECA pharmaceuticals, including opioids. The following recommendations from the report remain unimplemented:

- Ensure timely removal of questionable providers from program;
- Ensure existence of prescriber/claimant relationship;
- Implement drug exclusion lists for drugs and drug ingredients;
- Implement drug formulary lists;
- Implement a new pricing methodology;
- Verify cost controls (generic drug usage) effectiveness;
- Use of preferred providers;
- Improve review of costs; and

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- Implement quantity limits on initial fills and refills.

In May 2019, OIG issued its final report (*OWCP Must Continue Strengthening Management of FECA Pharmaceuticals, Including Opioids – Report No. 03-19-002-04-431*). This second report found that OWCP has made progress in addressing recommendations from our first report but more action is needed. Specifically, while OWCP has identified risks and implemented controls over compounded drugs and opioids, it needs to further reduce risks for opioids. Specific findings included:

- OWCP policy on opioids was too permissive, and OWCP had not developed sufficient controls to manage opioid addiction.
- OWCP did not do enough to ensure it paid the best price for prescription drugs.
- OWCP could do more to help ensure FECA prescriptions are safe from overuse and adverse interaction with other FECA medications.
- OWCP had not reported excluded providers to the national healthcare fraud and abuse data collection program, or accessed this data to ensure FECA providers were qualified. However, OWCP had taken actions to identify questionable providers, refer them to DOL OIG for investigation, and exclude providers convicted of fraud.

Additional FY 2021 funding will allow the OIG to follow up on OWCP's status in implementing the recommendations from our 2017 and 2019 reports. Further, the OIG will conduct a review to assess the new controls implemented as a part of the OWCP Pharmacy Benefit Manager (PBM) contract to ensure it is achieving its intended results and helping to reduce the risk of opioid dependency and fraud in the program. OIG will also conduct a follow-up review to assess the effectiveness of the use of a PBM in controlling the cost of drugs under the FECA program.

Additional FY 2021 funding will also provide the resources the OIG needs to continue collaborating with OWCP and other OIGs to monitor data and proactively identify changes in the trends of opioid prescriptions under the FECA program. Further, the OIG will seek to identify additional best practices and effect programmatic changes that reduce susceptibility to fraud, waste, and abuse. The FY 2021 funding requested will allow the OIG to continue these important audit oversight activities.

An increase in funding will also enable the OIG to expand its review of DOL's management of opioids to include assessments of:

- The effectiveness of treatment provided via "sober homes";
- The legitimacy of associated billing practices; and
- DOL's efforts to reduce addiction risks.

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The OIG will also use additional FY 2021 funding to contract with medical subject matter experts to participate in our audit work. The expertise brought by these medical experts will allow the OIG to expand and broaden the scope of our reviews to include oversight of medical bill payments as related to medical necessity for care provided.

### Investigations

The OIG is the only Agency within DOL authorized to conduct criminal investigations relating to the FECA program, and we focus its resources on medical provider fraud. OWCP recently received additional resources to identify and reduce fraud within the FECA program. As a result, OWCP's criminal fraud referrals to the OIG in both 2017 and 2018 increased nearly 1500% compared to referrals made in 2016. The OIG's investigative work in the FECA program has contributed significantly to these accomplishments including:

- A Texas chiropractor was ordered to pay more than \$10 million in damages and civil penalties for his role in a kickback scheme resulting from his referral of patients covered by FECA.
- An Illinois pharmacist was sentenced to 48 months in prison and ordered to pay more than \$2.2 million for his role in a health care fraud scheme involving aggravated identity theft.

The OIG anticipates further increases in criminal fraud referrals in the area of opioid fraud and abuse resulting from OWCP's increased resources. The OIG requires additional FY 2021 funding in order to keep up with the referrals, ensuring that allegations against this important program are properly and timely investigated. Without this funding, the OIG will not be able to address these increased referrals.

Finally, since 2017, the OIG tripled its referrals to DOL for government-wide provisional suspension and debarment. Issuing suspensions and debarments protects the Federal government from future fraud committed by convicted individuals and entities.

### **Leveraging Data & Predictive Analytics to Strengthen Programmatic Oversight (+\$1,185,000)**

The power and use of data and predictive analytics enables OIG auditors and investigators to continuously monitor Agency programs and operations to prevent, detect and investigate fraud against the Agency's programs. Continuous monitoring serves as a deterrent to fraud, allows the OIG to promptly discover areas of weakness, and enables DOL management to timely correct problems.

The OIG is using its data analytics program to establish direct access to more data than ever before. The availability of this data enables auditors and investigators to better understand the data of the organization, proactively analyze the data to identify areas in need of greater

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oversight, and reduce burden on the Department for certain data requests that otherwise would be made throughout the audit and investigation processes.

Over the past few years, the OIG developed a prescriptive data-analytic tool called RADR. RADR was designed to take its investigative FECA case data and use it to develop fraud models. The RADR fraud models have assisted the OIG in identifying several cases that were previously unknown to the OIG, as well as assisting case agents and analysts on their current investigations. These models have expanded several already large-scale investigations by identifying additional victims of crimes under investigation, as well as possible co-conspirators. It has allowed the OIG to observe how some pharmacies have closed up shop, renamed themselves, while retaining their clients, thereby continuing their fraud schemes under a different business entity.

In FY 2021, the OIG will continue its use of data analytics in its oversight and investigative activities.

The OIG will use the additional funds as follows:

- \$100,000 for contract audit services to enhance or provide resources for validating analytical trends, patterns, and anomalies.
- \$100,000 for Geographical Information System (GIS) software.
- \$335,000 for server and network hardware required for increased data analytics and forensics.
- \$150,000 for contracted services to support analytic system network applications.
- \$500,000 in FTE for two data scientists.

### Audit Oversight

The OIG has integrated data analytics into several audits and reviews of DOL programs, including an audit of OWCP's Pharmaceutical Program. The use of enhanced data analysis on the pharmaceutical audit allowed for an examination of the entire population of pharmaceutical claims, resulting in the identification that nearly half of FECA monthly pharmacy claims included opioid prescriptions. OIG work in this area has also served to enhance collaboration across the OIG community and with OWCP to identify concerning trends and medical program best practices in combatting the nationwide opioid crisis.

Likewise, using data analytics, the OIG was able to analyze 18 years of the Mine Safety and Health Administration's (MSHA) public datasets, producing critical support for our determinations on the impact of the Civil Monetary Penalty Program. The OIG is currently using data analytics to assist auditors in the review of the Workforce Innovation and Opportunity Act program's credentials requirements, Job Corps' outreach efforts, Wage and Hour Division's enforcement efforts, and the Department's procurement and travel card programs. Soon, the OIG will begin data mining and predictive modeling to identify under-performing grantees, establish

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risk profiles of DOL grantees, and develop performance metrics and monitoring efforts. These activities will provide for greater oversight of DOL grant administration and management processes.

### Investigations

Data analytics is also an integral part of OIG investigative work. In FY 2018, the OIG completed more than 200 separate analysis requests, 154 of which were for investigations related to the FECA program. This aided in the successful investigation and criminal prosecution and conviction of numerous individual and entities who committed fraud against the FECA program. From April 2018 through March 2019, OIG investigative work has resulted in 385 indictments, 232 convictions, and more than \$90 million in monetary accomplishments. Our investigative work in the FECA program has contributed significantly to these accomplishments including:

- Two Chicago women were sentenced for falsely billing OWCP for \$1.7 million for 24-7 service for seven years.
- A former Texas CEO was sentenced to more than 19 years in prison and ordered to pay more than \$14.5 million in restitution for his role in defrauding OWCP.

In FY 2021, the OIG requires additional funding to expand data analytics capabilities to allow for a more efficient response to emerging threats against DOL programs. With this additional funding, the OIG would be able to purchase a geospatial analysis tool to map OWCP patients, physicians, and providers, allowing the development of analytics to identify high-risk groups.

With additional FY 2021 resources, the OIG would also procure extra contracting support and hire additional data scientists. This would enable greater focus on the proactive identification of concerning trends within the Department's data, leading to timely remediation by the Department of programmatic concerns.

In FY 2021, the OIG also requires additional funding to enhance the level of data it can directly access and leverage that data to establish or augment existing analytics that identify areas of programmatic weakness and integrity pitfalls, to include the misuse of funds. Such analysis will help the OIG identify where programmatic funds should be put to better use, and possibly where funds should be returned to Treasury.

Additional FY 2021 funds would also allow the OIG to leverage data analytics to provide proactive oversight of more DOL programs. Examples where the use of data analytics would be of high value include:

- The Unemployment Insurance program paid \$33 billion in unemployment benefits in 2016, and estimated that almost \$4 billion were improper payments. The use of data analytics could reveal claimants who are fraudulently receiving payments in multiple

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states and help to identify and combat complex, large-scale, multi-state fictitious and fraudulent employer schemes.

- In FY 2016, DOL awarded almost \$8 billion in grants, primarily for job training. Using data analytics, OIG could assess program outcomes and provide information to help DOL focus its efforts on the most successful job training programs.
- Preventing workplace injuries is part of DOL's core mission. Using Occupational Safety and Health Administration (OSHA) and MSHA inspection information and accident and injury information reported by employers, data analytics could be used to identify actions employers need to take to prevent workplace injuries and deaths.

### **Combatting Improper Payments and Fraud in the Unemployment Insurance Program**

For years, the Unemployment Insurance (UI) program has been among the ten Federal programs with the highest improper payment amounts. This trend continued in 2018 as the UI program had the tenth-highest amount of improper payments (\$3.7 billion) among all Federal programs. Moreover, the UI program had the fourth-highest improper payment rate (12.5 percent) among all Federal programs.

OIG investigations continue to identify significant amounts of fraud in the UI program, as identity thieves and organized criminal groups have found ways to exploit program weaknesses. Additionally, OIG investigations continue to combat complex, large-scale, multi-state fictitious and fraudulent employer schemes to defraud the multi-billion dollar UI program.

In FY 2021, the OIG will continue to support investigations to combat these fraudulent schemes. OIG will also continue ongoing oversight activities by assessing selected states' efforts to reduce UI improper payments caused by recipients continuing to claim benefits after returning to work. OIG will also determine if states used UI information system modernization funds as intended.

### **Combatting Threats to the Integrity of Foreign Labor Certification Programs**

The intent of DOL foreign labor certification programs is to permit U.S. businesses to hire foreign workers when necessary to meet their workforce needs while protecting the jobs and wages of U.S. workers. OIG audits and criminal investigations since the inception of the program have shown these visa programs to be susceptible to significant fraud and abuse, particularly by dishonest immigration attorneys, labor brokers, employers, and organized criminal enterprises. OIG investigations have revealed schemes in which fraudulent applications were filed with DOL on behalf of individuals and fictitious companies. The OIG's investigations have also uncovered numerous instances of unscrupulous employers misusing Foreign Labor Certification (FLC) programs to engage in human trafficking, with victims often exploited for economic gain. These investigations protect jobs for U.S. workers and protect compliant companies from unfair advantages gained by those who misuse or circumvent the programs.

OIG has also seen numerous instances of unscrupulous employers misusing FLC programs to engage in labor trafficking. Victims are often exploited for economic gain, and are forced to

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work for far less than the prevailing wage, often under deplorable conditions. This causes significant harm to U.S. workers because the payment of proper prevailing wages is vital to ensuring that U.S. workers are not displaced by foreign workers.

On January 30, 2019, the OIG issued an Investigative Advisory Report to inform the Department about its investigative findings over the last several years. The report, *Recommendations for Enhancing Forms Used for H-2B Nonagricultural Temporary Workers*, makes recommendations based on the OIG's work.

In FY 2021, the OIG will continue investigating foreign worker visa fraud schemes and labor trafficking offenses in order to protect American workers from harm caused by these types of criminal activities. The OIG will also continue a number of oversight activities relating to DOL FLC programs. These activities include ongoing or planned work relating to debarment use in all FLC programs, H-1B program enforcement, and H-2A program application integrity.

### **Department of Labor Management Processes**

The OIG conducts a number of audits related to the management of DOL procurement activities, information technology (IT) systems, and financial systems.

In FY 2021, OIG will continue to determine if controls over ongoing and planned DOL IT modernization projects are adequate to manage project deliverables and control related costs. OIG will also continue its annual audit of DOL consolidated financial statements and will conduct information security audits in accordance with the Federal Information Security Management Act of 2002. Further, the OIG will determine if DOL complied with the Improper Payments Information Act, as amended, and will assess if DOL complied with the Data Accountability and Transparency Act requirements to submit accurate, timely, quality financial and award data for publication on USASpending.gov.

### **FY 2020**

A full-year 2020 apportionment for this account was enacted at the time the budget was prepared (HR 1865, Division A – *Departments Of Labor, Health And Human Services, and Education, And Related Agencies Appropriations Act, 2020*). The amounts included for 2020 reflect the full-year appropriation bill that was enacted.

### **Investigative Programs and Audits**

The OIG continues to focus on fraud against Departmental programs, such as UI, FECA, and FLC Programs. The OIG also continues to investigate labor racketeering and/or organized crime influence or control in unions, employee benefit plans, and the workplace.

#### **Foreign Labor Certification (FLC)**

OIG investigations concentrate on fraud that facilitates the submission of falsified labor related visa applications, denies U.S. citizens opportunities for employment, deprives honest immigrants opportunities for advancement, or threatens the security of the U.S. and its citizens. OIG efforts

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have identified unscrupulous attorneys, accountants, labor brokers, employers, and their associates, some with suspected non-traditional organized crime connections, as prominent threats to the integrity of the FLC programs administered by the Department.

In one recent example, the Wright State University entered into a non-prosecution agreement for visa offenses with the U.S. Attorney's Office for the Southern District of Ohio. In the agreement, the university's board of trustees accepted responsibility for H-1B visa fraud offenses on behalf of Wright State and agreed to pay the U.S. government \$1 million. In a recent audit, the OIG found that the Employment and Training Administration did not provide reasonable assurance that Technical Skills Training grantees delivered training that resulted in participants' obtaining and retaining jobs in H-1B occupations.

### Office of Workers' Compensation Programs (OWCP) Medical Provider Fraud

OIG investigations focus on healthcare providers (doctors, clinics, pharmacists, physical therapists, etc.) who defraud OWCP programs. Recent investigations have focused on doctors and pharmacists who defraud OWCP programs by billing for services not rendered or medically necessary, charging multiple times for the same procedure, billing for non-existent illnesses or injuries, overcharging for services, and participating in kickback schemes. Another disturbing trend that the OIG has seen associated with pharmaceuticals in the FECA program is allegations of over-prescription and illegal distribution of opioids and other pain management medications. The OIG has also seen a significant increase in the number of allegations received involving opioids. Opioid-related investigations are a top priority for the OIG and our law enforcement partners.

In one recent example, a New York pharmaceutical sales representative who marketed compounded medications pleaded guilty to defrauding multiple health care benefit programs of \$5.8 million. In another OIG investigation, an Arkansas woman was sentenced to 12 months in prison and ordered to pay more than \$26 million in restitution to OWCP for her role in a scheme to defraud FECA.

### **Worker and Retiree Benefits Programs**

#### Fighting Fraud Involving Opioids in the FECA Program

In FY 2020, the OIG continues to work with OWCP and other OIGs that face similar problems with opioids in an effort to identify best practices and programmatic changes that reduced susceptibility to fraud.

In one recent example of the OIG's work, a recent audit examined whether the OWCP effectively managed the use and cost of pharmaceuticals in the FECA program. The OIG determined that OWCP's policy on opioids was too permissive, and OWCP had not developed sufficient controls to prevent claimants from becoming addicted to opioids.

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### FECA Second Opinion and Referee Medical Exams

In a recent audit, OIG found that OWCP's procedures for second opinion and referee medical examinations were designed to provide reasonable assurance that the Agency used qualified and impartial second opinion and referee physicians.

### Worker Safety and Workers' Rights

In FY 2019, the OIG found that OSHA did not establish adequate procedures for issuing guidance documents, and that the procedures that were established were mostly not followed. The OIG recommended the Principal Deputy Assistant Secretary for OSHA: improve procedures; monitor compliance with procedures; and train officials and staff as necessary. OSHA agreed that significant lapses occurred in the guidance issuance process, and it is working to rectify its existing procedures.

In the area of mine safety and health, an audit of MSHA's Civil Monetary Penalties (CMP) program determined that MSHA did not demonstrate that the program deterred unsafe mine operations. The OIG found no correlation between penalties paid and the safety of mine operations. The OIG recommended MSHA develop metrics for CMP that will allow review and measurement of the effect of the CMP program on changing operator behavior to deter unsafe mine operations and implement controls to ensure operators are in good standing prior to assigning legal identification numbers for new mines. In responding to the OIG's draft report, MSHA highlighted the difficulties in implementing the recommendations. The OIG will work with MSHA on identifying actions to remediate the issues.

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| <b>WORKLOAD AND PERFORMANCE SUMMARY</b>                     |                            |               |                            |                            |
|---|----------------------------|---------------|----------------------------|----------------------------|
|   | <b>FY 2019<br/>Enacted</b> |               | <b>FY 2020<br/>Enacted</b> | <b>FY 2021<br/>Request</b> |
|   | <b>Target</b>              | <b>Result</b> | <b>Target</b>              | <b>Target</b>              |
| <b>OIG Program Activity</b>                                 |                            |               |                            |                            |
| <b>Strategic Goal ALL - All Strategic Goals</b>             |                            |               |                            |                            |
| <b>Strategic Objective ALL.1 - All Strategic Objectives</b> |                            |               |                            |                            |
| <b>Audits</b>   |                            |               |                            |                            |
| OIG Audits  | 25                         | 22            | 25                         | 25                         |
| OIG Investigations  | 225                        | 249           | 225                        | 225                        |

Legend: (r) Revised (e) Estimate (base) Baseline -- Not Applicable TBD - To Be Determined [p] - Projection

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| <b>BUDGET ACTIVITY BY OBJECT CLASS</b> |  |                            |                            |                            |  |
|--|--|----------------------------|----------------------------|----------------------------|--|
| (Dollars in Thousands)                 |  |                            |                            |                            |  |
|  |  | <b>FY 2019<br/>Enacted</b> | <b>FY 2020<br/>Enacted</b> | <b>FY 2021<br/>Request</b> | <b>Diff. FY21<br/>Request /<br/>FY20<br/>Enacted</b> |
| 11.1                                   | Full-time permanent                                  | 40,184                     | 41,450                     | 42,315                     | 865  |
| 11.3                                   | Other than full-time permanent                       | 1,473                      | 1,473                      | 1,473                      | 0  |
| 11.5                                   | Other personnel compensation                         | 4,495                      | 4,495                      | 4,495                      | 0  |
| 11.8                                   | Special personal services payments                   | 0                          | 0                          | 0                          | 0  |
| 11.9                                   | <b>Total personnel compensation</b>                  | <b>46,152</b>              | <b>47,418</b>              | <b>48,283</b>              | <b>865</b>   |
| 12.1                                   | Civilian personnel benefits                          | 18,143                     | 18,040                     | 18,323                     | 283  |
| 21.0                                   | Travel and transportation of persons                 | 2,430                      | 2,430                      | 2,430                      | 0  |
| 22.0                                   | Transportation of things                             | 0                          | 0                          | 0                          | 0  |
| 23.1                                   | Rental payments to GSA                               | 5,552                      | 4,920                      | 4,920                      | 0  |
| 23.2                                   | Rental payments to others                            | 100                        | 108                        | 108                        | 0  |
| 23.3                                   | Communications, utilities, and miscellaneous charges | 700                        | 700                        | 700                        | 0  |
| 24.0                                   | Printing and reproduction                            | 14                         | 14                         | 14                         | 0  |
| 25.1                                   | Advisory and assistance services                     | 4,430                      | 5,494                      | 5,494                      | 0  |
| 25.2                                   | Other services from non-Federal sources              | 660                        | 1,220                      | 2,305                      | 1,085  |
| 25.3                                   | Other goods and services from Federal sources 1/     | 8,606                      | 8,444                      | 8,444                      | 0  |
| 25.4                                   | Operation and maintenance of facilities              | 10                         | 10                         | 10                         | 0  |
| 25.7                                   | Operation and maintenance of equipment               | 386                        | 386                        | 386                        | 0  |
| 26.0                                   | Supplies and materials                               | 380                        | 380                        | 380                        | 0  |
| 31.0                                   | Equipment  | 1,830                      | 1,530                      | 1,965                      | 435  |
| 41.0                                   | Grants, subsidies, and contributions                 | 0                          | 0                          | 0                          | 0  |
| 42.0                                   | Insurance claims and indemnities                     | 64                         | 64                         | 64                         | 0  |
|  | <b>Total</b>   | <b>89,457</b>              | <b>91,158</b>              | <b>93,826</b>              | <b>2,668</b>   |
|  |  |                            |                            |                            |  |
|  | 1/Other goods and services from Federal sources      |                            |                            |                            |  |
|  | Working Capital Fund                                 | 7,365                      | 7,203                      | 7,203                      | 0  |
|  | DHS Services   | 621                        | 621                        | 621                        | 0  |
|  | HHS Services   | 310                        | 310                        | 310                        | 0  |
|  | Services by Other Government Departments             | 310                        | 310                        | 310                        | 0  |

# OFFICE OF INSPECTOR GENERAL PROGRAM ACTIVITY

## CHANGES IN FY 2021

(Dollars in Thousands)

### Activity Changes

#### Built-In

To Provide For:

|  |       |
|--|-------|
| Costs of pay adjustments                             | \$725 |
| Personnel benefits                                   | 0     |
| Employee health benefits                             | 0     |
| One day less of Pay                                  | -236  |
| Federal Employees' Compensation Act (FECA)           | 138   |
| Travel and transportation of persons                 | 0     |
| Transportation of things                             | 0     |
| Rental payments to GSA                               | 0     |
| Rental payments to others                            | 0     |
| Communications, utilities, and miscellaneous charges | 0     |
| Printing and reproduction                            | 0     |
| Advisory and assistance services                     | 0     |
| Other services from non-Federal sources              | 0     |
| Working Capital Fund                                 | 0     |
| Other Federal sources (DHS Charges)                  | 0     |
| Other goods and services from Federal sources        | 0     |
| Operation and maintenance of facilities              | 0     |
| Operation and maintenance of equipment               | 0     |
| Supplies and materials                               | 0     |
| Equipment  | 0     |
| Grants, subsidies, and contributions                 | 0     |
| Insurance claims and indemnities                     | 0     |

**Built-Ins Subtotal** **\$627**

**Net Program** **\$2,041**

**Direct FTE** **2**

|                         | Estimate        | FTE        |
|-------------------------|-----------------|------------|
| <b>Base</b>             | <b>\$91,785</b> | <b>334</b> |
| <b>Program Increase</b> | <b>\$2,041</b>  | <b>2</b>   |
| <b>Program Decrease</b> | <b>\$0</b>      | <b>0</b>   |