United States Department of Labor  
Employees’ Compensation Appeals Board  

J.R., Appellant  

DEPARTMENT OF HOMELAND SECURITY,  
U.S. CUSTOMS & IMMIGRATION SERVICE,  
New York, NY, Employer  

Appellant, pro se  
Office of Solicitor, for the Director  

Appears:  

Case Submitted on the Record  

DECISION AND ORDER  

Before:  
CHRISTOPHER J. GODFREY, Chief Judge  
PATRICIA H. FITZGERALD, Deputy Chief Judge  
ALEC J. KOROMILAS, Alternate Judge  

JURISDICTION  

On May 25, 2017 appellant filed a timely appeal from a May 12, 2017 merit decision of the Office of Workers’ Compensation Programs (OWCP). Pursuant to the Federal Employees’ Compensation Act (FECA) and 20 C.F.R. §§ 501.2(c) and 501.3, the Board has jurisdiction over the merits of this case. 

ISSUE  

The issue is whether appellant met his burden of proof to establish a lumbar spine or right hip injury causally related to an accepted November 15, 2016 employment incident. 

FACTUAL HISTORY  

On November 21, 2016 appellant, then a 67-year-old immigration services analyst, filed a traumatic injury claim (Form CA-1) alleging that on November 15, 2016 he tripped and fell 

1 5 U.S.C. § 8101 et seq.
while moving equipment, striking his right hip on the edge of a chair seat and landing on his right hip. He experienced the immediate onset of pain radiating from his right hip into his right lower extremity. A witness corroborated his account of events. Appellant stopped work on November 15, 2016. It is unclear from the case record whether he returned to work.

Dr. Susan Park, an attending Board-certified internist, held appellant off work from November 15 to 18, 2016.

The employing establishment issued an authorization for examination and treatment (Form CA-16) on November 21, 2016. In the attending physician’s report of the Form CA-16, Dr. Park checked a box marked “yes” indicating that appellant had a prior history of back pain. She prescribed medication. Dr. Park discharged appellant from treatment effective November 29, 2016 and released him to light duty. She opined that appellant was totally disabled from work from November 15 to 29, 2016, and partially disabled from work from November 29 to December 5, 2016 or later. Dr. Park noted referring appellant to an orthopedist.

In a December 7, 2016 letter, OWCP notified appellant of the additional evidence needed to establish his claim, including a report from his attending physician diagnosing an injury or condition causally related to the November 15, 2016 fall, and explaining how that incident was competent to cause the diagnosed injury or condition. It afforded appellant 30 days to submit such evidence.

In response, appellant submitted a November 30, 2016 letter from Dr. Park, noting her November 15 and 22, 2016 examinations. Dr. Park explained that appellant had a “history of herniated discs, severe ankylosing spondylitis, and arthritis. Due to the fall, these conditions were exacerbated causing extreme pain.” Dr. Park instructed appellant to rest at home and take prescribed pain medication. In a November 30, 2016 note, she held appellant off work until December 22, 2016 as his herniated discs and ankylosing spondylitis had “worsened since his fall.”

Appellant also provided November 30, 2016 x-rays of the lumbar spine demonstrating “[c]onfluent syndemodyte formation with ossification of the anterior and posterior longitudinal ligaments consistent with ankylosing spondylitis,” degenerative disc space narrowing at L3-4, fusion of the sacroiliac joints, dextroscoliosis, and no evidence of fracture. November 30, 2016 x-rays of the right hip and pelvis demonstrated ankylosing spondylitis with fusion of the sacroiliac joints, and no evidence of fracture.

By decision dated January 11, 2017, OWCP denied appellant’s claim. It found that although he had established an employment incident on November 15, 2016, the medical evidence of record was insufficient to establish causal relationship as Dr. Park did not explain how and why the accepted fall would aggravate appellant’s preexisting lumbar and sacroiliac conditions or cause a new injury or condition.

On February 17, 2017 appellant requested reconsideration. He submitted a February 13, 2017 letter from Dr. Jenny Diep, an attending Board-certified rheumatologist and internist, noting that she had treated appellant since October 2015 for “chronic but quiescent” ankylosing spondylitis. Dr. Diep indicated that when appellant landed on his right lateral hip on
November 15, 2016, he experienced “pain and discomfort at the site of trauma, with radiation from his hip down to his mid-calf.” This pain limited appellant’s “ability to walk and sit for prolonged periods of time.” Dr. Diep opined that “this pain was directly related to his fall and not due to his ankylosing spondylitis, because he was not experiencing any pain before the time of his accident.”

By decision dated May 12, 2017, OWCP denied modification, finding that Dr. Diep failed to diagnose an injury or condition causally related to the accepted November 15, 2016 fall. It explained that pain or discomfort alone does not satisfy the medical aspect of the fact of injury determination.

**LEGAL PRECEDENT**

An employee seeking benefits under FECA\(^2\) has the burden of proof to establish the essential elements of his or her claim including the fact that the individual is an “employee of the United States” within the meaning of FECA, that the claim was timely filed within the applicable time limitation period of FECA, that an injury was sustained in the performance of duty as alleged, and that any specific condition and/or disability for which compensation is claimed are causally related to the employment injury.\(^3\)

To determine whether an employee sustained a traumatic injury in the performance of duty, OWCP begins with an analysis of whether fact of injury has been established. Generally, fact of injury consists of two components that must be considered conjunctively. First, the employee must submit sufficient evidence to establish that he or she actually experienced the employment incident that is alleged to have occurred.\(^4\) Second, the employee must submit sufficient evidence, generally only in the form of medical evidence, to establish that the employment incident caused a personal injury.\(^5\)

The medical evidence required to establish causal relationship is generally rationalized medical opinion evidence. Rationalized medical opinion evidence is medical evidence which includes a physician’s rationalized opinion on the issue of whether there is a causal relationship between the claimant’s diagnosed condition and the implicated employment factors. The opinion of the physician must be based on a complete factual and medical background of the claimant, must be one of reasonable medical certainty and must be supported by medical rationale explaining the nature of the relationship between the diagnosed condition and the specific employment factors identified by the claimant.\(^6\)

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\(^2\) *Id.*

\(^3\) *J.F.*, Docket No. 09-1061 (issued November 17, 2009).


ANALYSIS

Appellant claimed that he injured his right hip at work on November 15, 2016 while moving equipment he tripped and fell, striking his hip on the edge of a chair seat and landing on his right hip. OWCP accepted that this incident occurred at the time, place, and in the manner alleged, but denied the claim as the medical evidence of record was insufficient to establish causal relationship.

In support of his claim, appellant provided reports from Dr. Park dated November 15 to 30, 2016. Dr. Park opined that the November 15, 2016 fall exacerbated preexisting herniated discs, ankylosing spondylitis, and arthritis, causing extreme pain and disabling appellant from work from November 15 to December 22, 2016. She reiterated that appellant’s herniated disc and ankylosing spondylitis “worsened since his fall.” However, Dr. Park did not provide her medical reasoning explaining how the accepted mechanism of striking the edge of the chair then falling to the floor would have aggravated appellant’s preexisting disc herniations and ankylosing spondylitis. This lack of medical rationale reduces the probative value of Dr. Park’s opinion.\(^7\)

Dr. Diep, an attending Board-certified rheumatologist and internist, opined in a February 13, 2017 letter that the November 15, 2016 fall caused right hip and leg pain, superimposed on preexisting ankylosing spondylitis. However, pain is a symptom, not a specific medical diagnosis.\(^8\) Dr. Diep did not diagnose a distinct injury caused by the fall, or opine that the accepted work incident precipitated an objective worsening of ankylosing spondylitis. Her opinion is therefore insufficient to establish an injury causally related to the November 15, 2016 employment incident.\(^9\)

The Board notes that OWCP notified appellant by December 7, 2016 letter of the need to provide rationalized medical evidence from a physician supporting causal relationship. As appellant did not submit such evidence, the Board finds that he failed to meet his burden of proof.

On appeal appellant contends that the medical evidence supports that his claimed period of disability was causally related to the November 15, 2016 fall at work. As explained, the medical evidence does not contain a sufficient explanation of how and why the accepted work incident caused or aggravated any injury or condition.

Appellant may submit additional evidence or argument with a written request for reconsideration to OWCP within one year of the date of this merit decision, pursuant to 5 U.S.C. § 8128(a) and 20 C.F.R. §§ 10.605 through 10.607.

\(^7\) See Frank D. Haislah, 52 ECAB 457 (2001) (medical reports not containing rationale on causal relationship are entitled to little probative value); Jimmie H. Duckett, 52 ECAB 332 (2001).

\(^8\) C.L., Docket No. 17-0249 (issued June 22, 2017).

\(^9\) Id.
CONCLUSION

The Board finds that appellant failed to meet his burden of proof to establish a lumbar spine or right hip injury causally related to an accepted November 15, 2016 employment incident.

ORDER

IT IS HEREBY ORDERED THAT the decision of the Office of Workers’ Compensation Programs dated May 12, 2017 is affirmed.

Issued: October 11, 2017
Washington, DC

Christopher J. Godfrey, Chief Judge
Employees’ Compensation Appeals Board

Patricia H. Fitzgerald, Deputy Chief Judge
Employees’ Compensation Appeals Board

Alec J. Koromilas, Alternate Judge
Employees’ Compensation Appeals Board