United States Department of Labor Employees' Compensation Appeals Board

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E.K., Appellant)
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and)
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DEPARTMENT OF HOMELAND SECURITY,)
U.S. CUSTOMS AND BORDER PROTECTION,)
Dallas, TX, Employer)
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Docket No. 21-1092 Issued: June 6, 2023

Case Submitted on the Record

Appearances: Appellant, pro se Office of Solicitor, for the Director

ORDER REMANDING CASE

<u>Before:</u> ALEC J. KOROMILAS, Chief Judge PATRICIA H. FITZGERALD, Deputy Chief Judge JAMES D. McGINLEY, Alternate Judge

On July 13, 2021 appellant filed a timely appeal from a June 29, 2021 merit decision of the Office of Workers' Compensation Programs (OWCP).¹ The Clerk of the Appellate Boards assigned Docket No. 21-1092.

On May 24, 2021 appellant, then a 55-year-old customs and border patrol agent, filed a traumatic injury claim (Form CA-1) alleging that on May 1, 2021 he tested positive for the COVID-19 virus, which he contracted on April 22, 2021 while in the performance of duty. He asserted that he was hospitalized from May 6 through 7, 2021 due to his symptoms. On the reverse side of the claim form, an employing establishment supervisor acknowledged that appellant was injured in the performance of duty. Appellant stopped work on April 22, 2021 and returned to work on May 24, 2021.

¹ The Board notes that, following the June 29, 2021 decision, OWCP received additional evidence. However, the Board's *Rules of Procedure* provides: "The Board's review of a case is limited to the evidence in the case record that was before OWCP at the time of its final decision. Evidence not before OWCP will not be considered by the Board for the first time on appeal." 20 C.F.R. § 501.2(c)(1). Thus, the Board is precluded from reviewing this additional evidence for the first time on appeal. *Id*.

Accompanying the claim form, appellant submitted a May 1, 2021 respiratory panel, which detected the presence of COVID-19, and indicated that other coronaviruses were not detected.

In a May 5, 2021 treatment note, Dr. Justin Northeim, a Board-certified osteopathic physician, recounted appellant's symptoms of fever and shortness of breath and diagnosed COVID-19.

On May 7, 2021 appellant underwent chest x-rays following a clinical history of cough, fever, and COVID-19. This study demonstrated bronchopneumonia.

In a May 23, 2021 note, Wissam Noubani, a family medicine physician assistant, reported appellant's positive COVID-19 test results from May 1, 2021 and found that he had recovered from his COVID-19 symptoms.

By decision dated June 29,2021, OWCP denied appellant's traumatic injury claim, finding that the medical evidence of record was insufficient to establish a diagnosis of COVID-19. It concluded, therefore, that the requirements had not been met to establish an injury under the Federal Employees' Compensation Act (FECA).²

The Board, having duly considered the matter, finds that this case is not in posture for decision.

An employee seeking benefits under FECA³ has the burden of proof to establish the essential elements of his or her claim, including that the individual is an employee of the United States within the meaning of FECA, that the claim was timely filed within the applicable time limitation of FECA,⁴ that an injury was sustained in the performance of duty as alleged, and that any disability or medical condition for which compensation is claimed is causally related to the employment injury.⁵ These are the essential elements of each and every compensation claim, regardless of whether the claim is predicated upon a traumatic injury or an occupational disease.⁶

Under section 4016 of the American Rescue Plan Act (ARPA) of 2021⁷ any claim made for COVID-19 by or on behalf of a "covered employee" for benefits under FECA will be deemed to have an injury proximately caused by exposure to COVID-19 arising out of the nature of the covered employee's employment. A "covered employee" is defined by ARPA as an employee under 5 U.S.C. § 8101(a) and employed in the federal service at any time during the period

 3 Id.

⁴ *F.H.*, Docket No. 18-0869 (issued January 29, 2020); *J.P.*, Docket No. 19-0129 (issued April 26, 2019); *JoeD. Cameron*, 41 ECAB 153 (1989).

⁵ L.C., Docket No. 19-1301 (issued January 29, 2020); J.H., Docket No. 18-1637 (issued January 29, 2020); James E. Chadden, Sr., 40 ECAB 312 (1988).

⁶ P.A., Docket No. 18-0559 (issued January 29, 2020); K.M., Docket No. 15-1660 (issued September 16, 2016); Delores C. Ellyett, 41 ECAB 992 (1990).

⁷ Public Law 117-2 (March 11, 2021).

² 5 U.S.C § 8101 *et seq*.

beginning on January 27, 2020 and ending on January 27, 2023. A "covered employee" prior to a diagnosis of COVID-19 must have carried out duties that required a physical interaction with at least one other person (a patient, member of the public, or a coworker); or was otherwise subject to a risk of exposure to COVID-19.8

Exposure to COVID-19 alone is not sufficient to establish a work-related medical condition. Manifestation of COVID-19 must occur within 21 days of the covered exposure.⁹ To establish a diagnosis of COVID-19, a claimant must submit the following: (1) a positive Polymerase Chain Reaction (PCR) or Antigen COVID-19 test result; (2) a positive Antibody test result, together with contemporaneous medical evidence that the claimant had documented symptoms of and/or was treated for COVID-19 by a physician (a notice to quarantine is not sufficient if there was no evidence of illness); or (3) if no positive laboratory test is available, a COVID-19 diagnosis from a physician together with rationalized medical opinion supporting the diagnosis and an explanation as to why a positive test result is not available. Self-administered COVID-19 testing is insufficient to establish a diagnosis of COVID-19 under FECA unless the administration of the self-test is monitored by a medical professional and the results are verified through documentation submitted by such professional.¹⁰

Paragraph 2 of FECA Bulletin No. 21-10 provides, *inter alia*, "The FECA program will review all COVID-19 claims previously denied in accordance with the guidance provided in FECA Bulletin 21-09 based on the submission of an antigen test without contemporaneous medical to determine if the claim can now be accepted. This will occur without a request from the claimant. If the FECA program determines that the case can now be accepted under the ARPA, the case will be reopened under the Director's own motion under Section 8128(a) of the FECA, and the case will be accepted. If this occurs, the claimant and employing agency will be notified."

In light of the above-noted amendments, OWCP did not take into consideration all of the applicable criteria for establishing a diagnosis of COVID-19 when it denied appellant's claim. This case shall therefore be remanded for consideration and application of FECA Bulletin Nos. 21-09, 21-10, and 22-06 with regard to appellant's claim for COVID-19.¹¹ Following this and other such further development as deemed necessary, OWCP shall issue a *de novo* decision. Accordingly,

⁸ ARPA, *id.*; FECA Bulletin No. 21-09 (issued April 28, 2021).

⁹ FECA Bulletin No. 21-09 (issued April 28, 2021).

¹⁰ FECA Bulletin Nos. 21-09 (issued April 28, 2021), 21-10 (issued August 17, 2021), and 22-06 (issued February 16, 2022). FECA Bulletin No. 21-10 amended FECA Bulletin No. 21-09 in part to allow for a positive Antigen COVID-19 test result. FECA Bulletin No. 22-06 amended FECA Bulletin Nos. 21-09 and 21-10 to update COVID-19 claims processing guidelines relating to reinfection and home tests.

¹¹ See e.g., Order Remanding Case, K.C., Docket No. 22-1066 (issued December 23, 2022), Order Remanding Case, G.C., Docket No. 21-1016 (issued September 27, 2022) (the Board remanded for proper application of FECA Bulletin No. 21-09).

IT IS HEREBY ORDERED THAT the June 29, 2021 decision of the Office of Workers' Compensation Programs is set aside and the case is remanded for further proceedings consistent with this order of the Board.

Issued: June 6, 2023 Washington, DC

> Alec J. Koromilas, Chief Judge Employees' Compensation Appeals Board

> Patricia H. Fitzgerald, Deputy Chief Judge Employees' Compensation Appeals Board

> James D. McGinley, Alternate Judge Employees' Compensation Appeals Board