

Notes on Data Fields

(updated 5/19/2020)

Here are some notes on what to expect in the data fields:

- **TAW and Suffix and Petition Number** – TAW number is the number we provide to a petition when it is properly received. A single petition may end up needing a determination that covers multiple distinct worker groups (often different locations). After the first determination (which has no suffix) additional determinations get a suffix A-ZZ. The combination of TAW and Suffix provides a unique record key.
- **Company Name and Second Name** – The company name is technically the primary name of the identified worker group. Typically this is the company, but may occasionally be something different such as “Leased workers from ...”. The second name provides individual unit names, department names, leased worker groups, parent or subsidiary company names, or other clarifications. The second name field only contains key words to help identify those groups.
- **Address** – this is the street address of the firm, but occasionally will end up as a P.O. Box, particularly if the firm has been closed. Since 2013, we have made efforts to ensure that these are valid street addresses, but expect that older years would be less consistent.
- **City/State/Zip** – typically the city, state, and zip code of the worker group. However, we may specify that a certification covers workers “throughout the state,” which would be entered in the city name.
- **Product/Service** – these are free-text fields designed to capture the product or service that the specific worker group is engaged with. This may mean that while the company works on broader products or services, the specific group may list a product or service more narrowly tailored to their specific activities. For example, a computer company may have ‘credit approval services’ listed because the worker group did credit approval services for the computer company.
- **Petitioner Type** – the categorization for petitioners has gone through a number of iterations from the program inception over 40 years ago. The most recent cases are limited to a specific categorized list (State/One-Stop, Workers, Union, Company). In the past, however, this was a free-text field which may have listed specific states, unions, or merely was inconsistent in the entry. A partially cleaned field, Petitioner (Cleaned), has been added that attempts to recode known old free-text entries to the four listed categories. These included inconsistent spellings, state codes, and known union designations. “Unknown” is listed where the category could not be determined.
- **SIC/NAICS** – the SIC and NAICS codes designate the industry code that the company is engaged in. This should be the industry of the firm as a whole, not the specific worker group. TAA used SIC codes from 1974 to 2011 and began using NAICS codes in 2007 to present. Recent efforts now validate the full 6 digit code against the 2017 NAICS table, but data validation of these codes was non-existent, manual, or limited to the 2-digit prefix previously.
- **Petition Date** – the date on which the submitted petition was signed
- **Institution Date** – the date the office began their investigation. Delays between the petition date and institution date may result from transmission method (such as mail), because of delays transmitting the message, or that some additional information was needed for the petition to be valid.

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- **Determination Date** – the date on which a determination was reached on the case. On some very limited circumstances such as reconsiderations and remands, this date may be updated with subsequent decisions.
- **Determination Code** – C, C1, C2, CD, and CU all specify that the case was determined. The difference between these is the result of the more granular investigator determination code which will be discussed shortly. D = Denied, T = Terminated, P = Partial. A partial certification specifies that some particular subgroups are certified while others are denied. Terminations are not actual decisions, but an administrative closing of a case typically because of petition withdrawal or because another petition covers the worker group.

Code	Reason for Decision
C1	Imports
C2	Shift in Production
CD	Certified Downstream
CU	Certified Upstream
P	Partial Certification
D	Denial
T	Termination

- **Impact Date** – This is the start of eligibility for a certification. *Typically* one year before the petition is filed.
- **Expiration of Determination/Expiration Date** – when a certification eligibility period ends. *Typically* two years after certification.
- **Estimated Number of Workers*** – estimated workers threatened with total or partial separation, as estimated by company officials during the group eligibility investigation. We do not estimate workers for terminated petitions (because they are not actual decisions). Terminated petitions are coded as zero estimated workers. Redacted worker numbers are blanks (null) in the dataset. Subsequent communications between states who collect worker lists from companies reveal significant variations between this initial estimate and the number actually determined eligible for the program.
- **Estimated Number of ATAA Workers*** – This data set is similar to above, but explicitly for the subset of workers that are aged 50 and above. This data was collected during the group eligibility investigation for only a relatively brief period of time in the 2000s.
- **Investigator** – The name of the investigator who was initially assigned to investigate the petition in order to establish whether the criteria for group eligibility were met.
- **Investigator Determination Code** – see additional tables in subsequent pages.
 - 2002 Law Applies to Cases with TAWs less than 70,000 and TAWs between 84,000 and 89,999 where the decision was rendered prior to 6/29/15.
 - 2009/2011/2015 Law Applies to 70,000 to 83,999 and all decisions subsequent to 6/29/15.

Note that the 2011 law uses codes with dashes in the database, the 2002 codes did not have dashes when put in the database.

- **Certifying Officer** – This represents the OTAA official who reviews the investigator’s determination draft, and authorizes a group eligibility determination with a signature. This data began to be tracked in 2004.

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- **Revised Code** – This field is modified with a determination code if the determination was revised/modified after the initial determination.
- **Revised Date** – Date of revised determination as described as described above.
- **Applied for ATAA** – This code only applies to determinations during a period where a separate application for ATAA was required. During this discreet period, workers had to apply for ATAA simultaneously with TAA.
- **Certified for ATAA** – This code only applies to determinations during a period where a separate application, investigation and determination for ATAA were required. Currently ATAA is part of the range of benefits and services that is available to all participants who are group eligible for TAA and meet individual ATAA eligibility criteria (e.g. aged 50 or above, reemployed, earning less than \$50,000 in new employment, etc.)
- **Reassigned** – This is a Y/N field that identifies whether the petition investigation was transferred to another investigator who was not the initial investigator during the course of the investigation. This field began to be tracked at a specific point in time through the present, and was not tracked for determination records prior to that time.
- **Reassigned to** – Where “Y” is reported in field referenced directly above, this field would identify the name of an investigator that the petition investigation was transferred to. It began to be tracked at a specific point in time through the present, and was not tracked for determination records prior to that time.
- **Reassigned Date** – Date where the petition became reassigned from the initial investigator to a new investigator, as referenced in the two fields listed above.
- **Worker Group** – Production, Service, or Mixed. This should align with whether a product was listed (production), a service was listed (Service), or both were listed (Mixed).
- **Display** - this Y/N field indicates if the determination information is posted and available for public viewing on the TAA website.
- **Country 1/2/3*** – Free text fields of countries cited. The order of countries in this field is not based on anything and does not communicate any relative impact of the countries cited. It also does not provide information on the reason for the citation. The field may also be incomplete if cited country names exceeded the field size. Specific countries are not required in most contexts for a decision and many decisions do not cite any countries. When countries are redacted, this field will display “Redacted.”
- **Reconsideration Request** – This is a Y/N field to identify whether or not there was a request to appeal the initial determination. This could result in a revised determination as reflected in “Revised Code” and corresponding “Revised Date” as referenced in fields listed above. This field began to be tracked at a certain point in time through the present, and therefore is not available in all records.
- **Initial Decision Determination Date** – This date reflects the decision date of the initial petition determination. In almost all cases, this is date will match the Determination Date. When an initial denial or termination is revised (certified) upon reconsideration or remand, the decision date is updated to reflect the certification date. In these cases, this field will still reflect the date the initial decision was rendered. Note: this was only tracked beginning in 2013.
- **Inv Days** – This number is the number of days an investigation took. It is calculated by subtracting the institution date from the initial decision determination date. Investigation timeframes apply to each

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petition rather than each worker group, so additional worker groups (Suffix is not blank) do not calculate investigation days and are left blank.

- **Petitioner (Cleaned)** – this is a partially cleaned version of the free text Petitioner field. See petitioner field for more details.

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Codes for 2009/2011/2015 Programs

Investigator Determination Code	Determination Code	Explanation
CERTIFICATIONS		
<i>PRIMARY</i>		
C-1	C1	Company Imports of Articles
C-2	C1	Company Imports of Services
C-3	C1	Customer Imports of Articles
C-4	C1	Customer Imports of Services
C-5	C1	Imports of Finished Articles Containing Like or Directly Competitive Components
C-6	C1	Imports of Finished Articles Containing Foreign Components
C-7	C1	Imports of Articles Produced Using Firm Services
C-8	C1	Increased Aggregate Imports
CSP-1	C2	Shift in Production
CSP-2	C2	Acquisition of Articles From a Foreign Country
CSS-1	C2	Shift in Services
CSS-2	C2	Acquisition of Services From a Foreign Country
C-ITC	C1	International Trade Commission (ITC) Determination
C-PA	C1	Public Agency (2009 Program only)
<i>SECONDARY</i>		
CSC	CU	Secondary Component Supplier
SSS	CU	Secondary Service Supplier
CDP	CD	Downstream Producer
DENIALS		
D-1	D	No Employment Decline or Threat of Separation/No ITC
D-2	D	No Sales or Production of Articles Decline/No Shift/Acquisition/No Secondary/No ITC
D-3	D	No Sales of Services Decline/No Shift/No Acquisition/No Secondary/No ITC
D-4	D	No Shift in Production/ No Company or Customer Imports/No ITC/No Secondary
D-5	D	No Shift in Services/No Company or Customer Imports/No ITC/No Secondary
TERMINATIONS		
T-1	T	Petitioner Requests Withdrawal
T-2	T	Invalid Petition
T-3	T	Existing Certification in Effect
T-4	T	Ongoing Investigation in Process
T-5	T	Negative Determination Recently Issued
T-6	T	Other

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Codes for 2002 and Reversion Programs

Investigator Determination Code	Determination Code	Explanation
CERTIFICATIONS		
<i>PRIMARY</i>		
C1	C1	Increased Company Imports
C2	C1	Increased Customer Imports
C3	C1	Increased Aggregate Imports
C4	C2	Shift in Production to Country with a Free Trade Agreement/Beneficiary
C5	C2	Actual/Likely Increase in Imports Following a Shift Abroad
<i>SECONDARY</i>		
CS1	CU	Upstream Supplier of Trade Certified Primary Firm
CS2	CD	Downstream Producer of Trade Certified Primary Firm Impacted by Shift in Production to/Increase in Imports from Canada or Mexico
DENIALS		
<i>PRIMARY</i>		
D1	D	No Employment Decline
D2	D	No Sales or Production Decline / Shift in Production (Domestic Transfer)
D3	D	No Import Increase and/or Production Shift Abroad
D4	D	Predominant Cause of Layoffs Unrelated to Imports, Shift in Production to Beneficiary Country, or Increase in Imports Following a Shift
D5	D	Workers Do Not Produce an Article
<i>SECONDARY</i>		
DS1	D	No Secondary Upstream Supplier Impact
DS2	D	No Secondary Downstream Producer Impact
TERMINATIONS		
T1	T	Petitioner Requests Withdrawal
T2	T	Invalid Petition
T3	T	Existing Certification in Effect
T4	T	Ongoing Investigation in Process
T5	T	Negative Determination Recently Issued
T6	T	Other

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