

ETA - DOL eGrants

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/11/2006
Agency	Department of Labor
Bureau	ETA - OTIS
Name of this Capital Asset	ETA - DOL eGrants
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Operations and Maintenance

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

Through re-use, extension of the EBSS and purchasing commercial-off-the-shelf (COTS) software to bridge gaps in functionality, a more cost effective approach to developing a comprehensive eGrants solution is achieved. eGrants will: (1) ensure reliable and accurate department-wide grant-related financial information through direct electronic interface to internal procurement and financial systems; (2) reduce program office and administrative burden associated with the current grants management process by reducing paper and supporting automatic routing of grant packages; (3) provide a single, secure, web-based user interface across all agencies; (4) redefine and consolidate business processes, eliminating redundant applications through the integration of a workflow tool; (5) improve customer service through easy access to needed grant-related information for both internal and external stakeholders; (6) provide expanded, flexible, management reporting capabilities for both internal users and the granted community; (7) provide a way to track grant progress; and (8) automate the grant writing process to facilitate consistency, eliminate errors, and speed publication. An eGrants system is critical for DOL to engage in the eGov E-Grants Storefront. The eGov E-Grants Storefront, one of the major Presidential Priority Initiatives, is intended to transform the Federal grants environment through a combination of process simplification, data standardization, and the creation of an electronic process. The eGrants system will address current Joint Financial Management Improvement Program (JFMIP) requirements for grants systems, which constitute a compliance requirement under the Federal Financial Management Improvement Act (FFMIA). The intent is to improve the efficiency of the Grants process and to transform DOL grants processing into an electronic DOL format.

E-Grants is in complete support of the President Management Agenda on E-Gov initiatives. E-Grants will streamline the grant process by reducing eight separate DOL grant processes into one unified system. This unification will create for the first time one central grant repository of information for the Department of Labor. This initiative will also satisfy a wide range of Federal mandates. In addition, E-Grants conforms to the 14 step GMLOB grant process model.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	6/29/2006
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets	Yes

(including computers)?

Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Budget Performance Integration, Expanded E-Government, Financial Performance

Is this investment for information technology? Yes

Briefly describe how this asset directly supports the identified initiative(s): eGrants directly supports the Presidents Management Agenda; 3) Improved Financial Performance, 4) Expanded Electronic Government and 5) Budget and Performance Integration. The eGrants will improve the DOLs financial performance by improving grant post award processing. The eGrants will modify the existing manual grants process to enable the electronic review and processing of financial and programmatic changes to the grant.

For information technology investments only:

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? Yes

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Total Budgetary Resources	6.5	0.6	1.2	1.8

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section D: Performance Information (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section E: Security and Privacy (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes

If "yes," please describe.

The DOL E-Grants system interfaces with the HHS Grants.gov system. The function of Grants.gov is to

provide federal grant applicants with a simple, unified Storefront through which they can search for funding opportunities, download grant opportunity packages, and submit completed applications. The DOL E-Grants system automatically posts grant announcement packages on Grants.gov and receives the corresponding grant applications electronically. Thus reducing the time to process the grant application and improving the ability to track and manage the grant opportunities.

Does this investment provide the public with access to a government automated information system? No

If "yes," does customer access require specific software (e.g., a specific web browser version)?

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Part III: For "Operation and Maintenance" Investments Only (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 2/13/2006

Section B: Cost and Schedule Performance (All Capital Assets)

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