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November 28, 2023

Lisa M. Gomez
Assistant Secretary
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Ave., N.W.
Washington, D.C. 20210

Re: Docket ID EBSA–2023–0014; Request to testify at a hearing on the proposed rule entitled “Retirement Security Rule: Definition of an Investment Advice Fiduciary” and the associated proposed amendments to various Prohibited Transaction Exemptions

Dear Assistant Secretary Gomez:

Better Markets Inc.¹ hereby submits this request to testify at the hearing described above, in response to the invitation published in the Federal Register on November 20, 2023, 88 Fed. Reg. 80,648 (Nov. 20, 2023). In accordance with the hearing notice, we submit the following information:

- (1) The name, title, organization, address, email address, and telephone number of the individual who would testify:

Stephen Hall, Legal Director and Securities Specialist, Better Markets, Inc., 2000 Pennsylvania Avenue, N.W., Suite 4008, Washington, D.C. 20006, shall@bettermarkets.org, 202-549-3382.

- (2) If applicable, the name of the organization(s) whose views would be represented:

The views of Better Markets will be represented.

¹ Better Markets is a non-profit, non-partisan, and independent organization founded in the wake of the 2008 financial crisis to promote the public interest in the financial markets, support the financial reform of Wall Street, and make our financial system work for all Americans again. Better Markets works with allies—including many in finance—to promote pro-market, pro-business, and pro-growth policies that help build a stronger, safer financial system, one that protects and promotes Americans’ jobs, savings, retirements, and more.

- (3) The date of the requester's written comment on the proposed rule or exemption proposals (if already submitted).

Better Markets has not yet submitted its comment letter on the proposals that will be the subject of the hearing.

We appreciate the opportunity to testify on these vitally important proposals.

Sincerely,



Stephen W. Hall
Legal Director and Securities Specialist

Better Markets, Inc.
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