Frequently Asked Questions:
Reporting Multiple TAA Petitions

TAA Participant Scenario: Two Successive Group Eligibilities for a Single Participant

Sample scenario

- Individual begins participation under Petition A.
- She begins receiving RTAA under a new employer.
- After 3 quarters of participation, the new employer issues a WARN notice for the worker group that includes the TAA participant.
- The worker group of the new employer is certified for TAA under Petition B.
- The individual begins receiving benefits before ninety days of last service (or scheduled service) is exhausted.

Under the current Participant Individual Record Layout (PIRL), only one record may be used to report an individual's participation per report quarter.

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PIRL: Tracking Multiple Petitions Occurring in a Single Participation

Based on the assumption that Q4 (shown in the table below) reflects the *actual* time period in which the individual ceases to receive benefits and services under the first petition and begins to receive services under the new petition.

Q1-Q3	Period of participation where individual is only served under the first petition (Petition A below).
Q4	Quarter of transition from receipt of benefits under first petition (Petition A below) to receipt of benefits and services under "new" petition (Petition B below).
Q5-Q8	Period of remainder of individual's participation

The table below shows the manner in which data is to be reported across the report quarters. Note this new reporting paradigm is based on the PIRL format revised in 2021 and being implemented 7/1/2022.

		Q1	Q2	Q3	Q4*	Q5	Q6	Q7	Q8
Providing	Services under Petition A	Yes				No			
	Services under Petition B	No			Yes				
Reporting	915 - TAA Petition Number	A	A	A	A|B**	A|B	A|B	A|B	A|B
	925 - First TAA Benefit or Service	A	A	A	B	B	B	B	B
	TAA Benefits and Services Under Petition	A	A	A	B	B	B	B	B
	Co-Enrollment Services and Non-TAA Specific Services	Co-Enrollment and non-TAA Specific Services Reported Continuously***							

* Q4 is the transition from Petition A to Petition B. In Quarter 4, services may be provided under the new petition, which will not be reported until the following quarter.

** The petition numbers should be reported in a pipe delimited list (e.g. 91000A|92000)

*** All activity under partner programs, including date of program entry and associated services, during the period of participation for BOTH Petition A and Petition B is reported. This allows for co-enrolled participation to be tracked in a manner that collects all information under a "common" participation.

Frequently Asked Questions on Reporting Multiple Petitions

Q1: *If a participant already exited from their participation before receiving benefits under the second petition, how is it documented?*

    ANSWER: If the previous participation has already exited, all new benefits and services are documented in a new participation. There is no special handling needed and the same ID can be reported multiple times in the same file so long as the participations do not overlap and the participations are not within 90 days of each other. See duplicate rules for the Workforce Integrated Performance System (WIPS) here: https://doleta.gov/performance/wips/docs/Duplicaterules.pdf

Q2: *If a participant had stopped receiving services under the first petition (petition A) a number of quarters ago, but had not exited due to co-enrollment program services, what is the protocol for receiving benefits under a second petition (petition B)?*

    ANSWER: The protocol discussed above is followed whenever an individual receives benefits under more than one petition in a single participation. A gap in TAA services has no bearing on how it is documented as long as the participant has not exited.

Q3: *If a participant receives benefits under three or more petitions, how is it documented?*

    ANSWER: In the rare circumstances where a participant receives benefits or services under more than two petition numbers in a single participation, the protocol above should be followed for each transition between petitions. That is to say, the reporting should follow the transition from petition A to petition B, and then the transition from petition B to petition C. The petition number (PIRL 915) should report the first, second, and final petition number for that participation, all pipe delimited.

Q4: *Does this mean that there are some services that are not reported?*

    ANSWER: In the scenario above, no services from petition A are reported after Q3. All services from petition B are reported starting in Q4. This means that in Q4, there will be some services provided under petition A that will not be reported on PIRL for Q4.

Q5: *Can't we just report two lines like we did in TAPR?*

    ANSWER: No. Due to constraints on reporting in other programs, we cannot accommodate multiple simultaneous participation records. WIPS will prevent this reporting with edit checks.

Q6: *Does this mean that a participant can receive benefits under two petition numbers simultaneously?*

    ANSWER: No. It is not possible to receive benefits under more than one petition number at the same time. In the scenario above, the switch from receiving benefits and services under petition A to petition B occurs during Q4. There is a clear date on which a participant stops receiving petition A benefits and starts receiving petition B benefits. However, that date is unlikely to line up perfectly with the change in quarter. As such, Q4 indicates the quarter in which that transition occurs.

Q7: *Which dates are dependent on the TAA petition number (i.e. change when the petition number changes)?*

ANSWER: Data elements that are only required by the TAA Program must be updated to reflect the petition B services once reporting on petition B begins. Data elements reported by TAA and other programs, such as the Dislocated Worker program authorized by the Workforce Innovation and Opportunity Act, will continue to reflect the participation as a whole and are not be updated because the petition number changed. Consider the table below of elements as examples of fields that change when the petition number changes.

Data Element	PIRL Number	Changed Based on Petition Number?
Most Recent Date of Qualifying Separation	411	Yes
Tenure with Employer at Separation	412	Yes
Date of Program Entry	900	No
Date of Program Exit	901	No
Date of First Case Management and Employment Service	902	Yes
TAA Application Date	924	Yes
Date of First TAA Benefit or Service	925	Yes
TAA Date of Eligibility Determination	927	Yes
Date of Most Recent Career Service	1004	No
Date of Individual Employment Plan Crated	1202	No
Date Entered Training #1*	1302	No
Date Relocation Allowance Approved	1508	Yes
Date Received First Basic TRA Payment**	1511	Yes
Date Received First A/RTAA Payment	1534	Yes

* All training dates are not dependent on the petition number.

** All First TRA Payment Dates are dependent on the petition number.

Q8: *I have more questions, who can I talk to?*

ANSWER: Please contact your DOL regional representative as well as Robert Hoekstra (hoekstra.robert@dol.gov) if you have any further questions.