States determine whether a firm is a successor-in-interest firm:

1. There is continuity in business operations.
2. There is continuity in location.
3. There is continuity in the workforce.
4. There is continuity in supervisory personnel.
5. The same jobs exist under similar conditions.
6. There is continuity in machinery, equipment, and process.
7. There is continuity in product/service.

What’s going on?

Mergers and acquisitions? Name changes? Bankruptcy proceedings? Are there actions that change the name of the firm under which a trade-affected worker’s wages are reported?
If the firm is a successor-in-interest firm named in an active certification

Serve the workers under that active certification!

Worker List

Should include all on-site and off-site workers, as well as staffing agencies and successor-in-interest information.

Was payroll outsourced?

Did a third-party handle payroll?
How to get information?

Search publicly available information and ask questions!

RESEARCH

ASK THE FIRM

TALK TO WORKERS

No successor-in-interest or few factors met?

When a successor-in-interest is not readily apparent or if no relationship seems to exist, file a new petition on behalf of the group of workers to request to amend the certification.

ACTIVE CERTIFICATION

REQUEST TO AMEND

NEW PETITION

Include the results of your review of the 7 factors

Attach any other information that can assist investigators

Submit your petition for TAA online at www.dol.gov/agencies/eta/tradeact
Incoming petitions requesting to amend an active certification will be validated

DOL will ask the State if they applied the 7 factors. Respond in 2 days or less. If no response, the petition will be instituted as a regular investigation.

The TAA Program seeks to provide adversely affected workers with opportunities to obtain the skills, credentials, resources, and support necessary to (re)build skills for future jobs!

See more tips, videos, help, and training

Visit www.dol.gov/agencies/eta/tradeact