

Dictionary for OTAA Petition Data				Last Update
This dataset includes all worker groups subject to a valid petition from 1975 to Present under the TAA Program				7/6/2021
FIELD	Type	Explanation	Other Information	Years Available
TAWSUFF (with c9 for web posting)	String	Provides a concatenation of TAW and Suffix fields with the ASCII character 9. This forces the concatenation to always be interpreted by excel as a string field for matching. The TAW and Suffix combination provides a unique key for worker groups (records) in the dataset.		1975-Present
TAW	Integer	TAW number is the number we assign to a properly received, valid petition. While a petition may cover multiple worker groups, the petition number is consistent across all worker groups resulting from that petition.		1975-Present
Suffix	String	The suffix identifies the worker group for a particular petition. Suffix is blank for the first worker group for a TAW and then a suffix A-ZZ is assigned for each subsequent worker group. A TAW and suffix uniquely identify a worker group (record) in the dataset.		1975-Present
Worker Group Name	String	This is the primary name of the identified worker group. Typically it is the firm or company, but maybe a more specific articulation depending on the decision.		1975-Present
Second Name	String	The second name provides details on individual unit names, department names, leased worker groups, parent or subsidiary company names, or other clarifications.	This field may be incomplete. Because of length limitations in this field, second names are truncated to include only the most relevant names. If space was still insufficient, inclusion of "etc." helps to indicate that further clarifications are in the decision that could not be documented in the dataset.	1975-Present
Street Address	String	This is the street address that is the primary work location of the worker group. In some cases, an address may not be available such as due to company closure or because the worker group covers a broader geographic region.	Since 2013, the Department has increased efforts to ensure addresses are validated. Starting in 2020, address verification is done automatically. Older data will be subject to greater error.	1975-Present
City	String	The name of the city in which the primary work location of the worker group resides. Some may specify a broader geographic region.	Since 2013, the Department has increased efforts to ensure addresses are validated. Starting in 2020, address verification is done automatically. Older data will be subject to greater error.	1975-Present
State	String	The name of the abbreviation for the state or territory in which the primary work location of the worker group resides. Some may specify a broader geographic region.	Since 2013, the Department has increased efforts to ensure addresses are validated. Starting in 2020, address verification is done automatically. Older data will be subject to greater error.	1975-Present
Zip	String	The zip code in which the primary work location of the worker group resides. Some may specify a broader geographic region. Note that this is coded as a string to ensure leading zeros are not removed.	Since 2013, the Department has increased efforts to ensure addresses are validated. Starting in 2020, address verification is done automatically. Older data will be subject to greater error.	1975-Present
Zip Plus 4	String	The zip+4 in which the primary work location of the worker group resides. Some may specify a broader geographic region. Note that this is coded as a string to ensure leading zeros are not removed.	Zip+4 was not used until 2011 and was only provided when available. New address verification changes starting in 2020 are expected to substantially increase the availability of zip+4 information.	2011-Present
County_Long (Geo)	String	Provides the county name of the primary work location of the worker group as provided by geolocation API data.	All "Geo" data fields are looked up through the use of a standard address verification API. As such, it is subject to error and may not be available where the location could not be found. Lookup has been done since 2013 with some historic data also being available.	2013-Present
Latitude (Geo)	Decimal	Provides the latitude of the primary work location of the worker group as provided by geolocation API data.	All "Geo" data fields are looked up through the use of a standard address verification API. As such, it is subject to error and may not be available where the location could not be found. Lookup has been done since 2013 with some historic data also being available.	2013-Present

Longitude (Geo)	Decimal	Provides the longitude of the primary work location of the worker group as provided by geolocation API data.	All "Geo" data fields are looked up through the use of a standard address verification API. As such, it is subject to error and may not be available where the location could not be found. Lookup has been done since 2013 with some historic data also being available.	2013-Present
Congressional District_Short (Geo)	Integer	Provides the current congressional district number of the primary work location of the worker group as provided by geolocation API data. The district is the congressional district at time of data publication, not when the determination was rendered.	All "Geo" data fields are looked up through the use of a standard address verification API. As such, it is subject to error and may not be available where the location could not be found. Lookup has been done since 2013 with some historic data also being available.	2013-Present
Worker Group Type	String	Production, Service, or Mixed. This should align with whether a product was listed (production), a service was listed (Service), or both were listed (Mixed).		1975-Present
Product	String	Free-text field designed to capture the product that the specific worker group is engaged with. This may mean that while the company works on broader products or services, the specific group may list a product or service more narrowly tailored to their specific activities. For example, a computer company may have 'credit approval services' listed because the worker group did credit approval services for the computer company.		1975-Present
Service	String	Free-text field designed to capture the service that the specific worker group is engaged with. This may mean that while the company works on broader products or services, the specific group may list a product or service more narrowly tailored to their specific activities. For example, a computer company may have 'credit approval services' listed because the worker group did credit approval services for the computer company.		1975-Present
Petitioner	String	This provides the category of the petitioner who submitted the petition. If a petition is submitted by multiple petitioners of different types, this follows the prioritization list: Company Official, State, American Jobs Center, Union, Workers, Other Authorized Representative. In some years, categories have been combined.	The raw data has changed in format substantially since TAA's inception including using a free-text field and code values with no corresponding lookup table. The coding values prior to 1992 provided an unknown categorization. Data since 1992 has been recoded in to predictable categories to aid analysis.	1992-Present
SIC	String	The SIC code that designates the industry that the worker group's firm engages in. If more than one code is available, it is provided as a semi-colon delimited list with a maximum of 3 codes.	Used from 1974 to 2011. The Department began utilizing NAICS codes in 2007 and uses them presently. No systematic validation was done on SIC codes.	1975-2011
NAICS Code	String	The NAICS code that designates the industry that the worker group's firm engages in.	The Department began using NAICS codes in 2007 and phased out the use of SIC codes. Initial validation only confirmed the first two digits that indicate the broad industry sector. Beginning in 2017, a full 6-digit validation began to ensure codes were accurate.	2007-Present
NAICS Code Year	Integer	The code year of the NAICS code provided. Provides the last code year in which that NAICS was valid.	The Department began using NAICS codes in 2007 and phased out the use of SIC codes. Initial validation only confirmed the first two digits that indicate the broad industry sector. Beginning in 2017, a full 6-digit validation began to ensure codes were accurate.	2007-Present
NAICS Title 2	String	The title of the major industry sector designated by the first two digits of the NAICS code.	The Department began using NAICS codes in 2007 and phased out the use of SIC codes. Initial validation only confirmed the first two digits that indicate the broad industry sector. Beginning in 2017, a full 6-digit validation began to ensure codes were accurate.	2007-Present
NAICS Title 6	String	The title of the full six digit NAICS industry.	The Department began using NAICS codes in 2007 and phased out the use of SIC codes. Initial validation only confirmed the first two digits that indicate the broad industry sector. Beginning in 2017, a full 6-digit validation began to ensure codes were accurate.	2007-Present

Petition Date	Date	The date on which the submitted petition was signed.	The petition date was collected beginning in 1992. As with most data fields, validation has improved over time with redundant petition date checks being implemented in 2012.	1992-Present
Inst Date	Date	The institution date indicates when the office began their investigation. Delays between the petition date and institution date may result from transmission method (such as mail), because of delays transmitting the message, or that some additional information was needed for the petition to be valid.		1975-Present
Det Date	Date	The determination date indicates when the Department rendered a decision on the worker group. When multiple determinations exist, this date will reflect the earliest date of certification (if applicable), the most recent statutory reconsideration (2015 only), or the initial determination date if other dates do not apply.	This the best way to know when a worker group was certified or initially denied if the worker group was never certified. For calculating processing times, please use the CAS First Decision Date that will represent the initial determination date.	1975-Present
Inv Det Code	String	Provides the statutory basis for the determination. Please see Codes_2002_Reversion and Codes_2009_2011_2015 for a full list of codes and their meanings. The 2002 and Reversion codes (no dashes) are applicable to TAW numbers below 70,000 as well as between 84,000 and 89,999 where the decision was rendered prior to 6/29/2015. 2009/2011/2015 codes (with dashes) apply to TAW numbers between 70,000 and 83,999 as well as all decisions subsequent to 6/29/2015.	Increased validation mechanisms implemented between 2012 and 2014 have ensured that codes are correct and align with Det Code. Prior years may have errors in alignment and accuracy.	2002-Present
Det Code	String	Provides the broad determination outcome of the worker group indicating whether they were certified, denied, or the investigation was terminated. Partial certifications indicate that portions of the worker group were certified while other parts were denied. Please see the Det_Code tab for the meanings of various codes.	Prior to the 2002 law, the category of certification was not articulated. Beginning in 2002, the broad category was provided as described on the Det_Code tab. Code tables for Inv Det Code provide a crosswalk between the two codes. Prior to 2012, there may be misalignment between the two codes.	1975-Present
Impact Date	Date	The impact date is the earliest date a separation can occur for a participant to be eligible. By statute, this is <i>typically</i> one year before the petition is filed. However, some exceptions apply for C-ITC determinations, to prevent overlapping certifications, substantial delays in the receipt of a petition, and others. This is not an indicator of when separations actually occurred.		1975-Present
Expiration Date	Date	The expiration date is the date on which a certification's eligibility period ends. This indicates the last date a separation can occur for a participant to be eligible or the last date that a threatened, but not separated worker may be served. It is <i>typically</i> two years after a certification is rendered, but exceptions apply for C-ITC determinations, to prevent overlapping certifications, and others.		1975-Present
Last Investigator	String	This field displays the investigator on the initial investigation for the petition to determine group eligibility. If multiple investigators worked on an investigation, the investigator who completed the initial investigation is listed.	Tracking of investigators began in 1979, however only one investigator could be tracked. Beginning in 2005, systems were able to keep track of two investigators (the first and initial). In 2020, further improvements were made to be able to track all investigators.	1980-Present
CAS Last Assignment on Initial Investigation	Date	This file provides the date on which the last last investigator was assigned or reassigned the investigation.	The date of assignment is not tracked for the initial assignment until 2020. This will be the institution date for determinations prior to 2005.	1980-Present
Certifying Officer Last Name	String	This field displays the certifying officer who signed the initial investigation determination.	Not tracked prior to 2001.	2001-Present

CAS First Decision Date	Date	Displays the date the initial determination was rendered on the case.	Note that this will be the same for all suffixes even if a suffix was added by a post-initial determination. For investigations prior to 2016, this determination date could have been updated by a subsequent post-initial revision on reconsideration or remand. Beginning in 2016, post-initial determinations were tracked separately which prevents overwriting of the decision.	1975-Present
Most Recent Update	Date	Displays the date of the most recent decision affecting this worker group record.	After the initial investigation, post-initial decisions may	2016-Present
Ataa Appl (MIS)	Boolean (0/1)	This boolean flag (1=Yes, 0=No) indicates whether there was an application for ATAA. This separate ATAA certification only applies to the 2002 program.	This only applies to the 2002 program although the flag may be incorrectly checked in some other records. This was not tracked during Reversion programs in 2011 and 2015.	2002-2010
Ataa Cert (MIS)	Integer	This is the count of estimated workers who would be eligible for ATAA.	This only applies to the 2002 program although the flag may be incorrectly checked in some other records. This was not tracked during Reversion programs in 2011 and 2015.	2002-2010
Ataa Revised (MIS)	Boolean (0/1)	This boolean flag (1=Yes, 0=No) indicates whether the determination was changed to include ATAA after the initial determination.	This only applies to the 2002 program although the flag may be incorrectly checked in some other records. This was not tracked during Reversion programs in 2011 and 2015.	2002-2010
Display PDF	Boolean (No/Yes)	This boolean flag (Yes, No) indicates whether to display the hyperlink to the redacted petition PDF on the website.	Note, that display requires both that the flag is set to yes and that the file is actually posted.	
Display Text	Boolean (No/Yes)	This boolean flag (Yes, No) indicates whether to display the hyperlink to the determination on the website.	Note, that display requires both that the flag is set to yes and that the file is actually posted.	
Countries (Obj)	String	This field shows what countries were cited in the decision such as the destination of a shift or the source of foreign imports. The order of countries in this field is not based on anything and does not communicate any relative impact of the countries cited. It also does not provide information on the reason for the citation. Specific countries are not required in most contexts for a decision and many decisions do not cite any countries.	For cases with TAW numbers below 96500, this was collected based on free-text fields that were size limited resulting in inconsistent naming and formatting. This field may be incomplete if cited country names exceeded field size. For TAW numbers of 96500 and above, a standardized list of countries and multiple response field allow this field to be consistent and complete.	2002-Present
Reqrecon2 (MIS)	Integer	Tracks the request for Reconsideration for TAW numbers lower than 96500. Null = No Information 0 = No Reconsideration requested 1 = TAA requested reconsideration 2 = (Unknown, Category no longer utilized) 3 = (Unknown, Category no longer utilized) 4 = Direct to Remand	This tracking field has been inconsistently populated and provides no further information on reconsideration status. It has been deprecated and replaced with separate tracking of application for reconsideration and reconsideration investigations beginning in 2016.	2004-2016
Petitioner Name(s)	String	The names of the petitioners who made the original request.	Only available for TAW numbers of 50000 and above.	2011-Present
Petitioner's Union	String	If the petitioner was a union official, the name of the union they represent. This is a free-text field and not standardized.	Only available for TAW numbers of 96500 and above and not available for 98000 series.	2020-Present
Allegation Text	String	The trade allegation provided by the petitioner in the petition.	Only available for TAW numbers of 96500 and above and not available for 98000 series.	2020-Present
Request for Amendment	String	Whether the petition was a request for amendment provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Allegation of Company Imports	String	Whether the petition alleged company imports provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Allegation of Customer Imports	String	Whether the petition alleged customer imports provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present

Allegation of Downstream Producer	String	Whether the petition alleged the worker group is a downstream producer of another TAA certified firm provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Allegation of Secondary Supplier	String	Whether the petition alleged the worker group is a secondary supplier another TAA certified firm provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Allegation of Shift	String	Whether the petition alleged there as a shift in services or production to another country provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Allegation of ITC	String	Whether the petition alleged that the worker group was the subject of an ITC determination provided as a Yes/No flag. Blank if the information is not available.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Alleged ITC Number	String	If an ITC determination was alleged, the case number of the ITC determination.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Alleged ITC Title	String	If an ITC determination was alleged, the title of the ITC determination.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Alleged ITC FRN Date	Date	If an ITC determination was alleged, the FRN posting date of the ITC determination.	Only available for TAW numbers of 96500 and above and not available for 98000 series. Coded based on the Department's reading of the allegation.	2020-Present
Inv Days	Integer	This number is the number of days that the initial investigation took to complete. It is calculated by subtracting the institution date from the initial decision determination date. Investigation timeframes apply to each petition rather than each worker group, so additional worker groups (suffix is not null) do not calculate investigation days and are left blank.	For decisions prior to 2012, initial decision dates were not systematically tracked. Det Date has been utilized when initial determination information was not available.	1975-Present
Est. Workers (ATAA) (Deprecated)	Integer	Estimated workers threatened with total or partial separation who would potentially qualify for ATAA benefits for older workers. This estimate is determined during the investigation predominantly from information provided by company officials. OTAA does not estimate workers for terminated petitions (because they are not actual decisions) and are coded as zero estimated workers.	This number was only utilized from 2002 to 2009. Reversion programs did not track the number of workers within this category as a separate number.	2002-2009
Est. Workers (Obj)	Integer	Estimated workers threatened with total or partial separation. This estimate is determined during the investigation predominantly from information provided by company officials. OTAA does not estimate workers for terminated petitions (because they are not actual decisions) and are coded as zero estimated workers.	This field is subject to redactions when companies provide information indicating that the number constitutes business confidential data. Redacted worker numbers are coded as null values in the dataset. Subsequent communications between states who collect worker lists from companies reveal significant variations between this initial estimate and the number actually determined eligible for the program.	1975-Present
Number of Investigators on Initial Investigation	Integer	This is a count of the number of investigators who were assigned to the initial investigation.	The number of investigators that can be tracked has been substantially limited over time. Prior to 2005, only one investigator could be tracked. From 2005 to 2020, only a maximum of two investigators could be tracked. Beginning in 2020 with TAW 96500, the number that could be tracked is no longer limited.	2005-Present
WG Initial Investigation Count	Integer	This is the number of initial investigations that that the particular TAW and Suffix combination. For initial investigations the maximum is one. The number may be zero if the suffix was added through a post-initial determination such as an amendment or reconsideration.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present
WG Amendment Investigation Count	Integer	This is the number of amendment investigations (such as to clarify the worker group) that that the particular TAW and Suffix combination.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present

WG Correction Investigation Count	Integer	This is the number of correction investigations that that the particular TAW and Suffix combination. Corrections make minor changes with a decision text without fundamentally changing the outcome.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present
WG Application for Reconsideration Investigation Count	Integer	This is the number of application for reconsiderations investigations that that the particular TAW and Suffix combination. Prior to the implementation of new regulations in September 2020, requests for reconsideration required an initial assessment to determine if the application justified a reconsideration investigation. This step is no longer required under the new regulations.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present
WG Reconsideration Investigation Count	Integer	This is the number of reconsideration investigations that that the particular TAW and Suffix combination. Reconsideration investigations are only applicable to groups initially denied or terminated and may result in an affirmation of the denial or being revised to a certification.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present
WG Remand Investigation Count	Integer	This is the number of remand investigations that that the particular TAW and Suffix combination. Remand investigations are similar to reconsideration investigations, but ordered by the court.	No data prior to 2012 and not comprehensively tracked until 2016.	2012-Present
WG Statutory Reconsideration Investigation Count	Integer	This is the number of statutory investigations that that the particular TAW and Suffix combination. Statutory investigaitons are the result of legislative changes that mandate the reopening of denied petitions under new statutory criteria.	Tracked 2014 to present.	2014-Present

Code	Reason for Decision
C1	Imports
C2	Shift in Production
CD	Certified Downstream
CU	Certified Upstream
C	Certification (unspecified)
P	Partial Certification
D	Denial
T	Termination

Codes for 2002 and Reversion Programs

Investigator Determination Code	Determination Code	Explanation
CERTIFICATIONS		
<i>PRIMARY</i>		
C1	C1	Increased Company Imports
C2	C1	Increased Customer Imports
C3	C1	Increased Aggregate Imports
C4	C2	Shift in Production to Country with a Free Trade Agreement/Beneficiary
C5	C2	Actual/Likely Increase in Imports Following a Shift Abroad
<i>SECONDARY</i>		
CS1	CU	Upstream Supplier of Trade Certified Primary Firm
CS2	CD	Downstream Producer of Trade Certified Primary Firm Impacted by Shift in Production to/Increase in Imports from Canada or Mexico
DENIALS		
<i>PRIMARY</i>		
D1	D	No Employment Decline
D2	D	No Sales or Production Decline / Shift in Production (Domestic Transfer)
D3	D	No Import Increase and/or Production Shift Abroad
D4	D	Predominant Cause of Layoffs Unrelated to Imports, Shift in Production to Beneficiary Country, or Increase in Imports Following a Shift
D5	D	Workers Do Not Produce an Article
<i>SECONDARY</i>		
DS1	D	No Secondary Upstream Supplier Impact
DS2	D	No Secondary Downstream Producer Impact
TERMINATIONS		
T1	T	Petitioner Requests Withdrawal
T2	T	Invalid Petition
T3	T	Existing Certification in Effect
T4	T	Ongoing Investigation in Process
T5	T	Negative Determination Recently Issued
T6	T	Other

Codes for 2009/2011/2015 Programs

Investigator Determination Code	Determination Code	Explanation
CERTIFICATIONS		
<i>PRIMARY</i>		
C-1	C1	Company Imports of Articles
C-2	C1	Company Imports of Services
C-3	C1	Customer Imports of Articles
C-4	C1	Customer Imports of Services
C-5	C1	Imports of Finished Articles Containing Like or Directly Competitive Components
C-6	C1	Imports of Finished Articles Containing Foreign Components
C-7	C1	Imports of Articles Produced Using Firm Services
C-8	C1	Increased Aggregate Imports
CSP-1	C2	Shift in Production
CSP-2	C2	Acquisition of Articles From a Foreign Country
CSS-1	C2	Shift in Services
CSS-2	C2	Acquisition of Services From a Foreign Country
C-ITC	C1	International Trade Commission (ITC) Determination
C-PA	C1	Public Agency (2009 Program only)
<i>SECONDARY</i>		
CSC	CU	Secondary Component Supplier
SSS	CU	Secondary Service Supplier
CDP	CD	Downstream Producer
DENIALS		
D-1	D	No Employment Decline or Threat of Separation/No ITC
D-2	D	No Sales or Production of Articles Decline/No Shift/Acquisition/No Secondary/No ITC
D-3	D	No Sales of Services Decline/No Shift/No Acquisition/No Secondary/No ITC
D-4	D	No Shift in Production/ No Company or Customer Imports/No ITC/No Secondary
D-5	D	No Shift in Services/No Company or Customer Imports/No ITC/No Secondary
TERMINATIONS		
T-1	T	Petitioner Requests Withdrawal
T-2	T	Invalid Petition
T-3	T	Existing Certification in Effect
T-4	T	Ongoing Investigation in Process
T-5	T	Negative Determination Recently Issued
T-6	T	Other