

**United States Department of Labor  
Employees' Compensation Appeals Board**

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G.N., Appellant )

and )

DEPARTMENT OF THE TREASURY, )  
INTERNAL REVENUE SERVICE, )  
Holtsville, NY, Employer )

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**Docket No. 08-113  
Issued: April 7, 2008**

*Appearances:*  
*Appellant, pro se*  
*Office of Solicitor, for the Director*

*Case Submitted on the Record*

**DECISION AND ORDER**

Before:

DAVID S. GERSON, Judge  
MICHAEL E. GROOM, Alternate Judge  
JAMES A. HAYNES, Alternate Judge

**JURISDICTION**

On October 15, 2007 appellant filed a timely appeal from the Office of Workers' Compensation Programs' March 27 and July 17, 2007 merit decisions denying his traumatic injury claim. Pursuant to 20 C.F.R. §§ 501.2(c) and 501.3, the Board has jurisdiction over the merits of this case.

**ISSUE**

The issue is whether appellant has established that he sustained a traumatic injury on February 14, 2007.

**FACTUAL HISTORY**

On February 14, 2007 appellant, a 71-year-old customer service representative, filed a traumatic injury claim (Form CA-1) alleging that, on that date, he cut the palm of his right hand, when he slipped on an icy sidewalk on his way into work from the employee parking lot. The

employing establishment controverted the claim on the grounds that he did not provide any medical documentation to support an injury.

On February 20, 2007 the Office notified appellant that the evidence submitted was insufficient to establish his claim. It advised him to provide additional documentation, including a physician's opinion, supported by a medical explanation, as to how the reported work incident caused or aggravated the claimed injury.

In a narrative statement dated February 28, 2007, appellant informed the Office that he had not received treatment from a physician for the injuries sustained on February 14, 2007. He indicated that he fell on his hands and knees, with a bag in one hand and an open umbrella in the other, sustaining a small cut to the palm of his right hand. He noted that a lady in the parking lot gave him a napkin to absorb the blood, and a coworker gave him a band aid. Although his manager advised him to fill out an accident report, there was "no need for a doctor," because after a while, he "felt fine and [his] hand stopped bleeding."

By decision dated March 27, 2007, the Office denied appellant's claim. The Office accepted that appellant experienced a February 14, 2007 incident on federal property, but denied the claim on the grounds that he had failed to submit medical evidence to establish that he sustained an injury in connection with the incident.

On April 1, 2007 appellant requested a review of the written record.

By decision dated July 17, 2007, a hearing representative affirmed the March 27, 2007 decision. The representative found that appellant had failed to establish the fact of injury, as he had not submitted any medical evidence establishing that he had a diagnosed condition resulting from the February 14, 2007 work incident.

On appeal, appellant states that he is seeking compensation for time lost the day following the work incident.

### **LEGAL PRECEDENT**

An employee seeking benefits under the Federal Employees' Compensation Act has the burden of proof to establish the essential elements of the claim, including the fact that the individual is an employee of the United States within the meaning of the Act, that the claim was timely filed within the applicable time limitation period of the Act, that an injury was sustained in the performance of duty as alleged, and that any disability or specific condition for which compensation is claimed is causally related to the employment injury.<sup>1</sup> When an employee claims that he sustained a traumatic injury in the performance of duty, he must establish the fact of injury. First, he must submit sufficient evidence to establish that he experienced a specific event, incident or exposure at the time, place and in the manner alleged. Second, he must

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<sup>1</sup> *Robert Broome*, 55 ECAB 339 (2004); *see also Elaine Pendleton*, 40 ECAB 1143 (1989).

establish that such event, incident or exposure caused an injury, and this can generally be established only by medical evidence.<sup>2</sup>

The claimant has the burden of establishing by the weight of reliable, probative and substantial evidence that the condition for which compensation is sought is causally related to a specific employment incident or to specific conditions of employment.<sup>3</sup> Causal relationship is a medical issue and the medical evidence generally required to establish causal relationship is rationalized medical opinion evidence. Rationalized medical opinion evidence is medical evidence that includes a physician's rationalized opinion on whether there is a causal relationship between the claimant's diagnosed condition and the established incident or factor of employment. The opinion must be based on a complete factual and medical background of the claimant, must be one of reasonable medical certainty and must be supported by medical rationale explaining the nature of the relationship between the diagnosed condition and the established incident or factor of employment.<sup>4</sup>

An award of compensation may not be based on appellant's belief of causal relationship. Neither the mere fact that a disease or condition manifests itself during a period of employment, nor the belief that the disease or condition was caused or aggravated by employment factors or incidents is sufficient to establish a causal relationship.<sup>5</sup>

Office procedures provide that a case may be accepted without a medical report when the condition reported is a minor one which can be identified on visual inspection by a lay person; the injury was witnessed or reported promptly, and no dispute exists as to the fact of injury; and no time was lost from work due to disability.<sup>6</sup>

### ANALYSIS

The Office accepted that appellant was a federal employee, that he timely filed his claim for compensation benefits and that the workplace incident occurred as alleged. The issue, therefore, is whether the medical evidence of record is sufficient to establish that the employment incident caused an injury.

Appellant did not submit any medical evidence in support of his claim. He merely provided a narrative statement describing the February 14, 2007 incident. However, appellant's lay opinion does not constitute probative medical evidence.<sup>7</sup> There is no medical evidence of

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<sup>2</sup> *John J. Carlone*, 41 ECAB 354(1989).

<sup>3</sup> *Katherine J. Friday*, 47 ECAB 591, 594 (1996).

<sup>4</sup> *John W. Montoya*, 54 ECAB 306 (2003).

<sup>5</sup> *Dennis M. Mascarenas*, 49 ECAB 215, 218 (1997).

<sup>6</sup> Federal (FECA) Procedure Manual, Part 2 -- Claims, *Causal Relationship*, Chapter 2.805.3(d) (July 2000).

<sup>7</sup> *Gloria J. McPherson*, 51 ECAB 441 (2000).

record establishing that the work-related incident caused or aggravated any particular medical condition or disability. Accordingly, appellant has failed to establish the fact of injury.

Appellant stated that he suffered a cut on his right hand as a result of the February 14, 2007 work incident. The Board has held that the mere fact that a condition manifests itself during a period of employment does not raise an inference that there is a causal relationship between the two.<sup>8</sup> Neither the fact that the condition became apparent during a period of employment nor the belief that the condition was caused or aggravated by employment factors or incidents is sufficient to establish causal relationship.<sup>9</sup> Causal relationship must be substantiated by reasoned medical opinion evidence, which it is appellant's responsibility to submit. Therefore, appellant's belief that his condition was work related is not determinative.

Office procedures provide that a case may be accepted without a medical report when the condition reported is a minor one which can be identified on visual inspection by a lay person; the injury was witnessed or reported promptly, and no dispute exists as to the fact of injury; and no time was lost from work due to disability.<sup>10</sup> In this case, although the alleged injury was minor, there is no evidence of record, in the form of witness statements or otherwise, to document that an injury occurred or that it was promptly reported. Additionally, there is a dispute as to fact of injury, as the employing establishment controverted the case. Appellant states on appeal that he lost time from work the day following the February 14, 2005 incident. The Board finds, therefore, that a medical report is required under the circumstances of this case.

The Office advised appellant that it was his responsibility to provide a comprehensive medical report which described his symptoms, test results, diagnosis, treatment and the doctor's opinion, with medical reasons, on the cause of his condition. Appellant failed to submit appropriate medical documentation in response to the Office's request. As such, he has not met his burden of proof in establishing that he sustained an injury in the performance of duty causally related to factors of his federal employment.

### CONCLUSION

Appellant has not met his burden of proof to establish that he sustained a traumatic injury on February 14, 2007 causally related to his employment.

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<sup>8</sup> See *Joe T. Williams*, 44 ECAB 518, 521 (1993).

<sup>9</sup> *Id.*

<sup>10</sup> Federal (FECA) Procedure Manual, Part 2 -- Claims, *Causal Relationship*, Chapter 2.805.3(d) (July 2000).

**ORDER**

**IT IS HEREBY ORDERED THAT** the July 17 and March 27, 2007 decisions of the Office of Workers' Compensation Programs are affirmed.

Issued: April 7, 2008  
Washington, DC

David S. Gerson, Judge  
Employees' Compensation Appeals Board

Michael E. Groom, Alternate Judge  
Employees' Compensation Appeals Board

James A. Haynes, Alternate Judge  
Employees' Compensation Appeals Board