United States Department of Labor Employees' Compensation Appeals Board

J.H., Appellant)	
u.S. POSTAL SERVICE, NORTH METRO PROCESSING & DISTRIBUTION CENTER, Duluth, GA, Employer))))))	Docket No. 22-1113 Issued: March 7, 2023
Appearances: Appellant, pro se	,	Case Submitted on the Record

DECISION AND ORDER

Before:

PATRICIA H. FITZGERALD, Deputy Chief Judge JANICE B. ASKIN, Judge VALERIE D. EVANS-HARRELL, Alternate Judge

JURISDICTION

On July 18, 2022 appellant filed a timely appeal from a July 5, 2022 merit decision of the Office of Workers' Compensation Programs (OWCP). Pursuant to the Federal Employees' Compensation Act¹ (FECA) and 20 C.F.R. §§ 501.2(c) and 501.3, the Board has jurisdiction over the merits of this case.²

Office of Solicitor, for the Director

¹ 5 U.S.C. § 8101 et seq.

² The Board notes that, following the July 5, 2022 decision, OWCP received additional evidence. However, the Board's *Rules of Procedure* provides: "The Board's review of a case is limited to the evidence in the case record that was before OWCP at the time of its final decision. Evidence not before OWCP will not be considered by the Board for the first time on appeal." 20 C.F.R. § 501.2(c)(1). Thus, the Board is precluded from reviewing this additional evidence for the first time on appeal. *Id*.

ISSUE

The issue is whether appellant has met her burden of proof to establish an injury in the performance of duty on May 6, 2022, as alleged.

FACTUAL HISTORY

On May 23, 2022 appellant, then a 66-year-old distribution clerk, filed a traumatic injury claim (Form CA-1) alleging that at 5:00 a.m. on May 6, 2022, she lost balance on a stairwell and fell, bruising her right forearm and right knee. Her regular tour of duty was from 8:30 p.m. through 5:00 a.m. On the reverse side of the claim form, a supervisor indicated that appellant claimed that she had been injured at 5:00 a.m., went home, and returned at 10:00 a.m. stating that she had fallen. When asked why she did not report it at that time, appellant stated that she was ready to go home.

In a development letter dated June 1, 2022, OWCP informed appellant of the deficiencies of her claim. It advised her of the type of factual and medical evidence needed and provided a questionnaire for her completion. OWCP afforded appellant 30 days to submit the necessary evidence.

In reports dated May 6 and 10, 2022 a physician assistant, and medical assistant noted appellant's history that on May 6, 2022 she had lost her balance on the corner of a step, fallen and hit her right hand and knee that day. Appellant's diagnoses were listed as contusion of the right wrist and knee, and restrictions were provided.

In a report dated May 24, 2022, Dr. Brandon Dawkins, Board-certified in occupational medicine, noted appellant's history of the claimed May 6, 2022 injury as appellant sustained right wrist and knee injuries that morning when she lost her balance on the stairs and fell. He noted appellant's diagnoses as contusion to the wrist and knee, with differential diagnoses of fracture, tear, and degenerative disease.

By decision dated July 5, 2022, OWCP denied appellant's claim, finding that the factual evidence of record was insufficient to establish that the employment incident occurred as alleged. It concluded, therefore, that the requirements had not been met to establish an injury as defined by FECA.

LEGAL PRECEDENT

An employee seeking benefits under FECA³ has the burden of proof to establish the essential elements of his or her claim, including the fact that the individual is an employee of the United States within the meaning of FECA, that the claim was timely filed within the applicable time limitation period of FECA, ⁴ that an injury was sustained in the performance of duty as alleged, and that any disability or medical condition for which compensation is claimed is causally related

³ 5 U.S.C. § 8101 *et seq*.

⁴ *J.P.*, Docket No. 19-0129 (issued April 26, 2019); *S.B.*, Docket No. 17-1779 (issued February 7, 2018); *Joe D. Cameron*, 41 ECAB 153 (1989).

to the employment injury.⁵ These are the essential elements of each and every compensation claim, regardless of whether the claim is predicated upon a traumatic injury or an occupational disease.⁶

To determine whether a federal employee has sustained a traumatic injury in the performance of duty, it must first be determined whether fact of injury has been established.⁷ Fact of injury consists of two components that must be considered in conjunction with one another. First, the employee must submit sufficient evidence to establish that he or she actually experienced the employment incident at the time and place, and in the manner alleged.⁸ Second, the employee must submit sufficient evidence to establish that the employment incident caused a personal injury.⁹

An injury does not have to be confirmed by eyewitnesses in order to establish the fact that an employee sustained an injury in the performance of duty, but the employee's statements must be consistent with the surrounding facts and circumstances and his or her subsequent course of action. The employee has not met his or her burden of proof to establish the occurrence of an injury when there are inconsistencies in the evidence that cast serious doubt upon the validity of the claim. Such circumstances as late notification of injury, lack of confirmation of injury, continuing to work without apparent difficulty following the alleged injury, and failure to obtain medical treatment may, if otherwise unexplained, cast serious doubt on an employee's statements in determining whether a *prima facie* case has been established. An employee's statements alleging that an injury occurred at a given time and in a given manner is of great probative value and will stand unless refuted by strong or persuasive evidence.

ANALYSIS

The Board finds that appellant has met her burden of proof to establish that a traumatic incident occurred in the performance of duty on May 6, 2022, as alleged.

The record establishes that on May 6, 2022, appellant lost her balance on a stairwell and fell. Appellant provided a consistent history of injury to her supervisor and to her medical providers, who also began treating her on May 6, 2022, the alleged date of injury. As noted above, the injury does not have to be confirmed by eyewitnesses in order to establish the fact that an

 $^{^5}$ J.M., Docket No. 17-0284 (issued February 7, 2018); R.C., 59 ECAB 427 (2008); James E. Chadden, Sr., 40 ECAB 312 (1988).

⁶ R.R., Docket No. 19-0048 (issued April 25, 2019); L.M., Docket No. 13-1402 (issued February 7, 2014); Delores C. Ellyett, 41 ECAB 992 (1990).

⁷ E.M., Docket No. 18-1599 (issued March 7, 2019); T.H., 59 ECAB 388, 393-94 (2008).

⁸ L.T., Docket No. 18-1603 (issued February 21, 2019); Elaine Pendleton, 40 ECAB 1143 (1989).

⁹ B.M., Docket No. 17-0796 (issued July 5, 2018); John J. Carlone, 41 ECAB 354 (1989).

¹⁰ M.F., Docket No. 18-1162 (issued April 9, 2019); Charles B. Ward, 38 ECAB 667, 67-71 (1987).

¹¹ Betty J. Smith, 54 ECAB 174 (2002); L.D., Docket No. 16-0199 (issued March 8, 2016).

¹² See M.C., Docket No. 18-1278 (issued March 7, 2019); D.B., 58 ECAB 464, 466-67 (2007).

employee sustained an injury in the performance of duty, but the employee's statements must be consistent with the surrounding facts and circumstances and his or her subsequent course of action. An employee's statements alleging that an injury occurred at a given time and in a given manner is of great probative value and will stand unless refuted by strong or persuasive evidence. Appellant has consistently maintained that she lost her balance on a staircase at work and fell on May 6, 2022. The Board, thus, finds that appellant has met her burden of proof to establish that the May 6, 2022 employment incident occurred in the performance of duty, as alleged.

As appellant has established that the May 6, 2022 employment incident occurred as alleged, the question becomes whether the incident caused an injury. ¹⁵ As OWCP found that she had not established fact of injury, it did not evaluate the medical evidence. The case must, therefore, be remanded for consideration of the medical evidence of record. ¹⁶ After any further development deemed necessary, OWCP shall issue a *de novo* decision addressing whether appellant has met her burden of proof to establish an injury causally related to the accepted May 6, 2022 employment incident.

CONCLUSION

The Board finds that appellant has met her burden of proof to establish that a traumatic incident occurred in the performance of duty on May 6, 2022, as alleged. The Board further finds that the case is remanded to determine whether appellant sustained an injury causally related to the accepted May 6, 2022 employment incident.

¹³ Supra note 10.

¹⁴ Supra note 12.

¹⁵ See M.A., Docket No. 19-0616 (issued April 10, 2020); C.M., Docket No. 19-0009 (issued May 24, 2019).

¹⁶ S.M., Docket No. 16-0875 (issued December 12, 2017).

<u>ORDER</u>

IT IS HEREBY ORDERED THAT the July 5, 2022 decision of the Office of Workers' Compensation Programs is reversed in part and set aside in part. The case is remanded for further proceedings consistent with this decision of the Board.

Issued: March 7, 2023 Washington, DC

Patricia H. Fitzgerald, Deputy Chief Judge Employees' Compensation Appeals Board

Janice B. Askin, Judge Employees' Compensation Appeals Board

Valerie D. Evans-Harrell, Alternate Judge Employees' Compensation Appeals Board