

DOL e-Judication

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/11/2006
Agency	Department of Labor
Bureau	Departmental Management
Name of this Capital Asset	DOL e-Judication
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Full Acquisition

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The e-Judication project is a product of collaborative effort of the Department's five partnering agencies: Office of the Solicitor (SOL) and the adjudicatory agencies: Office of Administrative Law Judges (OALJ), the Administrative Review Board (ARB), the Benefits Review Board (BRB), and the Employees' Compensation Appeals Board (ECAB). The core goal of this effort is consistent with meeting and adhering to the Presidential Management Agenda's (PMA) goals of making government "citizen centered, results oriented and market based." Furthermore, based on the existing e-Judication Enterprise Architecture (EA), the initiative conforms to the Litigation and Judicial lines of business. To meet those goals, e-Judication will streamline and integrate the existing business processes of the five partnering agencies (totaling over 1000 internal users) into a fully web-enabled system. The goals of this effort are to simplify and enhance the delivery of services to the general public inclusive of roughly 15,000+ interested parties to pending matters, reduce costs by consolidating and eliminating/upgrading redundant systems, and encourage transparency by streamlining all aspects of communication (Government to Citizen, Citizen to Government and Government to Business). By improving information-technology management, leveraging existing GOTS software components, simplifying business process and unifying information flows across lines of business, e-Judication will provide better quality service to the citizen, reduce redundant costs, allow for readier access to government services and make the partnering agencies more transparent and accountable.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	6/30/2006
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	No
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
If "yes," is an ESPC or UESC being used to help fund this investment?	No

If "yes," will this investment meet sustainable design principles?	No
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	

For information technology investments only:

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	Yes
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Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	No
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Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Total Budgetary Resources	1.672	1.3	1.5	2

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

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Section D: Performance Information (All Capital Assets)

Sensitive Data

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Section E: Security and Privacy (IT Capital Assets Only)

Sensitive Data

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Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes.

If "yes," please describe.

The e-Judication project has requested to obtain the Office of Administrative Law Judges' (OALJ) Case Tracking System (OALJ CTS) which is a GOTS (Government off-the-shelf) system. Modules developed and used by OALJ as part of its Case Tracking System will provide critical functionality when integrated into the e-Judication solution.

The OALJ CTS for which a copy will be provided to the e-Judication project at no cost include the following:

1. The Case Database - a Microsoft SQL Server database container comprised of the following:
 - a. The Case Database Structure Definition (Database, Tables, Fields, Data Views, Triggers, Stored Procedures, and Jobs).
 - b. The WebClass Database structure.
 - c. The QueueApp database structure
 - d. The FoxPub database structure
 - e. The WebReports database structure
 - f. The ESRModule database structure
 - g. Application configuration tables populated with data records required for the CTS system to operate

2. CTS Portal - a web application module providing user login access and CTS module selection functions.
3. CTS Admin - a web application module providing Application Administration, Case docketing, Case Management, Query, and other application functions.
4. DMS2 - a web application module that provides Document Issuance automation and functions.
5. QueueManager - a server application module that performs document processing and conversion functions.
6. WebFile - a SQL Server Job module that automatically publishes the appropriate case documents to a public web site.
7. WebReportsEngine - a web application and web service that provides a web based report execution environment for reports developed for Crystal Reports or custom report execution modules.

Does this investment provide the public with access to a government automated information system?	Yes
If "yes," does customer access require specific software (e.g., a specific web browser version)?	No
If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).	

Part II: Planning, Acquisition, and Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project?	Yes
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Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes	
What is the date of the risk management plan?	7/20/2006

Section C: Cost and Schedule Performance (All Capital Assets)

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