

# PUBLIC SUBMISSION

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**Docket:** EBSA-2023-0014  
Definition of an Investment Advice Fiduciary

**Comment On:** EBSA-2023-0014-0003  
Hearings, Meetings, Proceedings etc.: Retirement Security Rule; Definition of an Investment Advice Fiduciary and Associated Prohibited Transaction Exemption Amendments

**Document:** 1210-AC02 request 0045 Americans for Financial Reform Cazarin 11292023

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## Submitter Information

**Email:** ivan@ourfinancialsecurity.org  
**Organization:** Americans for Financial Reform

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## General Comment

Looking to testify on behalf of a public interest advocacy organization in support of the proposed rule. Links to previous work the last time this rule was proposed:  
<https://ourfinancialsecurity.org/2017/11/joint-statement-3/>,  
<https://ourfinancialsecurity.org/2017/02/afr-statement-public-interest-groups-urge-financial-industry-leaders-call-halt-anti-investor-tactics-trade-associations-seeking-overturn-dol-protections-retirement-savers/>,  
<https://ourfinancialsecurity.org/2016/06/letter-congress-2-4/>,  
<https://ourfinancialsecurity.org/2016/05/afr-statement-dol-retirement-investment-rule-deserves-senate-backing-not-blocking/>.

My name is Ivan Cazarin and I am a Policy Coordinator at Americans for Financial Reform, address is 1615 L Street NW, Washington, DC and my phone number is 7085158971.  
Thank you.