FORM LM-3 LABOR ORGANIZATION ANNUAL REPORT

FOR USE ONLY BY LABOR ORGANIZATIONS WITH LESS THAN $250,000 IN TOTAL ANNUAL RECEIPTS

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

For Official Use Only

1. FILE NUMBER

2. PERIOD COVERED
MO DAY YEAR
From
Through

3. (a) AMENDED — If this is an amended report, check here:

(b) HARDSHIP — If filing under hardship procedures, check here:

(c) TERMINAL — If this is a terminal report, check here:

8. MAILING ADDRESS *(Type or print in capital letters.)*

First Name

Last Name

P.O. Box · Building and Room Number *(if any)*

Number and Street

4. AFFILIATION OR ORGANIZATION NAME

5. DESIGNATION *(Local, Lodge, etc.)*

6. DESIGNATION NUMBER

City

7. UNIT NAME *(if any)*

State   ZIP Code + 4

9. Are your organization's records kept at its mailing address?
(If "No," provide address in Item 56.)   Yes   No

56. ADDITIONAL INFORMATION

Item Number

Each of the undersigned, duly authorized officers of the above labor organization, declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. *(See Section VI on penalties in the instructions.)*

57. SIGNED: _____   PRESIDENT
(If other title, see instructions.)
____/____/____   (____)____—____
Date   Telephone Number

58. SIGNED: _____   TREASURER
(If other title, see instructions.)
____/____/____   (____)____—____
Date   Telephone Number

During the Reporting Period Did Your Organization:

Yes No

10. Have a "subsidiary organization" as defined in Section X of the instructions?... ☐ ☐

11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? .... ☐ ☐

12. Have a political action committee (PAC) fund? .... ☐ ☐

13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? .... ☐ ☐

14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative?.. ☐ ☐

15. Discover any loss or shortage of funds or other property?... ☐ ☐
(Answer "Yes" even if there has been repayment or recovery.)

16. Have any officer who was paid $10,000 or more by your organization and also received $10,000 or more as an officer or employee of another labor organization or of an employee benefit plan?.................... ☐ ☐

17. Pay any employee salary, allowances, and other expenses which, together with any payments from affiliates, totaled more than $10,000? .... ☐ ☐

18. Have loans totaling more than $250 to any officer, employee, or member, or make any loans to a business enterprise? .... ☐ ☐

(If the answer to any of the above questions is "Yes," provide details in Item 56 on page 1 as explained in the instructions for each item.)

19. How many members did your organization have at the end of the reporting period? ☐☐☐☐☐☐☐☐

20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? $ ☐☐☐☐☐☐☐☐

21. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions?........................ Yes ☐ No ☐
(If the constitution and bylaws have changed, attach two new dated copies. If practices/procedures have changed, see the instructions.)

22. What is the date of your organization's next regular election of officers?
MO ☐☐ YEAR ☐☐☐☐

23. What are your organization's rates of dues and fees?
(Enter a minimum and maximum if more than one rate applies for any line.)

Rates of Dues and Fees					
Dues/Fees	**Amount**		**Unit**	**Minimum**	**Maximum**
(a) Regular Dues/Fees	$	per			
(b) Initiation Fees	$	per			
(c) Transfer Fees	$	per			
(d) Work Permits	$	per			

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Enter Amounts in Dollars Only — Do Not Enter Cents

FILE NUMBER: ☐ ☐ – ☐ ☐ ☐

(A) Name *(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)* (B) Title *(Enter title of officer, such as PRESIDENT or TREASURER.)*	Status (C)*	Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
1. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
2. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
3. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
4. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
5. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
6. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
7. Last Name ☐ First Name ☐ MI ☐ Title ☐ Status ☐		☐☐☐☐☐☐	☐☐☐☐☐☐	☐☐☐☐☐☐
8. Totals from additional pages *(if any)*				
9. Totals of Lines 1 through 8				
			10. Less Deductions	☐☐☐☐☐☐
Enter the total from Line 11 in .... Item 45 ⇨			11. Net Disbursements	☐☐☐☐☐☐

*Code for Status (C): past officer — P; continuing officer — C; new officer during the reporting period — N.

(If any officer was not elected at a regular election in accordance with your organization's constitution and bylaws, explain in Item 56 on page 1.)

STATEMENT A — ASSETS AND LIABILITIES

ASSETS Item	Start of Reporting Period (A)	End of Reporting Period (B)	LIABILITIES Item	Start of Reporting Period (C)	End of Reporting Period (D)
25. Cash	☐☐☐☐☐☐	☐☐☐☐☐☐	32. Accounts Payable…..	☐☐☐☐☐☐	☐☐☐☐☐☐
26. Loans Receivable......	☐☐☐☐☐☐	☐☐☐☐☐☐	33. Loans Payable………	☐☐☐☐☐☐	☐☐☐☐☐☐
27. U.S. Treasury Securities	☐☐☐☐☐☐	☐☐☐☐☐☐	34. Mortgages Payable…...	☐☐☐☐☐☐	☐☐☐☐☐☐
28. Investments………….	☐☐☐☐☐☐	☐☐☐☐☐☐	35. Other Liabilities……..	☐☐☐☐☐☐	☐☐☐☐☐☐
29. Fixed Assets…………	☐☐☐☐☐☐	☐☐☐☐☐☐	36. TOTAL LIABILITIES..	☐☐☐☐☐☐	☐☐☐☐☐☐
30. Other Assets…………	☐☐☐☐☐☐	☐☐☐☐☐☐			
31. TOTAL ASSETS…….	☐☐☐☐☐☐	☐☐☐☐☐☐	37. NET ASSETS *(Item 31 less Item 36)*…	☐☐☐☐☐☐	☐☐☐☐☐☐

STATEMENT B — RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS Item	AMOUNT	CASH DISBURSEMENTS Item	AMOUNT
38. Dues	☐☐☐☐☐☐	45. To Officers *(from Item 24)* …………………	☐☐☐☐☐☐
39. Per Capita Tax	☐☐☐☐☐☐	46. To Employees *(less deductions)* ………….	☐☐☐☐☐☐
40. Fees, Fines, Assessments & Work Permits…	☐☐☐☐☐☐	47. Per Capita Tax ………………………………	☐☐☐☐☐☐
41. Interest & Dividends	☐☐☐☐☐☐	48. Office & Administrative Expense……………	☐☐☐☐☐☐
42. Sale of Investments & Fixed Assets...............	☐☐☐☐☐☐	49. Professional Fees…………………………….	☐☐☐☐☐☐
43. Other Receipts	☐☐☐☐☐☐	50. Benefits………………………………………..	☐☐☐☐☐☐
44. TOTAL RECEIPTS..	☐☐☐☐☐☐	51. Contributions, Gifts & Grants……………….	☐☐☐☐☐☐
		52. Purchase of Investments & Fixed Assets….	☐☐☐☐☐☐
If total receipts reported in Item 44 are $250,000 or more, your organization must file Form LM-2 instead of this form.		53. Loans Made…………………………………..	☐☐☐☐☐☐
		54. Other Disbursements……………………….	☐☐☐☐☐☐
		55. TOTAL DISBURSEMENTS………………….	☐☐☐☐☐☐

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS *(continued)*

(A) Name *(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)*		Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
(B) Title *(Enter title of officer, such as PRESIDENT or TREASURER.)*					
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
Last Name	First Name	MI			
Title		Status			
		Totals			

ORGANIZATION NAME:

ENDING DATE OF PERIOD COVERED:

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS *(continued)*

(A) Name *(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)* (B) Title *(Enter title of officer, such as PRESIDENT or TREASURER.)*		Status (C)	Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
Last Name First Name MI					
Title		Status			
		Totals			