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Welcome to Oracle!

Oracle's success is the direct result of the drive, creativity, and resourcefulness of you, our employees. Our products and services lead the industry because of your intense individual and group efforts.

You are also the reason why Oracle's culture is unique, and characterized by its diversity and energy. To support this culture, we strive to create a work environment that is challenging on a professional level, satisfying on a personal level, and provides opportunities for you to strengthen and expand your abilities and achieve in ways that benefit both you and Oracle.

The Oracle Employee Handbook is designed to be a reference for you when you have questions about working at Oracle. We hope that you find it useful and informative when workplace issues arise. Because the effectiveness of this handbook will depend upon user feedback, we ask that you send suggestions or comments to the Learning Technology Team, at global-learning@oracle.com.

We appreciate all the enthusiasm and expertise that you bring to your position at Oracle. Best wishes for your continued success.

Oracle Human Resources Department



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About This Handbook

This handbook of policies, practices, and programs is provided to answer common questions posed by U.S. employees of Oracle. It outlines the expectations we have of our employees and, in turn, the expectations you should have of Oracle. We try to minimize the number and scope of company policies while providing you with a sound framework for making efficient, independent decisions.

These policies and practices are not contracts and no contract is implied. If a policy or practice conflicts with local, state, or federal law, the law takes precedence. Oracle may interpret these policies at its sole discretion.

Your Human Resources Manager can answer most questions about policies in this handbook.

Effective Date

These policies and practices are valid as of March 1996, but may change as Oracle's needs and objectives evolve.

Revision dates next to section headers indicate where and when changes have been made to a particular policy. A [list of changes](#) since March 1996 is also available.

Getting Started

For New Members of Oracle

We want to facilitate your transition to Oracle and help you quickly become a productive and contributing member of the Oracle team.

All new employees are encouraged to view our online orientation courses. These courses give an overview about Oracle, cover the tools and resources to help you get productive quickly and provide information about Oracleflex benefits. Live Question and Answer sessions are also offered to answer questions about the online orientation courses. Go to the [U.S. New Hire Orientation](#) web site for more information and to access the courses.

Employment Relationship

Oracle makes no express or implied commitment that your employment will have a minimum or fixed term, that Oracle may take adverse employment action only for cause, or that your employment is terminable only for cause. Either you or Oracle may terminate the employment relationship at any time for any reason.

Additionally, Oracle may take any other employment action at any time for any reason. No one at Oracle may make, unless specifically authorized in writing by the Board of



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Directors, any promise, express or implied, that employment is for any fixed term or that cause is required for the termination of or change in the employment relationship.

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Use of Company Resources (Revised October 2006)

In order to perform your job at Oracle, you will need to use a number of Oracle assets and systems. These include computers, telephones, and email, just to name a few. It is critical that you fully understand the requirements for appropriate use of these resources.

The Oracle Acceptable Use Policy for Company Resources (OAUP) sets forth the requirements governing your use of the Oracle corporate network, computer systems, telephony systems, messaging technologies, Internet access, and other company resources. The topics covered by the OAUP include: personal use of Oracle resources and appropriate conduct; use of instant messaging, email, voicemail, mobile phones, removable media, P2P networks, encryption, and wireless LANs; securing connections to the Oracle network, use of passwords, and prevention of viruses; protection of confidential information; issuance of public statements; marketing activities; third-party use of Oracle systems; and monitoring of facilities and use of company resources.

The OAUP is attached to this Handbook as [Attachment A](#) and may also be found on the Privacy & Security page on the Oracle Legal Department Web site, [click here](#). If you have questions about the OAUP, please contact Peter Lefkowitz, Chief Counsel, Privacy & Security, at peter.lefkowitz@oracle.com, or privacy_ww@oracle.com.

Oracle property may not be sold, loaned, given away, or disposed of, without proper authorization. Upon leaving employment with Oracle, all Oracle property must be returned to Oracle. This includes all keys, security badges, computer equipment, software, handbooks, internal documents and anything else belonging to Oracle.

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Employment Policies

Employment Eligibility (Revised July 2012)

Federal law requires Oracle to hire U.S. citizens or non-citizens authorized to work in the United States. By law, we must verify the identity and employment eligibility of new employees and file a federal government Form I-9 for each one. Oracle is a participant in the government's E-Verify program and complies with all requirements of the program for verifying employment eligibility of Oracle employees. E-Verify is the web-based equivalent of the Form I-9 that electronically verifies an individual's identity and employment authorization, based on certain acceptable documentation provided by an individual.

New employees must submit a completed, electronic Form I-9 within three working days of their most recent start date at Oracle, or they cannot continue to work. Any Oracle Manager with direct reports or Human Resources employees may verify an employee's identity and work eligibility documents and electronically sign the form. For more information about this process, please visit our [Oracle I-9 Central](#) website or contact us at: everify_us@oracle.com or the America's HR Shared Services Center at: 1-888-404-2494, Option3, then Option 6.

Immigration (Revised July 2012)

Foreign nationals must have valid work authorization to be employed by Oracle and such work authorization must be maintained during the entire course of employment with Oracle. When Oracle is required to sponsor an individual for employment-based work authorization, the employee's manager is responsible for initiating the work authorization case with Oracle's designated US immigration legal supplier. After case is initiated with the law firm, manager and employee work directly with law firm staff on the work authorization case.

Employees are eligible to begin permanent residence process after three months of employment, though managers can waive the three month wait period. Information on how to initiate all US immigration filings can be found at Oracle's US Immigration website http://my.oracle.com/site/hr/us_immigration/index.htm. Oracle covers most normal legal fees and costs for employees' US immigration filings, with the exception of visa stamp applications submitted to US embassies and US consulates outside the US, and certain support documents required for the final stage of permanent residency processing.

Social Security Requirements

All employees are required to register with the Social Security Administration and provide a valid social security number to Human Resources either prior to employment or within seven working days of their start date. Your new hire paperwork will provide a section for your social security number.

If you do not have a social security number you should request one immediately from the Social Security Administration Office, and once received, forward to the Human



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Resources Shared Services Center (HRSSC) at hrssc-new-hires_us@oracle.com for processing.

Employment Categories (Revised June 2010)

Most positions at Oracle require full time commitment. Some jobs, however, are better suited for part time or temporary employment. Oracle's employment categories, explained below, include regular full time, regular part time, full time temporary, and part time temporary.

A **regular full time employee** is generally defined as working at least 40 hours per week. Employees working 3 x 12 schedules are also considered full-time.

A **regular part time employee** usually works fewer than 40 hours per week and may be eligible for some or all benefits.

An **Oracle temporary employee** is hired by Oracle (not an agency temp) for a planned, limited length of employment and may be either full or part time. Temporary employees are usually hired to complete a specific project, supplement the regular staff during peak activities, or fill in temporarily for employees on leave. Student interns also fit into this category. Oracle temporaries working at least 20 hours a week are eligible for some benefits.

Vendors, independent contractors, agency temporaries, and contract service workers are not considered Oracle employees.

For a description of compensation practices, review the Compensation section in this handbook.

(For a full description of available benefits, see the Benefits section in this handbook.)

Documentation (Revised October 2006)

Regardless of your employment classification, you must sign and submit the following documentation as a condition of your employment:

- Employment Agreement & Mutual Agreement to Arbitrate
- Proprietary Information Agreement
- Employment Eligibility Verification (Form I-9)
- Self ID Form
- Signed Privacy Policy Form
- Employee's Withholding Allowance Certificate (Form W-4)
- Personal Information Form
- Federal Procurement Integrity Act (Oracle Government Employees)

Open Communication

To enhance open, honest, and regular communication, we encourage one-on-one meetings between you and your manager. These meetings are an opportunity for mutual learning and information exchange, and they provide a chance to explore ideas and



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issues. Feel free to discuss complaints and concerns candidly with your manager to prevent or quickly resolve them.

Generally, a thorough discussion of issues can solve job-related problems. If your concerns are not resolved in open discussion with your manager or with your manager's manager, a Human Resources Manager can serve as a facilitator and offer advice for resolution.

Our open door culture makes all levels of management available to discuss your concerns. If you feel your problem or idea warrants upper management review, don't hesitate to address the issue.

Equal Employment Opportunity (Revised February 2014)

We believe in treating each employee and applicant for employment fairly and with dignity. We base our employment decisions on merit, experience, and potential, without regard to race, color, national origin, sex, marital status, sexual orientation, gender identity, age, religion, disability, protected veteran status, or any other characteristic prohibited by federal, state or local law.

Our Equal Employment Opportunity policy is founded on the philosophy that our employees and applicants for employment must be treated equitably. We do not discriminate based on personal preference or physical characteristics, and our experience confirms that supporting policies and practices with a strong commitment to equal employment opportunity is a good business practice. Our commitment to this policy applies to every phase of the employment relationship, and we make every effort to comply with this policy. If, however, you feel you have not been treated fairly in some way in your Oracle employment, you must, before taking any other action, make a written complaint to a Director of the Human Resources Department and to allow individuals within the Department a reasonable period of time in which to investigate and informally attempt to resolve your issues.

Diversity (Revised February 2014)

We seek to build an inclusive workforce and work environment. We make every effort to attract, invest in, and develop the talents of diverse people who reflect the society and community in which we live. We welcome and support people of all races, ethnicities, cultures, and religions and seek to foster teamwork and effective partnerships among our employees.

For information on Oracle's policy regarding individuals with disabilities, Vietnam Era veterans and other protected veterans, please visit the [Individuals with Disabilities, Veterans of the Vietnam Era and Other Protected Veterans Policy](#).



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Reasonable Accommodations (Revised February 2014)

Oracle provides reasonable accommodations for applicants and employees with disabilities in accordance with individual needs, business realities, and applicable laws and regulations.

Reasonable accommodations are efforts to remove workplace barriers that prevent or limit employment opportunities of qualified persons with disabilities and generally involve modifications or adjustments to a job, an employment practice, or the work environment. Such accommodations may take many forms based on the type of disability and the needs of the individual. Examples include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance. Oracle is committed to providing qualified applicants and employees with reasonable accommodations in accordance with applicable laws and regulations where such accommodations do not impose an undue hardship on Oracle's business. Please contact Oracle Benefits for more information or to request an accommodation.

Affirmative Action

Oracle makes a good-faith effort to increase the number of qualified applicants from protected classes in our employment application pool. These protected classes include women, African Americans, Latinos, Asians, American Indians, persons with disabilities, and Vietnam Era Veterans. We try to balance the representation of protected classes at Oracle with their availability in the general workforce.

Oracle maintains Affirmative Action Plans (AAP) for US sites with 50 or more employees, and provides the necessary support to implement them effectively. As required, we provide information about our AAP upon request to employees, customers, and government agencies.

To assist managers in addressing under-representation of protected classes and to create a climate of acceptance and understanding throughout Oracle, we work actively to communicate the purpose of affirmative action plans.

Anti-Harassment (Revised February 2014)

Oracle is committed to providing a work environment that is free of discrimination. Oracle does not tolerate harassment of any kind, including harassment based on sex, gender, race, color, religion, age, mental or physical disability, national origin, marital status, protected veteran status, sexual orientation, gender identity, or any other characteristic protected under federal or state law or local ordinance. Unlawful harassment may take many forms, including verbal, visual, or physical conduct that creates an offensive, hostile, or intimidating work environment.

Sexual harassment is strictly forbidden. Sexual harassment may include unwelcome sexual advances and other visual, verbal, or physical conduct of a sexual nature when 1) submission to such conduct is made, either explicitly or implicitly, a term or condition of an individual's employment, 2) submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting the individual, or 3) such conduct has the purpose or effect of substantially interfering with an individual's work performance or creating an intimidating, hostile, or offensive work environment.



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Workplace Bullying is also prohibited. Workplace bullying is defined as repeated inappropriate behavior that involves acts and/or omissions that a reasonable person would find threatening, intimidating or humiliating. This behavior may take many forms, including, but not limited to, physical, verbal or non-verbal conduct of an intimidating or degrading nature, intentional sabotage of an employee's work performance and/or attempts to exploit an employee's known psychological or physical vulnerability. A single act will normally not meet this standard; however, an especially severe and/or egregious act may qualify as bullying. It should be noted that Oracle does not intend for this policy to establish a standard of unusual workplace civility. In addition, respectful and fair actions taken to set high standards, demand superior performance, or discipline an employee for poor performance or illegal and/or unethical conduct are not considered bullying.

Report any incidents of possible harassment to [Human Resources](#). Whether you are subjected to or witness such behavior, Human Resources will maintain confidentiality to the extent possible. All reports will be promptly and impartially investigated. Employees interviewed by Human Resources in an investigation are expected to be truthful and must cooperate fully. There will be no reprisal or retaliation for good faith reporting of possible harassment or for participating in any investigation of possible harassment.

Where Oracle determines that this policy has been violated, we will take appropriate remedial action. Remedial action may include discipline, up to termination, of any individual found violating this policy. For more information, please see the "Commitment to a Harassment Free Workplace" brochure [in English](#) or [in Spanish](#) (Adobe Acrobat format).

Personal Relationships in the Workplace (Revised December 2013)

Personal relationships between employees, although not encouraged, are acceptable as long as the employees maintain workplace conduct consistent with effective and efficient work performance. Personal relationships in the workplace should be mutually consensual and should not affect Oracle's business in any way. Neither the participants' working relationship nor their work groups' dynamics should be negatively affected.

We strongly advise against personal relationships where individuals share a direct, indirect or perceived reporting relationship (either as a supervisor or a subordinate) or where one individual could influence the terms or conditions of the other's employment (e.g. performance reviews, career advancement, etc.). If you are having such a relationship, you are required to disclose the relationship to Human Resources immediately. Oracle may take any action it deems reasonable to eliminate potential conflict.

Although both employees involved in a consensual relationship are individually responsible for disclosure, a supervisor's failure to report such a relationship will be regarded as a serious lapse in his or her management of the workplace and grounds for appropriate disciplinary action.



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Employment of Relatives (Revised December 2009)

Your relatives are generally eligible for employment with Oracle. For purposes of this policy, "relative" may include any of the following:

- spouse
- domestic partner
- parent, step-parent, or grandparent
- child, including natural, adopted, foster, or step
- sibling, including natural or step relationship
- aunt, uncle, cousin, niece, or nephew
- in-laws within the above categories

Because of the vulnerability to accusations of favoritism or a potential conflict of interest, Oracle generally prohibits managers from directly or indirectly supervising a relative. Indirect supervision includes reporting within a management chain, as well as the supervision of work outside of an established management chain, e.g., within project teams.

Managers are responsible for promptly addressing any conflict of interest; in circumstances where it is possible, a potential conflict should be addressed before it arises. For example, a Senior Vice President cannot approve to hire a relative that would report directly or indirectly within his/her management chain or influence peers outside his/her Line of Business to hire, transfer, or promote a relative, without prior written approval. Managers and/or employees who become aware of a potential conflict of interest should contact Human Resources immediately.

Employees who become relatives, or form a domestic partnership with an Oracle colleague, whom they directly or indirectly supervise, must communicate their new relationship immediately to their manager and Human Resources. Oracle may take any action it deems reasonable to eliminate a potential conflict of interest.

Management must also consider the potential for a conflict of interest when hiring a contractor, either directly or through an agency. Relatives generally should not supervise, directly or indirectly, a relative, without prior written approval.

Should any exception to this policy be requested, written approval is required by:

- Your manager
- The SVP of Human Resources
- The Executive Vice President for your organization
- The Executive Vice President for the Line of Business the relative would work for (if applicable)
- The Regional Compliance and Ethics Officer



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Non-Oracle Employment (Revised November 2011)

We strongly discourage you from seeking additional employment outside of Oracle. Before accepting outside employment, review the Proprietary Information Agreement you signed when you joined the company. Be certain you can uphold your responsibilities to Oracle throughout the course of the additional employment.

And, before accepting non-Oracle employment, inform your manager and obtain his or her approval as well as that of your Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer as named in the Oracle Code of Ethics and Business Conduct.

You should avoid situations that may lead to absenteeism, tardiness, poor job performance, or prevent you from working overtime as needed. We advise you to decline employment that may result in a conflict of interest, such as working for one of Oracle's customers, sub-contractors, or vendors. Remember, you must discuss such activities with your manager and obtain written approval from your manager, as well as the written approvals of the Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer for your organization.

During your employment at Oracle you may not engage in any other employment or activity that may compete with Oracle's business. (See "Conflict of Interest" in the *Business Conduct* section of this handbook.)

Personal Information (Revised October 2006)

Oracle is committed to protecting the privacy and security of your personal information. Personal information is the information you provide and we use for the purpose of maintaining your employment with Oracle that either identifies you or permits you to be identified. The Oracle Internal Privacy Policy sets forth Oracle's practices for treatment of your personal information and the requirements for the privacy protection of personal information to which you may be provided access. The Oracle Internal Privacy Policy is also available on the Global Legal portal.

Employment Verification (Revised October 2006)

The "Work Number" is an automated service that provides you with the ability to quickly provide proof of your employment or income. You benefit from having control of the process, since you authorize access to your information. The Work Number provides verification services to over 80 million employees. It is widely known to mortgage lenders, banks, apartment complexes and others who may need proof of your employment or income, and it is easy for them to use.

The Work Number can be used anytime, anywhere – available 24 hours a day, 7 days a week.

For information on The Work Number and detailed instructions on how to use The Work Number go to:



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<http://my.oracle.com/content/groups/public/@empl/@hr/documents/webcontent/cnt349910.pdf>.

Should you receive inquiries from potential employers regarding current or former employees or requests for letters of reference regarding current or former employees, you should refer such inquiries to Human Resources Shared Services Center. You should not respond to such inquiries yourself. Refer former employees to The Work Number as explained above.

Internal Listings (Revised July 1, 2001)

The online directory (Oracle LDAP Directory) in IMAP email contains employees' telephone numbers. To make changes to telephone numbers or any other personal information stored in the Oracle HR Database (HRDB), go to [Self Service Applications](#) and click Personal Information under Oracle Employee Self Service.

Living and Working in Payroll Country

In order to ensure Oracle and our employees are in compliance with immigration, tax, and employment laws, Oracle requires all employees to live and work in the country where they are paid. Click [here](#) to view the Living and Working in Payroll Country policy.

International Travel (Revised September 2008)

International Assignments

On occasion, business needs may require Oracle to send you to another country to work. International assignments are Company initiated and may be short or long term, extending from three months to three years, with a possible extension to four years. All international assignments require appropriate senior management approvals.

International assignments are considered temporary, and Oracle initiates them when experienced employees with specific expertise are needed to lead or complete a project or when specialist skills are not available in the local market. At times, Oracle may arrange a temporary international assignment to develop key managers or individual contributors. Employees are not expected to substantially gain or lose financially when undertaking an international assignment.

If, at the end of an international assignment, you wish to relocate more permanently to the host country and a suitable opening is available, an international transfer is undertaken. See details below.

For more information about the international assignment policy, please go to http://my.oracle.com/site/hr/working_worldwide/InternationalAssignments/index.htm.

US Outbound Immigration

If you travel and/or work abroad you may be required to obtain a business visa and/or work permit. For more information about [US Outbound Immigration](#), go to: http://my.oracle.com/site/hr/us_immigration/index.htm.



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If you have any questions, please contact the Global Mobility Group at intlhr_us_ww@oracle.com.

International Transfers

An international transfer is a "permanent" move to an overseas location and may be Company or employee initiated.

In an international transfer, you resign your employment in your home country, and join the new country as a local hire on local payroll and terms and conditions of employment. International transfers require both releasing and receiving country management approval. Before an international transfer can take place, all approvals must be obtained **AND** an offer letter must be issued from the new/receiving country.

For more information, go to the Working Worldwide website at: http://my.oracle.com/site/hr/working_worldwide/working_worldwide.

Relocation (applies to domestic moves only) (Revised June 2011)

Oracle supports some relocation benefits/provisions when they are included as part of your offer letter or transfer approval from Oracle. All relocation reimbursements are subject to current IRS eligibility and taxation guidelines. It is recommended that you consult your tax advisor about the potential tax implications of your move.

Oracle's U.S. Relocation Program is offered through Lexicon Relocation and is designed to provide a contribution toward relocation expenses. The program may not cover all potential costs related to moving, the reimbursement of which is at the discretion of your manager. Before any relocation provisions or reimbursements are made, you are required to sign a Repayment Agreement. The Repayment Agreement will be provided and collected by Lexicon.

You should not take any steps to initiate your relocation, including listing a home for sale or engaging a real estate agent, without talking to Oracle's relocation provider (Lexicon Relocation). Your manager will authorize your relocation with Lexicon and you will be contacted if there is any additional information they need from you. If you are not contacted by Lexicon, please get in touch with your manager to inquire about the status of your relocation authorization.

For additional information about Oracle's U.S. Relocation Policy, please contact Oracle's Global Mobility group at relocation_us@oracle.com. You should ensure you are familiar with Oracle's relocation policy and processes before you begin planning for your move and/or incur any relocation expenses.

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Work Environment

Workplace Security (Revised May 1998)

Oracle cares about your safety. We maintain security services at most of our facilities and limit vendor and visitor access within the company. Security personnel can assist you in most emergency situations, report incidents, and resolve lost-and-found issues.

Headquarters Security

At Oracle headquarters, security personnel monitor the buildings 24 hours a day. All visitors must register in the lobby of the building they are visiting and wear a guest badge. An Oracle employee must escort vendors and visitors at all times.

Our badge and after-hours access policies further protect the safety of employees and property.

Personal and Company Property

You are responsible for your personal property on all company premises. You will not be reimbursed if personal property, including your vehicle parked or operated on Oracle premises, is lost, stolen, or destroyed.

If you remove company or personal equipment from Oracle, you must present your employee badge and the equipment serial numbers to an Oracle information coordinator or security officer.

To report loss, theft, or damage to personal or company property, submit an Incident Report to Security.

For more information about Security at Oracle, go to <http://my.oracle.com/site/sec/index.htm>.

Violence in the Workplace

Oracle is committed to preserving the health and safety of our employees. Threats or acts of violence against Oracle employees, temporary employees, independent contractors, customers, clients, vendors or other persons and/or property will not be tolerated. You should report any potential threats or acts of violence to your manager, [Human Resources](http://my.oracle.com/site/hr/contacts/contacts) (<http://my.oracle.com/site/hr/contacts/contacts>) or [Security](http://my.oracle.com/site/sec/index.htm) at (<http://my.oracle.com/site/sec/index.htm>) immediately.



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Weapons Ban

Guns, explosives, hazardous materials, or any potentially dangerous weapons, objects, or substances are prohibited in the workplace. If you discover such items anywhere on company premises, report them to Security immediately. Employees risk discipline, including termination, for violating this ban.

Workplace Safety (Revised February 2001)

Oracle supports an active health and safety program. We expect you to support these efforts by reporting any unsafe conditions or practices, helping to minimize hazards and accidental injuries and responding to directives and procedures in an emergency. If you identify a potential safety hazard, email Safety_US, or call the Safety hotline at 650-506-5656 (for HQ), or contact your local [Facility Coordinator](#) (for Field Offices) to report the hazard.

The Emergency Response Team (ERT) consists of Oracle employees who volunteer to be trained in basic first aid, CPR, evacuation procedures, and fire extinguisher use. Emergency Response Teams have been formed at all HQ locations, as well as many field offices. In an emergency, team members wear orange vests so you can easily identify them. If you are interested in becoming an ERT member, email ERT_US. Visit the Emergency Response Team Web site for more information at <http://my.oracle.com/site/ref/qres/ehs/programs/er/index.htm>.

All employees are expected to become familiar with Oracle's emergency procedures. Please refer to the Emergency Procedures section of the Health and Safety Web site for more information at <http://my.oracle.com/site/ref/qres/ehs/index.htm>.

Oracle provides you with an adjustable workstation and/or equipment to make your workstation comfortable. Refer to the Health and Safety Department's ergonomic Web site at <http://my.oracle.com/site/ref/qres/ehs/programs/ergo/index.htm> for information regarding how to conduct your own evaluation, ordering equipment, injury prevention techniques, symptoms of injury, managing/reporting an injury, and training.

For details regarding Oracle's policies and procedures on employee health and safety, along with other important safety information, refer to the Health and Safety home page at <http://my.oracle.com/site/ref/qres/ehs/index.htm>.

No Smoking (Revised December 2013)

The use of tobacco products in the workplace is prohibited in all U.S. facilities. Smoking is permitted in designated outdoor areas only. This restriction includes the use of electronic cigarettes.



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Substance Abuse (Revised October 2006)

Oracle is concerned about situations where the use of alcohol or drugs interferes with your health or performance, adversely affects the health, safety, or performance of others, or becomes detrimental to Oracle's business.

Oracle's health care plans and Employee Assistance Program (EAP), described in the Benefits section, provide help in confronting and treating substance abuse. Your request for assistance is kept strictly confidential.

Oracle prohibits reporting to work, driving a vehicle on company business, or driving a company vehicle at any time while under the influence of alcohol or while illegally using drugs.

You should tell your manager if you take a prescription or over-the-counter medication that may impair your ability to work safely and efficiently.

While the consumption of alcoholic beverages may occasionally be appropriate when entertaining customers or at other Oracle functions, you should exercise good judgment and avoid excessive drinking.

Federal Requirements

Federal legislation requires Oracle to provide a drug-free workplace to qualify for participation in federal government contracts. Oracle prohibits the manufacture, distribution, dispensing, possession, or use of a controlled substance in the workplace. You may be disciplined or terminated if you violate this restriction.

As a condition of employment, you must comply with the terms of the drug-free workplace policy. If you are convicted of violating any criminal drug statute and the violation occurred in the workplace, you must notify your Human Resources Manager no later than five days after conviction.

Flexible Work Hours

Each of us runs on a different internal clock. Flexible work hours enable you to work when you are at your best and can produce your highest quality and quantity of work. This flexibility, available to many full-time employees, allows you to efficiently schedule personal business, commute time, and volunteer commitments around your work responsibilities. Your manager must review and approve any flexible work-hours arrangement you propose.

After your manager approves your chosen flex hours, you may arrange your work schedule around this most productive time. Regardless of the schedule you set up, you are expected to fulfill your commitments to your manager, your colleagues, and Oracle.



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Telecommuting (Revised December 2013)

Oracle supports telecommuting, on a part- or full-time basis, with your manager's approval. Your ability to telecommute depends on the nature of your job, your work performance, your work location, and whether telecommuting promotes departmental goals as determined by your manager.

Telecommuting may be a temporary or long-term work option or used for a specified time to accommodate a special project.

If your manager agrees that your job is suitable for telecommuting, work together to set clear objectives, performance levels, work schedules, and expectations of accessibility during regular business hours. Your manager will determine to what extent Oracle will pay for related telecommuting expenses, such as equipment and telecommunications charges.

Home Office Address Change Approval

Work location changes must be approved and initiated in Manager Self Service by your manager. If your work location is changing to a home office location or if you will be moving to a different home office location please ensure that you update your home address in the Personal Information section of Employee Self Service.

If you work from your home, you must obtain pre-approval from your manager to change your home office location if the new home office will be located more than 50 miles from your current home office.

Transportation Program

Oracle provides commute information and special programs to employees at headquarters in compliance with California state requirements. At HQ, you can take advantage of vanpool and carpool options, daily shuttles that operate between Oracle buildings and CalTrain stations, transit ticket sales, and bike lockers. We also offer transportation information via an online ordering site, which can be found at:

<http://my.oracle.com/site/ref/regions/hq/specialty/transportation/index.htm>. Refer to the HQ-Redwood Shores Alternative Transportation Web site at <http://my.oracle.com/site/ref/regions/hq/specialty/transportation/index.htm>.

At other Oracle sites, particularly those with significant employee populations, there may be formal or informal local transportation programs. Check with your site coordinator to explore alternatives for your situation.

Oracle in the Community (Revised November 1998)

Our commitment at Oracle is to be a vital, contributing partner to the world community. We seek out genuine intersections between the needs of society and the goals of our company, and make investments that benefit both. We are committed to using our



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technology and resources to protect the environment, enrich the life of communities, and advance education in innovative ways.

For details about our programs and how you can get involved, please see our Web site at http://my.oracle.com/site/hr/make_difference/make_difference or <http://www.oracle.com/corporate/community>.

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Business Conduct

General Guidelines

Oracle sets moral standards and upholds ethical business practices. We must understand and conform to law, to custom, if within the law, and to human values.

Oracle's values of integrity, mutual respect, teamwork, communication, and innovation are the overriding principles that guide us in our business conduct.

Because your behavior on the job and in conduct of Oracle business is a direct reflection on the company, you should avoid or correct any situation in which your actions might adversely affect, publicly embarrass, or discredit Oracle.

Ethical Standards (Revised February 2009)

Oracle expects you to adhere to all laws applicable to our business and to observe the highest standards of business ethics. The company's [Code of Ethics and Business Conduct policy](#) guides you in your daily work to avoid circumstances that could damage you or Oracle. Every employee is individually responsible for meeting this obligation and exercising sound and mature judgment in all business relationships.

You are expected to compete fairly and ethically for all business opportunities. If you participate in agreement or contract negotiations, Oracle expects you to ensure that all statements, communications, and representations to customers are accurate and truthful.

In addition, you are required to complete the following mandatory compliance training courses:

Online Training

- Ethics and Business Conduct Training (all employees)
- Sexual Harassment Awareness Training (all employees)
- Information Protection Awareness Training (all employees)

Additional Training

- All US Managers are required to complete a two hour Sexual Harassment Awareness Training for Managers course in accordance with both California Assembly Bill 1825 and Oracle policy.

It is your responsibility to check the [Compliance Training page](#) for more information regarding these courses and others you may be required to take.

While no policy or rule can encompass all situations that may arise in a fast-paced and changing business environment, we expect you to apply your best judgment and seek help from the Legal Department in ambiguous or difficult situations to ensure compliance with applicable laws and regulations.



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Insider Trading (Revised February 25, 2005)

Oracle's Insider Trading Policy can be found [here](#). Please note that in order to avoid the appearance of impropriety, Senior Vice Presidents are prohibited from engaging in speculative transactions, such as short sales, puts, calls, straddles or similar transactions in an amount greater than the sum of the individual's vested in-the-money stock options and any shares owned outright by that individual. Executive Officers of the company continue to be prohibited from engaging in any speculative transactions.

Extending and Receiving Business Courtesies (Revised February 2014)

Oracle recognizes that it is customary in most cultures to extend courtesies, including meals, refreshments and entertainment as well as modest gifts, to customers or partners in order to foster the relationships that support successful business. Today, however, what was once a matter of simple business etiquette is now fraught with risk. In particular, where the circumstances under which a business courtesy is offered or where the nature, value or frequency of courtesies offered would lead a reasonable observer to conclude that the courtesy was intended to influence or reward an action or decision of the recipient or to gain an improper advantage, then the business courtesy may no longer be viewed as a polite gesture but as a criminal bribe. Accordingly, Oracle Employees who offer, promise, make, facilitate or authorize expenditures for business courtesies of any sort are responsible for ensuring that every such courtesy, whether extended to an employee of a private-sector company or to a government official, meets the guidelines set forth in [Oracle's Anti-Corruption Policy and Business Courtesy Guidelines](#) as follows:

- a. the business courtesy is justified by a clear and legitimate Oracle business purpose, such as the promotion, demonstration or explanation of Oracle products or services or the execution or performance of a contract;
- b. the business courtesy is not offered to influence or reward an action or decision of the recipient or to obtain any improper advantage;
- c. the nature and value of the business courtesy is reasonable and appropriate to the recipient's position and to the occasion, and is not likely to be reasonably understood by the recipient or viewed by a reasonable observer as a bribe;
- d. when taken together with business courtesies previously given to the recipient, the proposed business courtesy will not, in nature, value or frequency, give rise to an appearance of impropriety;
- e. the business courtesy will not impose a sense of obligation on the recipient, nor is there any expectation that Oracle or anyone else will receive anything in return; and
- f. the business courtesy is allowable under applicable law and the recipient is not on the [Oracle No Gift List](#).¹

It is permissible to furnish meals, refreshments and entertainment and to make modest gifts to employees of private-sector companies so long as in compliance with the mandatory requirements listed above and with [Oracle's Global Travel and Expense Policy](#). Before extending such courtesies to employees of private-sector companies, please refer to the [Global Anti-Corruption Policy and Business Courtesy Guidelines](#) for further specifics on private-sector spending and frequency limits.



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Activities that may be acceptable when dealing with private-sector company employees often are inappropriate or illegal when dealing with government officials. All Employees who do business with any government entity, including an international public organization such as the World Bank or a regional development bank, are responsible for learning and complying with the rules that apply to government contracting, to funding of government projects, and to interactions with government officials. Typically, these rules *severely limit* and in some cases *altogether prohibit* offering, promising or providing business courtesies to government officials. In addition, government officials themselves are generally prohibited from soliciting, agreeing to accept or receiving business courtesies.

To ensure compliance with these rules, any Employee who offers, promises, provides or authorizes a business courtesy to a government official must satisfy the mandatory requirements set forth above. To avoid even the appearance of impropriety, no business courtesy whatsoever may be offered, promised or provided to any government official involved in a recent or imminent decision concerning Oracle, its business or properties, unless the business courtesy is first approved in writing by a member of the Compliance and Ethics Organization (complianceprogram-app@oracle.com) in your region, or, for the U.S. and Canada, by the Director of Political Compliance. In addition, gifts to government officials may not include cash, cash equivalents or gift cards. Further, where a business courtesy, such as an offer of an unpaid internship or a recommendation letter to the admissions office of your alma mater, has no established value, you must first obtain the approval of a member of the Compliance and Ethics Organization, or, for the U.S. and Canada, of the Director of Political Compliance, before offering or promising such a courtesy. In addition to the mandatory requirements set forth above, there are further restrictions and public-sector thresholds outlined by region and country in the appendices of the Global Anti-Corruption Policy and Business Courtesy Guidelines.

With regard to business courtesies you may receive, it's permissible to accept meals, refreshments, entertainment and gifts from private-sector companies with which Oracle does business, provided each such business courtesy (a) is unsolicited, (b) is justified by a clear and legitimate business purpose, such as the promotion, demonstration or explanation of the business partner's products or services or the execution or performance of a contract, (c) is not offered to influence or reward an action or decision of the Employee or to obtain any improper advantage, (d) is reasonable in nature and amount, appropriate to the occasion, and not likely to be viewed by reasonable observers as a bribe, (e) when taken together with business courtesies previously provided to the Employee by the same business partner, in nature, value or frequency, will not give rise to an appearance of impropriety, (f) does not impose a sense of obligation on the Employee, nor give rise to an expectation that anyone will receive anything in return, and (g) is consistent with local laws.¹

Additional spending and frequency limits apply to all business courtesies, whether to an employee of a private-sector company or to a government official, and approvals are required for any courtesies which fall beyond these thresholds. Please refer to Oracle's Anti-Corruption Policy and Business Courtesy Guidelines for more information and become familiar with the thresholds and required approvals when extending or receiving business courtesies.

¹ Contact the Oracle Legal Department or a member of the Compliance and Ethics Organization for information concerning the laws of the country in which you do business. Many countries impose limitations on gifts that may be exchanged, even among employees of private-sector companies. For example, in Hong Kong it is unlawful for an employee of a private-sector company to accept a gift without the prior written approval of



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his or her employer. The employer must not grant approval if the gift is intended to improperly influence or reward an action or decision of the employee.

Follow-Through

Oracle abides by all applicable laws and government regulations, and expects you to do the same. If asked to engage in conduct, which you believe violates any law or regulation, or is otherwise improper, or if you observe other employees engaged in such conduct, you should notify your region's Business Conduct Officer as defined in the [Oracle Code of Ethics and Business Conduct](#) immediately. Disclosures are treated confidentially to the extent possible and appropriate corrective action shall be taken to ensure compliance with the applicable law or government regulation. No adverse action will be taken against you as a result of a good faith disclosure.

Export Compliance

Federal controls regulate exports of products and technical data from the U.S. and the re-export of these items from one foreign destination to another. Oracle products are subject to these controls.

If you send Oracle software to foreign destinations as part of your job, you must adhere to U.S. export laws and regulations. Failure to comply could result in the loss or restriction of Oracle's export privileges. For this reason, Oracle software shipments must be processed through an Oracle distribution channel authorized by the company's Export Control and Compliance Organization (ECCO), also known as the "[Corporate Affairs Department](#)". Direct your export compliance questions to the customs_us@oracle.com for custom issues or xport_us@oracle.com for export issues or to the Legal Department.

Protecting Confidential Information (Revised December 2013)

Oracle's confidential information constitutes a valuable company asset and protecting it is vital to our success. Protecting the confidential information of our customers and others with whom we interact (e.g., partners, vendors, Web site registrants) is also important. You are required to safeguard confidential information by not transferring, publishing, using or disclosing it except as directed or authorized by Oracle.

The [Oracle Information Protection Policy](#) (OIPP) sets forth the requirements governing the treatment of confidential information to which you may be exposed while performing your job. It provides a description of different categories of confidential information, and provides guidance on the proper handling of that information, including storage, use, transmission, and deletion. The topics covered by the OIPP include: classification of information and handling requirements; treatment of source code, sensitive employee information, and confidential information received from others; authorized disclosure of confidential information and limitations on disclosure; and use of Oracle Files, Oracle Portal, and the Bug and Support databases.

The OIPP is attached to this Handbook as [Attachment C](#) and may also be found on the Privacy & Security page on the Oracle Legal Department Web site or [click here](#). If you have questions about the OIPP, please contact privacy-team_ww@oracle.com.



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All Oracle employees are also required to sign a Proprietary Information Agreement upon their hire which, among other things, identifies an employee's obligation to protect company confidential information. This obligation continues even after the end of his or her employment with Oracle. Moreover, just as Oracle expects its employees to abide by their obligations not to disclose Oracle confidential information after they leave Oracle, we expect employees to abide by their obligations to protect the confidential information of their former employers. No confidential information obtained during an employee's work at a former employer should be brought on Oracle premises or used in *any* form in the employee's work at Oracle.

In addition to honoring their obligation to retain the confidentiality of Oracle information, employees are expected to abide by all Oracle, local system and physical office security policies. These policies are available on the Oracle Corporate Security Policies Web site or [click here](#). Employees must not access or attempt to access systems or physical areas without appropriate authorization. If you learn that someone has accessed Oracle facilities without authorization, report the unauthorized access to your manager and to your local Security officer at once. You must also immediately report any suspected unauthorized access to Oracle systems to security_breach_ww@oracle.com or to gis_policy_ww@oracle.com.

Third Party Intellectual Property

Oracle respects the intellectual property of others. It is against Oracle policy to reproduce copyrighted software, documentation, or other materials without permission. Oracle respects the limitations specified in the licensing agreements with its software suppliers and Oracle employees are not permitted to use or copy software or documentation except to the extent that the applicable license agreement allows such use or copying.

Communications With the Press and Analysts (Revised July 2002)

As a public company, Oracle has fiduciary, legal and professional responsibilities in managing its external communications. As such, you must forward all press and market analyst inquiries to Oracle's Public Relations department for follow-up and response and all contacts must be initiated under their guidance; you may not answer questions or respond to inquiries yourself. In addition, only the Public Relations Department is authorized to issue written public statements, most often as press releases, about the company, its financial performance, direction, product plans, or policies. These statements are approved by the President or his designates (e.g., CFO, business unit head, Legal Department). In the case of requests from financial analysts or other requests for financial information, refer all requests to Investor Relations. You may view Oracle's Policy Regarding Communications with the Press and Analysts in full at: http://my.oracle.com/content/idcplg/cnt337891.pdf?IdcService=GET_FILE&noSaveAs=1&Rendition=Web&RevisionSelectionMethod=LatestReleased&allowInterrupt=1&dDocName=cnt337827.

Social Media Participation

Use of social media, including Facebook®, LinkedIn®, Twitter®, Instagram®, blogging, wikis and other online social tools, is integrated into the everyday lives of Oracle's employees. As these online activities can impact Oracle's business interests as well as



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your ability to do your jobs, it is extremely important that you adhere to the company's Social Media Participation Policy, which is may be found on the Trademark & Copyright Legal internal homepage.

Conflict of Interest (Revised November 2011)

A conflict of interest describes any circumstances that could cast doubt on your ability to act with total objectivity regarding Oracle's interests. You should avoid financial, business, or other relationships, which might oppose Oracle's interests or might conflict with the performance of your duties.

Examples of obvious conflicts of interest include:

- employment by a competitor;
- awarding business to a firm in which you or your family have substantial ownership interest;
- ownership or substantial interest in a company which is a competitor with or supplier to Oracle;
- acting independently as a consultant to an Oracle customer or supplier.

Sometimes conflicts of interest, or the appearance of a conflict, can arise accidentally or unexpectedly. If at any time you feel that an actual or potential conflict situation exists, report all pertinent details in writing to your manager and obtain his/her written approval as well as the written approvals of the Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer for your organization.

Corporate Opportunity

Employees may not take for personal gain opportunities that are discovered through the use of corporate property, information or position. Employees owe a duty to Oracle to advance the company's interests whenever the opportunity to do so arises.

Expense Reporting and Proper Accounting (Revised April 1999)

Oracle adheres to the highest standards of financial reporting and disclosure, and expects the same from you. All information and reports you submit such as timesheets, vacation reports, product order or shipment reports, expense reports, purchase requisitions should be accurate to the best of your knowledge. False, misleading, or dishonest reporting inside or outside the company is strictly prohibited.

Expense Reporting (Revised October 2006)

If you incur approved expenses as part of your job, submit an expense report as soon as possible. Report your expenses weekly, or, at the latest, within 30 days of incurring them. Submit original receipts for all expenses greater than \$25. Report your expenses using the online US Expense Report applications found in [Self Service Applications](#). If you do



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not choose to have your reimbursement added to your payroll direct deposit, Oracle will mail your checks to your home address.

The use of your AMEX card for business expenses and the Credit Card Integration (CCI) process for payment/remittance is mandated per corporate policy. For general questions on the CCI process, please contact Global Expense to Pay at [global-e2p_ww](#).

Records Retention

The Oracle Records Retention Policy and Schedule sets forth the guidelines governing your retention and disposal of Oracle records. This policy and schedule is intended to ensure that Oracle maintains company records in accordance with applicable legal, regulatory and business requirements. You are responsible for understanding and complying with the [Records Retention Policy and Schedule](#), which is located on the Legal Web site.

Travel and Entertainment (Revised May 1999)

Please see the Global Travel & Entertainment policy at:
<http://my.oracle.com/content/web/cnt666107>.

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Benefits

Please Note: If the description of benefits summarized in this handbook differs in any way from the official plan documents for these benefits, the descriptions and policies in the official plan documents apply.

Oracleflex (Revised May 31, 2011)

Oracle offers a comprehensive benefits plan, called *Oracleflex*, which enables eligible employees to choose the benefits and coverage levels that best meet their needs and those of their eligible dependents.

Your options include:

- an extensive selection of medical plans and options with no pre-existing condition limitations (although not all plans are available in all geographic areas);
- preventive and comprehensive dental coverage;
- comprehensive vision care coverage;
- employee and dependent life insurance;
- Accidental Death and Dismemberment (AD&D) insurance;
- Long-Term Disability (LTD) insurance;
- pre-tax Health Care and Dependent Care Reimbursement Accounts;
- a Health Savings Account for certain eligible individuals
- other after-tax options, including group legal services and personal insurance (auto, homeowner, rental, etc).

How Oracleflex is Different (Revised May 31, 2011)

Oracleflex is a "cafeteria-style" benefits plan that gives you more choices and greater flexibility than traditional health and insurance benefits plans. It allows you to design a custom benefits package for your unique situation. You are awarded flex credits that you can use to purchase benefits, so you can control the amount you spend on each benefit option. Depending on your needs, you can use all of your flex credits to purchase a complete benefits portfolio, or decline some benefits and retain a portion of the credits as taxable income.

(See the Oracleflex Enrollment Guide for a detailed description of the program and enrollment procedures.)

Eligibility (Revised May 31, 2011)

You and your eligible dependents may participate in the *Oracleflex* program if you are:



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- A regular full-time employee on the U.S. payroll working 30 or more hours per week, or
- A regular part-time employee on the U.S. payroll working at least an average of 20 hours a week.

Part-time employees receive a reduced amount of credits depending on the number of hours they are regularly scheduled to work per week. If you are a part-time employee working an average of 20 hours per week, you receive 50% of the amount of Oracleflex credits for which you would be eligible as a full-time employee.

Eligible dependents may include:

- your legal spouse or qualified domestic partner;
- children to age 26;
- stepchildren whom you support and who live with you in a parent-child relationship;
- adopted children;
- other children you support as legal guardian and who live with you in a parent-child relationship, as long as you can provide court documentation verifying your legal guardianship.

Oracleflex benefit eligibility ends for you and your dependents:

- on the last day of your employment with Oracle;
- upon your death or total disability;
- after 30 days of an unpaid leave of absence;
- after you are no longer on paid medical, paid pregnancy disability, or FMLA leave and have not returned to work.

Continuing Benefits Coverage

Under COBRA (the Consolidated Omnibus Budget Reconciliation Act of 1985), you and your eligible dependents may qualify for continuing benefits coverage in certain situations. If eligible, you may continue your and your dependents' medical, dental, vision, and health care reimbursement account benefits for up to 18 months at your own expense. You or your estate may continue your dependents' coverage for up to 36 months in case of your death, divorce, or child ceasing to be a dependent. Upon total disability, you may be eligible to continue your medical benefits for up to 29 months. You or your estate must notify Oracle's COBRA Administrator of your decision to continue coverage within 60 days after the date your benefits would otherwise end.

Open Enrollment

You can enroll in Oracleflex at the start of your employment and begin your coverage on your date of hire. During open enrollment, usually held in the fall, you can re-enroll and make changes or new elections to your plan. Changes are effective January 1, the start of the new plan year.



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Making Changes (Revised May 31, 2011)

In addition to the open enrollment period or at the start of your employment, you can make certain types of changes to your elections when you have a qualifying change in family status as defined by the IRS. Qualifying events include:

- your marriage or divorce;
- birth or adoption of a child;
- death of a dependent;
- start, end, or change of your spouse's employment and/or insurance coverage;
- your unpaid leave of absence.

You must notify Benefits within 62 (31 days for certain HMOs) days of the effective date of the event, and your changes in benefits must be consistent with the family status change you have experienced. The effective date of coverage for benefits status changes depends on the event you are experiencing. Once logged in to process your event, the Web application will show you what will be the effective date. Note, however, when adding a newborn or adopted child, the change will be effective on the date of birth or placement for adoption. For more information go to: <http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/fsc/index.htm>.

ORACLE 401(K) (Revised April 2002)

Oracle's 401(k) Savings and Investment Plan offers you a way to plan for retirement and contribute to your long-term financial goals. You may contribute up to 40% of your cash compensation (salary, bonus, and commission) on a pre-tax basis, subject to an annual IRS dollar limit, through payroll deductions. Oracle may match some of your 401(k) contribution, and you decide where to invest your money by selecting from a portfolio of investment options. Because you use pre-tax dollars and your account growth is tax-deferred, your participation should lower your current federal and state income taxes. Please make sure you review the Prospectus and Summary Plan Description before you sign up for the 401(k) Plan. Visit the Benefits Web site at <http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/401k/> for 401(k) information.

Eligibility, Enrollment, and Changes (Revised March 28, 2002)

Regular full-time employees as well as part-time and Oracle temporary employees who normally work at least 20 hours a week may participate in the Oracle 401(k) plan. Those who regularly work fewer than 20 hours per week may not participate.

You may enroll in the plan any month by calling Fidelity at 800-835-5098. If you make your enrollment elections to Fidelity by the last business day of the month, your contributions will begin the first paycheck of the following month.

You may change or stop the amount you contribute any month by calling Fidelity directly at 800-835-5098 or on the web through [Fidelity's NetBenefits](#). Changes submitted to Fidelity will be effective within 1-2 pay periods depending on when during the pay cycle the changes are received.



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Company Matching Contributions (Revised July 2000)

Oracle will contribute, on a per-paycheck basis, 50% of your first 6% in contributions, or 50% of the IRS maximum on employee contributions, whichever is lower. The company matching contribution vests over a period of four years. Matching funds are not included in the annual IRS limit on employee contributions.

You can keep all vested benefits if you leave the company. Of course, any pre-tax contributions you make to your account (adjusted for any earnings or losses) are always fully vested. Effective January 1, 1999, the company's matching contributions vest according to the following schedule (if you complete an hour of service after January 1, 1999):

One Year	25%
Two Years	50%
Three Years	75%
Four Years	100%

If you were hired before October 1, 1996 and your hire date fell between January 1 and September 30, your vesting date is January 1 of the year you were hired.

If you were hired before October 1, 1996 and your hire date fell between October 1 and December 31, your vesting date is January 1 of the following year.

If you were hired anytime after October 1, 1996, your vesting date is the same as your hire date.

Rollovers (Revised September 1999)

If you participated in a qualified 401(k) plan with your previous employer, you may roll over the entire pre-tax amount into Oracle's plan. Oracle will not match your rollover contribution. You may invest your rollover in different investment options from your ongoing contributions. Please refer to the [401\(k\) Rollover Form and Instructions](#) (Acrobat).

Tax Advice and More Information (Revised July 2000)

Consult your tax advisor to determine the tax consequences of your participation. Complete details on the Oracle 401(k) Plan are provided in the Prospectus and Summary Plan Description, available on the Benefits Web site at <http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/401k/>.

Employee Stock Purchase Plan

Oracle's Employee Stock Purchase Plan lets you purchase Oracle common stock at a discounted market price. By enrolling in the plan prior to any offering period, you may contribute up to 10% of your cash compensation (salary, bonus, and commission) through payroll deductions.

You may participate in the stock purchase plan if you regularly work at least 20 hours a week, five months a year.



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For more information call 775.657.4889 or email stock_us@oracle.com.

Offering Periods and Purchase

There are two six-month offering periods a year, beginning October 1 and April 1. The first day of the period is known as the "offering date," and the last day is the "exercise date" or "purchase date."

Your purchase price under this plan is 95% of the stock's closing sale price on the last trading day before the exercise date.

If the stock price fixed on an exercise date is less than half the price it was on the offering day, you can buy no more than twice the number of shares you would have been eligible to purchase had the price remained the same as on the offering date.

Maximum Contribution

In any calendar year, you may purchase up to the equivalent of \$25,000 of stock at fair market value as defined by the IRS Section 423.

Enrollment, Changes and Cancellation (Revised April 2008)

You can enroll, change your percentage of contribution and/or cancel your participation (withdraw) by logging into your account at www.netbenefits.fidelity.com.

You may enroll in either offering period (beginning in October or April) only during an open enrollment period (Feb 15 – Mar 15 and Aug 15 – Sep 15). If you cancel/withdraw before the 15th of the last month of the offering period, you will be refunded the entire amount that was deducted during the period. If you miss the deadline, the deducted amount will go to purchase stock and your participation will terminate at the beginning of the next offering period.

You may increase or decrease the amount withheld at any time during a six-month offering period.

If you leave the Company on or before the 15th day of the last month of an offering period (in September or March), you will be withdrawn automatically as of your termination date and any money you contributed during the current offering period will be refunded to you, without interest. If you leave the Company on or after September 16th or March 16th, you may leave your period-to-date contributions in the Plan to purchase stock on the exercise date.

Unless you make a change, you are not withdrawn from the Plan during an approved leave of absence. If you are on paid leave, you may continue to contribute. If you are on unpaid leave, your contributions will stop until you return to work and then they will resume automatically.

Please call Fidelity Investments direct at 800-544-9354 if you need assistance with your Employee Stock Purchase Plan enrollment, changes and/or withdrawal.



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Receipt of Stock

When you purchase Oracle stock, it is deposited in your name with the plan broker, who lets you know the number of shares you purchased. The shares are delivered to the broker as quickly as practicable after the exercise date of each offering period. You cannot sell or transfer your shares until the broker receives them. To receive a stock certificate, request one from the plan broker.

Tax Consequences

Under current U.S. tax law, there are no tax consequences when you acquire stock through the Employee Stock Purchase Plan. The disposition (including sale and gift) of the stock, however, may be a taxable transaction. Consult your personal tax advisor with any questions about tax consequences.

Employee Assistance Program (EAP) (Revised January 1, 2005)

To help you address problems that threaten to upset the stability of your life at home or at work, the Oracle Employee Assistance Program offers referral services and confidential, personal assessment. EAP services, provided by United Behavioral Health, may help with stress, depression, difficulties with children, divorce, alcohol abuse, drugs, job worries, marital, financial, or legal problems.

(For more information, call EAP at 1-866-728-8413 or 1-866-672-2511)

LifeSolutions Program (Revised January 1, 2005)

Oracle offers employees the LifeSolutions Program, a free employee benefit designed to help you balance your work, family, and personal responsibilities. United Behavioral Health, a leader in work/family programs and organizational consulting, provides this service. The LifeSolutions Program is an easy way for you to receive practical advice, useful materials and referrals, including:

- information on a variety of topics, such as becoming a parent, understanding the process of adoption, caring for elderly relatives or relatives with disabilities, managing your time effectively, or creating a living will;
- customized referrals to confirmed openings at child and elder care programs;
- personalized reports about public and private schools, colleges and financial aid; and
- practical advice on a variety of topics.

(For more information, LifeSolutions can be accessed at www.liveandworkwell.com (use Oracle's access code 228485) or you can call them 24 hours a day, seven days a week, at 1-866-728-8413.)



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Workers' Compensation (Revised April 2012)

Oracle maintains Workers' Compensation insurance as required by law. This insurance is provided to protect you if you are injured or become ill and the injury or illness arises out of and in the course of your employment.

Workers' Compensation insurance provides benefits including medical care and wage-loss reimbursement, which is paid by Oracle. Because Workers' Compensation benefits are limited and will not compensate your full salary, you may use Medical Leave to supplement your Workers' Compensation disability benefits provided you are eligible for Oracle's paid Medical Leave (see the [Medical Leave policy](#)).

Questions regarding Workers' Compensation insurance should be directed to Risk Management at (650) 607-0169. For additional information, refer to: <http://my.oracle.com/content/web/CNT658195>.

You should contact your [Human Resources Representative](#) at <http://my.oracle.com/site/hr/contacts/contacts> to file for Worker's Compensation benefits.

Vacation (Revised June 2008)

Employees working at least 35 hours per week accrue 13 days of vacation a year (which calculates to approximately 4.33 hours per pay period) for your first three years of employment, and 18 days a year (6 hours per pay period) after three years.

As a regular employee working 25-34 hours per week, you accrue vacation at a prorated accrual rate of 75% or 3.25 hours per pay period for your first three years of service and 4.5 hours per pay period after three years.

As a regular employee working 20-24 hours per week, you accrue vacation at a prorated accrual rate of 50%, or 2.17 hours per pay period, for your first three years and 3.0 hours per pay period after three years.

If you have worked for Oracle as a regular employee three years or less, you may accrue a maximum of 120 hours of vacation; you may accrue 184 hours if you have been employed longer than three years. Maximum accruals for regular employees working 20-34 hours per week are also prorated.

When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. If your vacation is submitted after a payroll cutoff date, you will begin accruing vacation time again the next pay cycle after you have reported the vacation hours taken. Employees are obligated to record and submit all vacation taken via Oracle Self Service Apps [Payroll OTL Timecard].

You can use your paid vacation time for any reason or occasion – for rest and relaxation, to take a trip, to take care of personal or family matters, to take a friend or family member to a medical appointment, and/or to supplement Sick Leave.

You may schedule use of your vacation at any time during the year, with your manager's pre-approval. If you need to use your vacation benefits on a date and for a reason that was not foreseeable, as in the case of an emergency situation, you must promptly inform your manager of your absence and record use of your vacation time taken prior to the time entry cutoff for the period during which you used your accrued vacation time (found



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on the payroll calendar at [http://my.oracle.com/site/hr/RegionalSites/U.S./us how do i/payroll schedule/payroll schedule](http://my.oracle.com/site/hr/RegionalSites/U.S./us%20how%20do%20i/payroll%20schedule/payroll%20schedule)).

If your employment at Oracle ends, your final paycheck includes compensation for any unused vacation. You may not otherwise receive pay in lieu of accrued vacation. If you were advanced unaccrued vacation, the amount advanced is deducted from your final paycheck. Accrued vacation cannot be used to extend your termination date; your last day of Oracle employment cannot be a holiday or vacation day.

Sick Leave (Revised May 2009)

For information about Sick Leave, visit the Benefits Web site at <http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/loapol/loapol>.

Holidays (Revised October 2006)

Oracle offers eight paid holidays a year. They are:

- New Year's Day
- Martin Luther King, Jr. Day
- Memorial Day
- Independence Day
- Labor Day
- Thanksgiving (2 days)
- Christmas

Full-time regular employees and part-time and temporary employees who are listed in the HR database as working at least 20 hours per week are eligible for paid holidays. Employees listed in the HR database as working less than 20 hours per week are ineligible for paid holiday time.

Absences for religious observances (other than the ones provided for by this policy) should be taken as vacation time.

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Benefits Eligibility Chart

Benefit	Full-Time (30-40 hr/wk)	Part-Time (20-29 hr/wk)	Part-Time (-20 hr/wk)	Non-Exempt Personnel	Oracle Temp.	ORACLEflex Continuation
	Yes	Yes	No	Yes	No	
	Yes	Yes	No	Yes	Yes*	
	Yes	Yes	No	Yes	Yes*	
	Yes	Yes	No	Yes	No	
	Yes	Yes*	No	Yes	Yes*	
	Yes	Yes	No	Yes	No	Yes
	Yes*	Yes*	No	Yes*	No	Yes*
	Yes*	Yes*	No	Yes*	No	Yes*
	Yes*	Yes*	No	Yes*	Yes*	Yes
	Yes*	Yes*	No	Yes*	No	1st 30 days
	Yes	Prorated	Yes	Yes	No	1st 30 days
	Yes	Yes	Yes	Yes	Yes	
	Yes*	No	No	Yes	No	
	Yes*	Yes*	Yes*	Yes	No	
	Yes	Yes	Yes	Yes	Yes	

* If eligibility requirements are met and/or appropriate approvals/certificates are obtained



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Leaves of Absence

For information about Leaves of Absence, visit the Benefits Web site at <http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/leaves/index.htm>.

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Compensation (Revised October 2006)

You are compensated and rewarded based on your contributions to Oracle and in accordance with Oracle's business performance and success in the marketplace. Your total cash compensation is comprised of your base salary and performance related bonuses or commissions and other incentives. To determine your salary and total cash compensation package, we take into account market research, your career level, and your individual performance. Market research assists us in assessing what competitive companies pay for comparable jobs. Oracle's goal is to attract, retain, and motivate high caliber, high performing employees. Central to Oracle's compensation philosophy are:

- recognition and reward for contributions that enhance Oracle's growth, success, and profitability;
- open communications, ensuring you understand what is expected of you, how you can make a significant contribution to Oracle's success, and how you can advance your career;
- competitive analysis, comparing compensation and business performance data with that of competitor companies.

Oracle's approach to compensation is tailored to incorporate the flexibility necessary to excel in various lines of business, retain pre-eminence in the marketplace, and compete for the most talented people.

Pay Schedule (Revised July 1, 2001)

Oracle pays you semi-monthly, on the 15th and the last business day of the month. Applicable deductions, including federal, state, and local income and payroll tax withholdings are taken out of each payment. When the payroll date falls on a weekend or another non-business day, Oracle pays on the last regular business day before the scheduled pay date. If your employment terminates, you are paid through the last day you work.

Oracle encourages you to enroll in a direct deposit plan, which allows the company to deposit paychecks directly into your checking, savings, or other account held at your financial institution. This alleviates delays resulting from the U.S. mail delivery of your paycheck. To enroll in direct deposit, please log onto the [Self Service Apps](#), Employee Self Service, and select the "Direct Deposit" link. Please note that Direct Deposit enrollments and changes are tested with the bank for up to two pay periods. During that time, you will receive a live payroll check. If you are not enrolled in Direct Deposit, your paychecks are sent by U.S. mail to your home address.

Oracle makes every effort to ensure that the direct deposit program is timely and accurate. However, there are instances, which are beyond the control of U.S. Oracle Payroll when direct deposits cannot be or are not correct. Therefore, you should review your pay slip each pay period to ensure that your deposits were made. Oracle is not responsible for any resulting fees, costs or other damages resulting from a failed or incorrect direct deposit.

The payroll submission cutoff is approximately six working days before each pay date. For a complete list of pay dates and submission cutoff dates, go to the [Payroll Calendar](#) or call the information line at (888) 404-2494.



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Timesheets (Revised October 2006)

All hourly employees must submit timesheets each week in order to be properly compensated. Hourly employees are required to record all hours, including starting and ending work time and meal periods. They also must report all exception time including, overtime (if any), vacation taken, jury duty pay, military pay, and shift premiums each week. Salaried employees eligible for shift pay should also fill out weekly timesheets indicating all shift premiums.

For most employees, Oracle's workweek is Monday through Sunday. However, if you use OTL for Projects, your workweek is Saturday through Friday. All timesheets must be submitted to Payroll before the cutoff deadline. The payroll schedule can be found at: http://my.oracle.com/site/hr/RegionalSites/U.S./us_how_do_i/payroll_schedule/payroll_schedule.

To enter time, go to MyOracle > Self Service Apps > Oracle Employee Self Service > Time Entry at https://global-ebusiness.oraclecorp.com/OA_HTML/OA.jsp?OAFunc=OAHOMEPAGE&akRegionApplicationId=0&navRespld=1004386&navRespAppId=178&navSecGrpId=0&transactionid=154026406&oapc=2 and use your Self Service Username and Password.

Part time employees should use the same path as above and fill out total worked and non-worked hours each week. Part time employees are required to fill out timesheets each week even if they did not work.

All timesheets must be submitted to Payroll before the cutoff deadline.

Overtime (Revised June 2014)

If you are working in an hourly position, you are eligible for overtime pay.

In general, Oracle will pay overtime eligible employees 1.5 times their regular hourly rate of pay for all hours worked in excess of 40 hours in a work week. Where federal, state or local law set standards that differ from Oracle's policy, Oracle will observe them. For example, for employees working in California, overtime is paid for hours worked that exceed 8 hours in a work day and/or 40 hours in a work week and double time is paid for hours worked that exceed 12 hours in a work day and/or hours worked that exceed 8 hours on the 7th consecutive workday. For specific state overtime rules, [click here](#).

Time off for holidays, sick days and vacation days is not considered hours worked for purposes of calculating weekly hours, even when an employee is paid for such time. Hours worked on holidays and weekends will be counted as hours worked. Employees working on paid holidays will receive holiday pay; however, only hours worked at straight time apply when calculating overtime for the workweek (and/or workday for states that have daily overtime).

Hourly employees are generally required to get pre-approval before working overtime. In certain genuinely urgent circumstances, you may need to work overtime without approval and seek subsequent approval from management. Except in those limited circumstances, you should not work overtime without advance approval or you may be subject to disciplinary action.



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You should ensure that your timesheet accurately reflects all hours you have worked. It is against Oracle policy for anyone to instruct or encourage you not to record all of your time worked, and any violation of this policy should immediately be reported to Human Resources. Employees will be compensated for all overtime worked, regardless of whether appropriate approvals have been obtained.

If you have any questions or you are uncertain about your status with respect to eligibility for overtime, please do not hesitate to contact your manager or your Oracle Human Resources representative.

Meal and Rest Periods (Revised November 2013)

To the extent required by law, Oracle provides rest and meal periods to eligible employees. For specific state meal and rest period information [click here](#). In California, Oracle provides to its hourly employees who work more than five hours in a workday a 30 minute unpaid meal period during which they will be relieved of all duty. Hourly employees who work more than ten hours in a workday will be provided an additional 30 minute unpaid meal period. You must take your meal period each workday in which you are entitled to such a break and must do so no later than the end of the fifth hour of the workday. You also must record the starting and ending work time and each meal period on your timesheets.

In addition, California hourly employees are entitled to a ten-minute rest break during each four-hour period of work or major fraction thereof; in most cases, a morning break and an afternoon break. You should take these breaks as near the middle of each four-hour work period as possible.

In the event that you encounter some difficulty in taking a meal period or rest break, as described, you must immediately notify your manager and/or [Human Resources](#) so that Oracle can take necessary steps to address any issues.

Travel Time (Revised June 16, 2004)

Some hourly positions may require travel in the U.S. and abroad. Hourly employees are eligible for compensation for the time they spend traveling. "Travel time" is the amount of time you spend traveling on work-related business. Travel time commences when you leave your home and ends when you reach your destination. However, travel time always excludes your normal commuting time. In addition, if, for purely personal reasons, you take a break that interrupts your work-related travel, the amount of time you spend on those personal pursuits is also excluded from the travel time.

When you travel between two or more time zones, the time zone associated with the point of departure should be used to determine the amount of travel time. Authorized travel time spent driving an automobile (outside of normal commuting time discussed above) will be compensated.

You are responsible for accurately tracking, calculating and reporting travel time as regular hours works on your time sheets in accordance with this policy.



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Focal Salary Review (Revised June 16, 2008)

Oracle management reviews all eligible employees' salaries at one (focal) time. This process facilitates compensation budgeting, accurate competitive analysis, and timely review of all employees. Salary increases are based on your productivity and contributions, company performance, market comparisons with competitor companies, and other factors Oracle may wish to consider at its sole discretion.

For employees on Sales compensation plans, the Focal Salary Review is typically processed with approved changes effective on June 1st to coincide with the start of the fiscal year.

The Focal Salary Review process for all other employees, if approved, typically is effective December 1st. An employee on a leave of absence receives, if approved, their salary increase when they return to work.

Global Performance Bonus Plan (GPBP) (Revised October 2006)

Oracle management reviews all eligible employees' performance for possible cash bonus rewards. Beginning in FY07, the Global Performance Bonus Plan is funded and bonuses distributed once annually after the close of the fiscal year if performance measures are achieved. Bonuses are not entitlements; bonuses are discretionary and are distributed, at the sole discretion of the company, only to employees who meet the eligibility requirements set forth below.

You may be considered for a bonus if your employment status is*:

- active,
- regular,
- on the Global Performance Bonus Plan,
- working 20 or more hours a week,
- employed with Oracle on or before March 31st of the current bonus year,
- eligible for at least 30 days of the bonus period, and
- employed by Oracle without a pending termination date on the day the bonuses are paid unless specific country labor laws require otherwise.

In general, hourly employees or employees on any other bonus plan, including Sales, PreSales, Instructor or Consulting compensation plans, are not eligible for the Global Performance Bonus Plan (GPBP).

Furthermore, bonuses may be prorated to reflect time not worked in a bonus period (due to leave of absence, transfer, new hire, part-time, or change of status from hourly to salaried).

* Eligibility requirements may vary outside of the US.

Patent Awards (Revised February 2011)

For information about the Patent Award Program, go to:
<http://my.oracle.com/site/legal/GlobalLegal/NALegal/PatentTrademarkCopyright/index.html>.



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Employee Referral Program (Revised August 2014)

Oracle's Employee Referral Program pays referral bonuses to US employees who successfully refer individuals who are hired for work at Oracle in North America.

The referral bonus amount may be different if the new hire's division is running a special Enhanced Referral Program. Please contact the sponsoring division for more information. Or, to check on a specific employee referral, contact referral-helpdesk_us@oracle.com.

Payment of Referral Bonuses

You must be both an "active" employee at the time the referral is made and when the referral bonus is paid following the new hire's official start date.

The bonus will appear in your paycheck at the first applicable payroll run and after the new hire start date, subject to the local payroll cutoff schedules, internal validations and confirmation by the responsible Recruiter or Hiring Manager prior to payout. All referral bonuses are paid in local currency and are subject to local taxes.

Referral Bonus Verification

All referrals must be submitted into the system of record at the beginning of the recruiting process in order for the referral to be valid. Referrals not submitted via the Employee Referral Application or submitted after the start of the recruiting process are not eligible for a referral bonus.

For more information on Payment of Referral Bonuses, Referral Bonus Eligibility Guidelines, Verifying a Referral Bonus, or to submit a referral, please see the [Global Employee Referral Program](#) page.

Career Development

Career Development

At Oracle, we provide a supportive environment to foster career self-management and encourage you to take advantage of internal and external training and development opportunities. It is your responsibility to manage your career development.

Oracle encourages regular, open, and honest communication between you and your manager. To manage your career proactively, seek out the coaching, tools, and advice you need to excel in your current responsibilities and to develop in areas that advance your career goals.

Oracle recognizes and rewards you for growing as a "knowledge leader" (individual contributor role) or a "people leader" (manager role). You can pursue career progression and enhance your career development on either track, increasing your responsibilities and competencies and obtaining commensurate rewards.



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Performance Management (Revised October 2006)

Performance management helps Oracle achieve and reward superior business results. The performance management process clarifies how you are expected to perform, how you will be measured, and how your work fits into the larger business context. Effective performance management can help you excel in your current responsibilities and assist you in assuming additional roles and greater responsibilities.

Frequent and regular communication between you and your manager is the core of effective performance management. Regular one-on-one meetings provide opportunities for mutual learning, exchange of information, creative exploration of ideas and goals, and performance discussions.

With your manager, you can design an individual work plan with clear and measurable objectives that is integrated with your department's overall plan. Successful Oracle employees tend to set and achieve aggressive or "stretch" goals.

Performance Evaluation

Oracle encourages managers to provide formal performance feedback and development planning. Many managers present their employees with verbal or written performance evaluations at the end of the fiscal year. We encourage you to participate actively in the planning and assessment of your work.

Human Resources provides an online performance appraisal tool that can be used to document goals/objectives and provide performance feedback. The tool, and related forms and training, is found at:

<http://my.oracle.com/myoweb/hr/otd/toolkits/perfmgmt/index.html>.

Promotions

Receiving a promotion generally means moving from a job at one career level to a position at a higher career level, with greater responsibility and business impact. At Oracle, promotions to higher positions are based on superior performance in your current position and your ability to meet the requirements of the new position.

Managers may recommend an employee for promotion at any time during the year. You may seek a promotion to a management position if the promotion meets a specific business need, such as filling an open position. Individual contributors are considered for promotion if they demonstrate increased effectiveness in the competencies expected of the position as well as the necessary technical knowledge. Promotions are effective upon approval by appropriate levels of management.

Transfers (Revised July 2014)

The global minimum time in position is 12 calendar months unless specifically addressed by executive management of the LOB. This is the policy for all regions and all businesses unless otherwise specified.

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before submitting an offer in Oracle Recruiting via Self-Service Applications:



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- Employee performance
- Effective Date of Transfer
- Transfer Process Details

Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the "hire date" as noted on the offer. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the offer. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

Provided you have been in a position for a reasonable period of time, we encourage you to explore opportunities for change and advancement. The global definition for time in position is typically 12 calendar months. Occasionally, the initial training investment or project schedule may warrant a longer commitment before transfer to a new position can be considered. In such cases it is expected that management will make all affected employees aware of this exception. Thus, unless otherwise specified by executive management of the LOB, all employees are welcome to consider a transfer after they have completed 12 calendar months in their current role.

Both you and Oracle benefit when you are allowed to learn and expand your capabilities by working in different jobs within the company. A good source for internal job opportunities is the job postings in the Oracle Recruiting Cloud found in [Self Service Apps](#), click Employee Self-Service > Taleo Learn. Also, see the section on [Job Postings](#).

Compensation

Because a transfer is sometimes defined as a change in jobs within a career level, a transfer can occur with no increase in salary or other compensation unless your current salary places you below the minimum range for the new job. However, on occasion a transfer may occur which will require a change in career level and salary. In such cases, compensation and career level will be reviewed and considered by the new management team. Appropriate levels of management must approve any compensation adjustment associated with a transfer. Transfers between countries require special approvals, particularly when they involve expatriate compensation arrangements. (See the [International Assignments and Transfers](#) section for more information.)

Job Postings (Revised July 2014)

You can find approved, open positions in the Oracle Recruiting Cloud, accessible through [Self Service Apps](#), click Employee Self Service > Taleo Home. Hiring managers must post positions for at least two weeks before making an employment decision. Jobs remain posted until they are filled or for a maximum of six months, when they may be renewed and re-posted.

To respond to a job posting, contact the person listed in the posting to arrange an interview with the hiring manager. You may also contact a manager of an organization where you would like to work, even if there is no listed, open position. We encourage you to inform your current manager at this stage of your exploration. Hiring managers should



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not actively solicit internal candidates after posting a position. Such solicitation is a violation of Oracle's hiring policy.

If there is mutual interest, the hiring manager notifies your manager and together they arrange a mutually acceptable date for your transfer. The hiring manager must obtain approval from both the current and hiring organizations up to the appropriate level of senior management. The hiring manager must obtain approval for an internal transfer from the releasing manager and/or approvers up through his/her own LOB. If the transfer is an international transfer, the approvals required are the same as for a new hire. The current manager should provide a reference on an employee's performance in his or her group before the transfer is approved. All approvals must be secured before the effective date of the transfer.

Internal Training and Development (Revised February 2009)

Oracle is a leading corporation that provides cutting edge training to its employees. Oracle is a self-service environment, so it's important for you as an employee to take charge of your career development. Oracle provides a variety of technical and non-technical training and development opportunities worldwide to help you perform productively and effectively in your current job.

You can learn more about the wide variety of training offered at Oracle by exploring the Oracle University [OUWeb](#) site and the Organization & Talent Development [OTD](#) Web site.

Oracle University offers free access to online courses, with hundreds of hours of in-depth content. Examples of OU internal training include:

- Oracle Product
- Related Technologies
- Consulting
- Support
- Sales
- Management

The OTD Web site provides easy and instant access to tools, programs, workshops, and learning solutions. NOTE: You can also access the [Global New Hire Toolkit](#) from the OTD Web site.

Examples of the tools and programs available to you on the OTD Web site are:

- New Hire Toolkit
- Buddy Program
- My Career Toolkit
- Performance Management Toolkit
- Global Development Options Matrix
- Job Competency Tool



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Required Training

You are required to complete the following mandatory compliance training courses:

Online Training

Ethics and Business Conduct Training (all employees)
Sexual Harassment Awareness Training (all employees)*
Information Protection Awareness Training (all employees)

Additional Training For Managers

*All US Managers are instead required to complete a two hour Sexual Harassment Awareness Training for Managers course in accordance with both California Assembly Bill 1825 and Oracle policy.

It is your responsibility to check the [Compliance Training page](#) for more information regarding these courses and others you may be required to take.

External Programs (Revised April 18, 2005)

Oracle supports continuing education as part of employee training and development. Generally, Oracle will reimburse for job related training up to \$5,250.00 per calendar year (January 1 - December 31). The company may reimburse for courses you take in work-related subjects that meet business needs. Management approval for educational reimbursements is discretionary and always required.

Job Related Courses (Revised October 2006)

Courses acceptable for reimbursement must be job related and must have management approval in advance of enrolling in the course. In order for a course to be job related it must maintain or improve the skills required by you in your employment and/or make you more productive in your current responsibilities. Job related courses may include courses offered by a College or University, or courses that are taken outside Oracle's Internal Education Department. It is management's decision whether a course is related to your job.

Eligibility (Revised October 2006)

To be eligible for reimbursement, you must be a full-time regular employee at both the start and the end of the course or period of enrollment. Generally, approval of educational reimbursement will be extended only to employees who have demonstrated acceptable performance and high potential for continued excellent contribution as assessed by their manager.

Reimbursement (Revised December 30, 2004)



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All approved educational expenses are paid initially by you and are reimbursed by Oracle, provided that you receive the equivalent of a grade of "B-" or better and that your expenses are below \$5,250.00 per calendar year.

To apply for reimbursement for educational expenses, complete the Education Reimbursement form located [here](#).

Your manager must include his/her certification that the course is job related. All approvals should be obtained before the course begins to ensure the course will be covered. All reimbursements are processed through Accounts Payable. Directions for the reimbursement are included in the Education Reimbursement form.

Management's Review and Approval Process (Revised December 30, 2004)

Approval of reimbursement will depend on your manager's assessment of course relevance and cost. Approvals are discretionary and must be obtained from the manager for your cost center with the appropriate signing authority for the cost of the course.

Managers are responsible for making sure the courses taken are job related. The courses taken must maintain or improve the skills required by you in your employment and/or make you more productive in your current responsibilities. Managers are also responsible for approving reimbursements to be made by Accounts Payable and for making sure that education reimbursement does not exceed \$5,250.00 per calendar year for each employee.

Appropriate Course Load

In reviewing requests for reimbursement your manager will assess the time you have available for external education and the potential impact on your performance. Your principal obligation is to maintain the quality and quantity of your work for Oracle.

You may choose to enroll in one or two courses per term. Although you may need to attend a class during the normal workday, all course work (both in and outside of class) will be in addition to your normal workload at Oracle. Likewise, all students will be held to the same performance standards and expectations as other employees doing similar work.

Grade Requirements (Revised May 1, 1999)

To obtain funding through Oracle, you must complete the course with a grade of "B-" or better. Consequently, Oracle does not reimburse expenses for "audit" or "P/NP" options. However, if a course is not available on a graded basis, Oracle may fund the course.

Spending Limits (Revised April 2012)



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The annual spending cap for tuition reimbursement is \$5,250.00 per calendar year (January 1 - December 31). Any additional education expenses incurred beyond this amount must be approved by the EVP of your division.

Please check with your Benefits Representative for the current calendar year submission deadline.

Taxation History

1. Job Related Courses taken after January 1, 1997:

All education must be job related. If this criterion is met, reimbursements will not be included in the employee's wages.

2. Courses beginning after June 30, 1996:

Graduate: All reimbursements must be included in your wages and are subject to federal and state withholding.

Undergraduate: Any reimbursement amount over \$5250 must be included in your wages and are subject to federal and state withholding.

3. Courses that begin before June 30, 1996:

Graduate: Courses that begin before June 30, 1996 are subject to the \$5,250 limitation. Any amount reimbursed over this limit must be included in your wages and is subject to federal and state withholding.

Undergraduate: Reimbursements are not included in your income if the total annual amount is less than \$5,250. If the annual reimbursements exceed \$5,250, all excess must be included in your wages and is subject to federal and state withholding.

4. After January 1, 1995:

In 1996 President Clinton signed legislation that retroactively reinstated (to January 31, 1995) the annual \$5,250 exclusion from income for company educational assistance programs. Any amount reimbursed over the limitation is subject to federal and state income tax withholding. This law will expire on May 31, 1997. The exclusion is not applicable to graduate level courses, which begin after June 30, 1996.

You should consult your personal tax advisor if you have questions regarding tax issues.



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Non-Traditional Training/Continuing Education (Added May 1, 1999)

You may receive Education Reimbursement for classes taught by non-traditional delivery methods (Web based, video based, Distance Learning, etc.) provided you fulfill all other requirements of the policy. Oracle will only reimburse those expenses directly related to the course (tuition, class materials or other non-refundable fees directly related to the course and charged by the educational institution).

Professional Associations

We encourage you to develop your Oracle career by actively participating in professional associations and networks and seeking out new ideas and best practices related to your area of expertise.

With your manager's approval, we encourage you to attend conferences, seminars, and association meetings that directly relate to your current work situation or your professional development. Through its normal purchasing procedures, Oracle may reimburse you for your professional or trade organization memberships and for subscriptions to trade periodicals and journals if they enhance your performance on an assigned job-related task.

Always check with your manager before incurring any of the expenses listed above. It is your manager's discretion to approve an expense report entered for these kinds of job-related expenses. For more information about reimbursement guidelines contact webexp_us@oracle.com.

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Policy Changes

FY15 Q1/Q2 Employee Handbook Updates and Corrections

- **Compensation: Employee Referral Program**

The section reading:

Payment of Referral Bonuses

You must be both an "active" employee at the time the referral is made and when the referral bonus is paid following the new hire's official start date.

The bonus will appear in your paycheck at the first applicable payroll run and after the new hire start date, subject to the payroll cutoff schedule. All referral bonuses are paid in local currency and are subject to local taxes.

Referral Bonus Verification

The hiring manager notifies Human Resources that an employee referred a candidate by putting the employee's name, userid, and employee id number in the Candidate Source section of the HR Offer Letter Request or the Web Offer Letter Generator.

Was changed to:

Payment of Referral Bonuses

You must be both an "active" employee at the time the referral is made and when the referral bonus is paid following the new hire's official start date.

The bonus will appear in your paycheck at the first applicable payroll run and after the new hire start date, subject to the local payroll cutoff schedules, internal validations and confirmation by the responsible Recruiter or Hiring Manager prior to payout. All referral bonuses are paid in local currency and are subject to local taxes.

Referral Bonus Verification

All referrals must be submitted into the system of record at the beginning of the recruiting process in order for the referral to be valid. Referrals not submitted via the Employee Referral Application or submitted after the start of the recruiting process are not eligible for a referral bonus.



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- **Career Development: Transfers**

The following sections:

The global minimum time in position is 12 calendar months unless specifically addressed by executive management of the LOB. This is policy for all regions and all businesses unless otherwise specified.

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before submitting the "Internal Transfer" form in the Oracle Self Service iRecruitment application:

- Employee performance
- Effective Date of Transfer
- Transfer Process Details

Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the date the last approval is obtained or a future date as noted on the Internal Transfer form. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the Internal Transfer form in iRecruitment. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

Provided you have been in a position for a reasonable period of time, we encourage you to explore opportunities for change and advancement. The global definition for time in position is typically 12 calendar months. Occasionally, the initial training investment or project schedule may warrant a longer commitment before transfer to a new position can be considered. In such cases it is expected that management will make all affected employees aware of this exception. Thus, unless otherwise specified by executive management of the LOB, all employees are welcome to consider a transfer after they have completed 12 calendar months in their current role.

Both you and Oracle benefit when you are allowed to learn and expand your capabilities by working in different jobs within the company. A good source for internal job opportunities is the job postings in the Employee iRecruitment application found in [Self Service Apps](#), then click iRecruitment Employee. Also, see the section on [Job Postings](#).

Were changed to:

The global minimum time in position is 12 calendar months unless specifically addressed by executive management of the LOB. This is the policy for all regions and all businesses unless otherwise specified.

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before submitting an offer in Oracle Recruiting via Self-Service Applications:

- Employee performance
- Effective Date of Transfer



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- Transfer Process Details

Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the "hire date" as noted on the offer. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the offer. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

Provided you have been in a position for a reasonable period of time, we encourage you to explore opportunities for change and advancement. The global definition for time in position is typically 12 calendar months. Occasionally, the initial training investment or project schedule may warrant a longer commitment before transfer to a new position can be considered. In such cases it is expected that management will make all affected employees aware of this exception. Thus, unless otherwise specified by executive management of the LOB, all employees are welcome to consider a transfer after they have completed 12 calendar months in their current role.

Both you and Oracle benefit when you are allowed to learn and expand your capabilities by working in different jobs within the company. A good source for internal job opportunities is the job postings in the Oracle Recruiting Cloud found in [Self Service Apps](#), click Employee Self-Service > Taleo Learn. Also, see the section on [Job Postings](#).

- **Career Development: Job Postings**

The section reading:

You can find approved, open positions on Employee iRecruitment, accessible through [Self Service Apps](#), click iRecruitment Employee.

Was changed to:

You can find approved, open positions in the Oracle Recruiting Cloud, accessible through [Self Service Apps](#), click Employee Self Service > Taleo Home.

- **Compensation: Overtime**

The section reading:

If you are working in an hourly position, you are eligible for overtime pay. Your manager must authorize overtime work in advance. If you are uncertain of your compensation status, you should ask your manager.

Generally, Oracle pays non-California employees overtime at the rate of 1.5 times their regular rate of pay for hours worked in excess 40 hours in a work week, and pays California employees 1.5 times their regular rate of pay for hours worked in excess of either 8 in one day or 40 in one work week, or as otherwise required by law. In addition, where provided by state law, Oracle also pays additional overtime as may be required, including time and a half for the first 8 hours worked on the seventh consecutive day of



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work in a workweek and double time for hours worked in excess of 12 per day and for hours worked in excess of either hours on the seventh consecutive day of work in a workweek. For specific state overtime rules see <http://my.oracle.com/content/web/cnt680351>.

Time off for holidays, sick days and vacation days is not considered hours worked for purposes of calculating weekly hours, even when an employee is paid for such time. Hours worked on holidays and weekends will be counted as hours worked. In addition, employees will receive holiday pay. Only hours worked at straight time apply toward the 40-hour workweek total (applicable in states that have daily overtime).

Was changed to:

If you are working in an hourly position, you are eligible for overtime pay.

In general, Oracle will pay overtime eligible employees 1.5 times their regular hourly rate of pay for all hours worked in excess of 40 hours in a work week. Where federal, state or local law set standards that differ from Oracle's policy, Oracle will observe them. For example, for employees working in California, overtime is paid for hours worked that exceed 8 hours in a work day and/or 40 hours in a work week and double time is paid for hours worked that exceed 12 hours in a work day and/or hours worked that exceed 8 hours on the 7th consecutive workday. For specific state overtime rules, [click here](#).

Time off for holidays, sick days and vacation days is not considered hours worked for purposes of calculating weekly hours, even when an employee is paid for such time. Hours worked on holidays and weekends will be counted as hours worked. Employees working on paid holidays will receive holiday pay; however, only hours worked at straight time apply when calculating overtime for the workweek (and/or workday for states that have daily overtime).

Hourly employees are generally required to get pre-approval before working overtime. In certain genuinely urgent circumstances, you may need to work overtime without approval and seek subsequent approval from management. Except in those limited circumstances, you should not work overtime without advance approval or you may be subject to disciplinary action.

You should ensure that your timesheet accurately reflects all hours you have worked. It is against Oracle policy for anyone to instruct or encourage you not to record all of your time worked, and any violation of this policy should immediately be reported to Human Resources. Employees will be compensated for all overtime worked, regardless of whether appropriate approvals have been obtained.

If you have any questions or you are uncertain about your status with respect to eligibility for overtime, please do not hesitate to contact your manager or your Oracle Human Resources representative.

FY14 Q3/Q4 Employee Handbook Updates and Corrections

- **Business Conduct: Extending, Receiving Business Courtesies**

The section reading:

Oracle recognizes that accepted business practice and common courtesy sometimes require you to extend entertainment, favors, and small gifts to prospects and customers.

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Furnishing meals, refreshments, and entertainment in conjunction with business discussions with non-government personnel is a commonly accepted practice, but these courtesies should not violate the standards of conduct of the recipient's organization or of any contractual agreement with a customer. You are responsible for familiarizing yourself with any such standards imposed by the customer and for complying with them. In any case, Oracle prohibits giving gifts, commissions, gratuities or other payments—openly or secretly—to any individual, firm, or entity as a means of improperly inducing business.

Neither you nor members of your family may accept gifts, payments, favors, special considerations, discounts, or entertainment from customers, prospects, or suppliers beyond the common courtesies of accepted business practices. It is your responsibility to ensure that if you occasionally accept such gifts, it cannot reasonably be construed as an attempt by the offering party to secure favorable treatment.

Laws and rules regarding federal, state, local, and foreign government officials are far more stringent, and employees interacting with these individuals should familiarize themselves with the accepted standards. For example, Oracle's policy states that you may not give U.S. federal government personnel anything of value. Under appropriate circumstances, however, you may give a federal employee a single item with the Oracle logo, valued at less than \$20, or provide simple beverages at a business meeting hosted by Oracle. You may also obtain an explanation of laws and government regulations from the Legal Department. (See the [Oracle Code of Ethics and Business Conduct](#) for more information)

Was changed to:

Oracle recognizes that it is customary in most cultures to extend courtesies, including meals, refreshments and entertainment as well as modest gifts, to customers or partners in order to foster the relationships that support successful business. Today, however, what was once a matter of simple business etiquette is now fraught with risk. In particular, where the circumstances under which a business courtesy is offered or where the nature, value or frequency of courtesies offered would lead a reasonable observer to conclude that the courtesy was intended to influence or reward an action or decision of the recipient or to gain an improper advantage, then the business courtesy may no longer be viewed as a polite gesture but as a criminal bribe. Accordingly, Oracle Employees who offer, promise, make, facilitate or authorize expenditures for business courtesies of any sort are responsible for ensuring that every such courtesy, whether extended to an employee of a private-sector company or to a government official, meets the guidelines set forth in [Oracle's Anti-Corruption Policy and Business Courtesy Guidelines](#) as follows:

- a. the business courtesy is justified by a clear and legitimate Oracle business purpose, such as the promotion, demonstration or explanation of Oracle products or services or the execution or performance of a contract;
- b. the business courtesy is not offered to influence or reward an action or decision of the recipient or to obtain any improper advantage;
- c. the nature and value of the business courtesy is reasonable and appropriate to the recipient's position and to the occasion, and is not likely to be reasonably understood by the recipient or viewed by a reasonable observer as a bribe;
- d. when taken together with business courtesies previously given to the recipient, the proposed business courtesy will not, in nature, value or frequency, give rise to an appearance of impropriety;



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- e. the business courtesy will not impose a sense of obligation on the recipient, nor is there any expectation that Oracle or anyone else will receive anything in return; and
- f. the business courtesy is allowable under applicable law and the recipient is not on the Oracle No Gift List.¹

It is permissible to furnish meals, refreshments and entertainment and to make modest gifts to employees of private-sector companies so long as in compliance with the mandatory requirements listed above and with Oracle's Global Travel and Expense Policy. Before extending such courtesies to employees of private-sector companies, please refer to the Global Anti-Corruption Policy and Business Courtesy Guidelines for further specifics on private-sector spending and frequency limits.

Activities that may be acceptable when dealing with private-sector company employees often are inappropriate or illegal when dealing with government officials. All Employees who do business with any government entity, including an international public organization such as the World Bank or a regional development bank, are responsible for learning and complying with the rules that apply to government contracting, to funding of government projects, and to interactions with government officials. Typically, these rules *severely limit* and in some cases *altogether prohibit* offering, promising or providing business courtesies to government officials. In addition, government officials themselves are generally prohibited from soliciting, agreeing to accept or receiving business courtesies.

To ensure compliance with these rules, any Employee who offers, promises, provides or authorizes a business courtesy to a government official must satisfy the mandatory requirements set forth above. To avoid even the appearance of impropriety, no business courtesy whatsoever may be offered, promised or provided to any government official involved in a recent or imminent decision concerning Oracle, its business or properties, unless the business courtesy is first approved in writing by a member of the Compliance and Ethics Organization (complianceprogram-appr_ww@oracle.com) in your region, or, for the U.S. and Canada, by the Director of Political Compliance. In addition, gifts to government officials may not include cash, cash equivalents or gift cards. Further, where a business courtesy, such as an offer of an unpaid internship or a recommendation letter to the admissions office of your alma mater, has no established value, you must first obtain the approval of a member of the Compliance and Ethics Organization, or, for the U.S. and Canada, of the Director of Political Compliance, before offering or promising such a courtesy. In addition to the mandatory requirements set forth above, there are further restrictions and public-sector thresholds outlined by region and country in the appendices of the Global Anti-Corruption Policy and Business Courtesy Guidelines.

With regard to business courtesies you may receive, it's permissible to accept meals, refreshments, entertainment and gifts from private-sector companies with which Oracle does business, provided each such business courtesy (a) is unsolicited, (b) is justified by a clear and legitimate business purpose, such as the promotion, demonstration or explanation of the business partner's products or services or the execution or performance of a contract, (c) is not offered to influence or reward an action or decision of the Employee or to obtain any improper advantage, (d) is reasonable in nature and amount, appropriate to the occasion, and not likely to be viewed by reasonable observers as a bribe, (e) when taken together with business courtesies previously provided to the Employee by the same business partner, in nature, value or frequency, will not give rise to an appearance of impropriety, (f) does not impose a sense of obligation on the Employee, nor give rise to an expectation that anyone will receive anything in return, and (g) is consistent with local laws.¹



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Additional spending and frequency limits apply to all business courtesies, whether to an employee of a private-sector company or to a government official, and approvals are required for any courtesies which fall beyond these thresholds. Please refer to [Oracle's Anti-Corruption Policy and Business Courtesy Guidelines](#) for more information and become familiar with the thresholds and required approvals when extending or receiving business courtesies.

¹ Contact the Oracle Legal Department or a member of the Compliance and Ethics Organization for information concerning the laws of the country in which you do business. Many countries impose limitations on gifts that may be exchanged, even among employees of private-sector companies. For example, in Hong Kong it is unlawful for an employee of a private-sector company to accept a gift without the prior written approval of his or her employer. The employer must not grant approval if the gift is intended to improperly influence or reward an action or decision of the employee.

- **Employment Policies: Equal Employment Opportunity**

The section reading:

We believe in treating each employee and applicant for employment fairly and with dignity. We base our employment decisions on merit, experience, and potential, without regard to race, color, national origin, sex, marital status, sexual orientation, gender identity, age, religion, disability, veteran status, or any other characteristic prohibited by federal, state or local law.

Was changed to:

We believe in treating each employee and applicant for employment fairly and with dignity. We base our employment decisions on merit, experience, and potential, without regard to race, color, national origin, sex, marital status, sexual orientation, gender identity, age, religion, disability, protected veteran status, or any other characteristic prohibited by federal, state or local law.

- **Employment Policies: Diversity**

The following section was added:

For information on Oracle's policy regarding individuals with disabilities, Vietnam Era veterans and other protected veterans, please visit the [Individuals with Disabilities, Veterans of the Vietnam Era and Other Protected Veterans Policy](#).

- **Employment Policies: Reasonable Accommodations**

The section reading:

Oracle provides equitable treatment and reasonable accommodations for employees with disabilities in accordance with individual needs, business realities, and federal and state laws. A reasonable accommodation is a modification or adjustment to a job, an employment practice, or the work environment that makes it possible for a qualified individual with a disability to enjoy an equal employment opportunity. Examples of such accommodations include job retraining, an adjusted work schedule, special equipment or



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transportation, medical leave or job modification to optimize your performance. Please contact Benefits for more information or to request an accommodation.

Was changed to:

Oracle provides reasonable accommodations for applicants and employees with disabilities in accordance with individual needs, business realities, and applicable laws and regulations.

Reasonable accommodations are efforts to remove workplace barriers that prevent or limit employment opportunities of qualified persons with disabilities and generally involve modifications or adjustments to a job, an employment practice, or the work environment. Such accommodations may take many forms based on the type of disability and the needs of the individual. Examples include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance. Oracle is committed to providing qualified applicants and employees with reasonable accommodations in accordance with applicable laws and regulations where such accommodations do not impose an undue hardship on Oracle's business. Please contact Oracle Benefits for more information or to request an accommodation.

- **Employment Policies: Anti-Harassment**

The section reading:

Oracle is committed to providing a work environment that is free of discrimination. Oracle does not tolerate harassment of any kind, including harassment based on sex, gender, race, color, religion, age, mental or physical disability, national origin, marital status, veteran status, sexual orientation, gender identity, or any other characteristic protected under federal or state law or local ordinance.

Was changed to:

Oracle is committed to providing a work environment that is free of discrimination. Oracle does not tolerate harassment of any kind, including harassment based on sex, gender, race, color, religion, age, mental or physical disability, national origin, marital status, protected veteran status, sexual orientation, gender identity, or any other characteristic protected under federal or state law or local ordinance.

- **Work Environment: No Smoking**

The section reading:

The use of tobacco products in the workplace is prohibited in all U.S. facilities. Smoking is permitted in designated outdoor areas only.

Was changed to:

The use of tobacco products in the workplace is prohibited in all U.S. facilities. Smoking is permitted in designated outdoor areas only. This restriction includes the use of electronic cigarettes.



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- **Employment Policies: Anti-Harassment**

The following paragraph was added:

Workplace Bullying is also prohibited. Workplace bullying is defined as repeated inappropriate behavior that involves acts and/or omissions that a reasonable person would find threatening, intimidating or humiliating. This behavior may take many forms, including, but not limited to, physical, verbal or non-verbal conduct of an intimidating or degrading nature, intentional sabotage of an employee's work performance and/or attempts to exploit an employee's known psychological or physical vulnerability. A single act will normally not meet this standard; however, an especially severe and/or egregious act may qualify as bullying. It should be noted that Oracle does not intend for this policy to establish a standard of unusual workplace civility. In addition, respectful and fair actions taken to set high standards, demand superior performance, or discipline an employee for poor performance or illegal and/or unethical conduct are not considered bullying.

- **Employment Policies: Personal Relationships in the Workplace**

The section reading:

We strongly advise against personal relationships where individuals share a direct, indirect or perceived reporting relationship (either as a supervisor or a subordinate) or where one individual could influence the terms or conditions of the other's employment (e.g. performance reviews, career advancement, etc.). If you are having such a relationship, you are required to disclose the relationship to Human Resources immediately. Oracle may take any action it deems reasonable to eliminate potential conflict.

Was changed to:

We strongly advise against personal relationships where individuals share a direct, indirect or perceived reporting relationship (either as a supervisor or a subordinate) or where one individual could influence the terms or conditions of the other's employment (e.g. performance reviews, career advancement, etc.). If you are having such a relationship, you are required to disclose the relationship to Human Resources immediately. Oracle may take any action it deems reasonable to eliminate potential conflict.

Although both employees involved in a consensual relationship are individually responsible for disclosure, a supervisor's failure to report such a relationship will be regarded as a serious lapse in his or her management of the workplace and grounds for appropriate disciplinary action.

- **Employment Policies: Reasonable Accommodations**

The section reading:

Oracle provides equitable treatment and reasonable accommodations for employees with disabilities in accordance with individual needs, business realities, and federal and state laws. These accommodations may include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance.

Was changed to:

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Oracle provides equitable treatment and reasonable accommodations for employees with disabilities in accordance with individual needs, business realities, and federal and state laws. A reasonable accommodation is a modification or adjustment to a job, an employment practice, or the work environment that makes it possible for a qualified individual with a disability to enjoy an equal employment opportunity. Examples of such accommodations include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance. Please contact Benefits for more information or to request an accommodation.

- **Work Environment: Telecommuting**

The section reading:

Oracle supports telecommuting, on a part- or full-time basis, with your manager's approval. Your ability to telecommute depends on the nature of your job and whether telecommuting promotes departmental goals as determined by your manager.

Was changed to:

Oracle supports telecommuting, on a part- or full-time basis, with your manager's approval. Your ability to telecommute depends on the nature of your job, your work performance, your work location, and whether telecommuting promotes departmental goals as determined by your manager.

- **Business Conduct: Protecting Confidential Information**

The section reading:

An employee's obligation to protect company confidential information continues even after the end of his or her employment with Oracle. Moreover, just as Oracle expects its employees to abide by their obligations not to disclose Oracle confidential information after they leave Oracle, we expect employees to abide by their obligations to protect the confidential information of their former employers. No confidential information obtained during an employee's work at a former employer should be brought on Oracle premises or used in *any* form in the employee's work at Oracle.

Was changed to:

All Oracle employees are also required to sign a Proprietary Information Agreement upon their hire which, among other things, identifies an employee's obligation to protect company confidential information. This obligation continues even after the end of his or her employment with Oracle. Moreover, just as Oracle expects its employees to abide by their obligations not to disclose Oracle confidential information after they leave Oracle, we expect employees to abide by their obligations to protect the confidential information of their former employers. No confidential information obtained during an employee's work at a former employer should be brought on Oracle premises or used in any form in the employee's work at Oracle.

- **Compensation: Meal and Rest Periods**



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The section reading:

To the extent required by law, Oracle provides rest and meal periods to eligible employees. For specific state meal and rest period information [click here](#). In California, hourly employees who work more than five hours in a workday are entitled to a 30 minute unpaid meal period during which they are not permitted to work. You must take your meal period each workday in which you are entitled to such a break and must do so no later than the end of the fifth hour of the workday. You also must record the starting and ending work time and each meal period on your timesheets.

In addition, California hourly employees are entitled to a ten-minute rest break during each four-hour period of work; in most cases, a morning break and an afternoon break. You should take these breaks as near the middle of each four-hour work period as possible. In the event that you encounter some difficulty in taking a meal period or rest break, as described, Oracle encourages you to immediately notify your manager and [Human Resources](#) so that Oracle can take necessary steps to address any problems.

Was changed to:

To the extent required by law, Oracle provides rest and meal periods to eligible employees. For specific state meal and rest period information [click here](#). In California, Oracle provides to its hourly employees who work more than five hours in a workday a 30 minute unpaid meal period during which they will be relieved of all duty. Hourly employees who work more than ten hours in a workday will be provided an additional 30 minute unpaid meal period. You must take your meal period each workday in which you are entitled to such a break and must do so no later than the end of the fifth hour of the workday. You also must record the starting and ending work time and each meal period on your timesheets.

In addition, California hourly employees are entitled to a ten-minute rest break during each four-hour period of work or major fraction thereof; in most cases, a morning break and an afternoon break. You should take these breaks as near the middle of each four-hour work period as possible.

In the event that you encounter some difficulty in taking a meal period or rest break, as described, you must immediately notify your manager and/or [Human Resources](#) so that Oracle can take necessary steps to address any issues.

- **Business Conduct: Social Media Participation**

The following section was added:

Use of social media, including Facebook®, LinkedIn®, Twitter®, Instagram®, blogging, wikis and other online social tools, is integrated into the everyday lives of Oracle's employees. As these online activities can impact Oracle's business interests as well as your ability to do your jobs, it is extremely important that you adhere to the company's Social Media Participation Policy, which is may be found on the Trademark & Copyright Legal internal homepage.

FY13 Q3/Q4 Employee Handbook Updates and Corrections

- **Business Conduct: Extending and Receiving Business Courtesies**

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The section reading:

Laws and rules regarding federal, state, local, and foreign government officials are far more stringent, and employees interacting with these individuals should familiarize themselves with the accepted standards. For example, Oracle's policy states that you may not give U.S. federal government personnel anything of value. Under appropriate circumstances, however, you may give a federal employee a single item with the Oracle logo, valued at less than \$20, or provide simple beverages at a business meeting hosted by Oracle. You may also obtain an explanation of laws and government regulations from the Legal Department. (See the [Oracle Code of Ethics and Business Conduct](#) for more information).

Was changed to:

Laws and rules regarding federal, state, local, and foreign government officials are far more stringent, and employees interacting with these individuals should familiarize themselves with the accepted standards. For example, Oracle's policy states that you may not give U.S. federal government personnel anything of value. In limited circumstances extending business courtesies to a government official may be permissible, but it is generally required that you receive prior approval in writing from the Director of Political Compliance.

Please refer to the [Global Anti-Corruption Policy and Business Courtesy Guidelines](#) for more information and guidelines relating to the extension and receipt of business courtesies in both the public and private sector.

FY13 Q1/Q2 Employee Handbook Updates and Corrections

- **Employment Policies: Living and Working in Payroll Country**

The following policy was added:

Living and Working in Payroll Country

In order to ensure Oracle and our employees are in compliance with immigration, tax, and employment laws, Oracle requires all employees to live and work in the country where they are paid. Click [here](#) to view the Living and Working in Payroll Country policy.

- **Employment Policies: Employment Eligibility**

The section reading:

Employment Eligibility

Federal law requires Oracle to hire U.S. citizens or non-citizens authorized to work in the United States. By law, we must verify the identity and employment eligibility of new employees and file a federal government Form I-9 for each one.

New employees must submit a completed, verified Form I-9 within three working days of their starting date, or they cannot continue to work. Any Oracle Manager or Human Resources employee may review an employee's identity and work eligibility documents



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and sign the form. New employees should submit the documentation to 500 Building, M/S 50PS. For more information contact INS_US@oracle.com or visit US Immigration at http://my.oracle.com/site/hr/us_immigration/index.htm.

Was changed to:

Employment Eligibility

Federal law requires Oracle to hire U.S. citizens or non-citizens authorized to work in the United States. By law, we must verify the identity and employment eligibility of new employees and file a federal government Form I-9 for each one. Oracle is a participant in the government's E-Verify program and complies with all requirements of the program for verifying employment eligibility of Oracle employees. E-Verify is the web-based equivalent of the Form I-9 that electronically verifies an individual's identity and employment authorization, based on certain acceptable documentation provided by an individual.

New employees must submit a completed, electronic Form I-9 within three working days of their most recent start date at Oracle, or they cannot continue to work. Any Oracle Manager with direct reports or Human Resources employees may verify an employee's identity and work eligibility documents and electronically sign the form. For more information about this process, please visit our [Oracle I-9 Central](#) website or contact us at: verify_us@oracle.com or the America's HR Shared Services Center at: 1-888-404-2494, Option3, then Option 6.

- **Employment Policies: Immigration**

The section reading:

Immigration

Foreign nationals who do not have permanent resident status must obtain permission from the Immigration and Naturalization Service (INS) before beginning work. As a foreign national, you must have a valid visa, specific to Oracle, which allows you to begin work immediately.

Although your manager can assist you in obtaining a work visa, it is your responsibility to initiate and manage your visa process. Oracle generally pays the routine and necessary legal fees for your work visa application.

After a reasonable period of time, you may apply for permanent residency. This period of time varies by organization, but generally ranges from three months at a minimum up to four years in some organizations. Your manager needs to approve your request and contact Human Resources to implement the process.

Was changed to:

Immigration

Foreign nationals must have valid work authorization to be employed by Oracle and such work authorization must be maintained during the entire course of employment with Oracle. When Oracle is required to sponsor an individual for employment-based work

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authorization, the employee's manager is responsible for initiating the work authorization case with Oracle's designated US immigration legal supplier. After case is initiated with the law firm, manager and employee work directly with law firm staff on the work authorization case.

Employees are eligible to begin permanent residence process after three months of employment, though managers can waive the three month wait period. Information on how to initiate all US immigration filings can be found at Oracle's US Immigration website http://my.oracle.com/site/hr/us_immigration/index.htm. Oracle covers most normal legal fees and costs for employees' US immigration filings, with the exception of visa stamp applications submitted to US embassies and US consulates outside the US, and certain support documents required for the final stage of permanent residency processing.

FY12 Q3/4 Employee Handbook Updates and Corrections

- **Career Development: External Programs**

The section reading:

Spending Limits (Revised July 07, 2009)

The annual spending cap for tuition reimbursement is \$5,250.00 per calendar year (January 1 - December 31). Any additional education expenses incurred beyond this amount must be approved by the SVP of your division.

Please check with your Benefits Representative for the current calendar year submission deadline.

Was changed to:

Spending Limits (Revised April 2012)

The annual spending cap for tuition reimbursement is \$5,250.00 per calendar year (January 1 - December 31). Any additional education expenses incurred beyond this amount must be approved by the EVP of your division.

Please check with your Benefits Representative for the current calendar year submission deadline.

- **Benefits: Workers Compensation**

The section reading:

Questions regarding Workers' Compensation insurance should be directed to Risk Management at (775) 689-3429. For additional information, refer to: <http://my.oracle.com/content/web/CNT658195>.

Was changed to:

Questions regarding Workers' Compensation insurance should be directed to Risk Management at (650) 607-0169. For additional information, refer to: <http://my.oracle.com/content/web/CNT658195>.



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FY12 Q1/2 Employee Handbook Updates and Corrections

- **Employment Policies: Non-Oracle Employment**

The section reading:

And, before accepting non-Oracle employment, inform your manager and obtain his or her approval as well as that of a Regional Compliance and Ethics Officer as named in the Oracle Code of Ethics and Business Conduct (Attachment B).

You should avoid situations that may lead to absenteeism, tardiness, poor job performance, or prevent you from working overtime as needed. We advise you to decline employment that may result in a conflict of interest, such as working for one of Oracle's customers, sub-contractors, or vendors. Remember, you must discuss such activities with your manager and obtain written approval from your manager, as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

Was changed to:

And, before accepting non-Oracle employment, inform your manager and obtain his or her approval as well as that of your Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer as named in the Oracle Code of Ethics and Business Conduct (Attachment B).

You should avoid situations that may lead to absenteeism, tardiness, poor job performance, or prevent you from working overtime as needed. We advise you to decline employment that may result in a conflict of interest, such as working for one of Oracle's customers, sub-contractors, or vendors. Remember, you must discuss such activities with your manager and obtain written approval from your manager, as well as the written approvals of the Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer for your organization.

- **Business Conduct: Conflict of Interest**

The section reading:

Sometimes conflicts of interest, or the appearance of a conflict, can arise accidentally or unexpectedly. If at any time you feel that an actual or potential conflict situation exists, report all pertinent details in writing to your manager and obtain his/her written approval as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

Was changed to:

Sometimes conflicts of interest, or the appearance of a conflict, can arise accidentally or unexpectedly. If at any time you feel that an actual or potential conflict situation exists, report all pertinent details in writing to your manager and obtain his/her written approval as well as the written approvals of the Executive Vice President (or next level up if there is not an EVP in your reporting structure) and Regional Compliance and Ethics Officer for your organization.

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- **Career Development: Transfers**

The section reading:

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before completing the "Transfer/Internal Job Offer" form in Oracle Self Service HR:

- Employee performance
- Effective Date of Transfer
- Transfer Process Details

Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the date the last approval is obtained or a future date as noted on the Transfer/Internal Job Offer form. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the Transfer/Internal Job Offer form. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

Was changed to:

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before submitting the "Internal Transfer" form in the Oracle Self Service iRecruitment application:

- Employee performance
- Effective Date of Transfer
- Transfer Process Details

Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the date the last approval is obtained or a future date as noted on the Internal Transfer form. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the Internal Transfer form in iRecruitment. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

- **Employment Policies: Relocation**

The section reading:

Oracle pays for certain relocation expenses if they are included as part of your written employment offer. Relocation benefits are generally specified in the offer letter or transfer approval. All relocation reimbursement is subject to current IRS eligibility guidelines. You should consult your tax advisor about the potential tax implications of your move.



U.S. Employee Handbook

Oracle's Relocation Program is offered through Lexicon Relocation. Oracle's Relocation Program does not generally cover 100% of relocation costs, the reimbursement of which is at the discretion of your manager. Before any relocation reimbursement is received, you are required to have signed a Repayment Agreement.

You should not take any steps to initiate your relocation, including listing a home for sale or engaging a real estate agent, without talking to Oracle's relocation provider (Lexicon Relocation). Your manager will initiate your relocation with Lexicon and you will be contacted if there is any additional information they need from you. You may also contact Lexicon at (800) 387-8242.

For additional information about Oracle's relocation policy, please contact Oracle's Global Mobility group at relocation_us@oracle.com. You should ensure you are familiar with Oracle's relocation policy and processes before you initiate your move and/or incur any relocation expenses.

Was changed to:

Oracle supports some relocation benefits/provisions when they are included as part of your offer letter or transfer approval from Oracle. All relocation reimbursements are subject to current IRS eligibility and taxation guidelines. It is recommended that you consult your tax advisor about the potential tax implications of your move.

Oracle's U.S. Relocation Program is offered through Lexicon Relocation and is designed to provide a contribution toward relocation expenses. The program may not cover all potential costs related to moving, the reimbursement of which is at the discretion of your manager. Before any relocation provisions or reimbursements are made, you are required to sign a Repayment Agreement. The Repayment Agreement will be provided and collected by Lexicon.

You should not take any steps to initiate your relocation, including listing a home for sale or engaging a real estate agent, without talking to Oracle's relocation provider (Lexicon Relocation). Your manager will authorize your relocation with Lexicon and you will be contacted if there is any additional information they need from you. If you are not contacted by Lexicon, please get in touch with your manager to inquire about the status of your relocation authorization.

For additional information about Oracle's U.S. Relocation Policy, please contact Oracle's Global Mobility group at relocation_us@oracle.com. You should ensure you are familiar with Oracle's relocation policy and processes before you begin planning for your move and/or incur any relocation expenses.

FY11 Q3/4 Employee Handbook Updates and Corrections

- **Benefits: ORACLEflex**

The sections reading:

Oracleflex

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Oracle offers a comprehensive benefits plan, called *Oracleflex*, which enables eligible employees to choose the benefits and coverage levels that best meet their needs and those of their eligible dependents.

Your options include:

- an extensive selection of medical plans and options with no pre-existing condition limitations (although not all plans are available in all geographic areas);
- preventive and comprehensive dental coverage;
- comprehensive vision care coverage;
- employee and dependent life insurance;
- Accidental Death and Dismemberment (AD&D) insurance;
- Long-Term Disability (LTD) insurance;
- pre-tax Health Care and Dependent Care Reimbursement Accounts;
- other after-tax options, including group legal services and personal insurance (auto, homeowner, rental, umbrella).

How Oracleflex is Different (Revised July 1, 2001)

Oracleflex is a "cafeteria-style" benefits plan that gives you more choices and greater flexibility than traditional health and insurance benefits plans. It allows you to design a custom benefits package for your unique situation. You are awarded flex credits that you can use to purchase benefits, so you can control the amount you spend on each benefit option. Depending on your needs, you can use all of your flex credits to purchase a complete benefits portfolio, or decline some benefits and retain a portion of the credits as taxable income or direct them to your 401(k) savings plan.

(See the Oracleflex Enrollment Guide for a detailed description of the program and enrollment procedures.)

Eligibility (Revised January 1999)

You and your eligible dependents may participate in the *Oracleflex* program if you are:

- A regular full-time employee on the U.S. payroll working 30 or more hours per week, or
- A regular part-time employee on the U.S. payroll working at least an average of 20 hours a week.

Part-time employees receive a reduced amount of credits depending on the number of hours they are regularly scheduled to work per week. If you are a part-time employee working an average of 20 hours per week, you receive 50% of the amount of *Oracleflex* credits for which you would be eligible as a full-time employee.

Eligible dependents may include:



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- your legal spouse or qualified same-sex domestic partner, although some choices are not available for domestic partners;
- unmarried children to age 19, or to age 23 if they are full-time students dependent on you for support;
- stepchildren whom you support and who live with you in a parent-child relationship;
- adopted children;
- other children you support as legal guardian and who live with you in a parent-child relationship, as long as you can provide court documentation verifying your legal guardianship.

Oracleflex benefit eligibility ends for you and your dependents:

- on the last day of your employment with Oracle;
- upon your death or total disability;
- after 30 days of an unpaid leave of absence;
- after you are no longer on paid medical, paid pregnancy disability, or FMLA leave and have not returned to work.

Making Changes (Revised July 1, 2001)

In addition to the open enrollment period or at the start of your employment, you can make certain types of changes to your elections when you have a qualifying change in family status as defined by the IRS. Qualifying events include:

- your marriage or divorce;
- birth or adoption of a child;
- death of a dependent;
- start, end, or change of your spouse's employment and/or insurance coverage;
- a change from full-time to part-time employment (or vice versa) for you or your spouse;
- your unpaid leave of absence.

You must notify Benefits within 62 (31 days for Kaiser Southern California and Matthew Thornton) days of the effective date of the event, and your changes in benefits must be consistent with the family status change you have experienced. The effective date for all benefits status changes will be the day you submit the status change, instead of the date the event actually occurred. The only exception is when adding a newborn or adopted child, which is effective on the date of birth or placement for adoption. For more information go to:

<http://my.oracle.com/site/hr/RegionalSites/U.S./usbenefits/fsc/index.htm>.



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Were changed to:

Oracleflex (Revised May 31, 2011)

Oracle offers a comprehensive benefits plan, called *Oracleflex*, which enables eligible employees to choose the benefits and coverage levels that best meet their needs and those of their eligible dependents.

Your options include:

- an extensive selection of medical plans and options with no pre-existing condition limitations (although not all plans are available in all geographic areas);
- preventive and comprehensive dental coverage;
- comprehensive vision care coverage;
- employee and dependent life insurance;
- Accidental Death and Dismemberment (AD&D) insurance;
- Long-Term Disability (LTD) insurance;
- pre-tax Health Care and Dependent Care Reimbursement Accounts;
- a Health Savings Account for certain eligible individuals
- other after-tax options, including group legal services and personal insurance (auto, homeowner, rental, etc).

How Oracleflex is Different (Revised May 31, 2011)

Oracleflex is a "cafeteria-style" benefits plan that gives you more choices and greater flexibility than traditional health and insurance benefits plans. It allows you to design a custom benefits package for your unique situation. You are awarded flex credits that you can use to purchase benefits, so you can control the amount you spend on each benefit option. Depending on your needs, you can use all of your flex credits to purchase a complete benefits portfolio, or decline some benefits and retain a portion of the credits as taxable income.

(See the Oracleflex Enrollment Guide for a detailed description of the program and enrollment procedures.)

Eligibility (Revised May 31, 2011)

You and your eligible dependents may participate in the *Oracleflex* program if you are:

- A regular full-time employee on the U.S. payroll working 30 or more hours per week, or
- A regular part-time employee on the U.S. payroll working at least an average of 20 hours a week.



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Part-time employees receive a reduced amount of credits depending on the number of hours they are regularly scheduled to work per week. If you are a part-time employee working an average of 20 hours per week, you receive 50% of the amount of Oracleflex credits for which you would be eligible as a full-time employee.

Eligible dependents may include:

- your legal spouse or qualified domestic partner;
- children to age 26;
- stepchildren whom you support and who live with you in a parent-child relationship;
- adopted children;
- other children you support as legal guardian and who live with you in a parent-child relationship, as long as you can provide court documentation verifying your legal guardianship.

Oracleflex benefit eligibility ends for you and your dependents:

- on the last day of your employment with Oracle;
- upon your death or total disability;
- after 30 days of an unpaid leave of absence;
- after you are no longer on paid medical, paid pregnancy disability, or FMLA leave and have not returned to work.

Making Changes (Revised May 31, 2011)

In addition to the open enrollment period or at the start of your employment, you can make certain types of changes to your elections when you have a qualifying change in family status as defined by the IRS. Qualifying events include:

- your marriage or divorce;
- birth or adoption of a child;
- death of a dependent;
- start, end, or change of your spouse's employment and/or insurance coverage;
- your unpaid leave of absence.

You must notify Benefits within 62 (31 days for certain HMOs) days of the effective date of the event, and your changes in benefits must be consistent with the family status change you have experienced. The effective date of coverage for benefits status changes depends on the event you are experiencing. Once logged in to process your event, the Web application will show you what will be the effective date. Note, however, when adding a newborn or adopted child, the change will



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be effective on the date of birth or placement for adoption. For more information go to:
<https://oraclebenefits.com>.

- **Compensation: Patent Awards**

The section reading:

Oracle maintains an active Patent Award Program. Employees should report potentially patentable developments to Patent Operations in the Legal Department for evaluation as soon as practical. Information on patents and the patent program can be found at <http://my.oracle.com/portal/page/myo/1ACCFB76D578E190E040018AEAA34928>.

In appreciation of its employee-inventors, Oracle grants the following discretionary recognition awards:

- \$1,000 to each Oracle employee-inventor named in the initial filing of a U.S. patent application on an Oracle invention;
- \$1,000 to each Oracle employee-inventor named in a U.S. patent issued from an initial filing of a U.S. patent application;
- commemorative plaques to inventors upon issuance of each U.S. patent;
- periodic Special Recognition Awards to be shared equally among the Oracle employee-inventor(s) named on a U.S. patent chosen by Oracle management as having made a special contribution to Oracle's Patent Program.

Was changed to:

For information about the Patent Award Program, go to:
http://my.oracle.com/portal/page/myo/legal_website/Legal%20-%20Patents%20-%20Standards.

FY11 Q1/2 Employee Handbook Updates and Corrections

- **Employment Policies: Employment Categories**

The section reading:

An Oracle temporary employee is hired by Oracle (not an agency temp) for a planned, limited length of employment and may be either full or part time. Temporary employees are usually hired to complete a specific project, supplement the regular staff during peak activities, or fill in temporarily for employees on leave. Student interns who work less than 20 hours per week also fit into this category. Oracle temporaries working at least 20 hours a week are eligible for some benefits.

Was changed to:

An Oracle temporary employee is hired by Oracle (not an agency temp) for a planned, limited length of employment and may be either full or part time. Temporary employees are usually hired to complete a specific project, supplement the regular staff during peak activities, or fill in temporarily for employees on leave. Student interns also fit into this category. Oracle temporaries working at least 20 hours a week are eligible for some benefits.



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FY10 Q3/4 Employee Handbook Updates and Corrections

- **Employment Policies: Employment Categories**

The section reading:

An Oracle temporary employee is hired by Oracle (not an agency temp) for a planned, limited length of employment and may be either full or part time. Temporary employees are usually hired to complete a specific project, supplement the regular staff during peak activities, or fill in temporarily for employees on leave. Summer hires and student interns also fit into this category. Oracle temporaries working at least 20 hours a week are eligible for some benefits.

Was changed to:

An Oracle temporary employee is hired by Oracle (not an agency temp) for a planned, limited length of employment and may be either full or part time. Temporary employees are usually hired to complete a specific project, supplement the regular staff during peak activities, or fill in temporarily for employees on leave. Student interns who work less than 20 hours per week also fit into this category. Oracle temporaries working at least 20 hours a week are eligible for some benefits.

- **Employment Policies: Equal Employment Opportunity**

The following text was added to the end of the second paragraph:

Our commitment to this policy applies to every phase of the employment relationship, and we make every effort to comply with this policy. If, however, you feel you have not been treated fairly in some way in your Oracle employment, you must, before taking any other action, make a written complaint to a Director of the Human Resources Department and to allow individuals within the Department a reasonable period of time in which to investigate and informally attempt to resolve your issues.

- **Employment Policies: Personal Relationships in the Workplace > Employment of Relatives**

The section reading:

Managers are responsible at the time of hiring, promoting, or transferring employees for addressing a potential conflict of interest.

Was changed to:

Managers are responsible for promptly addressing any conflict of interest; in circumstances where it is possible, a potential conflict should be addressed before it arises.

And, the section reading:

Should any exception to this policy be requested, your manager's written approval and approval by Human Resources, as well as the written approvals of the Executive Vice President for your organization and the Regional Compliance and Ethics Officer are required.



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Was changed to:

Should any exception to this policy be requested, written approval is required by:

- Your manager
- The SVP of Human Resources
- The Executive Vice President for your organization
- The Executive Vice President for the Line of Business the relative would work for (if applicable)
- The Regional Compliance and Ethics Officer

FY10 Q1/2 Employee Handbook Updates and Corrections

- **Career Development: External Programs**

The section reading:

Spending Limits (Revised December 30, 2004)

The annual spending cap for tuition reimbursement is \$5,250.00 per calendar year. Any additional education expenses incurred beyond this amount must be approved by the SVP of your division. The \$5,250.00 limit is recorded by calendar year (January 1 - December 31).

Reimbursements must be submitted to Accounts Payable no later than Dec. 27 to count against that calendar year.

Was changed to:

Spending Limits (Revised July 07, 2009)

The annual spending cap for tuition reimbursement is \$5,250.00 per calendar year (January 1 - December 31). Any additional education expenses incurred beyond this amount must be approved by the SVP of your division.

Please check with your Benefits Representative for the current calendar year submission deadline.

- **Employment Policies: International Travel**

Removed the section reading:

Employee International Work Request

An employee international work request is a request from an employee to physically work from a country other than the country in which he/she is payrolled for a period not to exceed ninety (90) days. All international work requests require review by the Global Mobility Group as well as the appropriate approval from senior management.



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To be eligible, employees must be employed by Oracle for a minimum period of six (6) months. This may be reviewed and waived for exceptional conditions or circumstances.

For more information about employee international work requests, please go to <http://my.oracle.com/portal/page/myo/562EA4BAA9FA687EE040018AEEA35774>.

FY09 Q3/4 Employee Handbook Updates and Corrections

- **Benefits: Sick Leave**

Removed the section reading:

Sick leave is provided for short periods of absence up to seven calendar days whenever you cannot work due to illness, injury or personal health emergency experienced by you or by a member of your immediate family, if you are a full-time regular employee or a part-time regular employee working at least 20 hours per week. "Immediate family" means child, parent, sibling, spouse, domestic partner (registered under any state or local law), grandparent or grandchild, whether such family member is related to you biologically or through adoption, marriage or foster care. "Child" also includes a child of your spouse or domestic partner as well as a child with whom you stand in *loco parentis*. When using sick leave, you are required to notify your manager each day you are unable to report to work. If you are absent for three consecutive days without notifying your manager, it may be considered a voluntary resignation. In addition, the frequency with which you request sick leave must be reasonable and the company may deny the use of sick leave at its discretion. You must record and submit your sick leave taken (via Oracle Self Service Apps [Payroll OTL Time Entry]), prior to the time entry cutoff (found on the payroll calendar at <http://hrweb.us.oracle.com/globalhr/payrocal.htm>).

And changed it to:

For information about Sick Leave, visit the Benefits Web site at <http://hrweb.us.oracle.com/benefits/loapol.htm#sick>

- **Business Conduct: Ethical Standards**

Under the Online Training section, the section reading: Data Privacy Awareness Training (all employees) was replaced with: Information Protection Awareness Training (all employees).

- **Career Development: Internal Training and Development**

Under the Online Training section, the section reading: Data Privacy Awareness Training (all employees) was replaced with: Information Protection Awareness Training (all employees).

FY09 Q1/2 Employee Handbook Updates and Corrections

- **Employment Policies: International Travel**

A new paragraph was added to the International Assignments section:

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For more information about the international assignment policy, please go to http://my.oracle.com/portal/page/myo/ROOTCORNER/PRACTICESORGANIZATIONS/CORPORATE_ORGS/HR_IW/Admin%20OTD/hr_site_files/global_hr_web/immigration/Oracle%20IA%20Policy.doc.

The last sentence of the International Transfers section was changed to:

For more information, go to the Working Worldwide website at http://my.oracle.com/portal/page/myo/global/Global%20HR/immigration/international_transfers.

And the following section was added:

Employee International Work Request

An employee international work request is a request from an employee to physically work from a country other than the country in which they are payrolled for a period not to exceed ninety (90) days. All international work requests require review by the Global Mobility Group as well as the appropriate approval from senior management.

To be eligible, employees must be employed by Oracle for a minimum period of six (6) months. This may be reviewed and waived for exceptional conditions or circumstances.

For more information about employee international work requests, please go to <http://my.oracle.com/portal/page/myo/562EA4BAA9FA687EE040018AEEA35774>.

- **Employment Policies: Personal Relationships in the Workplace > Employment of Relatives**

The section reading:

Your relatives are eligible for employment with Oracle. For purposes of this policy, "relative" may include any of the following:

- spouse
- domestic partner
- parent, step-parent, or grandparent
- child, including natural, adopted, foster, or step
- sibling, including natural or step relationship
- aunt, uncle, cousin, niece, or nephew
- in-laws within the above categories

Oracle generally discourages managers from directly supervising a relative because of the vulnerability to accusations of favoritism. If you recommend or select a relative for Oracle employment, or a transfer is proposed that would result in a relative being assigned within your workgroup, you should disclose the relationship in the approval process and to your manager. Obtain your manager's written approval as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

If you marry or form a domestic partnership with an Oracle colleague whom you

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supervise, report the change to your manager. Oracle may take any action it deems reasonable to eliminate potential conflict.

Was changed to:

Your relatives are generally eligible for employment with Oracle. For purposes of this policy, "relative" may include any of the following:

- spouse
- domestic partner
- parent, step-parent, or grandparent
- child, including natural, adopted, foster, or step
- sibling, including natural or step relationship
- aunt, uncle, cousin, niece, or nephew
- in-laws within the above categories

Because of the vulnerability to accusations of favoritism or a potential conflict of interest, Oracle generally prohibits managers from directly or indirectly supervising a relative. Indirect supervision includes reporting within a management chain, as well as the supervision of work outside of an established management chain, e.g., within project teams.

Managers are responsible at the time of hiring, promoting, or transferring employees for addressing a potential conflict of interest. For example, a Senior Vice President cannot approve to hire a relative that would report directly or indirectly within his/her management chain or influence peers outside his/her Line of Business to hire, transfer, or promote a relative, without prior written approval. Managers and/or employees who become aware of a potential conflict of interest should contact Human Resources immediately.

Employees who become relatives, or form a domestic partnership with an Oracle colleague, whom they directly or indirectly supervise, must communicate their new relationship immediately to their manager and Human Resources. Oracle may take any action it deems reasonable to eliminate a potential conflict of interest.

Management must also consider the potential for a conflict of interest when hiring a contractor, either directly or through an agency. Relatives generally should not supervise, directly or indirectly, a relative, without prior written approval.

Should any exception to this policy be requested, your manager's written approval and approval by Human Resources, as well as the written approvals of the Executive Vice President for your organization and the Regional Compliance and Ethics Officer are required.

- **Compensation: Employee Referral Program**

The section reading:

Oracle's Referral Bonus program pays the following amounts to those who refer people who are hired for work at Oracle:

Salary Amount for new hire	Amount of Referral Bonus
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\$0-\$39,999	\$500
\$40,000 and up	\$1000

The referral bonus amount may be different if the new hire's division is running a special referral bonus program. Please contact the sponsoring division for more information. Or, to check on a specific employee referral contact referral-helpdesk_us@oracle.com.

Payment of Referral Bonuses: (Revised July 1, 2001)

You must be an "active" employee when the referral is made and when the referral bonus is paid. The referral bonus is paid as soon as administratively possible following the new hire's start date.

The submission and payment of referral bonuses is arranged in accordance with the payroll calendar.

Referral Bonus Verification:

The hiring manager notifies Human Resources that an employee referred a candidate by putting the employee's name, userid, and employee id number in the Candidate Source section of the HR Offer Letter Request or the Web Offer Letter Generator.

For more information on Payment of Referral Bonuses, Referral Bonus Eligibility Guidelines, and Verifying a Referral Bonus, please see the Employee Referral section of the Human Resources Hiring page at <http://my.oracle.com/portal/page/myo/1ACCFB752368E190E040018AEAA34928>.

Was changed to:

Oracle's Employee Referral Program pays referral bonuses to US employees who successfully refer individuals who are hired for work at Oracle in North America.

The referral bonus amount may be different if the new hire's division is running a special Enhanced Referral Program. Please contact the sponsoring division for more information. Or, to check on a specific employee referral, contact referral-helpdesk_us@oracle.com.

Payment of Referral Bonuses:

You must be both an "active" employee at the time the referral is made and when the referral bonus is paid following the new hire's official start date.

The bonus will appear in your paycheck at the first applicable payroll run and after the new hire start date, subject to the payroll cutoff schedule. All referral bonuses are paid in local currency and are subject to local taxes.

Referral Bonus Verification:

The hiring manager notifies Human Resources that an employee referred a candidate by putting the employee's name, userid, and employee id number in the Candidate Source section of the HR Offer Letter Request or the Web Offer Letter Generator.

For more information on Payment of Referral Bonuses, Referral Bonus Eligibility Guidelines, Verifying a Referral Bonus, or to submit a referral, please see the Global Employee Referral Program page at <http://my.oracle.com/portal/page/myo/global/Global%20HR/Global%20Employee%20Referral%20Program>.



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- **Compensation: Focal Salary Review**

The section reading:

The Focal Salary Review process for all other employees, if approved, typically is effective September 1st. An employee on a leave of absence receives, if approved, their salary increase when they return to work.

Was changed to:

The Focal Salary Review process for all other employees, if approved, typically is effective December 1st. An employee on a leave of absence receives, if approved, their salary increase when they return to work.

- **Benefits: Vacation**

The section reading:

When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. You must record and submit your vacation taken (via Oracle [Self Service Apps](#) [Payroll OTL Time Entry]), prior to the time entry cutoff (found on the payroll calendar at <http://hrweb.us.oracle.com/globalhr/payrocal.htm>).

You will not begin accruing vacation at the start of the new payroll calendar if you are at the maximum accrual amount. If your vacation is submitted after the payroll cutoff date, you will begin accruing vacation time again the next pay cycle after you have reported the vacation hours taken.

Was changed to:

When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. If your vacation is submitted after a payroll cutoff date, you will begin accruing vacation time again the next pay cycle after you have reported the vacation hours taken. Employees are obligated to record and submit all vacation taken via Oracle [Self Service Apps](#) [Payroll OTL Time Entry].

FY08 Q3/4 Employee Handbook Updates and Corrections

- **Benefits: Employee Stock Purchase Plan > Enrollment, Changes and Cancellation**

The section reading:

Employee Stock Purchase Plan Enrollment Forms can be obtained from Stock Plan Administration. You may enroll in either offering period (beginning in October or April) any year, provided you submit your enrollment form to Stock Plan Administration by the deadline specified on the enrollment form.

Use the same form to cancel your participation at any time. If Stock Plan Administration receives the form before the 15th day of the last month of the offering period, you are refunded the entire amount that was deducted during the period. If you miss the deadline, the deducted amount goes to purchase stock, and your participation terminates at the beginning of the next offering period.

You may increase or decrease the amount withheld at any time during the six-month offering period.



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If you leave the company on or before the 15th day of the last month of an offering period (in September or March), you are withdrawn automatically as of your termination date, and any money you contributed during the current offering period is refunded without interest. If you leave the company on or after September or March 16th, you may leave your period-to-date contributions in the plan to purchase stock on the exercise date.

Unless you direct a change, you are not withdrawn from the plan during an approved leave of absence. If you are on paid leave, you may continue to contribute. If you are on unpaid leave, your contributions stop until you return to work, and then resume automatically.

Was changed to:

You can enroll, change your percentage of contribution and/or cancel your participation (withdraw) by logging into your account at www.netbenefits.fidelity.com.

You may enroll in either offering period (beginning in October or April) only during an open enrollment period (Feb 15 – Mar 15 and Aug 15 – Sep 15). If you cancel/withdraw before the 15th of the last month of the offering period, you will be refunded the entire amount that was deducted during the period. If you miss the deadline, the deducted amount will go to purchase stock and your participation will terminate at the beginning of the next offering period.

You may increase or decrease the amount withheld at any time during a six-month offering period.

If you leave the Company on or before the 15th day of the last month of an offering period (in September or March), you will be withdrawn automatically as of your termination date and any money you contributed during the current offering period will be refunded to you, without interest. If you leave the Company on or after September 16th or March 16th, you may leave your period-to-date contributions in the Plan to purchase stock on the exercise date.

Unless you make a change, you are not withdrawn from the Plan during an approved leave of absence. If you are on paid leave, you may continue to contribute. If you are on unpaid leave, your contributions will stop until you return to work and then they will resume automatically.

Please call Fidelity Investments direct at 800-544-9354 if you need assistance with your Employee Stock Purchase Plan enrollment, changes and/or withdrawal.

FY08 Q1/2 Employee Handbook Updates and Corrections

- **Career Development: Transfers**

The below section was added:

The global minimum time in position is 12 calendar months unless specifically addressed by executive management of the LOB. This is policy for all regions and all businesses unless otherwise specified.

It is the hiring manager's responsibility to discuss the following areas with the releasing manager before completing the "Transfer/Internal Job Offer" form in Oracle Self Service HR:

- Employee performance
- Effective Date of Transfer
- Transfer Process Details



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Transferring employees may not begin work in their new positions until the transfers have been fully approved. The current manager is to release the employee generally within 30 days (generally no later than 60 calendar days in extreme circumstances) after the initiation of the "Transfer" process. Employee transfers are effective on the date the last approval is obtained or a future date as noted on the Transfer/Internal Job Offer form. If a transfer is approved prior to the requested effective date, the requested effective date will be honored as noted on the Transfer/Internal Job Offer form. Oracle is an at-will employer and the *Minimum Time in Position Guidelines* do not represent a promise by the Company to maintain an employee in employment throughout the guideline period.

And the section reading:

Provided you have been in a position for a reasonable period of time, we encourage you to explore opportunities for change and advancement. What is considered to be a reasonable period varies by organization and job, but generally ranges from six months to a maximum of 24 months in some positions. Occasionally, the initial training investment or project schedule may warrant a longer commitment before transfer to a new position can be considered.

Both you and Oracle benefit when you are allowed to learn and expand your capabilities by working in different jobs within the company. A good source for internal job opportunities is the job postings in the Employee iRecruitment application found in [Self Service Apps](#), then click iRecruitment Employee. Also, see the section below on Job Postings.

Was changed to:

Provided you have been in a position for a reasonable period of time, we encourage you to explore opportunities for change and advancement. The global definition for time in position is typically 12 calendar months. Occasionally, the initial training investment or project schedule may warrant a longer commitment before transfer to a new position can be considered. In such cases it is expected that management will make all affected employees aware of this exception. Thus, unless otherwise specified by executive management of the LOB, all employees are welcome to consider a transfer after they have completed 12 calendar months in their current role.

Both you and Oracle benefit when you are allowed to learn and expand your capabilities by working in different jobs within the company. A good source for internal job opportunities is the job postings in the Employee iRecruitment application found in [Self Service Apps](#), then click iRecruitment Employee. Also, see the section on [Job Postings](#).

And the section reading:

Compensation

Because a transfer is defined as a change in jobs within a career level, there is generally no increase in salary or other compensation unless your current salary places you below the minimum range for the new job. As with a promotion, any salary or other compensation adjustment associated with a transfer must be approved by the appropriate levels of management. Transfers between countries require special approvals, particularly when they involve expatriate compensation arrangements. (See the [International Assignments and Transfers](#) section for more information.)

Was changed to:

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Compensation

Because a transfer is sometimes defined as a change in jobs within a career level, a transfer can occur with no increase in salary or other compensation unless your current salary places you below the minimum range for the new job. However, on occasion a transfer may occur which will require a change in career level and salary. In such cases, compensation and career level will be reviewed and considered by the new management team. Appropriate levels of management must approve any compensation adjustment associated with a transfer. Transfers between countries require special approvals, particularly when they involve expatriate compensation arrangements. (See the International Assignments and Transfers section for more information.)

- **Employment Policies: Relocation (Domestic)**

The relocation company was changed from Paragon Relocation Resources to Lexicon Relocation.

The sections reading:

Oracle's Relocation Program is offered through Paragon Relocation Resources. Oracle's Relocation Program does not generally cover 100% of relocation costs, the reimbursement of which is at the discretion of your manager. Before any relocation reimbursement is received, you are required to have signed a Repayment Agreement. Your manager will initiate your relocation online through Paragon. And shortly after that, you will be emailed a URL where you will be instructed to complete an assessment, which starts the relocation process. You can contact the Oracle Paragon Team at (877) 502-5759 or oracle@paragonrri.com.

For additional information about Oracle's relocation policy or Paragon's services please refer to <https://extw3c.pdr.com/orbit/>. You should review this Web site before you initiate your move and/or incur any relocation expenses.

Were changed to:

Oracle's Relocation Program is offered through Lexicon Relocation. Oracle's Relocation Program does not generally cover 100% of relocation costs, the reimbursement of which is at the discretion of your manager. Before any relocation reimbursement is received, you are required to have signed a Repayment Agreement.

You should not take any steps to initiate your relocation, including listing a home for sale or engaging a real estate agent, without talking to Oracle's relocation provider (Lexicon Relocation). Your manager will initiate your relocation with Lexicon and you will be contacted if there is any additional information they need from you. You may also contact Lexicon at (800) 387-8242.

For additional information about Oracle's relocation policy, please contact Oracle's Global Mobility group at relocation_us@oracle.com. You should ensure you are familiar with Oracle's relocation policy and processes before you initiate your move and/or incur any relocation expenses.

FY07 Q3/4 Employee Handbook Updates and Corrections



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- **Benefits: OracleFlex > Making Changes**

Remove this criteria for making changes:

- A change from full-time to part-time employment (or vice versa) for you or your spouse;

- **Employment Policies: Personal Relationships in the Workplace > Employment of Relatives**

The following sentence was added:

Obtain your manager's written approval as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

- **Employment Policies: Non-Oracle Employment**

The section reading:

Remember, you must discuss and receive approval for such activities with your manager and a business conduct officer.

Was changed to:

Remember, you must discuss such activities with your manager and obtain written approval from your manager, as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

- **Business Conduct: Conflict of Interest**

The section reading:

If at any time you feel that an actual or potential conflict situation exists, report the details to your manager in writing. Your manager will determine if a conflict exists and what actions, if any, should be taken to eliminate the conflict or appearance of a conflict. If appropriate, your manager may refer the matter to a business conduct officer as defined in the Oracle Code of Ethics and Business Conduct.

Was changed to:

If at any time you feel that an actual or potential conflict situation exists, report all pertinent details in writing to your manager and obtain his/her written approval as well as the written approvals of the Executive Vice President and Regional Compliance and Ethics Officer for your organization.

- **Career Development: Internal Training and Development Programs**

Revised this section by adding the following mandatory compliance education training information:

In addition, you are required to complete the following mandatory compliance training courses:

Online Training



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- Ethics and Business Conduct Training (all employees)
- Sexual Harassment Awareness Training (all employees)
- Data Privacy Awareness Training (all employees)

Additional Training

- All US Managers are required to complete a two hour Sexual Harassment Awareness Training for Managers course in accordance with both California Assembly Bill 1825 and Oracle policy.

It is your responsibility to check the [Compliance Training page](#) for more information regarding these courses and others you may be required to take.

- **Business Conduct: Ethical Standards**

Revised this section by adding the following mandatory compliance education training information:

In addition, you are required to complete the following mandatory compliance training courses:

Online Training

- Ethics and Business Conduct Training (all employees)
- Sexual Harassment Awareness Training (all employees)
- Data Privacy Awareness Training (all employees)

Additional Training

- All US Managers are required to complete a two hour Sexual Harassment Awareness Training for Managers course in accordance with both California Assembly Bill 1825 and Oracle policy.

It is your responsibility to check the [Compliance Training page](#) for more information regarding these courses and others you may be required to take.

- **Benefits : Vacation**

<http://my.oracle.com/portal/page/myo/global/Global%20HR/US%20Employee%20Handbook/Benefits/vacation>

The section reading:

When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. You must submit your vacation taken prior to the time entry cutoff (found on the payroll calendar at <http://hrweb.us.oracle.com/global/hr/payrocal.htm>).

Was changed to:



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When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. You must record and submit your vacation taken (via Oracle Self Service Apps [Payroll OTL Time Entry]), prior to the time entry cutoff (found on the payroll calendar at <http://hrweb.us.oracle.com/globalhr/payrocal.htm>).

This section was added:

You can use your paid vacation time for any reason or occasion – for rest and relaxation, to take a trip, to take care of personal or family matters, to take a friend or family member to a medical appointment, and/or to supplement Sick Leave.

The section reading:

You may schedule your vacation at any time during the year, with your manager's approval.

If your employment at Oracle ends, your final paycheck includes compensation for any unused vacation. You may not otherwise receive pay in lieu of accrued vacation. If you were advanced unaccrued vacation, the amount advanced is deducted from your final paycheck. Accrued vacation cannot be used to extend your termination date; your last day of Oracle employment cannot be a holiday or vacation day.

Was changed to:

You may schedule use of your vacation at any time during the year, with your manager's pre-approval. If you need to use your vacation benefits on a date and for a reason that was not foreseeable, as in the case of an emergency situation, you must promptly inform your manager of your absence and record use of your vacation time taken prior to the time entry cutoff for the period during which you used your accrued vacation time (found on the payroll calendar at <http://hrweb.us.oracle.com/globalhr/payrocal.htm>). If your employment at Oracle ends, your final paycheck includes compensation for any unused vacation. You may not otherwise receive pay in lieu of accrued vacation. If you were advanced unaccrued vacation, the amount advanced is deducted from your final paycheck. Accrued vacation cannot be used to extend your termination date; your last day of Oracle employment cannot be a holiday or vacation day.

And the **Sick Leave** section was added.

- **Employment Policies: Harassment**
<http://my.oracle.com/portal/page/myo/global/Global%20HR/US%20Employee%20Handbook/Employment%20Policies/harrassment>
Revised this section by adding gender identity.

This section reading:

Oracle is committed to providing a work environment that is free of discrimination. Oracle does not tolerate harassment of any kind, including harassment based on sex, gender, race, color, religion, age, mental or physical disability, national origin, marital status, veteran status, sexual orientation, or any other characteristic protected under federal or state law or local ordinance.

Was changed to:

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Oracle is committed to providing a work environment that is free of discrimination. Oracle does not tolerate harassment of any kind, including harassment based on sex, gender, race, color, religion, age, mental or physical disability, national origin, marital status, veteran status, sexual orientation, gender identity, or any other characteristic protected under federal or state law or local ordinance.

FY07 Q1/2 Employee Handbook Updates and Corrections (SENDMAIL sent December 2006)

- **Use of Company Resources:**

http://my.oracle.com/portal/page?_pageid=38,6697059&_dad=myo&_schema=PHP

This entire section was re-written. This section is now briefly summarized and employees are directed to read Oracle's Acceptable Use Policy found on the Legal Web site.

- **Employment Policies: Equal Employment Opportunity:**

http://my.oracle.com/portal/page?_pageid=38,6720578&_dad=myo&_schema=PHP

Revised this section by adding gender identity.

This section reading:

We believe in treating each employee and applicant for employment fairly and with dignity. We base our employment decisions on merit, experience, and potential, without regard to race, color, national origin, sex, gender, marital status, age, religion, disability, or sexual orientation.

Was changed to:

We believe in treating each employee and applicant for employment fairly and with dignity. We base our employment decisions on merit, experience, and potential, without regard to race, color, national origin, sex, marital status, sexual orientation, gender identity, age, religion, disability, veteran status, or any other characteristic prohibited by federal, state or local law.

- **Employment Policies: Documentation**

http://my.oracle.com/portal/page?_pageid=38,6720506&_dad=myo&_schema=PHP

Revised first bullet by adding & Mutual Agreement to Arbitrate: Employment Agreement & Mutual Agreement to Arbitrate.

This section reading:

Regardless of your employment classification, you must sign and submit the following documentation as a condition of your employment:

- Employment Agreement
- Proprietary Information Agreement
- Employment Eligibility Verification (Form I-9)
- Employee's Withholding Allowance Certificate (Form W-4)
- Personal Information Sheet
- Federal Procurement Integrity Act (Oracle Government Employees)



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Was changed to:

Regardless of your employment classification, you must sign and submit the following documentation as a condition of your employment:

- Employment Agreement & Mutual Agreement to Arbitrate
- Proprietary Information Agreement
- Employment Eligibility Verification (Form I-9)
- Self ID Form
- Signed Privacy Policy Form
- Employee's Withholding Allowance Certificate (Form W-4)
- Personal Information Form

Federal Procurement Integrity Act (Oracle Government Employees)

▪ **Employment Policies: Reasonable Accommodations**

http://my.oracle.com/portal/page?_pageid=38,6720578&_dad=myo&_schema=PHP

Revise 2nd paragraph by adding "medical leave": These accommodations may include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance.

This section reading:

Oracle provides equitable treatment and reasonable accommodations for employees with disabilities in accordance with individual needs, business realities, and federal and state laws. These accommodations may include job retraining, an adjusted work schedule, special equipment or transportation, or job modification to optimize your performance.

Was changed to:

Oracle provides equitable treatment and reasonable accommodations for employees with disabilities in accordance with individual needs, business realities, and federal and state laws. These accommodations may include job retraining, an adjusted work schedule, special equipment or transportation, medical leave or job modification to optimize your performance.

Employment Policies: Employment of Relatives:

http://my.oracle.com/portal/page?_pageid=38,6720590&_dad=myo&_schema=PHP

This section was revised to include policy regarding transfer of employees.

This section reading:

Oracle generally discourages managers from directly supervising a relative because of the vulnerability to accusations of favoritism. If you recommend or select a relative for Oracle employment, you should disclose the relationship in the approval process.

Was changed to:

Oracle generally discourages managers from directly supervising a relative because of the vulnerability to accusations of favoritism. If you recommend or select a relative for Oracle employment, or a transfer is proposed that would result in a relative being



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assigned within your workgroup, you should disclose the relationship in the approval process and to your manager.

- **Employment Policies: Personal Information**

http://my.oracle.com/portal/page?_pageid=38,6720639&_dad=myo&_schema=PHP

The paragraph was rewritten.

This section reading:

Your personnel file contains personal and job-related information about you. We use this information for internal purposes only. We will not release it, except as required by law, to any external person or agency without your written permission.

Was changed to read:

Oracle is committed to protecting the privacy and security of your personal information. Personal information is the information you provide and we use for the purpose of maintaining your employment with Oracle that either identifies you or permits you to be identified. The [Oracle Internal Privacy Policy](#) sets forth Oracle's practices for treatment of your personal information and the requirements for the privacy protection of personal information to which you may be provided access. The Oracle Internal Privacy Policy is also available on the [Global Legal portal](#).

- **Employment Policies: International Assignments and Transfers**

http://my.oracle.com/portal/page?_pageid=38,6720634&_dad=myo&_schema=PHP

Renamed this section to International Travel and added US Outbound Immigration paragraph. Deleted third paragraph in International Assignments.

This section reading:

- **International Assignments and Transfers (Revised August 2000)**

<http://my.oracle.com/portal/page/myo/1ACCFB76CEC6E190E040018AEAA34928>

International Transfers

If at the end of an international assignment an employee decides to take a position in the host country and make the host country his/her home country, the same process applies.

Was renamed and changed to:

International Travel (Revised October 2006)

US Outbound Immigration

If you travel and/or work abroad you may be required to obtain a business visa and/or work permit. For more information about [US Outbound Immigration](#), at <http://my.oracle.com/portal/page/myo/1ACCFB74B656E190E040018AEAA34928>

If you have any questions, please contact the [Global Mobility Group](#) at intlhr_us_ww@oracle.com.



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- **Work Environment: Substance Abuse:**
http://my.oracle.com/portal/page?_pageid=38,6746911&_dad=myo&_schema=PHP

This section reading:

While the consumption of alcoholic beverages may occasionally be appropriate when entertaining customers, you should exercise good judgment and avoid excessive drinking.

Was changed to:

While the consumption of alcoholic beverages may occasionally be appropriate when entertaining customers or at other Oracle functions, you should exercise good judgment and avoid excessive drinking.

- **Business Conduct: Expense Reports**
http://my.oracle.com/portal/page?_pageid=38,6765569&_dad=myo&_schema=PHP
This paragraph was added:

The use of your AMEX card for business expenses and the Credit Card Integration (CCI) process for payment/remittance is mandated per corporate policy. For general questions on the CCI process, please contact Global Expense to Pay at [global-e2p ww](#).

- **Business Conduct: Use of Company Resources**
This entire section was removed:

You may not sell, loan, give away, or dispose of company property without proper authorization, and all Oracle assets must be used for proper purposes at all times. Improper use includes unauthorized personal use of company property, including computer equipment, software, and data. Furthermore, you must not, without appropriate approval, obligate the company to any expense.

We expect you to use the highest standard of care to protect Oracle property from theft or loss, taking into particular account the vulnerability of laptop computers. The company may require reimbursement for the replacement cost of company property if it results from gross negligence or misconduct on the part of the employee.

- **Business Conduct: Protecting Confidential Information**
http://my.oracle.com/portal/page?_pageid=38,6765837&_dad=myo&_schema=PHP
This entire section was re-written. This section is briefly summarized and employees are directed to read Oracle Information Protection Policy found on the Legal Web site.

- **Benefits: Holidays:**
http://my.oracle.com/portal/page?_pageid=38,6787391&_dad=myo&_schema=PHP
This section was updated to reflect a new holiday making eight total holidays. Martin Luther King, Jr. Day was the holiday added. And policy regarding absences for religious observances was added.

This section reading:

Except for hourly employees, absences of a full day or more for religious observances (other than the ones provided for by this policy) should be taken as vacation time. Absences of less than a full day for religious observances will generally be accommodated under the flex-time policy.



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Was changed to:

Full-time regular employees and part-time and temporary employees who are listed in the HR database as working at least 20 hours per week are eligible for paid holidays. Employees listed in the HR database as working less than 20 hours per week are ineligible for paid holiday time.

Absences for religious observances (other than the ones provided for by this policy) should be taken as vacation time.

- **Benefits: GoalPlanner:**
Removed entire section on GoalPlanner, Fidelity Employee Investment Services (EIS), since this benefit is no longer offered.
- **Compensation:**
http://my.oracle.com/portal/page?_pageid=38,6697515&_dad=myo&_schema=PHP
The first paragraph of this section was changed to reflect total compensation.

This section reading:

Oracle compensates and rewards you based on your contributions to Oracle and in accordance with Oracle's business performance and success in the marketplace. Your total compensation is a combination of your base salary and performance-related bonuses or commissions and other incentives. To determine your salary and total compensation package, we take into account market research, your career level, and your individual performance. Market research assists us in assessing what competitive companies pay for comparable jobs. Oracle's goal is to attract, retain, and motivate the very best employees. Central to Oracle's compensation philosophy are:

Was changed to:

You are compensated and rewarded based on your contributions to Oracle and in accordance with Oracle's business performance and success in the marketplace. Your total cash compensation is comprised of your base salary and performance related bonuses or commissions and other incentives. To determine your salary and total cash compensation package, we take into account market research, your career level, and your individual performance. Market research assists us in assessing what competitive companies pay for comparable jobs. Oracle's goal is to attract, retain, and motivate high caliber, high performing employees. Central to Oracle's compensation philosophy are:

- **Compensation: Timesheets**
http://my.oracle.com/portal/page?_pageid=38,6797581&_dad=myo&_schema=PHP
References to non-exempt were changed to hourly throughout this section. Deleted 4th, 6th and 7th paragraphs below. Also, changed "normal" workweek to Monday through Sunday for most employees and Saturday through Friday for those employees who use OTL for Projects.

This section reading:

To enter time, go to the MyOracle page > Self Service Apps > Oracle Employee Self Service > Time Entry.

Part time employees should use the same path as above and fill out total worked and non-worked hours each week. Part time employees are required to fill out timesheets each week even if they



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did not work.

Salaried non-exempt employees are paid for regular hours in each paycheck for the pay period just ending. Salaried nonexempt employees should log onto Employee Self (follow the path above) and fill out total exception time and overtime worked each week. Salaried nonexempt employees are required to fill out timesheets each week even if they did not work.

Was changed to:

All hourly employees must submit timesheets each week in order to be properly compensated. Hourly employees are required to record all hours, including starting and ending work time and meal periods. They also must report all exception time including, overtime (if any), vacation taken, jury duty pay, military pay, and shift premiums each week. Salaried employees eligible for shift pay should also fill out weekly timesheets indicating all shift premiums.

Oracle's workweek is Monday through Sunday. All timesheets must be submitted to Payroll before the cutoff deadline. The payroll schedule can be found at <http://hrweb.us.oracle.com/globalhr/payrocal.htm>

To enter time, go to MyOracle > Self Service Apps > Oracle Employee Self Service > Time Entry at https://global-ebusiness.oraclecorp.com/OA_HTML/OA.jsp?OAFunc=OAHOMEPAGE&akRegionApplicationId=0&navRespld=1004386&navRespAppld=178&navSecGrpld=0&transactionid=154026406&oapc=2 and use your Self Service Username and Password.

Part time employees should use the same path as above and fill out total worked and non-worked hours each week. Part time employees are required to fill out timesheets each week even if they did not work.

All timesheets must be submitted to Payroll before the cutoff deadline.

- **Compensation: Overtime**

http://my.oracle.com/portal/page?_pageid=38_6797640&_dad=myo&_schema=PHP
The first paragraph in this section was changed.

This section reading:

If an employee works in a nonexempt position, he or she is eligible for overtime pay. The employee's manager must authorize overtime work in advance. Employees that are uncertain whether their status is nonexempt or exempt, should ask their manager.

Was changed to:

If you are working in an hourly position, you are eligible for overtime pay. Your manager must authorize overtime work in advance. If you are uncertain of your compensation status, you should ask your manager.



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- **Compensation: Global Performance Bonus Plan (GPBP)**
http://my.oracle.com/portal/page?_pageid=38,6797629&_dad=myo&_schema=PHP
This section was revised due to change in the annual bonus plan. The first and second paragraphs in this section were changed.

This section reading:

For FY06, in December and June, Oracle management reviews all eligible employees' performance for possible cash bonus rewards. Bonuses are not considered entitlements and are distributed at the sole discretion of the company.

You may be considered for a bonus if your employment status is*:

- active,
- regular,
- on the Global Performance Bonus Plan,
- working 20 or more hours a week,
- employed for at least two months during the bonus period,
- eligible for at least 30 days of the bonus period, **and**
- employed by Oracle without a pending termination date on the day the bonuses are paid unless specific country labor laws require otherwise.

Was changed to:

Oracle management reviews all eligible employees' performance for possible cash bonus rewards. Beginning in FY07, the Global Performance Bonus Plan is funded and bonuses distributed once annually after the close of the fiscal year if performance measures are achieved. Bonuses are not entitlements; bonuses are discretionary and are distributed, at the sole discretion of the company, only to employees who meet the eligibility requirements set forth below.

You may be considered for a bonus if your employment status is*:

- active,
- regular,
- on the Global Performance Bonus Plan,
- working 20 or more hours a week,
- employed with Oracle on or before March 31st of the current bonus year,
- eligible for at least 30 days of the bonus period, **and**
- employed by Oracle without a pending termination date on the day the bonuses are paid unless specific country labor laws require otherwise.

- **Career Development: External Programs: Educational Reimbursement: Grandfather Clause:**

This section was removed:

Those employees who have signed up for classes and received approval before January 1, 1997 for a degree program through the "Degree Program Option" are still eligible for



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full reimbursement for the approved degree program amount even if the amount exceeds the \$5,250.00 annual limit.

- **Career Development: Performance Management**

http://my.oracle.com/portal/page?_pageid=38,6794977&_dad=myo&_schema=PHP

Removed reference to Human Resources providing evaluation forms and added paragraph about Oracle's online performance appraisal tool.

This section reading:

Human Resources provides managers the option to use evaluation forms, and several company divisions supply other customized performance evaluation tools.

Was changed to:

Human Resources provides an online performance appraisal tool that can be used to document goals/objectives and provide performance feedback. The tool, and related forms and training, is found at:

<http://hrweb.us.oracle.com/otd/toolkits/perfmgmt/index.html>.

- **Career Development: Job Postings**

<http://my.oracle.com/portal/page/myo/1ACCFB76CF8CE190E040018AEAA34928>

Removed reference in the third paragraph to CEO approval for all domestic and international transfers between Finance and Administration, World Wide Operations, and between and within Development.

The third paragraph now reads:

If there is mutual interest, the hiring manager notifies your manager and together they arrange a mutually acceptable date for your transfer. The hiring manager must obtain approval from both the current and hiring organizations up to the appropriate level of senior management. The hiring manager must obtain approval for an internal transfer from the releasing manager and/or approvers up through his/her own LOB. If the transfer is an international transfer, the approvals required are the same as for a new hire. The current manager should provide a reference on an employee's performance in his or her group before the transfer is approved. All approvals must be secured before the effective date of the transfer.

- **Career Development: External Programs: Eligibility**

http://my.oracle.com/portal/page?_pageid=38,6795042&_dad=myo&_schema=PHP

Changed grade requirement from "outstanding" to "acceptable" to qualify for reimbursement.

Revised section reads:

Courses acceptable for reimbursement must be job related and must have management approval in advance of enrolling in the course. In order for a course to be job related it must maintain or improve the skills required by you in your employment and/or make you more productive in your current responsibilities. Job related courses may include courses offered by a College or University, or courses that are taken outside Oracle's Internal Education Department. It is management's decision whether a course is related to your job.



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- **Career Development: External Programs: Job Related Courses**
http://my.oracle.com/portal/page?_pageid=38,6795042&_dad=myo&_schema=PHP

Added management approval is required prior to enrolling in a course.

Revised section reads:

Courses acceptable for reimbursement must be job related and must have management approval in advance of enrolling in the course.

FY02 Q1 Employee Handbook Updates and Corrections (SENDMAIL sent October 2001)

- Compensation: Pay Schedule:

This section was updated to reflect the change from Employee Connex to Oracle Employee Self Service.

- Compensation: Timesheets:

This section was updated to reflect the change from Employee Connex to Oracle Employee Self Service.

- Compensation: Overtime:

"Consecutive" was added to seventh day of work in a work week.

- Compensation: Annual Salary Review:

All references to "Annual Salary Review" were changed to "Focal Salary Review."

- Compensation: Performance Bonus Program:

The eligibility requirements to be considered for a discretionary bonus was updated to state the following:

- active,
- exempt,
- regular,
- on the USA payroll,
- on the Corporate Performance Bonus Program,
- working 20 or more hours a week,
- employed for at least two months during the bonus period,
- eligible for at least 30 days of the bonus period,
- and employed by Oracle on the day bonuses are paid.

The remainder of the section was changed to:

Furthermore, bonuses may be prorated to reflect time not worked in a bonus period (due to leave of absence, transfer, new hire, part-time, or change of status from hourly to exempt).

Consideration for this discretionary bonus payout may be based on:



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- Company performance,
- Your group's performance;
- Your individual performance;
- Your current job level; and
- Management discretion and approval.

In general, hourly employees or employees on any other bonus plan, including sales or consulting compensation, are not eligible for the Performance Bonus Program.

- Compensation: Patent Awards:

The policy was updated to reflect the existing policy and a link to the Legal Web site was added.

- Compensation: Referral Bonuses: Payment of Referral Bonuses:

The section reading:

The referring employee is awarded a referral bonus after the referred employee completes two weeks of regular full-time Oracle work, provided the referring employee has remained an Oracle employee for the same period. The bonus will be paid as soon as administratively possible following the completion of this two week period.

Was changed to:

The referring employee must be an "active" employee when the referral is made and when the referral bonus is paid. The referral bonus is paid as soon as administratively possible following the new hire's start date.

- Employment Policies: Employment Eligibility:

A section for Social Security Requirements was added.

- Employment Policies: Employment Categories:

A regular full time employee was changed from at least 30 hours per week to 40 hours per week or employees working 3x12 schedules. Regular part time employees were changed from working fewer than 30 hours per week to working fewer than 40 hours per week.

- Employment Policies: Personal Information: Employment Verification:

This section was updated to reflect the change from Employee Connex to Oracle Employee Self Service. To obtain an employment verification, fill out the Employment Verification Web Template.

- Employment Policies: Personal Information: Internal Listings:

This section was updated to reflect the change from Employee Connex to Oracle Employee Self Service.

- Benefits: Oracleflex: How Oracleflex is different:

"Health care reimbursement, or dependent care reimbursement" were removed from the end of the paragraph to reflect the benefits correctly.

- Benefits: Open Enrollment:

The following sentence was added: The effective date for all benefit status changes will be the day you submit the status change, instead of the date the event actually occurred. The only exception is when adding a newborn or adopted child - which is effective on the date of birth or placement for adoption."

- Benefits: Oracle 401(k): Eligibility, enrollment, and changes:

The phone number for Fidelity was corrected to 800-835-5098.



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- Benefits: Employee Assistance Plan:

The vendor name was corrected to "Cigna Behavioral Care."

- Benefits: Worker's Compensation:

The phone number was updated to 775-689-3429.

- Benefits: Vacation:

"As a full-time regular employee, you" was changed to "Employees working at least 35 hours per week" and the process for reporting vacation was updated to reflect the change from Employee Connex to Oracle Employee Self Service.

- Leaves of Absence

Information about not receiving paid draw payments while on leave was removed; the sentence "Car allowance is also suspended while on leave" was added.

Information on how to request a leave of absence was updated to reflect the change from Employee Connex to Oracle Employee Self Service in the following sections:

- Leaves of Absence
- Requesting a Leave
- Military Leave
- Bereavement Leave
- Jury Duty
- Requesting Leave

- Leaves of Absence: Medical Leave:

The sentence "You are eligible for extended medical leave only if the leave follows your paid medical leave" was removed.

- Leaves of Absence: Medical Leave and Pregnancy Disability Leave: Effects on Benefits:

The sentence "Vacation accrual is suspended during leave and any car allowance and draw payments are not made" was changed to "Vacation accrual is suspended during medical leave and any car allowance is not paid. Car allowance will resume in the pay period you return to active employment."

- Leaves of Absence: Military Leave:

The sentence "For drilling reservists, time beyond two weeks is unpaid" was added.

FY01 Q3/4 Employee Handbook Updates and Corrections (SENDMAIL sent June 2001)

- Work Environment: Workplace Safety/Ergonomic Work Area

(effective February 2001): The two sections were combined under "Workplace Safety" and updated with current information.

- Benefits: Vacation

(effective January 2001): "Project Accounting" was changed to reflect the new application name "US Timecards and US Expense Reporting" due to the R11i upgrade in January.



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- Leaves of Absence: Personal Leaves
<http://hrweb.us.oracle.com/handbook/leaves.htm#personal>
(effective May 2001): The policy regarding stock option vesting was clarified: "tolled" was replaced with "suspended."
- Leaves of Absence: Personal Leave

(effective January 2001): The policy was clarified to alleviate confusion.

Instead of:

"When you have notified us that you are ready to return, we will make an effort to help you in finding a position similar to that in which you were working before your unpaid leave"

It now states:

"If you intend to return to work you should begin your search for a new position at this time. Once you have obtained a position and have a start date, you may make arrangements with your manager to return to work."

FY01 Q1/2 Employee Handbook Updates and Corrections (SENDMAIL sent January 2001)

- Section 2-3: Employment Policies: Harassment (effective September 2000)
A Spanish version of the brochure "Commitment to a Harassment Free Workplace" has been added.
- Section 2-6: Employment Policies: Personal Information: Employment Verification (effective November 2000)
Instead of contacting Human Resources for employment verifications, please follow the instructions at <http://hrweb.us.oracle.com/info/empverif.htm>.
- Section 2-6: Employment Policies: International Assignments & Transfers (effective September 2000)
The Policy was clarified to alleviate confusion.
- Section 2-7: Employment Policies: Relocation (effective March 2000)
The Relocation policy was updated to reflect our current vendor and processes.
- Section 5-3: Benefits: Oracle 401(k) (updated July 2000)
A link to the Prospectus and Summary Plan Description was added.
- Section 5-3: Benefits: Oracle 401(k): Company Matching Contributions (updated July 2000)
The vesting schedule was updated as follows:
Effective January 1, 1999, the company's matching contributions vest according to the following schedule (if you complete an hour of service after January 1, 1999):

Years of Service Completed	Amount Vested After Completion
One Year	25%
Two Years	50%
Three Years	75%
Four Years	100%



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- Section 5-10: Benefits: Vacation (effective August 2000)

The policy regarding maximum accrual was clarified with the following:

"When you reach your maximum accrual, you stop accruing vacation time until you reduce your balance by taking a vacation and reporting the hours taken. You will begin accruing vacation time again in the first full pay cycle after you have reported the vacation hours taken.

You are responsible for reporting any vacation taken on your first day back to work. Employees should report vacation by logging into the Employee Connex Web site, clicking on the Time Management icon and entering vacation in the "Non-Work Time Entry" area. Employees using Project Accounting should use that application to submit their vacation time.

- Section 6-14: Leaves of Absence: Military Leave (effective August 2000)

Drilling reservists who receive a check from the government for military duty during the two week paid portion of their leave are no longer required to remit any funds to Oracle.

FY00 Q3 Employee Handbook Updates and Corrections (SENDMAIL sent April 2000)

- Section 4-3: Business Conduct: Insider Trading: "No Trading" periods

The "no trading" period policy was clarified.

- Section 4-6: Business Conduct: Protecting Confidential Information: Talking to the Press

Changed the heading from "Talking into the Press" to "Communications with the Press and Analysts." The section was changed to reflect the official "Policy Regarding Communications with the Press and Analysts" which is available in full on the Legal Web site (<http://legal.us.oracle.com/legalops/presscomm.html>).

- Section 5-8: Goalplanner: Investment & Tax Advice

Changed "Goalplanner Enrollment Guide" to "Goalplanner Information" on the Benefits Web site.

- Section 7-2: Compensation: Overtime (effective Jan. 1, 2000)

The policy was updated to reflect the new California state overtime laws.

FY00 Q1/2 Employee Handbook Updates and Corrections (SENDMAIL sent December 1999)

- Section 2-6: Employment Policies: Foreign Assignment & International Transfers (effective Oct. 1999)

The definitions of foreign assignments and international transfers has been clarified.

- Section 3-1: Work Environment: Workplace Safety (effective Nov. 1999)

The policy has been updated to include new contacts and information about the Emergency Response Team (ERT).

- Section 3-2: Work Environment: Ergonomic Work Area (effective Nov. 1999)

Information regarding how to obtain ergonomic equipment has been updated.



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- **Section 5-3: Benefits: Oracleflex - Making Changes (effective Jan. 1999)**
The number of days an employee has to make a benefits status change (changed from 31 days for all plans to 31 days for Kaiser Southern California & Matthew Thornton Health Plan; 62 days for all other plans) has been updated to reflect each health plan's policy changes.
 - **Section 5-3: Benefits: Oracle 401(k) (effective Sept. 1999)**
Information on NetBenefits access has been added. The deadline for contribution changes is 4 p.m. (PST) on the last day of each month. A link to specific rollover instructions has been added.
 - **Section 5-8: GoalPlanner (effective Sept. 1999)**
Employees can enroll in GoalPlanner at any time. Turn around for direct deposit is 30 days.
 - **Section 5-8: Benefits: Employee Assistance Plan (effective Sept. 1999)**
Services are provided by CIGNA Behavioral Health. The new name will not change the services or the way in which you access the plan.
 - **Section 5-9: Benefits: LifeWorks Family Resource and Referral Program (effective Sept. 1999)**
The service is now provided by Ceridian, who acquired the previous vendor, Work Family Directions.
 - **Section 5-10: Benefits: Worker's Compensation (effective Nov. 1999)**
More detailed information about Worker's Compensation has been added to the policy.
 - **Section 6-5/6-9: Leaves of Absence: Pregnancy and Family Care & Medical Leave - Effects on Benefits (effective Sept. 1999)**
Employees with outstanding 401(k) loans that are taking a leave of absence should now notify their Benefits Administrator instead of the 401(k) administrator. Updated the number of days an employee has to make a benefits status change to reflect each health plans' policy changes (see Section 5-3).
 - **Section 6-9: Leaves of Absence: Family Care & Medical Leave - Permissible Uses of effective Nov. 1999)**
The policy has been clarified that for leave for the birth, adoption or placement of a foster child, the leave must be completed within one year of the birth, adoption, or placement.
 - **Section 6-16: Leaves of Absence: Jury Duty (effective Sept. 1999)**
Employees are no longer required to sign over jury duty checks to Oracle.
 - **Section 7-5: Compensation: Employee Referral Program (effective Sept. 1999)**
The referred employee must be on the payroll for two weeks, instead of 90 days, before the bonus will be paid to the referring employee.
 - **Section 8-3: Career Development: Internal Programs (effective Nov. 1999)**
The information on internal training has been updated to reflect the changes resulting from the Oracle University's new Web site: <http://ouweb.oracle.com>.
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FY99 Q3/4 Employee Handbook Updates and Corrections (SENDMAIL sent June 2, 1999)

- **Section 4-7: Travel and Entertainment**
The Travel and Entertainment section has been linked to the "Travel and Entertainment policy" in the Oracle Travel Web site for consistency.



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- Section 4-7: Expense Reporting and Proper Accounting

The location of the expense report form has been added.

- Section 5-1: Oracleflex eligibility

The Oracleflex eligibility has been corrected to reflect the Oracleflex plan documents and is as follows:

You and your eligible dependents may participate in the Oracleflex program if you are:

- A regular full-time employee on the U.S. payroll working 30 or more hours per week, or
- A regular part-time employee on the U.S. payroll working at least an average of 20 hours a week.

Part-time employees receive a reduced amount of credits depending on the number of hours they are regularly scheduled to work per week. If you are a part-time employee working an average of 20 hours per week, you receive 50% of the amount of Oracleflex credits for which you would be eligible as a full-time employee.

- Section 6-1: Leaves of Absence

A link to each type of leave form has been added.

- Section 8-3: Job Posting

The Job Posting section has been updated to reflect the new job post system, Oracle Opportunities.

- Section 8-4: External Programs

Under "Reimbursement," the grade earned in order to receive reimbursement by Oracle was changed from a "B" to a "B-" effective 01-May-1999. Any additional education expenses incurred beyond \$6,000 per calendar year must be approved by the SVP of your division. Additionally, the section "Non-Traditional Training/Continuing Education" has been added to section 8-4.

FY99 Q1/2 Employee Handbook Updates and Corrections (SENDMAIL sent December 23, 1998)

- Section 1-1: Electronic Mail (email)

The Electronic Mail policy has been updated to reflect Jeff Henley's July 1, 1998 SENDMAIL titled "Email and Internet Policy Revisions" regarding no Auto-Forwarding email outside Oracle and outside access to public email accounts.

- Section 3-4: Oracle In the Community

The section has been expanded to include the contact information for Corporate Giving, Oracle Volunteers, and Oracle's Promise.

- Section 5-8: GoalPlanner

The GoalPlanner program has been reinstated. The new enrollment procedures have been added.

- Section 6-16: Jury Duty

The procedure to turn over jury duty checks has been added.



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- Section 7-5: Referral Bonus Program

Oracle has clarified its Referral Bonus Policy by adding "Oracle does not pay referral bonuses for persons hired outside the US."

FY98 Q4 Employee Handbook Updates and Corrections (SENDMAIL sent June 23, 1998)

- Section 3-1 Workplace Security

Oracle has clarified its Workplace Security policy by adding the following section to the Handbook:

"Violence in the workplace

Oracle is committed to preserving the health and safety of its employees. Threats or acts of violence against Oracle employees, temporary employees, independent contractors, customers, clients, vendors or other persons and / or property will not be tolerated.

Report any potential threats or acts of violence to your manager, Human Resources or Oracle Security immediately."

- Section 5-10 Vacation:

Oracle has added vacation accrual language to reflect the accrual for part-time regular employees as shown in the chart below. Please see the employee handbook for complete details and for the applicable maximum accruals.

Hrs Worked	Hrs Accrued per pay period for first 3 yrs employment	Hours Accrued per pay period after 3 yrs employment per week
35+	4.33 hours (13 days/yr)	6 hours (18 days/yr)
25-34	3.25 hours (9.75 days/yr)	4.5 hours (13.5 days/yr)
20-24	2.17 hours (6.51 days/yr)	3.0 hours (9 days/yr)

The vacation reporting procedures have also been updated to reflect Fidelity taking over Oracle's Payroll services.

- Section 5-11 Holidays:

Oracle has updated its holiday eligibility to reflect the following:

"Full-time regular employees and part-time and temporary employees who are listed in the HR database as working at least 20 hours per week are eligible for paid holidays.

Employees listed in the HR database as working less than 20 hours per week are ineligible for paid holiday time."

- Section 6-16 Jury Duty:

The sentence "You or your manager may contact Human Resources for assistance in seeking a postponement or release" has been removed because typically, it is the employee's responsibility if s/he wishes to seek a postponement or release.

- Section 7-1 Pay Schedule:

The Direct Deposit Enrollment/Change procedure and has been updated to reflect Fidelity taking



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over Oracle's Payroll & Human Resources services.

- **Section 7-2 Timesheets:**

The time reporting procedures have been updated to reflect Fidelity taking over Oracle's Payroll & Human Resources services.

FY98 Q2 Employee Handbook Updates and Corrections (SENDMAIL sent February 27, 1998)

- **Section 3-2 No Smoking: effective December 1, 1997**

Oracle has expanded its "No Smoking" policy to include all tobacco products.

- **Section 7-2 Overtime: effective January 1, 1998**

Oracle has revised its overtime policy to reflect the change in California law regarding eliminating daily overtime pay.

- **Section 5-1 Oracleflex Eligibility:**

Oracle has clarified its Oracleflex eligibility statement by adding "except that it ends immediately for unpaid personal leave taken to pursue educational opportunities."

- **Section 6-15 Personal Leave:**

Oracle has clarified its personal leave section by adding the following statement to its personal leave policy regarding Oracleflex benefits:

"Under most circumstances, your Oracleflex health benefits continue for the first 30 days of your unpaid leave except that benefits eligibility ends immediately for unpaid personal leave taken to pursue educational opportunities."

- **Section 8-4 External Programs:**

Oracle has clarified its Education Reimbursement policy by adding it is management's decision whether a course is related to the employee's job, and that all reimbursements must be submitted to Accounts Payable.

FY98 Q1 Employee Handbook Updates and Corrections (SENDMAIL sent September 10, 1997)

- **Section 2-6 Personal Information:**

Human Resources will not accept facsimiles for employment verification. This is noted in the second paragraph under the heading "Employment verification."

- **Section 4-7 Expense Reporting and Proper Accounting:**

The following paragraph has been added under the heading "Use of company resources:

We expect you to use the highest standard of care to protect Oracle property from theft or loss, taking into particular account the vulnerability of laptop computers. The company may require reimbursement for the replacement cost of company property if it results from gross negligence or misconduct on the part of the employee.

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- **Section 6-1 Leaves of Absence (3rd paragraph):**

Forms to request Medical, Pregnancy Disability and Family Care and Medical Leave are now available through your Benefits Administrator, or can be downloaded from the Benefits Web site. Contact your HR Manager for all other leave request forms.

- **Section 6-15 Requesting Personal Leaves:**

A personal leave request must be submitted in writing to your manager via the new public email template entitled HR LEAVE REQUEST as soon as possible before you wish to begin your leave.

Employee Referral Program (Section 7-5):

The following describes Oracle's Employee Referral program and policy. Any variance to this program must be approved by the Director of Human Resources.

- **Overview of Program**

The most effective way to match an employee's referral to an open position is to check the internal job postings on the Corporate Repository. Present candidate resumes to hiring managers. To ensure that proper credit is received for the referral, write the referring employee's name, date and contact information on the resume. We encourage employees to refer candidates who will increase the cultural and ethnic diversity of our workplace.

If a specific opening is not determined, the referring employee should send the candidate's resume to a member of Human Resources with a cover note describing where the candidate might fit within Oracle. The employee's name, date and contact information must be included on the resume.

- **Verifying a Referral**

The hiring manager notifies Human Resources that an employee referred a candidate by putting the employee's on the employee referral line of the email template HR OFFER LETTER REQUEST. Employees should contact userid [<HROFFERS>](#) if they have a question about the status of a referral.

- **Payment of Referral Bonuses**

The employee is awarded the bonus after the referred employee has completed 90 days of full time regular work, provided the referring employee has remained on the Oracle payroll for the entire 90-day period. Oracle does not pay referral bonuses for the hiring of part-time or temporary employees.

In the case of a temporary or contractor conversion, the referral bonus is paid only if the conversion is to the same position the person held as a temporary or contract worker. The referring employee is awarded the bonus after the referred employee has worked 90 days following the conversion to regular full time employment. We will not pay a referral fee if this person was originally referred to Oracle by a third party, temporary agency, or contracting vendor.

Oracle pays referral bonuses for persons hired who previously worked for Oracle or any Oracle subsidiary, provided their separation from Oracle has been more than one year.

Oracle will not pay a referral bonus if there is an obligation to pay a search firm fee. Managers do

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not earn referral bonuses for anyone hired into their direct line of reporting. Vice Presidents, Directors of Human Resources, Human Resources Recruiting staff, Oracle temporary employees, and Oracle Resources Services Recruiting staff are not eligible for employee referral bonuses. Other Human Resources staff are eligible for referral bonuses provided the referral is not into their client group.

Employees may receive a bonus even if they are a part of the hiring process but not the direct manager.

- Referral Bonus Amounts

Oracle pays employee referral bonuses for employee referrals of either \$500 or \$1,000 based on the referred employee's starting base salary.

Starting Salary	Bonus Amount
Up to \$39,999	\$500
\$40,000 or more	\$1,000

Oracle *does not* pay a referral bonus for a recent university graduate when:

- the candidate graduated from one of the colleges or universities targeted by Oracle's university recruiting efforts, or
- the candidate is hired as a result of participation in Oracle's summer internship program.

FY96 Employee Handbook Updates and Corrections (SENDMAIL sent June 9, 1997)

- Section 5-4 401(k) Eligibility, enrollment, and changes
Effective October 1, 1996, 401(k) enrollments and contribution percentage changes are now done by calling Fidelity at (800) 835-5098. Benefits will no longer accept the email template HR 401K ENROLLMENT FORM or 401(k) CHANGE FORM.

- Section 5-4 Company Matching Contributions
Effective October 1, 1996, the vesting schedule for 401(k) matching contributions changed as follows:

If you were hired before October 1, 1996 and your hire date fell between January 1 and September 30 (of any year), your vesting date is January 1 of the year you were hired.

If you were hired before October 1, 1996 and your hire date fell between October 1 and December 31 (of any year), your vesting date is January 1 of the following year.

If you were hired anytime after October 1, 1996, your vesting date is the same as your hire date.

- Section 6-6 Short Term Disability Coverage:
The list of states with a state disability plan is incorrect. Illinois should not be included as a state that provides disability pay at a percentage of your salary.
- Section 6-2 through 6-5 Pregnancy Disability Leave, and Medical Leave
Prior Oracle employment does not count toward the six-months' continuous employment eligibility requirement for paid leave.



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- Section 7-2 Timesheets

Hourly exempt, hourly, and salaried hourly employees should fill out the web time sheet found at <http://payroll.us.oracle.com>. The templates TIMESHEET HOURLY FORM, ~~TIMESHEET SAL HOURLY FORM~~, and TIMESHEET SHIFT DIFF. FORM are no longer used by payroll for these employees.

- Section 7-3 Annual Salary Review

For most organizations, salary increases are effective September 1, rather than July 1. Employees are eligible for increases according to the following table:

- Employees on Sales Compensation Plans June 1
- Employees on unpaid Leaves of Absence When they return from leaves
- All other employees September 1

- Section 8-3 Jobposting

Jobs posted on JOBPOST will remain posted until they are filled or for a maximum of six months, rather than three months.



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APPENDIX

Attachment A, Oracle Acceptable Use Policy for Company Resources

[Oracle Acceptable Use Policy for Company Resources](#)

Attachment B, Code of Ethics and Business Conduct

[Oracle Code of Ethics and Business Conduct](#)

Attachment C, Oracle Information Protection Policy

[Oracle Information Protection Policy](#)