

**DEPARTMENT OF LABOR**

**EMPLOYMENT AND TRAINING ADMINISTRATION**

**Federal Funds**

TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), the Second Chance Act of 2007, and the Workforce Innovation Fund, as established by this Act, \$3,387,405,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,683,766,000 as follows:

(A) \$791,644,000 for adult employment and training activities, of which \$79,644,000 shall be available for the period July 1, 2014, through June 30, 2015, and of which \$712,000,000 shall be available for the period October 1, 2014 through June 30, 2015;

(B) \$846,632,000 for youth activities, which shall be available for the period April 1, 2014 through June 30, 2015; and

(C) \$1,045,490,000 for dislocated worker employment and training activities, of which \$185,490,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$860,000,000 shall be available for the period October 1, 2014 through June 30, 2015:

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor: Provided further, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: Provided further, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 7.5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, \$582,401,000 as follows:

(A) \$220,859,000 for the dislocated workers assistance national reserve, of which \$20,859,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$200,000,000 shall be available for the period October 1, 2014 through June 30, 2015: Provided, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: Provided further, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) \$47,562,000 for Native American programs, which shall be available for the period July 1, 2014 through June 30, 2015;

(C) \$84,291,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$78,105,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,678,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$508,000 for other discretionary purposes, which shall be available for the period July 1, 2014 through June 30, 2015: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) \$79,689,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, 2014 through June 30, 2015; and

(E) \$150,000,000 to be available to the Secretary of Labor (referred to in this title as "Secretary") for the Workforce Innovation Fund to

carry out projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for beneficiaries, which shall be for the period July 1, 2014 through September 30, 2015: Provided, That amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the WIA, consortia of States, or partnerships, including regional partnerships: Provided further, That not more than 5 percent of the funds available for workforce innovation activities shall be for technical assistance and evaluations related to the projects carried out with these funds: Provided further, That the Secretary may authorize awardees to use a portion of awarded funds for evaluation, upon the Chief Evaluation Officer's approval of an evaluation plan: Provided further, That \$10,000,000 of the funds provided for the Workforce Innovation Fund shall be used for innovative and evidence-based approaches to improving outcomes for disconnected youth, which may include Pay for Success projects: Provided further, That up to \$20,000,000 of the funds provided for the Workforce Innovation Fund (in addition to any funds for disconnected youth) may be used for performance-based awards or other agreements under the Pay for Success program: Provided further, That any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for Workforce Innovation Fund activities: Provided further, That \$50,000,000 of the funds provided for the Workforce Innovation Fund shall be for projects that demonstrate innovative strategies or replicate effective evidence-based strategies that address the employment needs of veterans (including recently separated veterans), family members of active duty military personnel, or members of the National Guard and Reserves.

(3) for national activities, \$121,238,000, as follows:

(A) \$25,000,000, in addition to any amounts available under paragraph (1) for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2014 through June 30, 2015;

(B) \$90,238,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2014 through June 30, 2015, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: Provided, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and \$10,000,000 shall be used for performance-based awards or other agreements under the Pay for Success program relating to ex-offender activities: Provided further, That, with respect to the preceding proviso, any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any deobligated funds from such projects or agreements shall immediately be available for ex-offender activities;

(C) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, 2013 through June 30, 2014, and which shall not be subject to the requirements of section 171(c)(4)(D).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

Identification code 16–0174–0–1–504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Adult Employment and Training Activities .....	773	771	791
0003 Dislocated Worker Employment and Training Activities .....	1,242	1,257	1,268
0005 Youth Activities .....	902	906	922
0008 Reintegration of Ex-Offenders .....	85	80	81

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing—Continued

Identification code 16-0174-0-1-504	2012 actual	2013 CR	2014 est.
0010 Native Americans .....	53	53	51
0011 Migrant and Seasonal Farmworkers .....	84	85	84
0013 National programs .....	18	17	17
0015 H-1B Job Training Grants .....	343	134	150
0017 Data Quality Initiative .....	13	6	7
0028 Recovery Act - NEGs Health Insurance Assistance .....	2	4	3
0029 Workforce Innovation Fund .....	152	22	100
0799 Total direct obligations .....	3,667	3,335	3,474
0801 Reimbursable program .....	11	13	11
0900 Total new obligations .....	3,678	3,348	3,485
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	657	334	349
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	273	133	.....
1010 Unobligated balance transfer to other accts [16-0181] .....	-2	.....	.....
1010 Unobligated balance transfer to other accts [16-0179] .....	-4	.....	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1029 Other balances withdrawn .....	-3	.....	.....
1050 Unobligated balance (total) .....	652	334	349
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,423	1,457	1,616
1120 Appropriations transferred to other accts [16-0400] .....	.....	-1	.....
1120 Appropriations transferred to other accts [16-0143] .....	.....	-1	.....
1130 Appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	1,420	1,455	1,616
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,772	1,772	1,772
1173 Advance appropriations permanently reduced .....	-3	.....	.....
1180 Advanced appropriation, discretionary (total) .....	1,769	1,772	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training) .....	161	125	125
1260 Appropriations, mandatory (total) .....	161	125	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	11	11
1750 Spending auth from offsetting collections, disc (total) .....	11	11	11
1900 Budget authority (total) .....	3,361	3,363	3,524
1930 Total budgetary resources available .....	4,013	3,697	3,873
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	334	349	388
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,592	3,446	3,220
3010 Obligations incurred, unexpired accounts .....	3,678	3,348	3,485
3011 Obligations incurred, expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-3,750	-3,574	-3,452
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-74	.....	.....
3050 Unpaid obligations, end of year .....	3,446	3,220	3,253
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,591	3,445	3,219
3200 Obligated balance, end of year .....	3,445	3,219	3,252
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,200	3,238	3,399
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,202	1,175	1,187
4011 Outlays from discretionary balances .....	2,517	2,349	2,111
4020 Outlays, gross (total) .....	3,719	3,524	3,298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-11	-11
Mandatory:			
4090 Budget authority, gross .....	161	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	1	1

4101 Outlays from mandatory balances .....	15	49	153
4110 Outlays, gross (total) .....	31	50	154
4180 Budget authority, net (total) .....	3,350	3,352	3,513
4190 Outlays, net (total) .....	3,739	3,563	3,441

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

*Adult employment and training activities.*—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

*Dislocated worker employment and training activities.*—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

*Youth activities.*—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

*Workforce Innovation Fund.*—Provides \$150 million to support competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. The Administration intends to set aside \$50 million of the funds for veterans (including recently separated veterans), members of military families, and members of the National Guard and Reserves. In addition, at least \$10 million of the funds for programmatic innovations targeting disconnected youth, with a particular focus on youth under age 20. This effort to serve disconnected youth will be coordinated with the Departments of Education and Health and Human Services. A portion of the Fund may also be used for Pay for Success financing to engage social investors, the Federal government, and a State or local community to collaboratively support effective interventions.

*Reintegration of Ex-Offenders.*—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out this program.

*Native Americans.*—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

*Migrant and Seasonal Farmworkers.*—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

*National programs.*—Provides evaluation and demonstration resources for WIA activities. In 2014, evaluation activities will be funded via a set-aside of program funds provided by Sec. 107 of the Labor General Provisions. The funds in Pilots, Demonstrations, and Research will be used to pilot and rigorously evaluate

potential low-cost structural changes to the WIA system that would substantially improve services to seniors.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

**Object Classification** (in millions of dollars)

Identification code 16-0174-0-1-504	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	6	6	6
25.2 Other services from non-Federal sources .....	25	33	29
25.3 Other goods and services from Federal sources .....	4		
25.7 Operation and maintenance of equipment .....	1		
41.0 Grants, subsidies, and contributions .....	3,631	3,296	3,437
99.0 Direct obligations .....	3,667	3,335	3,472
99.0 Reimbursable obligations .....	11	13	13
99.9 Total new obligations .....	3,678	3,348	3,485

**UNIVERSAL DISPLACED WORKERS PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-0188-4-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Training .....			1,255
0002 Reemployment services .....			727
0003 Rapid response .....			200
0004 Income support .....			1,157
0005 Wage insurance .....			686
0006 Relocation allowance .....			20
0900 Total new obligations (object class 41.0) .....			4,045
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			4,045
1260 Appropriations, mandatory (total) .....			4,045
1930 Total budgetary resources available .....			4,045
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			4,045
3020 Outlays (gross) .....			-4,045
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			4,045
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			4,045
4180 Budget authority, net (total) .....			4,045
4190 Outlays, net (total) .....			4,045

The 2014 Budget proposes legislation to establish a Universal Displaced Workers program. This program would make employment services and training available to a broader number of dislocated workers by consolidating and improving the Federal Government's two major dislocated worker programs: the Trade Adjustment Assistance for Workers program and the Workforce Investment Act's Dislocated Worker State grants program. Under the new Universal Displaced Workers program, all dislocated workers would receive high-quality job-search assistance, and those workers who had worked with their previous employer for three years or more would have access to income support and up to two years of skills training for high-growth and in-demand

industries. Older workers would also have the option of wage insurance, designed to get people back to work more quickly.

OFFICE OF JOB CORPS

To carry out subtitle C of title I of the WIA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, \$1,691,923,000, plus reimbursements, as follows:

(1) \$1,586,776,000 for Job Corps Operations, which shall be available for the period July 1, 2014 through June 30, 2015;

(2) \$75,000,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, 2014 through June 30, 2017: Provided, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: Provided further, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, 2015; and

(3) \$30,147,000 for necessary expenses of the Office of Job Corps, which shall be available for obligation for the period October 1, 2013 through September 30, 2014:

Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-0181-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	1,565	1,583	1,581
0002 Construction, Rehabilitation, and Acquisition (CRA) .....	140	81	88
0003 Administration .....	29	29	30
0799 Total direct obligations .....	1,734	1,693	1,699
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	1,735	1,694	1,700
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	611	1,288	1,308
1011 Unobligated balance transfer from other accts [16-0174] .....	2		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	24		
1050 Unobligated balance (total) .....	638	1,288	1,308
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,706	1,713	1,692
1130 Appropriations permanently reduced .....	-3		
1160 Appropriation, discretionary (total) .....	1,703	1,713	1,692
<b>Advance appropriations, discretionary:</b>			
1170 Advance appropriation .....	691		
1173 Advance appropriations permanently reduced .....	-1		
1180 Advanced appropriation, discretionary (total) .....	690		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		1	1
1750 Spending auth from offsetting collections, disc (total) .....		1	1
1900 Budget authority (total) .....	2,393	1,714	1,693
1930 Total budgetary resources available .....	3,031	3,002	3,001
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-8		
1941 Unexpired unobligated balance, end of year .....	1,288	1,308	1,301
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	518	447	367
3010 Obligations incurred, unexpired accounts .....	1,735	1,694	1,700
3011 Obligations incurred, expired accounts .....	40		
3020 Outlays (gross) .....	-1,774	-1,774	-1,787
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24		

OFFICE OF JOB CORPS—Continued  
Program and Financing—Continued

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
3041	Recoveries of prior year unpaid obligations, expired .....	-48		
3050	Unpaid obligations, end of year .....	447	367	280
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	518	447	367
3200	Obligated balance, end of year .....	447	367	280
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,393	1,714	1,693
Outlays, gross:				
4010	Outlays from new discretionary authority .....	871	346	348
4011	Outlays from discretionary balances .....	903	1,428	1,439
4020	Outlays, gross (total) .....	1,774	1,774	1,787
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	2,393	1,713	1,692
4190	Outlays, net (total) .....	1,774	1,773	1,786

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105-220, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth, ages 16-24. With 125 centers currently in 48 states, Puerto Rico, and the District of Columbia, Job Corps provides economically disadvantaged youth with academic, career technical and employability skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Serving approximately 60,000 participants each year, Job Corps emphasizes the attainment of academic credentials, including a High School Diploma (HSD) and/or General Educational Development (GED) and career technical credentials, including industry-recognized certifications, state licensures, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. They ensure that program graduates have gained the skills and knowledge necessary to compete in today's workforce.

Large and small businesses, nonprofit organizations, and American Indian tribes manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2014 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program and improving students' outcomes. These reforms include closing the small number of Job Corps centers that are chronically low-performing; identifying and seeking to replicate the practices of high-performing centers; and adopting cost-saving reforms. The Administration will continue to shift the program's focus and approach based on evaluation findings, and will continue to provide information to the public about each Job Corps center's performance in a transparent way. In addition, the Budget proposes steps to strengthen financial and contract oversight, so the program

can continue to provide valuable services to disadvantaged youth while maintaining strong internal controls and ensuring that its contracts are procured at the lowest risk and the best value to the Federal government.

Object Classification (in millions of dollars)

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	16	17	17
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
23.2	Rental payments to others .....	8	8	9
25.1	Advisory and assistance services .....	2	1	1
25.2	Other services from non-Federal sources .....	1,423	1,405	1,404
25.3	Other goods and services from Federal sources .....	7	8	9
25.4	Operation and maintenance of facilities .....	22	29	30
25.7	Operation and maintenance of equipment .....	1	2	2
31.0	Equipment .....	3	1	1
32.0	Land and structures .....	60	30	33
99.0	Direct obligations .....	1,550	1,509	1,514
99.0	Reimbursable obligations .....	1	1	1
<b>Allocation Account - direct:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	71	80	84
11.3	Other than full-time permanent .....	3	2	2
11.5	Other personnel compensation .....	6	7	7
11.9	Total personnel compensation .....	80	89	93
12.1	Civilian personnel benefits .....	33	32	34
21.0	Travel and transportation of persons .....	4	3	3
22.0	Transportation of things .....	1	2	2
23.1	Rental payments to GSA .....		1	1
23.2	Rental payments to others .....	8		
23.3	Communications, utilities, and miscellaneous charges .....	8	9	9
25.2	Other services from non-Federal sources .....		11	11
25.3	Other goods and services from Federal sources .....	10	5	5
25.4	Operation and maintenance of facilities .....	7	4	4
25.6	Medical care .....		2	2
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	30	22	17
31.0	Equipment .....	2	3	3
99.0	Allocation account - direct .....	184	184	185
99.9	Total new obligations .....	1,735	1,694	1,700

Employment Summary

Identification code 16-0181-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	163	163	168

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504		2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>				
0001	National programs .....	353	355	
0002	State programs .....	95	96	
0900	Total new obligations (object class 41.0) .....	448	451	
<b>Budgetary Resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		2	2
1012	Unobligated balance transfers between expired and unexpired accounts .....	2		
1050	Unobligated balance (total) .....	2	2	2

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	449	451
1130	Appropriations permanently reduced .....	-1	
1160	Appropriation, discretionary (total) .....	448	451
1900	Budget authority (total) .....	448	451
1930	Total budgetary resources available .....	450	453
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	423	378
3010	Obligations incurred, unexpired accounts .....	448	451
3020	Outlays (gross) .....	-488	-448
3041	Recoveries of prior year unpaid obligations, expired .....	-5	
3050	Unpaid obligations, end of year .....	378	381
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	423	378
3200	Obligated balance, end of year .....	378	381
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	448	451
Outlays, gross:			
4010	Outlays from new discretionary authority .....	81	86
4011	Outlays from discretionary balances .....	407	362
4020	Outlays, gross (total) .....	488	448
4180	Budget authority, net (total) .....	448	451
4190	Outlays, net (total) .....	488	448

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109–365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2014 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration for Community Living. The dual goals of the program are to foster individual economic self-sufficiency and to provide useful opportunities in community service activities.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 16-0187-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	500	500
0100	Direct program activities, subtotal .....	500	500
0900	Total new obligations (object class 41.0) .....	500	500
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	500	500
1260	Appropriations, mandatory (total) .....	500	500
1930	Total budgetary resources available .....	500	500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	500	960
3010	Obligations incurred, unexpired accounts .....	500	500
3011	Obligations incurred, expired accounts .....	17	
3020	Outlays (gross) .....	-40	-219
3041	Recoveries of prior year unpaid obligations, expired .....	-17	
3050	Unpaid obligations, end of year .....	960	1,241

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	500	960
3200	Obligated balance, end of year .....	960	1,241
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	500	500
Outlays, gross:			
4100	Outlays from new mandatory authority .....		25
4101	Outlays from mandatory balances .....	40	194
4110	Outlays, gross (total) .....	40	219
4180	Budget authority, net (total) .....	500	500
4190	Outlays, net (total) .....	40	219

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provides \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year 2014 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, \$656,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, 2014.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001	Trade Adjustment Assistance benefits .....	239	189
0002	Trade Adjustment Assistance training .....	575	575
0005	Wage Insurance Payments .....	41	33
0799	Total direct obligations .....	855	797
0801	Disaster Unemployment Assistance .....	10	40
0900	Total new obligations .....	865	837
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	855	797
1260	Appropriations, mandatory (total) .....	855	797
1800	Spending authority from offsetting collections, mandatory: Offsetting collections (DUA) .....	10	40

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued  
Program and Financing—Continued

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
1850 Spending auth from offsetting collections, mand (total) .....	10	40	40
1900 Budget authority (total) .....	865	837	696
1930 Total budgetary resources available .....	865	837	696
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			74
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,030	1,195	1,198
3010 Obligations incurred, unexpired accounts .....	865	837	622
3020 Outlays (gross) .....	-618	-597	-704
3041 Recoveries of prior year unpaid obligations, expired .....	-82	-237	-394
3050 Unpaid obligations, end of year .....	1,195	1,198	722
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,030	1,195	1,198
3200 Obligated balance, end of year .....	1,195	1,198	722
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	865	837	696
Outlays, gross:			
4100 Outlays from new mandatory authority .....	278	297	419
4101 Outlays from mandatory balances .....	340	300	285
4110 Outlays, gross (total) .....	618	597	704
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-10	-40	-40
4180 Budget authority, net (total) .....	855	797	656
4190 Outlays, net (total) .....	608	557	664
<b>Summary of Budget Authority and Outlays (in millions of dollars)</b>			
	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	855	797	656
Outlays .....	608	557	664
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-127
Outlays .....			-31
<b>Total:</b>			
Budget Authority .....	855	797	529
Outlays .....	608	557	633

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) extended through February 12, 2011 under the Omnibus Trade Act of 2010, and extended again through December 31, 2013 under the Trade Adjustment Assistance Extension Act (TAAEA) of 2011. Under these laws, workers covered by petitions for the TAA program filed between May 18, 2009 and February 13, 2011, were considered under expanded group eligibility provisions (e.g., workers in the service sector were eligible), and the covered workers could be eligible for enhanced services and benefits, including additional weeks of cash benefits, while in training and for the RTAA program. Applications filed between February 13, 2011 and October 21, 2011, were administered under prior law, as if the amendments made under the TGAAA and the Omnibus Trade Act of 2010 had never been enacted. Applications filed on or after October 21,

2011 were administered under expanded eligibility provisions of the TAAEA of 2011, which restored most of the provision of the 2009 program. In addition, the TAAEA of 2011 provided a limited window for trade affected workers who began receiving benefits and services during the reversion period (February 13, 2011 to October 21, 2011) to make a one-time election to be served under the TAAEA of 2011.

## Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	855	797	582
99.0 Reimbursable obligations .....	10	40	40
99.9 Total new obligations .....	865	837	622

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 16-0326-4-1-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Trade Adjustment Assistance benefits .....			-13
0002 Trade Adjustment Assistance training .....			-113
0005 Wage Insurance Payments .....			-1
0900 Total new obligations (object class 41.0) .....			-127
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-127
1260 Appropriations, mandatory (total) .....			-127
1900 Budget authority (total) .....			-127
1930 Total budgetary resources available .....			-127
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-127
3020 Outlays (gross) .....			31
3050 Unpaid obligations, end of year .....			-96
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-96
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-127
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-31
4180 Budget authority, net (total) .....			-127
4190 Outlays, net (total) .....			-31

The 2014 Budget proposes legislation to establish a Universal Displaced Worker program. This new program will consolidate and improve the Federal Government's two major dislocated worker programs—the existing Trade Adjustment Assistance for workers program and the Workforce Investment Act's Dislocated Worker State grants program. Please see the Universal Displaced Workers Program account for additional detail.

## STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$113,068,000, together with not to exceed \$3,692,631,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$2,861,575,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than \$60,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews as specified for purposes of Section 251(b)(2) of the Balanced Budget and



Emergency Deficit Control Act of 1985, as amended, and \$10,000,000 for activities to address the misclassification of workers), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, 2013, except that funds used for automation acquisitions or competitive grants awarded to States for improved operations, reemployment and eligibility assessments and improper payments, or activities to address misclassification of workers shall be available for Federal obligation through December 31, 2014, and for obligation by the States through September 30, 2016, and funds used for unemployment insurance workloads experienced by the States through September 30, 2014 shall be available for Federal obligation through December 31, 2014;

(2) \$11,297,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$708,247,000 from the Trust Fund, together with \$22,595,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, of which not less than \$30,000,000 shall be used to provide reemployment services to beneficiaries of unemployment insurance, and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015;

(4) \$20,912,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,166,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,600,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,501,000 shall be available for the Federal administration of such activities, and \$15,099,000 shall be available for grants to States for the administration of such activities;

(6) \$90,473,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171(e)(2)(C) of the WIA and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015; and

(7) \$25,000,000 from the Trust Fund is for competitive grants to States for the administration and evaluation of demonstration projects under section 305 of the Social Security Act (as added by section 2102 of the Middle Class Tax Relief Act and Job Creation Act of 2012), except that section 305(d)(3) shall be applied by substituting "2017" for "2015", and these funds shall be available for Federal obligation through December 31, 2017:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2014 is projected by the Department of Labor to exceed 3,357,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or

awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States under such grants, subject to the conditions applicable to the grants; Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A–87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, 2015, for such purposes.

In addition, \$20,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for the amount of the additional appropriation for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16–0179–0–1–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 State UI admin .....	3,861	2,990	2,875
0002 UI national activities .....	11	11	11
0010 ES grants to States .....	701	708	708
0011 ES national activities .....	21	21	21
0012 One-stop career centers .....	29	63	93
0014 Foreign labor certification .....	65	66	66
0015 H-1B fees .....	19	13	13
0799 Total direct obligations .....	4,707	3,872	3,787
0801 Reimbursable program DUA administration .....	10	10	10
0803 Reimbursable program NAWs surveys .....			1
0899 Total reimbursable obligations .....	10	10	11
0900 Total new obligations .....	4,717	3,882	3,798
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66	228	871
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	50	215	
1011 Unobligated balance transfer from other accts [16–0174] .....	4		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	80	228	871
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	86	87	113
1160 Appropriation, discretionary (total) .....	86	87	113
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	17	13	13
1260 Appropriations, mandatory (total) .....	17	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,624	4,047	3,736
1701 Change in uncollected payments, Federal sources .....	635		
1710 Spending authority from offsetting collections transferred to other accounts [16–0165] .....	–16		
1750 Spending auth from offsetting collections, disc (total) .....	4,243	4,047	3,736
1800 Spending authority from offsetting collections, mandatory: Offsetting collections (EUCO8) .....	705	378	159

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued  
Program and Financing—Continued

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
1801 Change in uncollected payments, Federal sources .....	-186	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	519	378	159
1900 Budget authority (total) .....	4,865	4,525	4,021
1930 Total budgetary resources available .....	4,945	4,753	4,892
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	228	871	1,094
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,337	2,513	1,400
3010 Obligations incurred, unexpired accounts .....	4,717	3,882	3,798
3011 Obligations incurred, expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-4,525	-4,995	-4,730
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	2,513	1,400	468
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,021	-2,292	-2,292
3070 Change in uncollected pymts, Fed sources, unexpired .....	-449	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	178	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2,292	-2,292	-2,292
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	316	221	-892
3200 Obligated balance, end of year .....	221	-892	-1,824
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,329	4,134	3,849
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,495	3,009	2,727
4011 Outlays from discretionary balances .....	1,463	1,614	1,631
4020 Outlays, gross (total) .....	3,958	4,623	4,358
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-678	-682	-708
4030 Federal sources .....	-21	-21	-21
4030 Federal sources .....	-50	-50	-51
4030 Federal sources .....	-15	-15	-15
4030 Federal sources .....	-2,955	-3,196	-2,838
4030 Federal sources .....	-10	-12	-60
4030 Federal sources .....	-50	-51	-20
4030 Federal sources .....	-10	-10	-12
4030 Federal sources .....	-10	-10	-10
4030 Federal sources .....	-1	.....	.....
4033 Non-Federal sources .....	.....	.....	-1
4040 Offsets against gross budget authority and outlays (total) ....	-3,800	-4,047	-3,736
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-635	.....	.....
4052 Offsetting collections credited to expired accounts .....	176	.....	.....
4060 Additional offsets against budget authority only (total) .....	-459	.....	.....
4070 Budget authority, net (discretionary) .....	70	87	113
4080 Outlays, net (discretionary) .....	158	576	622
Mandatory:			
4090 Budget authority, gross .....	536	391	172
Outlays, gross:			
4100 Outlays from new mandatory authority .....	336	247	172
4101 Outlays from mandatory balances .....	231	125	200
4110 Outlays, gross (total) .....	567	372	372
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-705	-378	-159
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	186	.....	.....
4160 Budget authority, net (mandatory) .....	17	13	13
4170 Outlays, net (mandatory) .....	-138	-6	213
4180 Budget authority, net (total) .....	87	100	126
4190 Outlays, net (total) .....	20	570	835

*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay un-

employment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identify theft, and provide referral to reemployment assistance to those who need additional help. The \$80 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$315 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through cap adjustments, a mechanism that has been used by past Administrations and Congresses. Cap adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$60 million and if the use of the funds was clearly restricted to the specified purpose. The 2014 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, to adjust the discretionary spending limits in the Act for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services for Medicare and Medicaid. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2011 actual	2012 actual	2013 est.	2014 est.
Staff years .....	34,118	32,344	30,519	30,523
Basic workload (in thousands):				
Employer tax accounts .....	7,540	7,608	7,733	7,843
Employee wage items recorded .....	602,185	610,908	623,967	635,846
Initial claims taken .....	22,093	21,185	20,257	20,896
Weeks claimed .....	202,672	197,654	175,971	173,136
Nonmonetary determinations .....	8,517	8,223	7,950	7,893
Appeals .....	1,992	1,864	1,690	1,615
Covered employment .....	126,408	128,342	130,537	132,468

*Employment service.*—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allot-



ments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

**EMPLOYMENT SERVICE PROGRAM STATISTICS**

	2011 actual	2012 actual	2013 est.	2014 est.
Total participants (thousands) .....	17,074	16,546	16,732	15,842
Entered employment (thousands) .....	5,686	5,510	5,572	4,935
Cost per participant .....	42,35	43,62	44,93	46,28

Years are program years running from July 1 of the year indicated through June 30 of the following year.

**Foreign Labor Certification.**—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

**Federal Administration.**—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

**State grants.**—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

**One-stop career centers.**—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

**National Agricultural Workers Survey fee.**—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews. The Administration proposes to charge non-Federal entities on a case-by-case basis the cost of conducting specifically requested data collection or analysis. For example, State and local governments, educational

institutions, or non-profit organizations may pay a fee to fund the addition of a question to the standard survey.

**Object Classification** (in millions of dollars)

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	16	17	17
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	17	17	17
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	26	26	26
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	4	4	4
25.7 Operation and maintenance of equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	4,646	3,811	3,726
99.0 Direct obligations .....	4,707	3,872	3,787
99.0 Reimbursable obligations .....	10	10	11
99.9 Total new obligations .....	4,717	3,882	3,798

**Employment Summary**

Identification code 16-0179-0-1-999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment .....	181	181	183
1001 Direct civilian full-time equivalent employment .....	30	30	30

**AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-0171-4-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Reemployment NOW .....		4,000	
0002 Pathways Back to Work - Youth .....		2,500	
0003 Pathways Back to Work - Adults .....		10,000	
0900 Total new obligations (object class 41.0) .....		16,500	
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		16,500	
1260 Appropriations, mandatory (total) .....		16,500	
1930 Total budgetary resources available .....		16,500	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			15,675
3010 Obligations incurred, unexpired accounts .....		16,500	
3020 Outlays (gross) .....		-825	-13,750
3050 Unpaid obligations, end of year .....		15,675	1,925
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			15,675
3200 Obligated balance, end of year .....		15,675	1,925
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		16,500	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		825	
4101 Outlays from mandatory balances .....			13,750
4110 Outlays, gross (total) .....		825	13,750
4180 Budget authority, net (total) .....		16,500	
4190 Outlays, net (total) .....		825	13,750

The 2014 Budget proposes initiatives that aggressively address long-term unemployment and provide new employment opportunities for low-income and unemployed workers, and build the skills of American workers. This proposal includes:

AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND—Continued  
*Reemployment NOW.*—Provides \$4 billion for the Reemployment NOW fund, which gives the States flexibility to institute innovative approaches to better connect Emergency Unemployment Compensation (EUC) claimants and other long-term unemployed workers with job opportunities. With Reemployment NOW, States will be able to implement Bridge to Work programs to give EUC claimants valuable on-the-job experience and will also be able to offer claimants wage insurance and other intensive reemployment services.

*Pathways Back to Work.*—Provides \$12.5 billion to support subsidized employment and training opportunities for low-income and long-term unemployed adults, summer and year-round employment opportunities for low-income youth, and competitive grants to support innovative training and employment programs for these populations.

*Community College to Career Fund.*—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program, for which 2014 is the final year of funding.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 Payments to EUCA .....	39,902	30,137	6,887
0012 Payments to ESAA .....	653	630	270
0900 Total new obligations (object class 41.0) .....	40,555	30,767	7,157
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		35	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-35	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	40,555	30,767	7,157
1200 Appropriation (definite) .....	35		
1260 Appropriations, mandatory (total) .....	40,590	30,767	7,157
1930 Total budgetary resources available .....	40,590	30,767	7,157
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	35		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	40,555	30,767	7,157
3020 Outlays (gross) .....	-40,555	-30,767	-7,157
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	40,590	30,767	7,157
Outlays, gross:			
4100 Outlays from new mandatory authority .....	40,555	30,767	7,157
4180 Budget authority, net (total) .....	40,590	30,767	7,157
4190 Outlays, net (total) .....	40,555	30,767	7,157

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make

general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 112-240). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0168-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Grants .....		50	10
0002 Benefits .....	52	182	215
0900 Total new obligations (object class 41.0) .....	52	232	225
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		101	51
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	153	182	215
1260 Appropriations, mandatory (total) .....	153	182	215
1930 Total budgetary resources available .....	153	283	266
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	101	51	41
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		52	
3010 Obligations incurred, unexpired accounts .....	52	232	225
3020 Outlays (gross) .....		-284	-225
3050 Unpaid obligations, end of year .....		52	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		52	
3200 Obligated balance, end of year .....	52		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	153	182	215
Outlays, gross:			
4100 Outlays from new mandatory authority .....		182	215
4101 Outlays from mandatory balances .....		102	10
4110 Outlays, gross (total) .....		284	225
4180 Budget authority, net (total) .....	153	182	215
4190 Outlays, net (total) .....		284	225

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	2		
0900 Total new obligations (object class 42.0) .....	2		
<b>Budgetary Resources:</b>			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-13		
1021 Recoveries of prior year unpaid obligations .....		32	
1029 Other balances withdrawn .....		-32	
1050 Unobligated balance (total) .....	-13		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2		
1260 Appropriations, mandatory (total) .....	2		

1800	Spending authority from offsetting collections, mandatory: Collected .....	13		
1850	Spending auth from offsetting collections, mand (total) .....	13		
1900	Budget authority (total) .....	15		
1930	Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20	32	
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....			
		12		
3010	Obligations incurred, unexpired accounts .....	2		
3020	Outlays (gross) .....	-2		
3040	Recoveries of prior year unpaid obligations, unexpired .....		-32	
3050	Unpaid obligations, end of year .....	32		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	32	32	
3200	Obligated balance, end of year .....	32		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	15		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-13		
4180	Budget authority, net (total) .....	2		
4190	Outlays, net (total) .....	-11		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0010 FECA Costs .....	140		
0011 Advance to ESAA revolving fund .....			600
0900 Total new obligations (object class 41.0) .....	140		600
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	140		600
1260 Appropriations, mandatory (total) .....	140		600
1930 Total budgetary resources available .....	140		600
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	140		600
3020 Outlays (gross) .....	-140		-600

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	140	600
Outlays, gross:			
4100	Outlays from new mandatory authority .....	140	600
4180	Budget authority, net (total) .....	140	600
4190	Outlays, net (total) .....	140	600

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this account includes new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2012, and the need is expected to continue. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2014 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, \$99,009,065, together with not to exceed \$50,608,425 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Adult services .....	55	56	
0002 Youth services .....	12	12	
0003 Workforce security .....	43	43	43

PROGRAM ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
0004	Apprenticeship training, employer and labor services .....	28	28	28
0005	Executive direction .....	9	9	9
0006	Training & Employment Services .....			69
0799	Total direct obligations .....	147	148	149
0803	Reimbursable programs (DUA & E-grants) .....	1	1	1
0900	Total new obligations .....	148	149	150
<b>Budgetary Resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	97	98	99
1160	Appropriation, discretionary (total) .....	97	98	99
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (UTF) .....	51	51	51
1750	Spending auth from offsetting collections, disc (total) .....	51	51	51
1900	Budget authority (total) .....	148	149	150
1930	Total budgetary resources available .....	148	149	150
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	45	39	38
3010	Obligations incurred, unexpired accounts .....	148	149	150
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-151	-150	-168
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	39	38	20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	45	39	38
3200	Obligated balance, end of year .....	39	38	20
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	148	149	150
Outlays, gross:				
4010	Outlays from new discretionary authority .....	128	130	131
4011	Outlays from discretionary balances .....	23	20	37
4020	Outlays, gross (total) .....	151	150	168
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-51	-51	-51
4180	Budget authority, net (total) .....	97	98	99
4190	Outlays, net (total) .....	100	99	117

This account provides for the Federal administration of Employment and Training Administration programs.

*Training and Employment services.*—In 2014, the Department proposes to combine the Adult services and Youth services activities into one budget activity, Training and Employment services. This restructuring will more accurately align with how work is performed in the field and allow greater flexibility for staff to perform functions related to adults and youth.

*Adult services.*—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

*Youth services.*—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

*Workforce security.*—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center net-

work, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

*Office of Apprenticeship.*—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

*Executive direction.*—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	75	77	77
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	76	78	78
12.1	Civilian personnel benefits .....	22	23	23
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	9	9	10
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	5	4	4
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	19	19	19
25.7	Operation and maintenance of equipment .....	10	9	9
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	1	1
99.0	Direct obligations .....	147	148	149
99.0	Reimbursable obligations .....	1	1	1
99.9	Total new obligations .....	148	149	150

Employment Summary

Identification code 16-0172-0-1-504		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment .....	778	778	780
2001	Reimbursable civilian full-time equivalent employment .....	4	4	4

WORKERS COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806		2012 actual	2013 CR	2014 est.
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	31	25	14
3020	Outlays (gross) .....	-6	-11	-9
3050	Unpaid obligations, end of year .....	25	14	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	31	25	14
3200	Obligated balance, end of year .....	25	14	5
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	6	11	9
4190	Outlays, net (total) .....	6	11	9

*Workers Compensation Programs.*—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response

emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

STATE PAID LEAVE FUND

For grants and contracts to assist in the start-up of new paid leave programs in the States, \$5,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0185–0–1–505	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 States paid leave fund .....			5
0900 Total new obligations (object class 41.0) .....			5
<b>Budgetary Resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			5
1160 Appropriation, discretionary (total) .....			5
1930 Total budgetary resources available .....			5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			5
3020 Outlays (gross) .....			–1
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			5
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			5
4190 Outlays, net (total) .....			1

The 2014 Budget requests \$5 million for the State paid leave fund in the Department of Labor to assist States in setting up paid leave programs by providing technical assistance and other support.

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16–4510–0–4–603	2012 actual	2013 CR	2014 est.
<b>Budgetary Resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			600
1850 Spending auth from offsetting collections, mand (total) .....			600
1930 Total budgetary resources available .....			600
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			600
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			–600
4190 Outlays, net (total) .....			–600

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
0100 Balance, start of year .....	9,757	15,123	21,808
Adjustments:			
0190 Adjustment - prior year accounting adjustment .....	38		
0191 Adjustment - FY12 definite appropriation .....		–35	
0199 Balance, start of year .....	9,795	15,088	21,808
Receipts:			
0200 General Taxes, FUTA, Unemployment Trust Fund .....	7,059	7,862	8,442
0201 General Taxes, FUTA, Unemployment Trust Fund .....			1,305
0202 General Taxes, FUTA, Unemployment Trust Fund .....			–3,083
0203 Unemployment Trust Fund, State Accounts, Deposits by States .....	59,378	52,586	51,494
0204 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
0205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	210	107	39
0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	1,129	606	455
0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		–606	–455
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	1,387	1,162	1,082
0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....	140		
0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	40,590	30,767	7,157
0243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	472	559	703
0299 Total receipts and collections .....	110,365	93,043	67,146
0400 Total: Balances and collections .....	120,160	108,131	88,954
Appropriations:			
0500 Unemployment Trust Fund .....	–4,336	–4,362	–4,100
0501 Unemployment Trust Fund .....	–105,797	–89,387	–65,138
0502 Unemployment Trust Fund .....	5,171	7,537	5,436
0503 Unemployment Trust Fund .....			33
0504 Unemployment Trust Fund .....			15
0505 Railroad Unemployment Insurance Trust Fund .....	–15	–26	–26
0506 Railroad Unemployment Insurance Trust Fund .....		11	11
0507 Railroad Unemployment Insurance Trust Fund .....	–199	–86	–16
0508 Railroad Unemployment Insurance Trust Fund .....	–40	–162	–152
0509 Railroad Unemployment Insurance Trust Fund .....	162	152	67
0599 Total appropriations .....	–105,054	–86,323	–63,870
0795 Adjustment - reconcile to actual unappropriated balances .....	17		
0799 Balance, end of year .....	15,123	21,808	25,084

Program and Financing (in millions of dollars)

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....	87,108	75,441	51,344
0002 Federal employees' unemployment compensation .....	1,412	1,100	1,076
0003 State administrative expenses .....	4,673	3,811	3,811
0007 UI Mod Benefits/Administration .....	261	200	200
0010 Direct expenses .....	124	123	123
0011 Reimbursements to the Department of the Treasury .....	82	88	90
0020 Veterans employment and training .....	212	213	221
0021 Interest on FUTA refunds .....	1	1	1
0022 Interest on General Fund Advances .....	1,247	830	670

UNEMPLOYMENT TRUST FUND—Continued  
Program and Financing—Continued

Identification code 16–8042–0–7–999	2012 actual	2013 CR	2014 est.
0900 Total new obligations .....	95,120	81,807	57,536
<b>Budgetary Resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	241
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		35	
1050 Unobligated balance (total) .....		36	241
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	4,336	4,362	4,100
1160 Appropriation, discretionary (total) .....	4,336	4,362	4,100
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	105,797	89,387	65,138
1235 Appropriations precluded from obligation .....	-5,171	-7,537	-5,436
1236 Appropriations applied to repay debt .....	-22,005	-9,100	-10,600
1260 Appropriations, mandatory (total) .....	78,621	72,750	49,102
Borrowing authority, mandatory:			
1400 Borrowing authority .....	12,860	4,900	4,200
1421 Borrowing authority applied to repay debt .....	-696		
1440 Borrowing authority, mandatory (total) .....	12,164	4,900	4,200
1900 Budget authority (total) .....	95,121	82,012	57,402
1930 Total budgetary resources available .....	95,121	82,048	57,643
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	241	107
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,225	5,236	3,058
3010 Obligations incurred, unexpired accounts .....	95,120	81,807	57,536
3020 Outlays (gross) .....	-96,109	-83,985	-58,734
3050 Unpaid obligations, end of year .....	5,236	3,058	1,860
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,225	5,236	3,058
3200 Obligated balance, end of year .....	5,236	3,058	1,860
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,336	4,362	4,100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,774	3,294	3,059
4011 Outlays from discretionary balances .....	1,370	1,541	1,073
4020 Outlays, gross (total) .....	4,144	4,835	4,132
Mandatory:			
4090 Budget authority, gross .....	90,785	77,650	53,302
Outlays, gross:			
4100 Outlays from new mandatory authority .....	90,293	77,650	53,302
4101 Outlays from mandatory balances .....	1,672	1,500	1,300
4110 Outlays, gross (total) .....	91,965	79,150	54,602
4180 Budget authority, net (total) .....	95,121	82,012	57,402
4190 Outlays, net (total) .....	96,109	83,985	58,734
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	16,030	20,673	25,000
5001 Total investments, EOY: Federal securities: Par value .....	20,673	25,000	27,000
5080 Outstanding debt, SOY: Repayable advances .....	-42,773	-32,932	-28,732
5081 Outstanding debt, EOY: Repayable advances .....	-32,932	-28,732	-22,332
5082 Borrowing: Repayable advances .....	-12,860	-4,900	-4,200

## Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority .....	95,121	82,012	57,402
Outlays .....	96,109	83,985	58,734
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-33
Outlays .....			-33
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-15
Outlays .....			-15
<b>Total:</b>			
Budget Authority .....	95,121	82,012	57,354
Outlays .....	96,109	83,985	58,686

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

*Legislative proposals to strengthen the unemployment insurance safety net.*—The economic downturn continues to severely test the adequacy of States' unemployment insurance (UI) systems, forcing States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2014 Budget will propose a suspension of interest on State UI borrowing in 2013 and 2014 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism for those years. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 in 2016 and to index it to average wages thereafter. States with lower wage bases will need to adjust their UI tax structures. The FUTA tax rate will be returned to 0.8% in 2014, to strengthen the solvency of the Federal trust fund accounts, then lowered in 2016 in a revenue-neutral way. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers. The impact of this proposal is on several receipt

accounts that feed into the UTF, including FUTA deposits, deposits of State unemployment taxes into the UTF, and interest on loans.

Section 908 of the Social Security Act currently requires the Secretary of Labor to establish an Advisory Council on Unemployment Compensation every fourth year. This requirement would be replaced with language that would allow the Secretary of Labor to periodically establish an Advisory Council.

**Status of Funds** (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	-26,748	-12,573	-3,626
0199 Total balance, start of year .....	-26,748	-12,573	-3,626
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1200 General Taxes, FUTA, Unemployment Trust Fund .....	7,059	7,862	8,442
1203 Unemployment Trust Fund, State Accounts, Deposits by States .....	59,378	52,586	51,494
1205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	210	107	39
<b>Offsetting receipts (proprietary):</b>			
1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	1,129	606	455
<b>Offsetting receipts (intragovernmental):</b>			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	1,387	1,162	1,082
1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....	140		
1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	40,590	30,767	7,157
1243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	472	559	703
<b>Offsetting collections:</b>			
1280 Railroad Unemployment Insurance Trust Fund .....	21	22	23
1299 Income under present law .....	110,386	93,671	69,395
<b>Proposed legislation:</b>			
<b>Receipts:</b>			
2201 General Taxes, FUTA, Unemployment Trust Fund .....			1,305
2202 General Taxes, FUTA, Unemployment Trust Fund .....			-3,083
2204 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
<b>Offsetting receipts (proprietary receipts):</b>			
2221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		-606	-455
2299 Income under proposed legislation .....		-606	-2,226
3299 Total cash income .....	110,386	93,065	67,169
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
4500 Unemployment Trust Fund .....	-96,109	-83,985	-58,734
4500 Railroad Unemployment Insurance Trust Fund .....	-118	-133	-139
4599 Outgo under current law (-) .....	-96,227	-84,118	-58,873
<b>Proposed legislation:</b>			
5500 Unemployment Trust Fund .....			15
5500 Unemployment Trust Fund .....			33
5599 Outgo under proposed legislation (-) .....			48
6599 Total cash outgo (-) .....	-96,227	-84,118	-58,825
<b>Manual Adjustments:</b>			
7690 Adjustment - reconcile to actual unappropriated balances .....	17		
7691 Rounding adjustment .....	-1		
7699 Total adjustments .....	16		
<b>Unexpended balance, end of year:</b>			
8700 Uninvested balance (net), end of year .....	-33,246	-28,626	-22,282
8701 Unemployment Trust Fund .....	20,673	25,000	27,000
8799 Total balance, end of year .....	-12,573	-3,626	4,718

**Object Classification** (in millions of dollars)

Identification code 16-8042-0-7-999	2012 actual	2013 CR	2014 est.
<b>Direct obligations:</b>			
25.3 Reimbursements to Department of the Treasury .....	82	88	90
42.0 FECA (Federal Employee) Benefits .....	1,412	1,100	1,076
42.0 State unemployment benefits .....	87,108	74,895	50,638
43.0 Interest and dividends .....	1,248	1,311	1,311

94.0	ETA-PA, BLS, FLC .....	124	183	183
94.0	Veterans employment and training .....	212	213	221
94.0	Payments to States for administrative expenses .....	4,667	3,811	3,811
94.0	Departmental management .....	6	6	6
94.0	UI Mod Benefits/Admin .....	261	200	200
99.9	Total new obligations .....	95,120	81,807	57,536

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-8042-2-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....			-33
0900 Total new obligations (object class 42.0) .....			-33
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			-33
1260 Appropriations, mandatory (total) .....			-33
1930 Total budgetary resources available .....			-33
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-33
3020 Outlays (gross) .....			33
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-33
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-33
4180 Budget authority, net (total) .....			-33
4190 Outlays, net (total) .....			-33

The savings reflected in the legislative proposal above are from a cap adjustment for Reemployment and Eligibility Assessments funded in the Unemployment Insurance administrative grants for the States. Please see the narrative in the "State Unemployment Insurance and Employment Service Operations" account for additional detail on this program integrity proposal.

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 16-8042-4-7-999	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....			-15
0900 Total new obligations (object class 42.0) .....			-15
<b>Budgetary Resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			-15
1260 Appropriations, mandatory (total) .....			-15
1930 Total budgetary resources available .....			-15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-15
3020 Outlays (gross) .....			15
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-15
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-15
4180 Budget authority, net (total) .....			-15
4190 Outlays, net (total) .....			-15



UNEMPLOYMENT TRUST FUND—Continued

The savings reflected in the legislative proposal shown above are for three Unemployment Insurance (UI) program integrity proposals that would expand State use of programs that help them to prevent and recover improper payments.

The State Information Data Exchange System (SIDES) is designed to help employers provide the information required to determine a claimant's UI eligibility to States more quickly by providing a secure electronic data exchange between States and employers or their third party administrators. SIDES is currently used by about 35 States. This provision would require all State UI agencies to use SIDES. The improvements in speed and accuracy resulting from use of such a system will help avoid overpayments or underpayments and provide for more efficient and effective administration of the UI program.

States have gained access to the Treasury Offset Program (TOP) to recover certain UI debts (stemming from overpayments due to fraud or failure to report earnings). A number of States already use TOP, and they have found it an effective debt recovery tool when other attempts to collect legally enforceable UI debts have failed. The legislative proposal will expand State use of TOP, which will increase recovery of overpayments.

To avoid paying UI benefits to incarcerated individuals (with an exception for those on work release), legislation will be proposed to require States to cross-match UI claimants with the Prisoner Update Processing System (PUPS) database housed at the Social Security Administration. The proposal will also add new data elements to PUPS on prospective and actual release dates, which should make the system more valuable to agency users as an indicator of potential ineligibility for UI benefits.

**EMPLOYEE BENEFITS SECURITY ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses for the Employee Benefits Security Administration, \$179,104,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 16-1700-0-1-601	2012 actual	2013 CR	2014 est.
<b>Obligations by program activity:</b>			
0001 Enforcement and participant assistance .....	145	144	147
0002 Policy and compliance assistance .....	30	34	26
0003 Executive leadership, program oversight and administration ...	7	7	7
0799 Total direct obligations .....	182	185	180
0801 Reimbursable program .....	6	9	9
0900 Total new obligations .....	188	194	189
<b>Budgetary Resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	184	184	180
1160 Appropriation, discretionary (total) .....	184	184	180
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected: Federal Sources .....	6	9	9
1710 Spending authority from offsetting collections transferred to other accounts [16-0165] .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	5	9	9
1900 Budget authority (total) .....	189	193	189

1930 Total budgetary resources available .....	189	194	189
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	60	52
3010 Obligations incurred, unexpired accounts .....	188	194	189
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-170	-202	-190
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	60	52	51
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	43	60	52
3200 Obligated balance, end of year .....	60	52	51
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	189	193	189
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	137	145	142
4011 Outlays from discretionary balances .....	33	57	48
4020 Outlays, gross (total) .....	170	202	190
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Baseline Program [Reimbursable] .....	-6	-9	-9
4180 Budget authority, net (total) .....	183	184	180
4190 Outlays, net (total) .....	164	193	181

**Enforcement and participant assistance.**—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public.

**Policy and compliance assistance.**—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

	2012 actual	2013 est.	2014 est.
<b>ENFORCEMENT AND PARTICIPANT ASSISTANCE</b>			
Investigation conducted .....	3,884	3,994	3,994
Investigations closed that restored or protected assets .....	2,570	2,676	2,676
Benefit recoveries from customer assistance .....	289,830,000 <sup>1</sup>	173,000,000	173,000,000
Inquiries received .....	239,520 <sup>2</sup>	250,000	250,000 <sup>3</sup>
Reporting compliance reviews .....	4,609	4,330	4,330
<b>POLICY AND COMPLIANCE ASSISTANCE</b>			
Exemptions, determinations, interpretations, and regulations issues .....	4,565	4,876	4,965 <sup>4</sup>
Average days to process exemption requests .....	238	300	300

<sup>1</sup> Of 4,881 inquiries that produced benefit recoveries, 3 inquiries resulted in over \$78 million (30%) of recoveries from customer assistance.

<sup>2</sup> Includes 3,240 American Recovery and Reinvestment Act (ARRA) related inquiries.

<sup>3</sup> ARRA inquiries not included in 2013 or 2014 projections because eligibility for the COBRA subsidy expired May 31, 2010.

<sup>4</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registration.

**Executive leadership, program oversight, and administration.**—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.