



November 24, 2023

**VIA ELECTRONIC SUBMISSION:** <http://www.regulations.gov>

Office of Regulations and Interpretations  
Employee Benefits Security Administration  
U.S. Department of Labor 200 Constitution Ave., NW  
Washington, DC 20210

**Re: RIN 1210-AC02 - Docket ID EBSA – 2023-0014 -Retirement  
Security Rule: Request to Testify at Public Hearing**

Ladies and Gentlemen:

Cetera Financial Group (“Cetera”) hereby requests an opportunity to appear and testify at a public hearing in connection with the above-referenced matter. The hearing is currently scheduled on December 12 and 13, 2023.

Cetera will be represented by Mark Quinn, the Director of Regulatory Affairs for the firm. He can be reached via email at [mark.quinn@cetera.com](mailto:mark.quinn@cetera.com), and by telephone at 619-702-9600. The business address for Cetera is: 655 W. Broadway, San Diego, CA 92101.

Mr. Quinn will represent the views of Cetera and its approximately 12,000 individual representatives in his remarks. Neither Cetera nor Mr. Quinn have submitted substantive comments on the proposed Retirement Security Rule, but they did submit a request on November 14, 2023 to extend the current deadline for submission of comments.

On a logistical note, we would note that Cetera and Mr. Quinn are both located in the Pacific time zone. Since the hearings will be conducted via WebEx beginning at 9 A.M. ET each day, we request that our testimony be scheduled during an afternoon session if possible.

Thank you for your consideration. If you have questions or we may provide any additional information, please let me know.

Sincerely,

A handwritten signature in blue ink, appearing to read "Mark Quinn", with a long horizontal flourish extending to the left.

Mark Quinn  
Director of Regulatory Affairs  
Cetera Financial Group