

Key

x	=	required approval level
o	=	in country/out of country rule applies
-	=	no approval required for transaction
	=	red arrow in top right corner denotes footnote visible when cursor pointed in top corner of cell

Global Approval Matrix - Non-Automated

Last updated June 25, 2014

M6

Category	SEQ	Data Field Completed:	HR	1 Lvl ⁽¹⁾	VP
Hiring	1	If Recruiting agency fee			x
Hiring	3	International Transfers (in budget)			
Hiring	4	International Transfers (out of budget)			
Hiring	5	Non-Standard Referral Bonus			
Hiring	6	Notice period statutory or < 1 month (EMEA specific)	e		
Hiring	7	Notice period >3 months (EMEA specific)	e		
Hiring	8	Contractual severance payments of any kind (EMEA specific)	e		
Hiring	9	Loans			

Assignment	1	Residence/domicile, employment contract or permanent work location in different countries (EMEA specific)			
Assignment	2	International Assignment Incremental Cost <= 250k			
Assignment	3	International Assignment Incremental Cost > 250k and <= 500k			
Assignment	4	International Assignment Incremental Cost > 500k			

Dollars	1	Recruiting Bonuses			
Dollars	2	Commissions (Sales Comp Processing)			
Dollars	3	Patent Bonus			
Dollars	4	Corporate Bonus - termed EE			
Dollars	5	Draws (Exception Only)			
Dollars	6	International Transfer: Recoverable draw <= 100k	e		

Dollars	7	International Assignment: Payments (COLA etc)	e		
Dollars	8	International Assignment: Hardship Allowances AND Hardship Country Assigination	e		
Dollars	9	International Assignment: Temporary salary increase due to international assignment/immigration purposes	e		
Dollars	10	International Assignment: OFSS host country assignment, hybrid model assignment	e		
Dollars	11	International Assignment: Local pay delivery for immigration purposes	e		
Dollars	12	International Assignment: Financial Assistance Program		e	
Dollars	13	OFD Commissions			
Dollars	14	Legally Mandated Programs			
Dollars	15	Consulting Bonuses			
Dollars	16	Payment for On Call and OT for 'Field Support Specialists' and 'Technical Analyst-Support' in specific LAD countries. See notes. This is a temporary addition until timeclock systems are updated.	e		
Dollars	17	Legal Issues	e		
Dollars	18	Mandatory compensation increases (i.e., bonus, salary changes, etc.), and approved plans.	e		

Leaves	1	Medical/Family Leave	e		
Leaves	2	Medical & Maternity Leave	e		
Leaves	3	PLOA - Start/Change/END	e	e	
Leaves	4	PLOA < 2 weeks- Start/Change/END	-	-	-
Leaves	5	PLOA > 2 weeks- Start/Change/END		e	
Leaves	6	Unpaid/paid sabbaticals (EMEA specific)		e	
Leaves	7	All other Leaves (Jury, Military) < 2 wks	-	-	-
Leaves	8	All other Leaves (Jury, Military)> 2 wks		e	
Leaves	9	Annual leave (EMEA specific)		e	
Leaves	10	Leave without pay <= 5 working days (EMEA specific)			e
Leaves	11	Leave without pay > 5 working days (EMEA specific)			

Benefits	1	Benefits Policy Changes <\$100k (EMEA Specific)	e		
Benefits	2	Benefits Policy Changes >\$100k (EMEA Specific)	e		

Benefits	3	Change of Benefits Provider (EMEA Specific)	e		
Benefits	4	social club, golf membership or other affiliation that includes an initiation or monthly fee			
Benefits	5	Adoption Benefit (US)	e		
Benefits	6	Education Reimbursement (US) > annual spending cap (\$5,250)			

Localization

					M6
Category		Data Field Completed:	HR	1 Lvl ⁽¹⁾	VP
LAD	1	PLOA < 2 weeks- Start/Change/END	-	-	-

CHINA	1	China Domestic Transfer (Tier 1 to Non-Tier cities) with Resettlement Bonus and/or COLA	e		
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Approval Order

M7	M8	M10		
SVP	Div Head	Office of the CEO	HR	Notes
		x		Recruiting VP and LJE approval required
		e	e	
		e	e	
			e	EVP approval for plan and Recruiting Ops manages the program, approvals, calculations and payments.
				requires VP HR approval. Cap at 12 mos.
				requires SVP HR approval. Cap at 12 mos.
				requires VP HR approval
		e	e	This is not reflected on the Manager version of the approval matrix. Loans are on an exception only basis.

e			e	
	e		e	International Assignment approvals are based on the Finance Spending Approval Matrix. Additionally approval is required from at least two levels of management (not including the proposer of the assignment) and HR Global Mobility. Please consult the Finance SAM to confirm the required approvals based on the projected cost of the assignment and management spending caps.
		e	e	International Assignment approvals are based on the Finance Spending Approval Matrix. Additionally approval is required from at least two levels of management (not including the proposer of the assignment) and HR Global Mobility. Please consult the Finance SAM to confirm the required approvals based on the projected cost of the assignment and management spending caps.
		e	e	International Assignment approvals are based on the Finance Spending Approval Matrix. Additionally approval is required from at least two levels of management (not including the proposer of the assignment) and HR Global Mobility. Please consult the Finance SAM to confirm the required approvals based on the projected cost of the assignment and management spending caps.

			e	EVP approval for plan and HR SVP approval for actual payments.
				Finance approval required.
				requires LEGAL approval
		e	e	except where part of comp plan policy or legally mandated
				Draws handled on an exception only basis and are not processed through HR. The process is managed by GIC and GIC retains ALL approvals for audit purposes.
				requires approval from VP IHR, Elizabeth Snyder

				requires approval from HR Global Mobility Group.
				To be approved on a case by case basis. VP IHR, Elizabeth Snyder, is final approver.
				requires approval from VP IHR, Elizabeth Snyder
				requires approval from VP IHR, Elizabeth Snyder
				requires approval from VP IHR, Elizabeth Snyder
				Employees of Oracle India, Philippines and Latin America (where applicable) who will be working in another country for 2 weeks or more can receive the financial assistance payment. Approval must be obtained at least 2 management levels up (direct manager's manager). Upon approval, the employee will receive payment through monthly salary, the equivalent in local currency of constant-US\$ 50, for each 2 consecutive weeks outside the home country (MUST include weekend stay) the maximum assistance per month would be restricted to US\$100. If you have any question, please feel free to contact the Global Mobility Group.
				Anil Vora has final approval authority for OFD commissions.
			e	SVP HR has final approval authority for legally mandated programs
		e	e	Consulting bonuses within budget do not require Safra/LJE approval and should be submitted to Corp Comp for review. If it is out of budget then Safra/LJE approval is required.
e				In Chile (OTL), Colombia and Venezuela we need to pay the element for On Call: ("M_A On Call"). In Brazil (OTL) and Argentina we need to pay on call and overtime: ("M_A Overtime" and "M_A On Call"). In Mexico we need to pay overtime: (M_A Overtime). Local HR is responsible to obtaining and retaining correct approvals. SVP of Customer Services in LAD in final approver.
				Payments tied to legal issues should be submitted by HR and require Legal approval presented with the transaction.
				Any mandatory increases (salary, bonus, etc) and approved plans will go to the regional Comp Contact and then the VP Corporate Compensation for review and approval. International Assignment, or Immigration related payments are handled separately and referenced separately in the approval matrix . Commissions are also excluded from this rule and referenced separately in this matrix. If you have a question about who needs to approve a mandatory increase, please e-mail corpcomp_us@oracle.com.

				as per policy
				as per policy
				One level of management approval required for start and/or change. One level of management approval or Line HR approval to end PLOA .
-	-	-	-	
			e	
			e	
-	-	-	-	
			e	
			e	
e			e	

				requires VP HR, VP/Cty MGR & Div C&B approval
				requires SVP HR, Division Head, & Div C&B approval

				requires VP HR, VP/Cty MGR & Div C&B approval
		e		
				Benefits Group is final approver
	x ⁽³⁾			Please refer to the Employee Handbook for more detail on the Education Reimbursement Benefit, including the current, annual spending cap for the calendar year. Any additional education expenses incurred beyond this amount must be approved by your EVP.

Exceptions

M7	M8	M10		
SVP	Div Head	Office of the CEO ⁽²⁾	HR	Notes
-	-	-	e	

				Requires approval HR Global Mobility Group (GMG). GMG will retain approvals for audit purposes.
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e	=	email or other non-automated approval system
o	=	In country/out of country rule applies
x	=	required approval level
-	=	no approval required for transaction

M&A APPROVAL MATRIX					M6	M7	M8
Category	SEQ	Data Field Completed:	HR	1 Lvl ⁽¹⁾	HR M&A VP	HR SVP	Div Head
Hiring	1	Executives/Key EEs/All other EEs - all regions -Standard offers				e	
Hiring	2	Executives/Key EEs/All other EEs - all regions -Exception offers					
		All Categories Below Apply to Offer Revisions					
Hiring	3	Job Code Change - No Level Change			e		
Hiring	4	Job Code Change - Demotion			e		
Hiring	5	Job Code Change - M6 and below					e
Hiring	6	Job Code Change - M7 and above				e	e
Hiring	7	Discretionary Title Change			e		
Hiring	8	Base pay reduction			e		
Hiring	9	Base pay Increase < 10 %				e	
Hiring	10	Base pay Increase > 10%					
Hiring	11	OTE Change - Mix only				e	
Hiring	12	OTE Change < 10%				e	
Hiring	13	OTE Change >10%					
Hiring	14	CC.Org Change			e		
Hiring	15	Transition Date -Extended					e
Hiring	16	Transition Date Accelerated			e		
Hiring	17	Transitional Offer - Conversion to Regular offer				e	
Hiring	18	Country/Work Location Change				e	
Hiring	19	Stock					
Hiring	20	Retention Bonus					
Hiring	21	Sign On Bonus					
Hiring	22	Car Allowance Change				e	

M&A APPROVAL MATRIX					M6	M7	M8
Category	SEQ	Data Field Completed:	HR	1 Lvl ⁽¹⁾	HR VP	HR SVP	Div Head
terms	1	Statutory Severance/CIC plan Severance - All Amounts				e	
terms	2	Severance <250k				e	
terms	3	Severance >250K					
terms	4	Severance with Stock Acceleration					

M&A APPROVAL MATRIX

					M6	M7	M8
Category	SEQ	Data Field Completed:	HR	1 Lvl ⁽¹⁾	HR VP	HR SVP	Div Head
Dollars	1	Corporate Bonus Program Integration Plan				e	e
Dollars	2	Corporate Bonus Program Payout - Group/Individual					e

Business Operations Between CIC & LE Merge

Hiring	1	Requisition Approval - Non GBU					e
Hiring	2	Requisition Approval - GBU					e
Hiring	3	New Target Co Offers				e	

Dollars	1	Spot/Project Bonus/EE Referral/Ed Reimbursement, <1k			e		
Dollars	2	Spot/Project Bonus/EE Referral/Ed Reimbursement < 10k				e	
Dollars	3	Spot/Project Bonus/EE Referral/Ed Reimbursement >10k					

Assignment	1	CC Change			e		
Assignment	2	Discretionary Title Change			e		
Assignment	3	Work Schedule/hours PT/FT			e		
Assignment	4	Shift			e		
Assignment	5	Manager					e
Assignment	6	Work Location/Country			e		
Assignment	7	Job Change Demotion			e		
Assignment	8	Job Change To position up to M4			e		
Assignment	9	Job Change M4 or above					e
Assignment	10	Job Change M7 or above				e	e
Dollars	8	Base pay reduction			e		
Dollars	9	Base pay Increase < 10 %				e	
Dollars	10	Base pay Increase > 10%					
Dollars	11	OTE Change - Mix only				e	
Dollars	12	OTE Change < 10%				e	
Dollars	13	OTE Change >10%					

M10			
Office of the CEO	HR	Processing	Notes
			Offers with no Stock, retention, bonus, Pay Changes
e			Offers WITH Stock, Retention, bonus Pay Changes
e			
e			
			Must be approved by integration leads if Lob Change
			Exception offers (stock, retention, pay change must go to M10)
e			
e			
e			

M10			
Office of the CEO	HR	Processing	Notes
			Legal review required
			Legal review required
e			Legal review required
e			Legal review required

M10			
Office of the CEO	HR	Processing	Notes
e			
e			

e			

			All Changes in these categories require approval from integration lead or leads in the case of movement from one LOB to another
e			
e			