

# PUBLIC SUBMISSION

<b>Received:</b> December 27, 2023 <b>Tracking No.</b> lqo-fiv4-w5a1 <b>Comments Due:</b> January 02, 2024 <b>Submission Type:</b> API
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**Docket:** EBSA-2023-0014  
Definition of an Investment Advice Fiduciary

**Comment On:** EBSA-2023-0014-0001  
Retirement Security Rule: Definition of an Investment Advice Fiduciary

**Document:** 1210-AC02 comment 00233 Tidwell 12272023

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## Submitter Information

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## General Comment

I am an investment advisor and have been for many years. I am opposed to this measure. It is too vague which leaves myself and our industry in a state of uncertainty and liability. I don't trust the regulators to have the arbitrary ability to apply this regulation as they see fit.

The current regulatory format is not perfect, but it is relatively effective. This is too big of change that is too open ended. I think in this case the stated intended "cure", like so much of the Federal regulatory world, would be worse than the current circumstances.

Bottom line, I don't trust this regulation to be applied correctly or well if it is approved.