

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
310 W. Wisconsin Avenue  
Room 1180  
Milwaukee, WI 53203



## Citation and Notification of Penalty

**To:**  
Joshua Herion dba ECS Roofing Professionals, Inc.  
and its successors  
3920 Hawthorn Ct.  
Waukegan, IL 60087

**Inspection Number:** 1560806  
**Inspection Date(s):** 10/28/2021 - 10/28/2021  
**Issuance Date:** 04/14/2022

**Inspection Site:**  
1218 Mohican Trail  
Waukesha, WI 53186

*The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty **you either call to schedule an informal conference (see paragraph below) or** you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

**Posting** - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

**Informal Conference** - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period by calling (414) 297-3315. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

**Right to Contest** – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

**Penalty Payment** – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance. You can also make your payment electronically at [www.pay.gov](http://www.pay.gov). At the top of the pay.gov homepage, type "OSHA" in the Search field and select Search. From **OSHA Penalty Payment Form** search result, select Continue. The direct link is:

<https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>

You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will process the payments as if these restrictions or conditions do not exist.

**Notification of Corrective Action** – For each violation which you do not contest, you must provide **abatement certification** to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that **abatement documentation** is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

**Employer Discrimination Unlawful** – The law prohibits discrimination by an employer against an

employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

**Employer Rights and Responsibilities** – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

**Notice to Employees** – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

**Inspection Activity Data** – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at [www.osha.gov](http://www.osha.gov). If you have any dispute with the accuracy of the information displayed, please contact this office.



## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 04/14/2022. The conference will be held by telephone or at the OSHA office located at 310 W. Wisconsin Avenue, Room 1180, Milwaukee, WI 53203 on \_\_\_\_\_ at \_\_\_\_\_.

\_\_\_\_\_. Employees and/or representatives of employees have a right to attend an informal conference.

**CERTIFICATION OF CORRECTIVE ACTION WORKSHEET**

**Inspection Number: 1560806**

Company Name: Joshua Herion dba ECS Roofing Professionals, Inc.  
Inspection Site: 1218 Mohican Trail, Waukesha, WI 53186  
Issuance Date: 04/14/2022

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 310 W. Wisconsin Avenue, Room 1180, Milwaukee, WI 53203.**

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Title

**NOTE: 29 USC 666(g)** whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

**POSTING:** A copy of completed Corrective Action Worksheet should be posted for employee review

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 1560806  
**Inspection Date(s):** 10/28/2021 - 10/28/2021  
**Issuance Date:** 04/14/2022



**Citation and Notification of Penalty**

**Company Name:** Joshua Herion dba ECS Roofing Professionals, Inc.  
**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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Citation 1 Item 1 Type of Violation: **Serious**

29 CFR 1926.100(a): Employees were not protected by protective helmets while working in areas where there was a possible danger of head injury from impact, or from falling or flying objects, or from electrical shock and burns:

(a) On or about October 28,2021, an employee was not a wearing protective helmet where there was a possible danger of head injury from falling objects.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

April 20, 2022  
\$6,381.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

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**Citation and Notification of Penalty**

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**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1926.1053(b)(1): Where (a) portable ladder(s) was/were used for access to an upper level landing surface and the ladder's length did not allow the ladder side rails to extend at least 3 feet (0.9 m) above the upper landing surface being accessed, the ladder was not secured at its top to a rigid support that will not deflect, and/or a grasping device was not provided to assist employees in mounting and dismounting the ladder.

(a) On or about October 28, 2021, employees were conducting roofing work on a new single-family building and used a ladder to access the roof. The ladder did not extend at least 3 feet above the landing/eave, exposing employees to a fall hazard of approximately 12.5 feet.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

April 20, 2022  
\$7,977.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 1560806  
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**Citation and Notification of Penalty**

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Citation 1 Item 3 Type of Violation: **Serious**

29 CFR 1926.1053(b)(4): Ladder(s) were used for purposes other than the purpose for which they were designed:

(a) On or about October 28, 2021, employees were conducting roofing work on a new single-family building and used ladders to access the roof. The ladders used were single portions of extension ladders, exposing employees to a fall hazard of approximately 12.5 feet.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

April 20, 2022  
\$7,977.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.





## **Citation and Notification of Penalty**

**Company Name:** Joshua Herion dba ECS Roofing Professionals, Inc.  
**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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### Citation 2 Item 1    Type of Violation: **Repeat - Serious**

29 CFR 1926.102(a)(1): The employer did not ensure that each affected employee used appropriate eye or face protection when exposed to eye or face hazards from flying particles, molten metal, liquid chemicals, acids or caustic liquids, chemical gases or vapors, or potentially injurious light radiation.

The employer does not protect each employee engaged in roofing work by requiring the employee to wear eye or face protection while operating pneumatic roofing nail guns.

(a) This most recently occurred on October 28, 2021, at 1218 Mohican Trail in Waukesha, Wisconsin 53189. Employees are exposed to eye injury while operating pneumatic roofing nail guns without eye protection during roofing work.

Joshua Herion dba ECS Roofing Professionals, Inc. was previously cited for a violation of this Occupational Safety and Health standard, which was contained in OSHA inspection number 1476805, citation number 1, item number 1 and was affirmed as a final order on July 15, 2020, with respect to a workplace located at 1 Barrington Road in Schaumburg, Illinois 60194.

Joshua Herion dba ECS Roofing, Inc. was previously cited for a violation of this Occupational Safety and Health standard, which was contained in OSHA inspection number 1444224, citation number 1, item number 1 and was affirmed as a final order on March 13, 2020, with respect to a workplace located at 1801 Milwaukee Avenue in Glenview, Illinois 60025.

Joshua Herion dba ECS Roofing, Inc. was previously cited for a violation of this Occupational Safety and Health standard, which was contained in OSHA inspection number 1385181, citation number 1, item number 1 and was affirmed as a final order on April 30, 2019, with respect to a workplace located at Lot 122 Tullamore Lane in Lemont, Illinois 60439.

To abate this hazard in the future, the employer must ensure that each employee is provided with eye or face protection during roofing work when machines, tools or operations present potential eye or face injury.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

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**Inspection Date(s):** 10/28/2021 - 10/28/2021  
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**Citation and Notification of Penalty**

**Company Name:** Joshua Herion dba ECS Roofing Professionals, Inc.  
**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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Pursuant to 29 CFR 1903.19, the employer must submit documents describing the steps it is taking to ensure compliance, including an explanation of how these steps protect each employee from eye and face injury while operating a pneumatic roofing nail gun during roofing work.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

April 20, 2022  
\$55,833.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



## **Citation and Notification of Penalty**

**Company Name:** Joshua Herion dba ECS Roofing Professionals, Inc.  
**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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### **Citation 2 Item 2**    Type of Violation: **Repeat - Serious**

29 CFR 1926.501(b)(13): Each employee(s) engaged in residential construction activities 6 feet (1.8 m) or more above lower levels were not protected by guardrail systems, safety net system, or personal fall arrest system, nor were employee(s) provided with an alternative fall protection measure under another provision of paragraph 1926.501 (b):

The employer does not protect each employee from fall hazards while engaged in roofing work on a sloped roof with the ground to eave heights of approximately 12.5 feet by the use of guardrail system, safety net system, or personal fall arrest system.

(a) This most recently occurred on October 28, 2021, at 1218 Mohican Trail in Waukesha, Wisconsin 53189. Employees engaged in roofing activities were not protected from fall hazards while working at an elevation of approximately 12.5 feet above the ground.

Joshua Herion dba ECS Roofing Professionals, Inc. was previously cited for a violation of this Occupational Safety and Health standard or its equivalent standard (29 CFR 1910.28(b)(1)(i)), which was contained in OSHA inspection number 1515817, citation number 2, item number 1 and was affirmed as a final order on May 14, 2021, with respect to a workplace located at 17W720 Butterfield Road in Oakbrook Terrace, Illinois 60181.

Joshua Herion dba ECS Roofing Professionals, Inc. was previously cited for a violation of this Occupational Safety and Health standard or its equivalent standard (29 CFR 1926.501(b)(11)), which was contained in OSHA inspection number 1476805, citation number 1, item number 2 and was affirmed as a final order on July 15, 2020, with respect to a workplace located at 1 Barrington Road in Schaumburg, Illinois 60194.

Joshua Herion dba ECS Roofing Professionals, Inc. was previously cited for a violation of this Occupational Safety and Health standard or its equivalent standard (29 CFR 1926.501(b)(10)), which was contained in OSHA inspection number 1470040, citation number 1, item number 1 and was affirmed as a final order on July 14, 2020, with respect to a workplace located at 5235 Prairie Stone Parkway in Hoffman Estates, Illinois 60192.

Joshua Herion dba ECS Roofing, Inc. was previously cited for a violation of this Occupational Safety and Health standard or its equivalent standard (29 CFR 1926.501(b)(11)), which was contained in OSHA inspection number 1444224, citation number 1, item number 2 and was affirmed as a final order on March 13, 2020, with respect to a workplace located at 1801 Milwaukee Avenue in Glenview, Illinois 60025.

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Joshua Herion dba ECS Roofing Professionals, Inc.  
**Inspection Site:** 1218 Mohican Trail, Waukesha, WI 53186

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Joshua Herion dba ECS Roofing, Inc. was previously cited for a violation of this Occupational Safety and Health standard, which was contained in OSHA inspection number 1385181, citation number 2, item number 2 and was affirmed as a final order on April 30, 2019, with respect to a workplace located at Lot 122 Tullamore Lane in Lemont, Illinois 60439.

Joshua Herion dba ECS Roofing, Inc. was previously cited for a violation of this Occupational Safety and Health standard, which was contained in OSHA inspection number 1323574, citation number 1, item number 3 and was affirmed as a final order on September 21, 2018, with respect to a workplace located at 233 Meacham Avenue in Park Ridge, Illinois 60068.

To abate this hazard in the future, the employer must ensure that it's employees are protected from hazard of falling while performing roofing work.

Pursuant to 29 CFR 1903.19, the employer must submit documents describing the steps it is taking to ensure compliance, including an explanation of how these steps protect it's employees from fall hazards.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated: April 20, 2022  
Proposed Penalty: \$55,833.00

**CHRISTINE ZORTMAN** Digitally signed by CHRISTINE ZORTMAN  
Date: 2022.04.14 13:12:54 -05'00'

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**Christine Zortman**  
Area Director

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
310 W. Wisconsin Avenue  
Room 1180  
Milwaukee, WI 53203



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**INVOICE /  
DEBT COLLECTION NOTICE**

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**Company Name: Joshua Herion dba ECS Roofing Professionals, Inc.**  
**Inspection Site: 1218 Mohican Trail, Waukesha, WI 53186**  
**Issuance Date: 04/14/2022**

Summary of Penalties for Inspection Number: 1560806

Citation 1 Item 1, Serious	\$6,381.00
Citation 1 Item 2, Serious	\$7,977.00
Citation 1 Item 3, Serious	\$7,977.00
Citation 2 Item 1, Repeat - Serious	\$55,833.00
Citation 2 Item 2, Repeat - Serious	\$55,833.00

**TOTAL PROPOSED PENALTIES: **\$134,001.00****

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To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance. You can also make your payment electronically at [www.pay.gov](http://www.pay.gov). At the top of the pay.gov homepage, type "OSHA" in the Search field and select Search. From the **OSHA Penalty Payment Form** search result, select Continue. The direct link is: <https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>. You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to two times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

**Interest:** Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

**Delinquent Charges:** A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

**Administrative Costs:** Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.

CHRISTINE  
ZORTMAN

Digitally signed by  
CHRISTINE ZORTMAN  
Date: 2022.04.14 13:13:28  
-05'00'

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Christine Zortman

Area Director

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Date