

ETA - DFCL Automated Systems

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information and Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/11/2006
Agency	Department of Labor
Bureau	Employment and Training Administration
Name of this Capital Asset	ETA - DFCL Automated Systems
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Operations and Maintenance

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Office of Foreign Labor Certification (OFLC) provides national leadership and policy guidance to carry out the responsibilities of the Secretary of Labor under the Immigration and Nationality Act, as amended, concerning foreign workers seeking admission to the United States for employment. OFLC provides labor certifications to employers seeking to bring foreign workers into the United States. Certification may be obtained in cases where it can be demonstrated that there are insufficient qualified U.S. workers available and willing to perform the work at wages that meet or exceed the prevailing wage paid for the occupation in the area of intended employment.

This initiative addresses the automation and technical support needs encompassing multiple sub-systems supporting various Foreign Labor Certification (FLC) programs. These programs comply with different regulatory requirements and are each subject to possible changes with relatively short notice. The FLC system supports four different programs areas: 1) H-1B (Nonimmigrants in Specialty Occupations), 2) H-2A (Temporary Agricultural Employment and Services of a Temporary or Seasonal Nature), 3) Permanent Employment of Aliens in the U.S. and the Permanent Backlog System (created to eliminate existing backlogged caseloads), and 4) H-2B (Temporary Non-Agricultural Employment).

Automation support allows employers and/or their attorney representatives to complete and submit on-line applications. If they register, they can re-use large portions of previously submitted applications and store repetitive information that is used to pre-populate data fields for new applications. Case management features speed the review and processing of cases and provide a central database that can be mined for valuable workforce data. These systems meet GPEA compliance by providing the public with an automated method for filling-out and submitting applications. The case management functions have allowed ETA to meet statutory timelines for processing applications.

The investment is in Operations and Maintenance mode. The investment is under control in undergoes internal cost and performance quarterly reviews.

ETA is part of DOL's Enterprise Architecture, and the FLC program portfolio is identified in ETA's Enterprise Architecture Target and Transition Sequencing Plan documents as an independent function. The Target EA is mapped to all Federal EA reference models.

Did the Agency's Executive/Investment Committee approve this request? Yes

If "yes," what was the date of this approval?	6/29/2006
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	This System provides on-line labor condition applications for employers to be able to complete and register forms electronically (with field and cross-field edits), re-use parts for applications (pre-filled data fields), and get online status updates for the review process. Supports the PMA especially the focus on E-government: The federal government can secure greater services at lower cost through electronic government (E-government), and can meet high public demand for E-government

For information technology investments only:

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
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If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
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Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes
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Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All

amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Total Budgetary Resources	7.52	0.94	1.5	1.575

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section D: Performance Information (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section E: Security and Privacy (IT Capital Assets Only)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA No

Transition Strategy?

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

If "yes," please describe.

Does this investment provide the public with access to a government automated information system? Yes

If "yes," does customer access require specific software (e.g., a specific web browser version)? No

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Part III: For "Operation and Maintenance" Investments Only (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 3/1/2006

Section B: Cost and Schedule Performance (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***