Unique Entity Identifier (SAM)

Transition Overview
Joint Governance

October 28, 2021
Agenda

● Overall UEI/EVS Rollout Schedule
● Who needs a Unique Entity ID (SAM)?
● Demo: Getting a Unique Entity ID (SAM) without SAM Registration
● Preview: Incorporating Getting a Unique Entity ID (SAM) into the SAM.gov entity registration process
● User Resources for the Transition
Overall UEI/EVS Rollout Schedule
On April 4, 2022, the DUNS Number is no longer used as the entity identifier for federal awards.

The authoritative unique entity identifier used by the federal government is the **DUNS Number**

- The DUNS Number is a 9-character numeric value
- Managed, granted, and owned by Dun & Bradstreet

The authoritative unique entity identifier used by the federal government will be the **Unique Entity ID (SAM)**

- The Unique Entity ID (SAM) is a 12-character alpha-numeric value
- Managed, granted, and owned by the government
When is the Unique Entity ID Changing?

Before May 24, 2021
- DUNS Number is the unique entity ID

Current Period
- DUNS Number is the unique entity ID
- Unique Entity ID (SAM) is available, but is not authoritative

On April 4, 2022
- Unique Entity ID (SAM) is authoritative

The federal government stops using the DUNS Number to identify entities
### IAE Transition in Detail

#### Today
- Unique Entity ID (SAM) is:
  - ✓ Assigned to all entities registered in SAM.gov
  - ✓ Viewable in all IAE systems for search and display included in IAE APIs and extracts
  - ✓ Interfacing systems may send either the Unique Entity ID (SAM) or the DUNS Number to IAE systems
  - ✓ Subaward recipients can apply for Unique Entity ID (SAM) on SAM.gov
    - ○ Does not require complete entity registration

#### February 2022
- Users will transition to new workflow:
  - ✓ See additional help on screen
  - ✓ UEI (DUNS) and D&B are still used for validation
  - ✓ UEI (SAM) still assigned through process

#### April 4, 2022
- Transition complete
  - ✓ New validation process in place for Unique Entity ID (SAM) assignment at SAM.gov
  - ✓ DUNS Number no longer appears in any IAE interface/screen

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**On April 4, 2022, the DUNS Number is no longer used as the entity identifier for federal awards.**
Who needs a Unique Entity ID (SAM)?
I’m an entity already registered in SAM.gov

You’ve already been assigned a Unique Entity ID!

It’s viewable on your entity registration record in SAM.gov.
I’m a sub-awardee NOT registered in SAM.gov and I use the DUNS Number for subaward reporting.

Starting now, you can request a Unique Entity ID (SAM) in SAM.gov. **No registration required.**

Continue to use your DUNS Number for reporting. Starting on **April 4, 2022**, you will only use the Unique Entity ID (SAM) for reporting.
I pull award data and reports from IAE systems and view the DUNS Number.

The Unique Entity ID (SAM) **is available now**, along with the DUNS Number, in SAM.gov APIs, data extracts, and the FPDS Atom Feed.

On April 4, 2022, only the new Unique Entity ID will be provided and **the DUNS field will go away**.
Today, you can use the DUNS Number and/or the Unique Entity ID (SAM) to search entities. Starting on April 4, 2022, you can only use the Unique Entity ID (SAM).
I’m going to register my entity in the future. Do I still need the DUNS Number? How do I get a Unique Entity ID (SAM)?

**Before April 4, 2022**, go to D&B and get a DUNS Number, then go to SAM.gov to register your entity. You will be assigned a Unique Entity ID (SAM) as a part of the SAM.gov registration process.
I’m going to register my entity in the future. Do I still need the DUNS Number?

How do I get a Unique Entity ID (SAM)?

After April 4, 2022, you will be assigned a Unique Entity ID (SAM) as part of the SAM.gov registration process. You no longer need to get a DUNS Number for SAM.gov entity registration.
What Does Getting a Unique Entity ID (SAM) Look Like now?

DEMO
The Official U.S. Government System for:

- Contract Opportunities
  (was fbo.gov)
- Contract Data
  (Reports ONLY from fpds.gov)
- Wage Determinations
  (was wdo1.gov)
- Federal Hierarchy
  Departments and Subtiers

Assistance Listings
(was cfda.gov)

Entity Information
Entity Registrations, Disaster Response Registry, Entity UEI and Exclusions

Entity Reporting
SCR and Bio-Preferred Reporting

Register Your Entity or Get a Unique Entity ID

Register your entity or get a Unique Entity ID to get started doing business with the federal government.

- Get Started
- Renew Entity

Check Registration Status

Already know what you want to find?

Select Domain... e.g. 1606N020Q02
Once signed in:
from any of the ‘get started’
pages a help page for
determining which path to take
- full registration
- just need a UEI (SAM)

If you opt to complete the full
registration, your entity will be
assigned a UEI (SAM)
automatically as part of the
process. No additional steps
needed.

If you already have a
registration, you already have a
UEI(SAM). Just go to the main
search.
Unique Entity Identifier is still authoritatively the DUNS until April 4th 2022

Entity validation to get the UEI (SAM) will be against D&B information.

Fields adapt based on user input, country will change ZIP fields and entering the ZIP will auto populate the state and city

Easy view of steps so user knows where they are in process
Matches displayed are based on a comparison of data entered by the user to D&B data.

We do take a near match - in the case shown ‘Parkway’ was entered by the user, and ‘PKWY’ is in the record.

If no matches are found, the user will be directed to D&B as they are still the source until April 4th.

Just as with registrations, the entity can determine they want the UEI to show publicly or just be restricted to federal users.
It the entity is already registered in SAM, the user will be notified and given easy path to request a role.
If the Unique Entity ID (SAM) has not been assigned to the entity, the user will see the following screen.

The user must verify they are authorized to take this action for the entity.
If a Unique Entity ID (SAM) has already been assigned to the entity, the user will see the following screen.

The user is now associated to the entity and can accept any updates from D&B for items such as address changes.

The entity is NOT registered at this point. We do provide that in text on screen and a ‘easy’ button for them to start that process.

With this workflow there is NO change to the current registration screens or validations.
Preview: Incorporating Getting a Unique Entity ID (SAM) into the SAM.gov entity registration process
Upon sign in, you go to ‘get started’ help page to determine which path to take:
- full registration
- just need a UEI (SAM)

The ‘Get Unique entity ID’ process shown earlier stays the same.
This is the first step in a non-federal entity registration planned for February 2022.

Users will transition to the new workflow:
✓ See additional help on screen
✓ Changes to look and feel
✓ UEI (DUNS) and D&B are still used for validation
✓ UEI (SAM) assigned with current process.

Purpose of Registration

An entity within the SAM system includes prime contractors, organizations or individuals applying for assistance awards, those receiving loans, sole proprietors, corporations, partnerships, and any federal government agencies desiring to do business with the government.

What type of entity are you registering?

- Business or Organization
- U.S. State Government
- U.S. Local Government
- Tribal Government
- Foreign Government

Why are you registering this entity to do business with the U.S. government?

- I want to be able to bid on federal contracts or other procurement opportunities. I also want to be able to apply for grants, loans, and other financial assistance programs.
- I only want to apply for federal assistance opportunities like grants, loans, and other financial assistance programs.
This page informs the non-federal user of upcoming steps.

The user can decide to change the Purpose of Registration or continue forward.
This is a help page to ensure entities are ready to complete the registration process.

This process does not change the required documents or needed information for an entity to register in SAM.
Unique Entity Identifier is still authoritatively the DUNS until April 4th 2022

Validations to get the UEI (SAM) will be against current DUNS and D&B information.

Fields adapt based on user input, country will change ZIP fields and entering the ZIP will auto populate the state and city.
By moving these pages into SAM, we can include additional help for the user.

To start in February, this will include a ‘?’ in each step which takes the user to the appropriate help articles.

In April, this will include specific prompts for a user to directly create an incident with FSD.
The created incident will include details to help the agents.

**Starting in April 2022**, the create incident option will be available when a user finds no matches when trying to validate the entity. The ticket will automatically include the user input data in step 1, and any matches shown to the user in step 2 of the process.

The created ticket will go directly to the entity validation service provider for help.

User can also upload additional documents or text to help an agent when reviewing the issue.

Users will get notification of FSD ticket number.
This is the first step to a federal entity registration.

We are keeping the check that a user must have the correct roles in order to access the page to start a registration for a federal entity.
This page informs the federal user of upcoming steps.

We are beginning conversations with various governance groups on the specific validations and connections between the Unique Entity ID (SAM), Federal Hierarchy, and the new Entity Validation Services for April 2022 transition.
### Agency System Owners - What to Expect During the Transition

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<tbody>
<tr>
<td>Review SAM APIs which include both the DUNS Number &amp; UEI (SAM)</td>
<td>Complete, test, and deploy agency system updates to use the UEI (SAM)</td>
<td>Discontinue use of DUNS Number for federal award purposes</td>
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<td>Use new version of FPDS ATOM feed with UEI (SAM) and crosswalk to populate UEI (SAM) in agency systems</td>
<td>Starting in Q1 FY22, interfacing systems may send either the UEI (SAM), the DUNS number, or both, to IAE systems</td>
<td>- SAM APIs only include UEI (SAM)</td>
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<td>- DUNS Number removed from SAM APIs - No data returned</td>
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<td>- DUNS Number removed from Extracts - No data returned</td>
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<td><em>Systems can stay on the UEI(SAM) version used in preparation. After transition DUNS field will return blank data.</em></td>
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UEI (SAM) in APIs and Data Extracts

- SAM SFTP extracts are replaced by the Entity/Exclusions Extracts Download API
- All other data exchanges transitioned to APIs at SAM integration
- Documentation about using APIs to access SAM.gov entity and exclusion data is at open.GSA.gov
- For technical issues contact the FSD for assistance (fsd.gov)
- You can find more information about the System Accounts by reviewing the System Account User Guide at FSD.gov
- Ensure agency system owners are engaged in the transition by joining the IAE Technical Interface Community (email IAE_Admin@gsa.gov to join)
User Resources for the Transition
System for Award Management | For People Who Make, Receive, and Manage Federal Awards
Role Management - Communications Updates (Not UEI Specific)

IAE has recently posted some tools to help users navigate through the Role Management capabilities

- **Role Management User Guide**
  - A detailed guide that walks users through the basics of Role Management, including requesting, assigning, invitations, and user management
  - PDF sent to CCB members in the weekly blast 10/26/2021
  - PDF posted to FSD 10/26/2021 and also added to the role index article

- **Reminder:**
  - Also please note that we recently posted an FSD article that provides details for specific roles and permissions including who should have them, what they allow, and that widgets are provided with access. Combined, these resources should address all role management concerns.
Questions?

Thank you!
The Integrated Award Environment

See latest on how GSA is preparing for the federal transition at:
GSA.gov/EntityID

Join us on Interact for the latest updates:
interact.gsa.gov/group/integrated-award-environment-iae-industry-community

Join the Technical Interface Community by emailing
IAE_Admin@gsa.gov