

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2020-029-00
Temporary Application Number	6953-2020-1
Application Name	Pueblo of Santa Clara 5311(c) Cares Act funding (\$132,628).
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/29/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Santa Clara, Pueblo Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6953	Indian Tribe	SANTA CLARA, PUEBLO OF	075762740

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	1 KEE ST	ESPANOLA	NM	87532
Mailing Address	P.O. BOX 580	ESPANOLA	NM	87532

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Pueblo of Santa Clara 5311(c) Cares Act funding (\$132,628).
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2020-029-00	Final Concurrence / Reservation	Grant	8/6/2020	8/6/2020	No

Application Executive Summary

This is an FFY 2020 Section 5311(c) CARES Act application in the amount of \$132,628. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes transit services for the Pueblo of Santa Clara which contracts with NCRTD for continued transit services. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services being proposed will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$ 151,000 per year to operate this route. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

In addition to the 5311(c) CARES funding the Pueblo of Santa Clara also was apportioned \$148,698 in FY2020 Full Year Section 5331(c) public transportation on Indian Reservation Formula apportionments which will be applied for separately.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This application will not lead to a functional, location or capacity change, this per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Timothy	Mildren	Finance Director	tim@ncrtd.org	(505) 629-4701

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$132,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$132,628

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-029-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$132,628.00	\$0.00	\$132,628.00	0
NM-2020-029-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST -	\$132,628.00	\$0.00	\$132,628.00	0

100% Fed Share

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Santa Clara Pueblo Cares Act funding 5311(c) (\$132,628).

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-029-01-00	6953-2020-1-P1	8/6/2020	4/1/2020	9/30/2023

Project Description

The Pueblo of Santa Clara is located in North Central New Mexico and borders the City of Española on the southwest. Funds will be used for partial reimbursement of continued transit services provided by NCRTD during fiscal years 2020-2023.

Project Benefits

These services provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

Additional Information

Funds will be used for partial reimbursement of continued transit services provided by the District for Fiscal years 2020 -2021 and potentially 2022-2023.

Location Description

Santa Clara Pueblo (is a census-designated place (CDP) in Rio Arriba County, New Mexico, United States and a federally recognized tribe of Native American Pueblo people. The Pueblo was established about 1550 and is located in North Central New Mexico and borders the City of Española on the southwest. The pueblo is next to the Rio Grande, between Ohkay Owingeh (formerly San Juan Pueblo) to the north and San Ildefonso Pueblo to the south.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 10/1/2015

Description: The Santa Clara Pueblo is included on pages 6 and 16.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$132,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$132,628

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-029-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$132,628.00	\$0.00	\$132,628.00	0
NM-2020-029-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$132,628.00	\$0.00	\$132,628.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for partial reimbursement of continued transit services provided by NCRTD during fiscal years FY2020 and FY2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

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5311 Tribal formula (CARES Act)	5311-7	20509	\$132,628
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Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$132,628

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2020	Funds will be used for partial reimbursement of continued transit services for fiscal years FY2020 and FY2023.
End Date	9/30/2023	Funds will be used for partial reimbursement of continued transit services for fiscal years FY2020 and FY2023.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/6/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Abel Ayala

Comment Type DOL Review for Information

Date 8/12/2020

Comment FY20 5311 CARES Act Tribal Funding for info only.

Application Review Comments

Comment By Abel Ayala

Comment Type FTA Post Review Comments for Grantee

Date 8/10/2020

Comment

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Santa Clara, Pueblo Of

Recipient ID: 6953

DUNS No: 075762740

Application Information

Federal Award Identification Number: NM-2020-029-00

Application Name: Pueblo of Santa Clara 5311(c) Cares Act funding (\$132,628).

Application Start Date: N/A

Original Award End Date: 3/29/2024

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311(c) CARES Act application in the amount of \$132,628. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes transit services for the Pueblo of Santa Clara which contracts with NCRTD for continued transit services. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services being proposed will provide greater opportunity for tribal and community members to access employment,

medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$ 151,000 per year to operate this route. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

In addition to the 5311(c) CARES funding the Pueblo of Santa Clara also was apportioned \$148,698 in FY2020 Full Year Section 5331(c) public transportation on Indian Reservation Formula apportionments which will be applied for separately.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This application will not lead to a functional, location or capacity change, this per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$132,628.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$132,628.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$132,628.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$132,628
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$132,628

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Santa Clara, Pueblo Of