

PUBLIC SUBMISSION

Received: November 29, 2023 Tracking No. lpk-82o1-174g Comments Due: November 29, 2023 Submission Type: Web
--

Docket: EBSA-2023-0014
Definition of an Investment Advice Fiduciary

Comment On: EBSA-2023-0014-0003
Hearings, Meetings, Proceedings etc.: Retirement Security Rule; Definition of an Investment Advice Fiduciary and Associated Prohibited Transaction Exemption Amendments

Document: 1210-AC02 request 0032 Family Investment Center Danford 11292023

Submitter Information

Name: Dan Danford

General Comment

I would like to testify about EBSA-2023-0014-003.

Email: ddanford@familyinvestmentcenter.com

Attachments

1210-AC02 request 0032 Family Investment Center Danford 11292023

1210-AC02 request 0032 Family Investment Center Danford 11292023_attachment

Request to Testify

Dan Danford, CFP®

Founder/CEO

Family Investment Center

3805 Beck Road

St. Joseph, MO 64506

816-233-4100

I will represent **Family Investment Center**, 3805 Beck Road, St. Joseph, MO 64506. 816-233-4100. I am a CFP® registered advisor, and a member of the National Association of Personal Financial Advisors (NAPFA). Our firm is an SEC-Registered Investment Advisor since 1998 in the Kansas City/St. Joseph metro area. We offer financial planning and investment management services to upper middle-class families and individuals (primarily). All services are provided on a Fee-Only basis, and always have been.

I wish to address the issue of Fiduciary responsibility for all ERISA retirement accounts and clients. I began my career as a bank trust officer specializing in employee benefit accounts and have served as a professional fiduciary for almost 40 years.