

PUBLIC SUBMISSION

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Docket: EBSA-2023-0014
Definition of an Investment Advice Fiduciary

Comment On: EBSA-2023-0014-0003
Hearings, Meetings, Proceedings etc.: Retirement Security Rule; Definition of an Investment Advice Fiduciary and Associated Prohibited Transaction Exemption Amendments

Document: 1210-AC02 request 0005 Davis & Harman Mason 11242023

Submitter Information

Name: Kent Mason

General Comment

My name is Kent Mason, and I am a Partner in the law firm of Davis & Harman LLP, which is located at 1455 Pennsylvania Ave, NW, Suite 1200, The Willard Office Building, Washington, DC 20004. My email address is kamason@davis-harman.com and my phone number is 202-662-2288. I am requesting the opportunity to testify at the hearing on December 12 through December 13, continuing (if necessary) on December 14, 2023 for the public to provide input on the Department's proposed Retirement Security Rule: Definition of an Investment Advice Fiduciary, proposed amendments to PTE 2020-02, PTE 84-24 and several other existing administrative PTEs that are available to investment advice fiduciaries. I will be testifying for Davis & Harman on behalf of a group of firm clients. I will be submitting a comment letter on this set of proposals after the hearing.