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ADVISORY: UNEMPLOYMENT INSURANCE PROGRAM LETTER NO. 13-26

TO: STATE WORKFORCE AGENCIES

FROM: MAREK LACO 
Acting Assistant Secretary

SUBJECT: Fiscal Year 2026 Funding Allotments and Operating Guidance for
Unemployment Insurance Reemployment Services and Eligibility Assessments
Grants

1. **Purpose.** To provide guidelines for the Fiscal Year (FY) 2026 Unemployment Insurance (UI) Reemployment Services and Eligibility Assessment (RESEA) grants and to invite State Workforce Agencies to submit RESEA State Plans.
2. **Action Requested.** The U.S. Department of Labor's (Department) Employment and Training Administration (ETA) requests state agency administrators to provide information contained in this Unemployment Insurance Program Letter (UIPL) to appropriate staff. This information should be shared with staff working in the following program areas, as well as other relevant staff: the UI program; workforce programs administered under the Workforce Innovation and Opportunity Act (WIOA), including the Wagner-Peyser Act-funded Employment Service (ES); and workforce information/labor market information programs. Each state requesting funding to continue a current RESEA program or to implement a new RESEA program during FY 2026 must submit a RESEA State Plan. The submission must contain all required information described in this UIPL and be submitted via Grants.gov, <https://www.grants.gov/> by **August 28, 2026**.
3. **Summary and Background.**
 - a. Summary – This UIPL provides general operating guidance for the RESEA program for FY 2026: (a) definitions for RESEA-specific terms; (b) funding details, including the base-funding formula for state grants, outcome payments, and evaluation set-aside costs; (c) program design requirements; (d) program operations, including the involvement of UI agency staff and performance reporting requirements; (e) evidence-based interventions and evaluation requirements; and (f) the RESEA State Plan submission process.

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This UIPL is published concurrently as a Training and Employment Guidance Letter (TEGL) No. 01-26. The jointly issued UIPL and TEGL reflect the shared responsibilities across UI and workforce programs in conducting RESEA activities. The content in these guidance documents is identical other than non-substantive changes to formatting.

- b. Background – The purposes of the RESEA program are identified in Section 306(b), Social Security Act (SSA):¹
- (1) To improve employment outcomes of UI benefit recipients and reduce the average duration of UI benefit receipt through employment;
 - (2) To strengthen program integrity and reduce improper UI benefit payments through the detection and prevention of such payments to ineligible individuals;
 - (3) To promote alignment with WIOA’s broader vision of increased program integration and service delivery for job seekers, including UI claimants; and
 - (4) To establish RESEA as an entry point for UI claimants into other workforce system partner programs.

UI and Workforce Integration. Due to its role in aligning and integrating service delivery across workforce system partners and its ability to facilitate the rapid reemployment of UI benefit recipients, RESEA is a component of the Administration’s *America’s Talent Strategy: Building the Workforce for the Golden Age*.² America’s Talent Strategy is a comprehensive plan to address the workforce needs of American companies through increased integration and accountability of workforce development programs, and creating alternative opportunities for upskilling and career advancement that are not limited to traditional 4-year college programs. RESEA is specifically identified as a core strategy of America’s Talent Strategy, Pillar II: Worker Mobility.

FY 2026 RESEA state plans must reflect and support *America’s Talent Strategy* by developing approaches that assist all people—regardless of skill level, education, or professional experience—to reenter and advance within the labor market. These approaches must enable participants to better understand their skills and career options, and take clear steps toward better jobs.

¹ Since 2005, the Department and participating state UI agencies have leveraged the voluntary UI Reemployment and Eligibility Assessment (REA) program and its successor, the voluntary RESEA program, to address individual reemployment needs of UI unemployment compensation (UC) claimants and to prevent and detect UI improper payments. The Bipartisan Budget Act of 2018, Public Law 115-123 (BBA), enacted on February 9, 2018, included amendments to the Social Security Act (SSA) creating a permanent authorization for the RESEA program. The RESEA provisions are contained in Section 30206 of the BBA, enacting new Section 306, SSA. The permanent RESEA program includes phased implementation that spans several years, as explained in UIPL No. 13-21, Section 3(b).

² <https://www.dol.gov/sites/dolgov/files/OPA/newsreleases/2025/08/Americas-Talent-Strategy-Building-the-Workforce-for-the-Golden-Age.pdf>

States have the opportunity for significant innovation in their RESEA program design, and it is critical that UI and Workforce partners in the state are working in tandem to reemploy UI claimants. While this UIPL describes certain minimum levels of service delivery, states are strongly encouraged to increase the percentage of UI claimants that are selected for RESEA, and to identify and integrate additional services that respond to the reemployment needs of UI claimants. States should also consider how RESEA can be integrated with other programs serving dislocated workers, including how RESEA can be used to facilitate the deployment of Learning and Employment Records (LER) and other mechanisms to verify skill attainment and accelerate employment mobility.³

Evidence-based Service Delivery. Beginning in FY 2023, states must, as required by Section 303(c)(2), SSA, dedicate a percentage of their RESEA funding to evidence-based interventions with a high or moderate causal evidence rating that improve employment and earnings outcomes for RESEA participants. For FYs 2025 and 2026, the required high or moderate evidence-based percentage is 40 percent. During FY 2026, states may continue to reference Reemployment and Eligibility Assessment (REA) studies with a high or moderate causal rating to meet the 40 percent evidence-based requirement. In FY 2027, the required high or moderate evidence-based percentage will increase to the permanent level of 50 percent.

To date, the majority of states have reported that they are pursuing whole program evaluations of RESEA. The Department recognizes the value of whole program evaluations and supports states' ongoing efforts. Additionally, causal evidence on RESEA program components will be necessary to meet future RESEA requirements. The Department's expectation is for states to continue to build and develop the RESEA evidence base by identifying new interventions and service strategies or evaluating current interventions, including those previously identified in REA studies. Given the dynamic nature of economic conditions and labor exchange activities, evaluations represent a key component in continuous learning, program improvements, and evidence building. This is discussed further in Sections 4(b)(iv) and 4(e) of this UIPL, as well as Attachment VI to this UIPL.

4. Guidance.

- a. **Definitions.** The definitions from last year's Operating Guidance will continue in FY 2026 with clarifying edits to "Initial RESEA" and "Subsequent RESEA" emphasizing when these activities may be considered completed. These definitions identify minimum levels of service delivery. States are strongly encouraged to identify and integrate additional services that respond to UI claimants' reemployment needs.

³ LERs are digital records that document a person's skills, education, work experience, and other life experiences such as volunteering, military service, or other critical skills for employment. They are often represented as badges or digital wallets and are verifiable, secure, and learner-controlled, meaning individuals can decide how and when to share their records with employers or educational institutions. LERs are built on open standards, such as W3C verifiable credentials or credential transparency description language.

- i. **Initial RESEA.** – The term “initial RESEA” refers to the first meeting between a RESEA service provider and a UI claimant who responded to an official notification of selection and required participation in RESEA services. As described in Section 4(d)(ii) of this UIPL, every RESEA participant must be scheduled for an initial RESEA. An initial RESEA meeting is considered “completed” when the following minimum-level of services have been provided:

- (1) A UI benefit eligibility review that is conducted on a one-on-one basis and includes a direct, substantive examination of the claimant’s compliance with program requirements. This includes reviewing actual logs and supporting documentation of the claimant’s reported work search activities for compliance with state law. Any potential, or identified, issue must be referred to UI for adjudication;
- (2) Customized labor market and career information based on an assessment of the claimant’s needs;
- (3) Co-enrollment in the Wagner-Peyser Act-funded ES program;
- (4) Support, to the extent needed, for the claimant in the development of an individual reemployment plan tailored to the claimant’s needs; and
- (5) Referral and information provided for additional reemployment services, and other American Job Center services, resources, and trainings (as appropriate).

States have discretion to include other services that are either required or optional for the claimant to complete, in accordance with state law, as part of their RESEA program.

- ii. **Subsequent RESEA.** States will determine the extent to which they require any subsequent RESEAs as part of their service delivery model in addition to referrals to reemployment services. The term “subsequent RESEA” refers to any follow-on RESEA meetings. The subsequent RESEA meeting is considered “completed” when the following services have been provided:

- (1) A UI benefit eligibility review that is conducted on a one-on-one basis and includes a direct, substantive examination of the claimant’s compliance with program requirements. This includes reviewing actual logs and supporting documentation of the claimant’s reported work search activities for compliance with state law. Any potential, or identified, issue must be referred to UI for adjudication; and
- (2) Review of the claimant’s activities to determine if additional assistance is needed to support the claimant’s return to suitable work at the earliest possible date. Additionally, if the claimant is required to search for work

as a condition of UI benefit eligibility, the state should provide any additional assistance necessary to support the claimant's compliance with the state's work search requirements.

Like the initial RESEA meeting, states have the opportunity for significant innovation in their RESEA program design, to require additional activities, services, or the number of additional subsequent meetings.

- iii. **Reemployment Services.** States must develop processes to refer RESEA participants to reemployment services, including those services provided using RESEA funding, and those provided through other programs. In support of RESEA's goal of greater alignment with WIOA, reemployment services include, but are not limited to, activities identified as career services under Section 134(c)(2), WIOA, and further detailed at 20 CFR 678.430, and LERs

Each state will identify the types and quantity of reemployment services provided but must ensure that its respective RESEA program design and the services provided supplement, rather than supplant, Federal, state, and local public funds that would otherwise be expended on reemployment services in the absence of a RESEA program.

- iv. **Remote Services.** Remote services are the provision of RESEA activities, including the initial and subsequent RESEA, using person-to-person technologies such as Teams, Zoom, FaceTime, or other similar applications, to directly connect a RESEA service provider staff member with a RESEA participant or, in some circumstances, a group of RESEA participants. The level and timeliness of these remote services must be comparable to the assistance staff would have provided in-person. States may provide RESEA services via telephone only in instances where in-person or person-to-person technologies are not available.
- v. **Virtual Services.** Virtual services are technology-based resources that allow portions of the RESEA sessions to be automated and accessed through self-service methods that do not require the direct engagement of RESEA service provider staff members. Examples of virtual service tools include pre-recorded orientations to American Job Center services, and online registration and assessment tools that assist a UI claimant in preparing for the initial RESEA. These virtual service delivery tools should be designed to increase RESEA program efficiencies, including to: (1) enhance the participants' experience with RESEA services; (2) complement the resources and services provided during the initial RESEA or subsequent RESEA; and (3) significantly expand the number of UI claimants who can be served under the RESEA program.
- vi. **Training.** In the context of RESEA, training includes training services identified under Section 134(c)(3), WIOA, and 20 CFR 680.200, as well as any other training program approved by the state's UI agency. It is expected that training resulting from a RESEA referral will align with the statutory purposes of RESEA by making use of

available labor market information, business services, and other resources to directly link the training to a specific job or in-demand occupation. RESEA funds may not be used to support training activities.

- b. **Funding.** The following section describes: (i) funding availability; (ii) the base-funding formula for state grants; (iii) allowable uses of these state grants; (iv) removal of administrative cost limitations; (v) evaluation set-aside funds; (vi) a note on the average costs of Initial RESEAs and Subsequent RESEAs; and (vii) the period of performance for these state grants. Allowable costs may not exceed the state's formula allocation, as provided in Attachment I.
- i. **Funding Availability.** The awards presented in this UIPL are subject to the availability of Federal funds. The amounts identified in Attachment I are based on the FY 2026 funding level of \$467,000,000 as identified in the Consolidated Appropriations Act, 2026, enacted on February 3, 2026, Public Law 119-75. The funding level is distributed across three funding streams:
- Eighty-nine (89) percent (\$415,630,000) awarded to states via the base-funding formula (see Section 4(b)(ii) of this UIPL);
 - Ten (10) percent (\$46,700,000) awarded to states for outcome payments (see Section 306(f)(2), SSA); and
 - One (1) percent (\$4,670,000) reserved by the Department to conduct research and provide technical assistance (see Section 306(f)(3), SSA).

Grant recipients may be required to revise budget documents prior to award execution to account for discrepancies among estimated funding availability, funding requests, and actual award amounts.

- ii. **Base-Funding Formula for State Grants.** The Department began in FY 2021 to determine each state's maximum RESEA base award using a formula allocation based on the state's Insured Unemployment Rate (IUR) and the size of its civilian labor force. The final RESEA base funding allocation formula was published in the *Federal Register* on August 8, 2019 (84 *Fed. Reg.* 39,018). Following the Congressional notification process in Section 303(g), SSA, the Department made a series of temporary formula modifications to the implementation of the RESEA base-funding formula and notified Congress of a permanent modification to the Department's methodology for calculating states' carry-over balances that are used to determine states' initial RESEA base formula allocations. An explanation of base-funding formula carry-over changes is provided in Section 4(b)(ii)(C) of this UIPL.

Attachment I provides state-level formula allocations of RESEA base-funding. Grant recipients may be required to revise budget documents prior to award execution to account for discrepancies among estimated funding availability, funding requests, and actual award amounts.

The formula allocation includes provisions intended to stabilize funding from year to year, and to incentivize the timely expenditure of RESEA funds. These include a hold harmless provision, minimum funding clause, and carry-over threshold.

- A. **Hold harmless.** In FY 2026, each state will receive no less than an amount equal to at least 95 percent of its FY 2025 allotment.
- B. **Minimum funding.** No state will receive an amount equal to less than 0.28 percent of the total available funding for FY 2021 RESEA's base-funding level, which is \$498,400.
- C. **Carry-over Limits.** The RESEA funding allocation formula, as required by Section 306(f), SSA, was published on August 8, 2019, in 84 *Fed. Reg.* 39,018. To ensure States' timely expenditure of RESEA funding, the formula initially allowed a state to carry over up to 30 percent of its previous FY's grant award into the new FY. If a state exceeded the 30-percent limit, the amount in excess of the 30-percent limitation would be reduced from its formula allocation and redistributed to other states that did not exceed the carry-over limitation. In December 2023, the Department, as required by section 306(g), SSA, notified Congress of a permanent change to the RESEA formula extending the time period used to assess and redistribute excess carry-over funds. Under the modified allocation formula carry-over is assessed and redistributed based on the expenditure of funds awarded in the FY before the preceding FY. Specific timelines for application of the RESEA carry-over limitation are provided in the below table, "Carry-over Provision Table."

The Department's assessment of states' carry-over balances reflects all base funding awarded to a state, including grant modifications allowing for revised funding requests and/or optional base funding allocations. Outcome Payments, awarded under Section 306(f)(2), SSA, are excluded from the carry-over threshold provision calculation. The timelines associated with implementation of the carry-over limit provision, including dates of assessment and timing of applicable reductions, are summarized in the following table.

Note: Unspent funds reported in RESEA State Plan Element 8a is a separate funding category and not a component of carry-over balance assessments.

Carry-over Provision Table			
Funding Reduction Applied	Award Year of Base Funding	Carry-Over Assessment Performed	Maximum Carry-over Percentage
FY 2026	FY 2023	9/30/2025	30 percent
FY 2027	FY 2024	9/30/2026	30 percent
FY 2028	FY 2025	9/30/2027	30 percent
FY 2029	FY 2026	9/30/2028	30 percent

***Outcome Payments will NOT be included in your carry-over calculations.**

- iii. **Allowable Use of Funds.** When a state applies for RESEA funding, the state agrees to integrate the RESEA program with other workforce partner programs, including services funded under WIOA and the Wagner-Peyser Act, and to co-enroll all RESEA participants into the ES program. RESEA funds must be used to support states in providing initial RESEAs, subsequent RESEAs (where determined appropriate by the state), and reemployment services (where determined appropriate by the state).

These funds must supplement the level of Federal, state, and local public funds that are already expended to provide reemployment services and eligibility assessments to individuals receiving UI benefits. Thus, only reemployment or other service costs that are a direct result of a claimant's participation in the RESEA program may be reimbursed using RESEA funds.

RESEA funds may not supplant UI grant funds devoted to other state UI program enforcement or program eligibility review activities. This includes Federal, state, or local funds devoted to providing reemployment services to UI claimants. Moreover, RESEA funds may not be used to pay for training services, or to purchase or pay for licenses for an assessment tool (e.g., the Transferable Occupation Relationship Quotient). Funding for RESEA service provider state staff may not be obligated for use at a future date, and the obligation may occur only at the time the staff performs services on the project.

Other allowable activities that support program integration and align with the America's Talent Strategy (see section 3(b) in Background of this UIPL), include, but are not limited to:

- Leveraging advanced technology-enabled tools to inform the development of customized reemployment plans and to more effectively use UI data to reduce UI duration;

- Using artificial intelligence and diverse data sets to generate and provide RESEA participants with individualized, local, and real-time labor market information and the quickest pathways to reemployment;
- Coordinating Federal, state, and local reemployment programs to increase worker mobility through multiple avenues, such as skills/abilities transferability, work-based learning, and stackable training, that can be adapted to meet the specific needs of RESEA participants; and
- Engaging with employers to develop industry-specific RESEA services and better target recruitment opportunities to qualified RESEA participants.

As provided in the terms and conditions that accompany the grant award, states must expend funds with the shortest period of availability first. For more information, please refer to term B(4) available here: [Terms and Conditions, https://www.dol.gov/sites/dolgov/files/ETA/grants/pdfs/FY-2026-CR-DOL-Federal-Award-Term-and-Conditions2.pdf](https://www.dol.gov/sites/dolgov/files/ETA/grants/pdfs/FY-2026-CR-DOL-Federal-Award-Term-and-Conditions2.pdf). This grant management best practice ensures that funds are spent and disbursed before their expiration and mitigates potential impacts associated with RESEA carry-over limits (See Carry-Over Limits in section 4(b)(ii)(C) of this UIPL).

- iv. **Administrative Costs.** RESEA requirements do not include a limit on administrative costs, but ETA continues to collect and review administrative cost data as part of the annual RESEA state plan approval process. This collection and review is intended to ensure administrative costs are reasonable, limited to supporting RESEA, and aligned with the activities identified in RESEA state plans submissions.
- v. **Evaluation Set-Aside Funds.** Beginning in FY 2023, states have been required to link a percentage of their RESEA funding to evidence-based components with a high or moderate causal rating that show a demonstrated capacity to improve employment and earnings outcomes for program participants. This percentage will incrementally increase through FY 2027. For FY 2026, states must demonstrate that 40 percent of the RESEA grant award is used for interventions with a causal rating of high or moderate. States must continue to conduct such evaluations to build the necessary evidence to support successful RESEA programs and interventions in the future.

Section 306(d), SSA, allows states to use up to 10 percent of their RESEA grant funds (including the base grant, outcome payment amount, and subsequent base-funding formula allocation (when applicable)) to conduct or commission evaluations of interventions and service delivery strategies used in carrying out their RESEA programs. If a state finds that the evaluation funding from a single year is insufficient to conduct a rigorous evaluation needed to build evidence-based strategies, the state is encouraged to explore multi-year funding strategies, and/or collaborate and pool its funds with other states. Additional details are provided in Section 4(e) of this UIPL.

Evaluation costs must be reported quarterly in the comment section of the ETA-9130 Financial Report, which is available at <https://www.dol.gov/agencies/eta/grants/management/reporting>.

As states approach completing evaluation(s), states must begin planning for evaluation reports and documenting information collected and lessons learned from the evaluation. To be included in the national RESEA evidence base, states must document the interventions/deliveries being tested, the context of the evaluation, and document impact analysis with significant statistical detailed information. Additional information can be found in the [Reemployment Services and Eligibility Assessment \(RESEA\) Evaluation Toolkit](https://www.dol.gov/resource-library/reemployment-services-and-eligibility-assessments-resea-evaluation-toolkit), <https://www.dol.gov/resource-library/reemployment-services-and-eligibility-assessments-resea-evaluation-toolkit> . Updated RESEA evaluation and evidence resources are available in [WorkforceGPS](https://rc.workforcegps.org/), <https://rc.workforcegps.org/>.

Please see Attachment VI of this UIPL, RESEA Program Evaluation Reports Appendix, for more details regarding recommendations for consistent study reporting.

- vi. **Average Cost of Initial RESEA and Subsequent RESEA.** The RESEA state plan template no longer requires states to report their average costs of Initial RESEA and Subsequent RESEA meetings. However, states must continue to provide information about how the Initial RESEA and, if applicable, Subsequent RESEA services are provided.
- vii. **Period of Performance for State Grants.** For operational purposes, states are strongly encouraged to continue designing and operating their RESEA programs based on a calendar year schedule. However, the period of performance for FY 2026 RESEA funds is 2.5 years. This is a start date of January 1, 2026 and project end date of June 30, 2028. To ensure sufficient time for grant closeout activities, extensions to this period of performance will not be considered except in unusual circumstances.

RESEA grant recipients must liquidate all financial obligations incurred under the Federal award no later than 120 calendar days after the conclusion of the period of performance (2 CFR 200.344(c)). States are to refer to their Notice of Award (NOA) for additional details.

- c. **Program Design.** The following section describes: (i) UI claimant populations that are covered by the RESEA program; (ii) the intersection of the RESEA and Worker Profiling and Reemployment Services (WPRS) programs; (iii) ensuring participant confidentiality in group sessions; (iv) required RESEA services; (v) integration with WIOA, Wagner-Peyser, and other workforce-funded services; and (vii) additional resources to support program implementation.
- i. **Covered Populations.** States are strongly encouraged to increase the percentage of UI claimants that are selected for RESEA. The Building on Reemployment Improvements to Deliver Good Employment for Workers Act, Public Law 118-120

(BRIDGE Act), enacted on November 25, 2024, expands RESEA eligibility in Section 306(a), SSA, to include all claimants for regular compensation, including claimants referred to reemployment services as described in Section 303(j), SSA. Refer to Section 4(c)(ii) of this UIPL for additional details on the population of UI claimants identified in accordance with Section 303(j), SSA.

Historically, states were directed to exclude from RESEA selection those UI claimants who have a definite return-to-work date, who secure work only through a union hiring hall, or who are in approved training. Beginning in FY 2020 and continuing into FY 2026, states are permitted to expand RESEA services to these populations if doing so aligns with the four purposes of the RESEA program identified in Section 3(b) of this UIPL and applicable state laws.

If a state later identifies capacity to expand RESEA services beyond the target population identified in its approved RESEA State Plan, the state may request a modification to its program to include additional populations of recipients of regular UI benefits. Section 4(f)(iv) of this UIPL provides instructions for requesting grant modifications.

- ii. **Intersection of the RESEA and Worker Profiling and Reemployment Services (WPRS) Programs.** Section 303(j), SSA, requires that states evaluate all new UI claimants to identify those who are most likely to exhaust UI benefits, refer such individuals to reemployment services, and collect follow-up information related to employment outcomes for these individuals; this is known as the WPRS program. See [UIPL No. 41-94](#) for additional details on WPRS program requirements.⁴

A state that is selecting UI claimants identified as most likely to exhaust UI benefits in accordance with Section 303(j), SSA, for participation in the RESEA program will have met the WPRS requirements and does not need to provide a separate WPRS program. Additionally, a state that includes these UI claimants identified in accordance with Section 303(j), SSA, along with other UI claimant populations will also have met the WPRS requirements if both of the following conditions are satisfied: (1) claimants selected in accordance with Section 303(j), SSA, are provided priority over the other populations; and (2) RESEA services are provided statewide.

States that select UI claimants for participation in the RESEA program in accordance with Section 303(j), SSA, but do not provide RESEA statewide, must continue to provide a WPRS program in areas not served by RESEA. Additionally, states that do not serve individuals identified as most likely to exhaust UI benefits in accordance with Section 303(j), SSA, as part of their RESEA program must operate a separate WPRS program to serve these claimants. States operating separate WPRS programs

⁴ As stated in Section 8(c)(1) of UIPL No. 41-94, when identifying UI claimants that are most likely to exhaust UI benefits, states must not produce results that discriminate in violation of any Federal or state law, or which otherwise unfairly favor some claimants over those similarly situated with respect to their need for reemployment services. The Department has advised states that they may not use the following characteristics in their selection process: age, race, ethnic group, sex, color, national origin, disability, religion, political affiliation or belief, and citizenship.

under Section 303(j), SSA, may not use funds appropriated for RESEA activities to administer a WPRS program.

- A. **Resources to strengthen state data analytics in identifying UI claimants who are most likely to exhaust UI benefits.** States seeking assistance with this data analytics model may reference [TEN No. 37-15](#) or contact OUI.RESEA@dol.gov.
 - B. **Reporting instructions for RESEA State Plan.** Element 17 of the RESEA State Plan, Selection of RESEA Participants (see Attachment II of this UIPL), collects information about states' selection methodology.
- iii. **Ensuring RESEA Participant Confidentiality in Group Sessions.** The name of a UI claimant, along with the fact that the individual filed a claim for UI benefits, is UI information and subject to UI confidentiality requirements. Many states conduct portions of their RESEA services in group settings to expand RESEA availability to additional UI claimants and more efficiently utilize their RESEA service provider staff. States that incorporate group RESEA services must ensure necessary policies and procedures are in place to safeguard confidential UI information, including the identity of RESEA participants. Examples of such policies may include but are not limited to: not requiring participants to be on camera and/or display their full name during a group video call; conducting any identity verification or attendance confirmation in an individual and private manner; securing signed informed consent agreements prior to RESEA group orientations; and offering alternatives, such as individual sessions.
- iv. **Required RESEA Services.** Two of the primary goals for the RESEA program are to reduce duration of UI benefits receipt through improved employment outcomes and ensure that individuals claiming UI benefits continue to meet eligibility requirements. To support these goals, every RESEA participant must be scheduled for an initial RESEA. States may choose to also include a combination of subsequent RESEAs and reemployment services. Initial RESEA, subsequent RESEA, and reemployment services are defined in Section 4(a)(i) and (ii) of this UIPL.
- v. **Integration with WIOA, ES, and Other Workforce-Funded Services.** One of the statutory goals of the RESEA program is to serve as an entry point for individuals receiving UI benefits into other workforce system partner programs. State RESEA programs must support this goal by ensuring that the RESEA program is integrated into the workforce system broadly to enable RESEA participants access to the full range of services offered through the state's one-stop delivery system. States are encouraged to find the most effective methods in making referrals to additional reemployment services. States are particularly encouraged to consider how RESEA can be integrated with other programs serving dislocated workers including, but not limited to, the ES program (co-enrollment is required with the ES program as described in Section 4(d)(iv) of this UIPL), Dislocated Worker Grants, Registered Apprenticeship, Jobs for Veterans State Grants, and the WIOA Adult and Dislocated Worker programs. Appropriate memoranda of understanding (MOUs) must be in

place among state UI and workforce agencies that are responsible for conducting RESEA tasks as described in the state's approved RESEA State Plan.

- vi. **Additional Resources to Support Program Implementation.** States are encouraged to visit the Department's Reemployment Connections Resource Page at <https://rc.workforcegps.org/> for an inventory of active RESEA guidance, technical assistance resources, recorded webinars, and other tools to assist with RESEA planning, performance reporting, evidence-building and service delivery.
- d. **Program Operations.** The following section describes: (i) the required engagement of UI staff in RESEA program operations; (ii) claimant participation in RESEA activities and rescheduling; (iii) the communication feedback loop with UI staff for adjudication activities; (iv) the requirement that claimants be co-enrolled in the ES program; (v) state performance reporting; and (vi) program disruption.
 - i. **Required Engagement of UI Staff.** UI staff members must be engaged in the administration of the RESEA program. At a minimum, this engagement must include:
 - (1) Participating in the planning, administration, and oversight of the RESEA program;
 - (2) Providing all appropriate staff training on UI eligibility requirements;
 - (3) Ensuring the ETA 9128 Workload Report, ETA 9129 RESEA Outcomes Report, ETA 9130i Financial Report, ETA 9178 Narrative Report, and RESEA participant counts identified in the Employment Service ETA 9173 and ETA 9169 performance reports, are completed accurately and submitted to the Department on time; and
 - (4) Conducting eligibility determinations and redeterminations resulting from issues identified through RESEA participation.
 - ii. **Claimant Participation in RESEA Activities and Rescheduling.** Once a state notifies claimants of their selection to participate in the RESEA program, participation is a mandatory condition of UI eligibility. This includes: (1) the initial RESEA; (2) any subsequent RESEAs; and (3) any reemployment services to which claimants are referred.

A claimant who contacts the appropriate agency before the RESEA appointment and requests to change the scheduled RESEA date or time for good reason, such as scheduled job interviews, should be accommodated within reason. Many states are developing or have already implemented self-scheduling of RESEA sessions. The Department strongly encourages states to use this option due to its efficiency and proven ability to increase program participation and decrease no-show rates. States utilizing this strategy need to develop policies that prevent excessive rescheduling

that substantially delays or allows a claimant to circumvent participation in RESEA activities.

The Department issued [UIPL No. 01-16](#) to remind states of the requirements of Federal law pertaining to protecting individual rights in state procedures. As discussed in Section 4(h) of [UIPL No. 01-16](#): “If an individual fails to report as required, the state may apply (subject to any applicable procedural protections for individuals) its law’s provisions on ineligibility for [UI benefits] due to failure to report until the individual complies with the reporting requirement.” States are advised that an individual may not be found ineligible for UI benefits for failure to report for any week in which no RESEA services were available.

- iii. **Required UI Feedback Loop and Adjudication.** RESEA service provider staff must document and refer all failures to report or participate in any required aspect of the RESEA program, and all potential eligibility issues, to the state UI agency for adjudication under the applicable state law. A feedback loop allowing secure and timely communication between RESEA service provider staff and the UI agency is required.
- iv. **Required Co-Enrollment in ES Program.** The Department requires that RESEA participants be co-enrolled in the Wagner-Peyser Act-funded ES program as part of the initial RESEA. This is to promote the integrated service delivery described in Section 4(c)(v) of this [UIPL](#). This practice also supports capturing RESEA program outcome information through the Workforce Integrated Performance System (WIPS) to streamline WIOA and Wagner-Peyser Act reporting.

As part of this enrollment, each RESEA participant must be appropriately identified in ES case management and performance reporting systems as “referred by RESEA.” Given the structure of the state’s workforce system, co-enrollment in WIOA Dislocated Worker or other available programs may also be appropriate but is not a requirement of this grant.

- v. **Performance Reporting.** There are four mechanisms under which RESEA performance will be monitored: A. RESEA performance reporting measures obtained from the WIPS; B. the state’s submission of their quarterly ETA 9128 and ETA 9129 reports; C. the state’s ETA 9178 Quarterly Narrative Progress Report; and D. the State Quality Service Plan (SQSP).

A. RESEA Performance Reporting. To support employment goals for UI claimants and the vision of WIOA for a set of primary indicators of performance to be used across workforce development programs, the following measures will assess state performance related to employment and earnings:

- (1) Reemployment rate in the 2nd quarter after program exit quarter for RESEA program participants;
- (2) Median earnings in the 2nd quarter after program exit quarter for RESEA participants; and
- (3) Reemployment rate for all UI-eligible participants in the 2nd quarter after program exit quarter.

The data to support these performance measures will be derived from the WIPS. There is no additional reporting burden to states. See UIPL No. 07-21 for additional details.

- B. ETA 9128, ETA 9129 and other required RESEA reports.** Performance reporting for FY **2026** consists of the ETA 9128, Reemployment and Eligibility Assessment Workload, and ETA 9129, Reemployment and Eligibility Assessments Outcomes (Office of Management and Budget (OMB) Control No. 1205-0456). These reports are under OMB review and the control number will have a month-to-month expiration date until the OMB review process is completed. All RESEA-related performance reports are due on the 20th day of the second month following the end of the reporting quarter. Additional information and cell definitions are provided in Employment and Training [\(ET\) Handbook No. 401, 5th Edition](#), and [UIPL 09-24, Revisions to the ETA 9128 Reemployment Services and Eligibility Assessment \(RESEA\) Workload Quarterly Report \(OMB Control No. 1205-0456\)](#).

Each quarter, all required RESEA reports must be reviewed by state UI program staff for accuracy and to ensure timely submission. Additional information about the state UI program's required role in RESEA reporting is provided in Section 4(d)(i)(3) of this UIPL.

Additionally, the ETA 9128 RESEA Workload report was revised to capture subsequent data (items 2b, 3b, and 15b) and adjust failure to report rates to account for individuals selected for RESEA who, before the initial RESEA appointment, were determined to be incorrectly selected or ineligible for RESEA participation (item 1a). These revisions are further discussed in UIPL No. 09-24.

As stated in UIPL No. 09-24, states were expected to fully implement the revised ETA 9128 Reemployment Services and Eligibility Assessments Workload report no later than February 20, 2025. This includes states that do not conduct subsequent RESEA meetings that will report using ETA 9128 items 1a, 2a, 3a, and 15a.

- C. Quarterly Narrative Progress Report (ETA 9178).** States must submit the ETA 9178 (OMB Control No. 1205-0517, expiration date May 31, 2027) to

their ETA Regional Office no later than the 20th day of the second month following the end of the reporting quarter. In the context of RESEA, the ETA 9178 is used to capture information about RESEA activities identified in the state's approved state plan but not reflected in required quarterly activity reports. Additional information about the ETA 9178 is provided in UIPL No. 05-19.

D. State Quality Service Plan (SQSP). The reemployment core measure is the Reemployment Rate in the 2nd Quarter after Program Exit for RESEA participants; this was introduced in December 2020 and implemented for FY 2025 (See [UIPL No. 07-21](#)). Each state that does not meet its performance target for the Reemployment Rate in the 2nd Quarter after Program Exit, for three consecutive years, will be required to submit a corrective action plan. To accommodate time necessary to capture RESEA outcomes, the FY 2027 SQSP process will assess RESEA performance using outcome data for FYs 2023-2025. FY 2026 RESEA reemployment targets, which will also be used to determine outcome payment eligibility, will be published in a separate TEN.

vi. **Program Disruptions.** States are to notify their Regional Federal Project Officer and the National Office immediately if they foresee or encounter any disruption or substantial change in RESEA program operations, such as suspensions in operations, organizational changes, or information technology issues impacting RESEA reporting or participant selection.

e. **Evidence-Based Interventions and Strategies and Evaluation Requirements.** Interventions and service delivery strategies funded by RESEA grant funds must demonstrate the program's ability to reduce the average number of weeks participants receive UI benefits by improving employment outcomes, as set out in Section 306(c)(1), SSA. Beginning in FY 2023, a growing percentage of RESEA funds must be used to support only those interventions and service delivery strategies with a "high" or "moderate" causal evidence rating.

This section discusses: (i) a tiered evidence approach; (ii) expectations for conducting evaluations; (iii) opportunities for states to partner on impact evaluations; (iv) leveraging evidence across states; and (v) additional technical assistance available to states.

i. **Tiered Evidence Approach.** The phrase "tiered evidence" refers to a policy tool that ties funding to strategies with evidence, to encourage the use of interventions or service delivery strategies that have strong evidence of success, and to test promising new interventions and service-delivery strategies. Section 306(c), SSA, includes this tiered evidence approach for the RESEA.

The goals of using tiered evidence are to ensure that each state: (1) employs RESEA interventions and service delivery strategies that, based on rigorous evaluations, are known to reduce UI benefit duration through improved employment outcomes for

participants; and (2) evaluates its RESEA interventions and service delivery strategies to add to the evidence base and advance continuous improvement of RESEA services.

ETA provided preliminary guidance regarding the evidence-based strategies and evaluation provisions in UIPL No. 07-19. Building on this, UIPL No. 01-20 (also issued as TEGL No. 06-19) provided further information on the RESEA evidence-based requirements including:

- a description of how the Department rates a study's evidence quality through its Clearinghouse for Labor Evaluation and Research (CLEAR);
- the standards for rating RESEA intervention effectiveness and relevant interventions that currently meet those standards;
- RESEA components that are in need of expanded evidence; and
- potential evaluation approaches and strategies for carrying out evaluations.

These documents also identify resources on existing evidence and can help states initiate rigorous high-quality evaluations.

ii. Evaluation and Evidence-Base Expectations for FYs 2024-2027. States must align their FY 2026 RESEA State Plans and program design with the evaluation and evidence-based requirements and guidance identified in UIPL No. 01-20.

- A. **Existing evidence.** The Department currently allows states to meet the requirements of Section 306(c), SSA, by applying evidence that demonstrates the effectiveness of three intervention categories: the REA program (High Causal Rating), Job Search Assistance (JSA) Services (Moderate Causal Rating), and Profiling interventions (Moderate Causal Rating). These categories are discussed in more detail in Section 9 of UIPL No. 01-20.

The REA program was replaced by the RESEA program in FY 2015 (see UIPL No. 13-15). Permission for states to rely on the REA intervention category (and the related causal evidence that supports its "high" effectiveness rating) to meet RESEA requirements is temporary and will be phased out and replaced by new, more precise intervention categories as more RESEA evidence becomes available. This phasing-out reflects structural differences between the REA and RESEA programs and the inherently evolving nature of an evidence-based program design, where programs continually adapt based on available evidence to improve program results. The timeframe for the final phasing-out of the REA intervention category will be announced in future guidance.

- B. **Building future evidence to support whole program service delivery and components of service delivery.** RESEA program components that were not

evaluated in previous impact studies should be under evaluation to develop the evidence base necessary to satisfy future RESEA tiered-evidence program requirements. To meet future evidence-based requirements, all states are expected to continue to conduct RESEA evaluations.

To date, the majority of states have reported that they are pursuing whole program evaluations of RESEA. The Department recognizes the value of whole program evaluations and supports states' ongoing efforts. Additionally, causal evidence on RESEA program components will be necessary to meet future RESEA requirements. Moving forward, the Department strongly encourages states to develop new evaluations or to modify current evaluations to build causal evidence on specific RESEA components as well. The Department's expectation is for states to continue to build and develop the RESEA evidence base by identifying new interventions and service strategies or evaluating current interventions, including those previously identified in REA studies. Given the dynamic nature of economic conditions and labor exchange activities, evaluations represent a key component in continuous learning, program improvements, and evidence building.

- C. **Evidence-based funding requirements.** Beginning in FY 2025 and continuing in FY 2026, states must devote at least 40 percent of their RESEA funds to interventions with a high or moderate causal evidence rating that shows demonstrated capacity to improve employment and earnings outcomes for program participants. Beginning in FY 2027, the evidence-based funding requirement will permanently increase to 50 percent.

- iii. **State Partnering on Impact Evaluations.** As part of evaluation planning and implementation, states are strongly encouraged to explore potential evaluation partnerships with other states with a goal of conducting a single evaluation that would answer research questions and build evidence on RESEA programs for all involved states. These partnerships could allow for increased efficiencies, pooling of resources, and larger sample sizes necessary to collect evidence at a program component level. For assistance locating potential partner states, please contact the Department's RESEA Evaluation Technical Assistance (TA) Help Line at RESEA@abtglobal.com.
 - iv. **Evaluation Technical Assistance.** The Department is committed to the ongoing improvement of RESEA programs by generating evidence that can inform each state's program design and development. To assist each state with this evidence-building process, ETA has been working closely with the Department's Chief Evaluation Office to provide a wide range of evaluation technical assistance through a combination of general resources, such as webinars, toolkits, and customized technical assistance that is tailored to the unique needs of a specific state.
- A. **Ad-hoc customized RESEA evaluation technical assistance.** States may contact the Evaluation TA Help Line at RESEA@abtglobal.com to request ad-

hoc customized evaluation technical assistance. Available customized technical assistance includes but is not limited to:

- Technical advice on evaluation designs that meet CLEAR high or moderate evaluation standards (See Section 4(e)(i) of this UIPL);
- Technical and logistical advice on evaluation implementation, including challenges that may occur after an evaluation begins;
- Tips for procuring an independent, third-party evaluator;
- Help with research questions and determining appropriate evaluation design;
- Assistance in identifying other states interested in conducting multi-state evaluations and assisting in laying the groundwork for those partnerships;
- Information on specific RESEA evaluation topics (e.g., how to implement random assignments in your information systems, staff training on how to implement random assignments, and more);
- Assistance with data-related questions and issues, such as data access or quality issues, identifying fields relevant to service delivery and the evaluation; and
- Support with meeting CLEAR's standards.

B. **Role of the Department's Clearinghouse.** As described in Section 6 of [UIPL No. 01-20](#), the Department will leverage CLEAR to identify evaluations in the evidence base that are relevant to the RESEA program and determine which impact studies have high, moderate, or low causal evidence ratings. As more causal evidence becomes available, it is the Department's intent that CLEAR's RESEA intervention categories will be updated and become more precise over time, so states can use the best available evidence to improve programs for their participants.

To facilitate this evolution, the Department anticipates that CLEAR will conduct periodic systematic evidence reviews to find, summarize, and rate reemployment studies and related RESEA interventions. To do this, it is important that states and their independent evaluators make RESEA program evaluation reports publicly available. States and their evaluators are invited to notify CLEAR when and where new studies are publicly posted, by contacting CLEAR at <https://clear.dol.gov/reemployment-services-and-eligibility-assessments-resea>.

f. **RESEA Grant Application Process.** This section discusses: (i) the RESEA State Plan package; (ii) what happens with disapproved state plans; (iii) available technical

assistance during the grant submission process; and (iv) the process for requesting a grant modification. Additional information on Uniform Guidance, standard terms and conditions, and other grant resources is available at [ETA Grant Resources](https://www.dol.gov/agencies/eta/grants/resources), <https://www.dol.gov/agencies/eta/grants/resources>.

Each state must submit a plan that outlines how the state intends to conduct its RESEA program, as required under Section 306(e), SSA, to receive RESEA grant funds. Plans must be submitted by the deadline of August 28, 2026 via <https://www.grants.gov/> and must be also emailed to OUI.RESEA@dol.gov. A timely and complete submission includes all required and correctly completed documents described below by August 28, 2026. Incomplete submissions may be disapproved as described in Section 4(g)(ii) of this UIPL.

If a submitted RESEA State Plan package is complete but includes minor deficiencies, ETA will transmit a formal request for technical clarifications (technical clarifications letter) identifying any deficiencies and providing specific guidance and instructions for corrections. Responses to the technical clarifications letter must provide specific information that directly addresses the identified deficiencies. Unless otherwise directed, technical clarifications responses must be provided in a separate letter—Do not submit a revised RESEA state plan. The Department will integrate a state’s technical clarifications response letter into the final grant agreement. Failing to respond to a technical clarifications letter will result in a disapproved state plan package.

- i. **RESEA State Plan Package.** A complete submission must include the following documents and be fully and correctly completed as described:

Document 1 -- RESEA State Plan Template (Attachment II):

A complete RESEA State Plan approved by the Department is a precondition of RESEA grant funding. Every element of the state plan template requires a response. Please note the RESEA State Plan template has been substantially revised for FY 2026 and earlier versions of the template will not be accepted.

- All responses to the state plan elements are to be complete and accurate;
- Answers must include sufficient detail and contextual information regarding how the state implemented its RESEA program during FY 2025 and the planned activities for FY 2026;
- If there were any challenges and changes during FY 2025 that deviated from planned activities identified by your FY 2025 approved plans, please provide this contextual information and any planned corrective actions within your FY 2026 state plan narratives; and
- Incomplete responses to RESEA state plan elements will result in a technical clarifications letter.

Documents 2 and 3 -- SF-424 Application for Federal Assistance and SF-424A Budget Information – Non-Construction Programs

These are required documents and must be complete and correct before funds will be awarded. See Attachment IV of this UIPL for further instructions and guidance on completing the forms.

In completing the SF-424 and SF-424A, please be mindful of the following:

- States must use the current version of the forms available at <https://www.grants.gov/forms/forms-repository/sf-424-family>;
- The requested dollar amount must be consistent across all required documents in the RESEA State Plan Package—any inconsistencies will result in the Department suspending its review and returning the state plan package for immediate correction; and
- The requested dollar funding amount must be a whole number and not exceed the state’s maximum base allotment as identified in Attachment I of this UIPL.

Document 4 -- Indirect Costs (2 CFR 200.414):

If the state is including indirect costs in the budget, it must provide the approved indirect cost rate with a copy of the current Negotiated Indirect Cost Rate Agreement (NICRA), a description of the base used to calculate indirect costs along with the amount of the base, and the total indirect costs requested.

The Department’s information on NICRA is available at the following link: <https://www.dol.gov/agencies/oasam/centers-offices/office-of-the-senior-procurement-executive/cost-price-determination-division> and under 2 CFR 200.414(c).

- ii. **Disapproved RESEA State Plans.** Plans that do not meet the required elements will not be approved for RESEA grant funds. States with unapproved plans will receive notification within 30 days of the Department’s receipt of the plan and be given the opportunity to submit revisions.

The revision(s) must address the deficient items provided in the written disapproval notification, which will describe any portion(s) of the plan that was not approved, the reason for the disapproval(s), and instructions for submitting revisions. The revisions identified in the technical clarifications letter by the Department are not optional, and states must provide responses for the state plan to be approved. States refusing to provide responses may be disapproved for FY 2026 RESEA grant awards.

iii. Grant Submission Process and Technical Assistance.

States must submit their RESEA State Plan package, including all required documents identified in Section 4(f)(i) of this UIPL, via [Grants.gov](https://grants.gov), www.grants.gov and by email to OUI.RESEA@dol.gov.

Failure to submit to both grants.gov and OUI.RESEA@dol.gov will result in delays and possible disapproval.

If there are technical issues or challenges with the grants.gov submission, immediately notify OUI.RESEA@dol.gov, your Regional ETA RESEA Federal Project Officer (FPO), and contact grants.gov customer support at 800-518-4726 (U.S.)/606-545-5035 (International) or email at support@grants.gov. Attachment III of this UIPL has more detailed instructions about submitting an application through <https://www.grants.gov/>.

iv. Grant Modification Requests. Any changes sought to the information and/or activities included in an approved RESEA State Plan during the grant's period of performance must be documented in a modification request that is submitted to the Department via the state's assigned FPO.

The FPO will work with the state to determine the appropriate type of modification, the applicable timeline, points of contact, and other requirements. Modification requests must provide the following information:

- Modification request written on grantee's official letterhead, dated and signed by an authorized representative;
- Letter's subject line includes modification type, grant name, grant number;
- Sufficiently detailed description of amendment request, including but not limited to revised budget narrative, timeline for activities, anticipated impact on RESEA participation rates or services provided, and, if applicable, revised timeline for obligation of grant funds; and
- If needed, revised financial documents including SF-424, SF-424A, and budget narrative.

5. Inquiries. Please direct inquiries to the appropriate ETA Regional Office and copy OUI.RESEA@dol.gov. For technical questions about evaluations please contact RESEA@abtassoc.com and for questions about grants.gov contact support@grants.gov.

6. References.

- America’s Talent Strategy: Building the Workforce for the Golden Age, <https://www.dol.gov/sites/dolgov/files/OPA/newsreleases/2025/08/Americas-Talent-Strategy-Building-the-Workforce-for-the-Golden-Age.pdf>;
- Sections 303(j) and 306, SSA, 42 U.S.C. 503(j) and 506;
- Bipartisan Budget Act of 2018, enacted February 9, 2018, Pub. L. 115-123;
- H.R.7148, Consolidated Appropriations Act, 2026, enacted February 3, 2026, Pub. L. 119-75;
- Fiscal Responsibility Act of 2023, enacted June 3, 2023, Pub. L. 118-5, enacted March 15, 2025, Pub. L. 119-4;
- Building on Reemployment Improvements to Deliver Good Employment for Workers (BRIDGE) Act, enacted November 2, 2024, Pub. L. 118-120;
- Workforce Innovation and Opportunity Act (WIOA), enacted July 22, 2014, Pub. L. 113-128;
- 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards;
- 20 CFR Part 678, Description of the One-Stop Delivery System under Title I of the Workforce Innovation and Opportunity Act;
- 20 CFR Part 680, Adult and Dislocated Worker Activities under Title I of the Workforce Innovation and Opportunity Act;
- 20 CFR Part 683, Administrative Provisions under Title I of the Workforce Innovation and Opportunity Act;
- 86 *Fed. Reg.* 57,856, Notice Announcing the Methodology to Distribute Outcome Payments to States for the Unemployment Insurance (UI) Reemployment Services and Eligibility Assessments (RESEA) Program in Accordance with Title III, Section 306(f)(2) of the Social Security Act (SSA), published October 19, 2021;
- 84 *Fed. Reg.* 39,018, Allocating Grants to States for Reemployment Services and Eligibility Assessments (RESEA) in Accordance with Title III, Section 306 of the Social Security Act (SSA), published August 8, 2019;
- UIPL No. 09-24, *Revisions to the ETA 9128 Reemployment Services and Eligibility Assessment (RESEA) Workload Quarterly Report (OMB No. 1205-0456)*, issued March 7, 2024, [UIPL 09-24 | U.S. Department of Labor](#);
- UIPL No. 07-21, *Performance Measures for Reemployment Services and Eligibility Assessments (RESEA) and Unemployment Insurance (UI) participants*, issued December 17, 2020, [UIPL No. 07-21 | U.S. Department of Labor](#);
- UIPL No. 01-20/TEGL No. 06-19, *Expectations for States Implementing the Reemployment Service and Eligibility Assessment (RESEA) Program Requirements for Conducting Evaluations and Building Program Evidence*, issued October 31, 2019, [UIPL No. 01-20 | U.S. Department of Labor](#); [TEGL No. 06-19 | U.S. Department of Labor](#);
- UIPL No. 07-19, *Fiscal Year (FY) 2019 Funding Allotments and Operating Guidance for Unemployment Insurance (UI) Reemployment Services and Eligibility Assessment (RESEA) Grants*, issued January 11, 2019, [UIPL No. 07-19 | U.S. Department of Labor](#);

- UIPL No. 05-19, *Form ETA 9178 for Employment and Training Supplemental Grant Reporting*, issued December 19, 2018, [UIPL No. 05-19 | U.S. Department of Labor](#);
- UIPL No. 13-15, *Fiscal Year (FY) 2015 Unemployment Insurance (UI) Reemployment Services and Eligibility Assessment (RESEA) Grants*, issued March 27, 2015, [UIPL No.13-15 | U.S. Department of Labor](#);
- UIPL No. 41-94, *Unemployment Insurance Program Requirements for the Worker Profiling and Reemployment Services System*, issued August 16, 1994, https://oui.doleta.gov/dmstree/uipl/uipl94/uipl_4194.htm;
- ETA Handbook No. 401, 5th Edition, *Revised ET Handbook No. 401, Unemployment Insurance Reports Handbook*, [ET HANDBOOK No. 401, 5th Edition | U.S. Department of Labor](#);
- TEN No. 37-15, *Availability of Assistance Building Profiling Models to Identify Unemployment Insurance Claimants Most Likely to Exhaust Benefits*, issued June 3, 2016, [TEN No. 37-15 | U.S. Department of Labor](#);
- Office of the Assistant Secretary for Administration and Management Cost & Price Determination Division, available at <https://www.dol.gov/agencies/oasam/centers-offices/office-of-the-senior-procurement-executive/cost-price-determination-division>; and
- ETA Grant Resources, <https://www.dol.gov/agencies/eta/grants/resources>.

7. **Attachments.**

- Attachment I: Fiscal Year (FY) 2026 Unemployment Insurance (UI) Reemployment Services and Eligibility Assessments (RESEA) Base Funding Grant Allocations by State
- Attachment II: Elements of an Unemployment Insurance (UI) Reemployment Services and Eligibility Assessment (RESEA) Grant State Plan
- Attachment III: Additional Grants.gov Submission Instructions
- Attachment IV: Additional Guidance for Completing the SF-424
- Attachment V: Reemployment Services and Eligibility Assessments (RESEA) Program Evaluation Report Recommendations to Support National Analyses
- Attachment V: Reemployment Services and Eligibility Assessment (RESEA) Program Evaluation Report Recommendations to Support National Analyses

Fiscal Year (FY) 2026 Unemployment Insurance (UI) Reemployment Services and Eligibility Assessments (RESEA) Base Funding Grant Allocations by State

State	FY 2025 Maximum Base Award	FY 2026 Maximum Base Award
Alaska	881,567	837,888
Alabama	1,608,295	2,107,143
Arkansas	1,826,301	1,734,986
Arizona	4,173,688	5,568,523
California	73,713,119	84,623,334
Colorado	5,127,185	6,840,676
Connecticut	4,665,488	6,159,551
District of Columbia	892,985	923,130
Delaware	861,638	1,149,595
Florida	8,107,437	7,893,803
Georgia	7,871,805	7,478,215
Hawaii	1,657,730	1,574,844
Iowa	2,250,072	3,002,040
Idaho	1,032,067	1,376,981
Illinois	17,217,514	22,380,684
Indiana	4,764,519	5,109,491
Kansas	1,357,294	1,755,973
Kentucky	1,938,770	2,206,084
Louisiana	3,083,451	2,929,278
Massachusetts	11,151,732	14,312,052
Maryland	4,862,660	6,009,072
Maine	1,080,260	1,384,252
Michigan	9,921,237	13,236,886
Minnesota	9,297,055	12,082,678
Missouri	3,543,650	4,295,824
Mississippi	1,477,765	1,604,522
Montana	1,067,467	1,424,211
North Carolina	4,730,036	5,846,387
North Dakota	498,400	664,964
Nebraska	816,533	1,089,416
New Hampshire	1,419,308	1,348,343
New Jersey	20,722,632	24,841,453

State	FY 2025 Maximum Base Award	FY 2026 Maximum Base Award
New Mexico	1,939,400	2,352,002
Nevada	4,054,865	5,409,989
New York	29,486,915	33,187,783
Ohio	8,058,722	10,751,924
Oklahoma	2,513,970	2,496,896
Oregon	5,902,212	7,305,912
Pennsylvania	17,649,732	21,018,905
Puerto Rico	3,569,745	4,366,717
Rhode Island	1,978,075	2,614,939
South Carolina	2,808,664	3,467,710
South Dakota	498,400	498,400
Tennessee	3,174,732	3,795,491
Texas	25,266,504	33,710,498
Utah	2,230,324	2,895,948
Virginia	3,666,483	4,662,138
Virgin Islands	498,400	498,400
Vermont	758,856	720,913
Washington	10,557,673	14,086,018
Wisconsin	5,020,785	5,751,388
West Virginia	1,567,483	1,747,350
Wyoming	498,400	498,400

**ELEMENTS OF AN UNEMPLOYMENT INSURANCE (UI)
REEMPLOYMENT SERVICES AND ELIGIBILITY ASSESSMENT (RESEA) GRANT
STATE PLAN**

Instructions: All fields are required.

1. State Name:	
2. State Agency Administrator:	Name: Title: Address: Email:
3. RESEA Program Lead/Contact The person who can answer questions about the RESEA proposal.	Name: Telephone: E-mail:
4. UI Program Lead/Contact The person who can answer questions about the UI aspects of the RESEA proposal. This person may also be the RESEA Program Lead/Contact.	Name: Telephone: E-mail:

5a. Total funds requested for this fiscal year's proposed RESEA State Plan The total amount of funds requested for this year's grant, which may be up to the limit specified in this year's annual RESEA operating guidance.	\$ _____ ☐ Requesting less than full formula allotment.	
5b. Use this field to break out this year's project costs. Please include a narrative describing this year's program activities, e.g., staffing up, improving program tools etc.		
6a. Total Service Delivery (Program) Cost using this fiscal year's requested allotment Note: This entry includes the evaluation costs.	\$ _____	
6b. Provide a breakout narrative listing this year's program costs and include a line item for evaluation costs.		
7a. Total Administrative Costs using this fiscal year's requested allotment Note: This entry includes the information technology (IT) costs.	\$ _____	
7b. Use the space to breakout and explain this year's administrative costs. Please include changes to staffing; IT expansion; and other significant changes etc.		
8a. Unspent RESEA Funds	FY ____ \$ _____ Projected obligation date:	FY ____ \$ _____ Projected obligation date:

The previous two (2) RESEA Fiscal Year grants' unused funds. Complete the fields as they relate to the specific previous fiscal years. Include the expected date for full obligation and expenditure for each previous fiscal year's remaining funds.	Projected expenditure date:	Projected expenditure date:																																
8b. Use the text box to provide details for each year's unspent funds. Please explain why there are remaining funds for each specific FY, this is to include any issues that impacted the previous year's expenditures. Additionally, provide a breakout how the funds will be used and a timeline for expenditure. If the previous FY's remaining balance is 'Zero,' please note this in the text field above.																																		
9. Completed Initial RESEA The term "initial RESEA" refers to the first meeting between an RESEA service provider and an unemployment compensation claimant who responded to an official notification of selection and required participation in RESEA services. Complete all fields in the chart below on how specific required initial RESEA activities are staffed and conducted. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;"><u>Activity</u></th> <th style="width: 25%;"><u>Staff</u> (<u>ES/WIOA/UI/ Other</u>)</th> <th style="width: 25%;"><u>Individual/</u> <u>Group</u></th> <th style="width: 25%;"><u>In-Person, Remote, Virtual</u></th> </tr> </thead> <tbody> <tr> <td>Eligibility Review</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Labor Market Information</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Individual Reemployment Plan</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Providing information and access to American Job Center (AJC) services including career services</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Enrollment in Employment Services</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Providing support with individual reemployment plan.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Referrals to other services</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>			<u>Activity</u>	<u>Staff</u> (<u>ES/WIOA/UI/ Other</u>)	<u>Individual/</u> <u>Group</u>	<u>In-Person, Remote, Virtual</u>	Eligibility Review				Labor Market Information				Individual Reemployment Plan				Providing information and access to American Job Center (AJC) services including career services				Enrollment in Employment Services				Providing support with individual reemployment plan.				Referrals to other services			
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Other activities performed in addition to required elements. Please describe any additional activities in element 9b.				
Pre-work (Preparation for RESEA)				
Post- work (casework notes etc.) following RESEA				

9b. Average time to conduct initial RESEA

9c. Average cost to conduct initial RESEA

10a. Does your state conduct subsequent RESEAs?

 The term “subsequent RESEA” refers to any follow up RESEA meetings that conduct an eligibility review and review of claimant’s activities to determine if additional assistance is needed to support the claimant’s return to suitable work at the earliest possible date. States will determine the extent to which they require any subsequent RESEAs as part of their service delivery model in addition to referrals to reemployment services.

☐ Yes ☐ No

10b. Use the text field to explain how your state uses subsequent RESEA. Provide information on how many subsequent RESEAs are conducted per claimant and the types of subsequent activities, e.g., Labor Market Information, review of individual employment plan, etc.

11a. Total number of RESEAs projected to be scheduled Note: The number provided in ‘Initial to be scheduled’ is interlinked. A change to this number impacts ‘Initial to be	Initial to be scheduled:	Subsequent to be scheduled:
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completed' and 'Initial Fail to report.' Such that 11a Initial to be scheduled = Initial to be completed (+) Initial Fail to Report. It does not apply to subsequent to be scheduled.		
11b. Provide an explanation of how the target number was determined.		
12a. Total number of RESEAs projected to be completed Note: The number provided in 'Initial to be completed' is interlinked. A change to this number impacts 'Initial to be scheduled' and 'Initial Fail to report.' Such that 12a Initial to be completed = Initial to be scheduled (-) Initial Fail to Report. It does not apply to subsequent to be completed.	Initial to be completed:	Subsequent to be completed:
12b. Provide an explanation of how the target number was determined.		
13a. Total number of RESEAs projected for which the claimant will fail to report Note: The number provided in Initial Fail to Report is interlinked. A change to this number impacts 'Initial to be scheduled' and 'Initial to be completed.' Such that 13a Initial Fail to Report = Initial to be scheduled (-) Initial to be completed. It does not apply to subsequent fail to report.	Initial Fail to Report:	Subsequent Fail to Report:
13b. Provide an explanation of how the target number was determined.		
14. Actions taken to reduce number of claimants failing to report • If your state reported <u>over 30-percent</u> fail to report on the ETA 9128 reports the previous FY: ○ Provide a narrative on all actions taken (what did/didn't work) the previous FY and planned actions during the current FY to reduce the number of claimants failing to report.		

- Ensure the responses in Elements 12a and 12b align with your response here. Example: *If your state reported 44-percent FTR the previous year and the current Projected FTR is 30-percent. The response needs to include the actions to achieve the projected FTR percentage.*
- **If your state reported 30-percent or less on the ETA 9128 reports the previous FY:**
 - Provide a narrative discussing the best practices your state attributes to this attainment.
 - List actions taken the previous FY and planned actions during the current FY to reduce the number of claimants failing to report.

15a. Is RESEA statewide Yes/No Check box.

☐ Yes ☐ No

Note: RESEAs are considered statewide if operating in at least one location in each Workforce Innovation and Opportunity Act [WIOA] workforce development area.

15b. Total number of Workforce development area(s).

How many workforce development areas are there in the state?

How many American Job Centers (AJCs) are there in the state?

Number of sites providing RESEA:

Number of sites providing WPRS:

15c. Indicate if your state encountered any disruptions in RESEA service operations during the previous year that required a shutdown of RESEA services.

16. Role of UI Staff

Describe the role played by UI staff in RESEA program management. This narrative is to include: UI's role in decision making, implementation, training, and program management; How UI staff are training RESEA staff on how to identify ineligibility issues; How often UI is providing updated training to RESEA service providers; and if UI staff is ensuring reporting accuracy for RESEA required reports.

17. Selection of RESEA Participants

Describe the state's methodology for selecting claimants to participate in the RESEA program and at what point in the claim series selections are made. If a profiling or statistical model is used, please describe the model including factors used and when the model was last updated/will be updated.

States are to provide information on the actual selection process of participants, a description of the statistical model used, when the model was last updated and or plans for update and a statement about targeted population and how it was determined.

18a. Proper Notification

All states participating in the RESEA program must provide both an assurance that, and description of how individuals selected to participate in RESEA will receive proper notifications regarding the program's eligibility conditions, requirements, and benefits. Proper notifications must be in clear and simple language and include warnings to ensure selected individuals are fully aware of the consequences of noncompliance with the state's policies related to non-attendance and/or nonfulfillment of UI work search requirements. (Section 306(e)(1)(A)(i), Social Security Act (SSA)).

Do you assure that proper notification as described in Element 18a will be provided to the RESEA claimant?

☐ Yes ☐ No

18b. Attached is a separate copy of the Notification letter to this state plan. [click the link to attach](#)

☐ Yes ☐ No

18c. Insert description of notification process.

This narrative is to include: Description of how the notification process works (automated and manual actions), use of phone calls, letters, and text; and planned updates or changes to the notification system with the projected implementation date.

19a. Reasonable Scheduling Accommodations

To maximize participation in the RESEA program, the state must provide assurance that, and a description of how reasonable scheduling accommodations are made available to individuals selected for RESEA (Section 306(e)(1)(A)(ii), SSA).

Do you assure that reasonable scheduling accommodation is available to RESEA participants?

☐ Yes ☐ No

19b. Insert a description of the reasonable scheduling accommodation(s) provided. Please describe your rescheduling policies, procedures, and limitations for rescheduling. Be sure to identify which items have been automated versus in-person.

20a. UI Feedback Loop and Adjudication

UI feedback should loop from the RESEA provider to the UI system and the process should be clear, while UI eligibility issues are identified during RESEA.

Refer to UIPL No. 14-18: *Unemployment Insurance and the Workforce Innovation and Opportunity Act*.

Insert a brief description regarding the feedback loop from the RESEA provider to the UI system as it relates to UI eligibility issues, e.g., able and available, inadequate work search, return to work, etc.

20b. UI Feedback Loop and Adjudication -- Communications

Insert a brief description of the feedback loop communication system for UI eligibility issues identified during the RESEA for adjudication. This narrative is to include: How the communication system supports a two-way communication between RESEA providers and UI; frequency of the communication loop (daily, weekly, as needed); and how long the issue remains open with the claimant?

21. Activities Supporting RESEA's Statutory Purposes

Each state must provide assurance that, and description of how, the planned RESEA program will conform to the four statutory purposes identified below (Section 306(e)(1)(B), SSA).

21a. Purpose 1: To improve employment outcomes of individuals that receive unemployment compensation and to reduce the average duration of receipt of such compensation through unemployment.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 1 as described in Element 21a?

☐ Yes ☐ No

21b. Insert brief description of specific RESEA program elements and/or activities that support purpose 1.

This narrative is to include: How the services improve employment outcomes; How the state verifies the employment outcomes are improving; What reemployment services/career services are offered; What assistance is provided with the reemployment plan and how is the reemployment plan used; How often is the reemployment plan revised or updated while the claimant is participating in RESEA; What partners are being used to remove barriers of unemployment; Are RESEA participants referred to recruitment events; and What WIOA services are RESEA participants referred to?

21c. Purpose 2: To strengthen program integrity and reduced improper payments of unemployment compensation by states through the detection and prevention of such payments to individuals who are not eligible for such compensation.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 2 as described in Element 21c.?

☐ Yes ☐ No

21d. Insert brief description of specific RESEA program elements and/or activities that support purpose 2.

This narrative is to include: Narrative explaining how the activity is supporting program integrity; How the Feedback Loop is used to ensure Integrity and support communication; UI staff is training RESEA staff to identify claimant issues and how often (reference element 16); How often is UI meeting with RESEA providers to issue training and review the RESEA program (reference element 16); and How is UI and RESEA service providers ensuring eligibility issues are identified and reported to UI (looking for internal controls).

21e. Purpose 3: To promote alignment with the broader vision of the Workforce Innovation and Opportunity Act (WIOA) (29 U.S.C. 3101 et seq.) of increased program integration and service delivery for job seekers, including claimants for unemployment compensation. (Note: Additional information about the vision of WIOA is provided in Training and Employment Guidance Letter No. 19-14, Vision for the Workforce System and Initial Implementation of the Workforce Innovation and Opportunity Act and additional information specific State UI programs is provided in Unemployment Insurance Letter No.14-18, Unemployment Insurance and the Workforce Innovation and Opportunity Act).

Do you assure that the proposed RESEA program design and planned activities conform to purpose 3 as described in Element 21e?

☐ Yes ☐ No

21f. Insert brief description of specific RESEA program elements and/or activities that support purpose 3.

This narrative is to include: How integrated services are delivered (intake procedures, staff training, and strategic efforts); Are RESEA staff trained on other workforce system partner program requirements? Reemployment activities and how they interconnect; Activities and services the state is planning that are not currently provided (along with implementation timeframe); and Are RESEA staff cross-training other program staff on RESEA, and how often?

21g. Purpose 4: To establish reemployment service and eligibility assessment as an entry point for individuals receiving unemployment compensation into other workforce system partner programs.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 4 as described in Element 21g?

☐ Yes ☐ No

21h. Insert brief description of specific RESEA program elements and/or activities that support purpose 4.

This narrative includes: the description of the strategies used to connect the RESEA participants to other workforce partners (warm introduction or electronic introduction); Examples of how RESEA is working with WIOA dislocated workers (if applicable); Expectations for RESEA participants regarding referrals; and information about how RESEA has been integrated into the State's workforce system and network of AJCs and including other partner organizations that RESEA participants may be referred.

22a. Evidence-based Standards and Evaluation Requirements

Each state must provide assurances and a description of how the state’s RESEA program will satisfy the requirement to use grant funds only for interventions and service delivery strategies designed to reduce the number of weeks for which program participants receive unemployment compensation by improving employment outcomes for participants, including employment and earnings. States implementing RESEA interventions or service delivery strategies without a high or moderate causal rating must be under evaluation at the time of use. (Section 306(e)(1)(B), SSA.

Do you assure that the state’s RESEA program will satisfy the requirement to use grant funds only for interventions and service delivery strategies designed to reduce the number of weeks for which program participants receive unemployment compensation by improving employment outcomes for participants, including employment and earnings?

☐ Yes ☐ No

Do you assure that the planned RESEA interventions or service delivery strategies that do not have a high or moderate causal rating are under evaluation at the time of use?

☐ Yes ☐ No

22b. Is your state using interventions that have received a ‘High’ or ‘Moderate’ RESEA causal rating in the Clearinghouse for Labor Education and Research (CLEAR)?

☐ Yes ☐ No

22c. Complete the chart below providing additional information about RESEA component/activities, their intervention high or moderate intervention causal rating, the costs associated with that component/activity, and what percentage it is of the current grant.

	(A)	(B)	(C)	(D)
	<u>RESEA Component/Activity</u>	<u>Intervention Causal Rating (High/Moderate) and Intervention Name (REA; JSA; Profiling; etc.) and list the associated citation and date of the Study from CLEAR</u>	<u>RESEA Cost of Component/Activity</u> For spending or staff time spent on high or	<u>Percentage of Current Grant</u>

		Note: There may be multiple relevant studies that support the activity with different intervention causal ratings. Make a list accordingly.	moderate rated intervention activities, provide an explanation of the total cost of the component / activity.	Show calculations by using column "C" divided by Element 5a.
Ex.a	EXAMPLE: <i>Additional RESEA Activity/Component:</i> <i>Job-search assistance</i>	<i>Moderate JSA (Job Search Assistance) Services -- Assisting Unemployment Insurance claimants: The long-term impacts of the Job Search Assistance Demonstration (Decker et al 2000)</i>	$21,790 * \$34.37 = \$748,922.30$	$\$748,922.30 / \$6,401,672 = 11.7\%$
Ex.b	Provide a brief description of Activity/Component: <i>Reviewed two weeks' worth of claimants work searches.</i>			
1a.	Required Initial RESEA Meeting Activity: • Eligibility Review • Labor Market Information • Individual Reemployment Plan • Providing information and access to American Job Center (AJC) services			

	- Enrollment in Employment Services - Providing support with individual reemployment plan Referrals to other services			
1b.	Provide a brief description of Activity:			
2a.	For states that offer a subsequent meeting, please use 2a and 2b. For states that don't offer a subsequent meeting, please insert "N/A" in 2a and 2b. Subsequent RESEA Meeting Activity: - Eligibility Review - Review work search activities Review individual reemployment plan			
2b.	Provide a brief description of Activity:			
3a.	Additional RESEA Activity/Component:			

3b.	Provide a brief description of Activity/Component:			
4a.	Additional RESEA Activity/Component:			
4b.	Provide a brief description of Activity/Component:			
5a.	Additional RESEA Activity/Component:			
5b.	Provide a brief description of Activity/Component:			
6a.	Additional RESEA Activity/Component:			
6b.	Provide a brief description of Activity/Component:			
7a.	Additional RESEA Activity/Component:			
7b.	Provide a brief description of Activity/Component:			
8a.	Additional RESEA Activity/Component:			
8b.	Provide a brief description of Activity/Component:			

9a.	Additional RESEA Activity/Component:			
9b.	Provide a brief description of Activity/Component:			
10a.	Additional RESEA Activity/Component:			
10b.	Provide a brief description of Activity/Component:			
11.	TOTALS		\$	%
22d. Insert an explanation of how your state's interventions and service delivery strategies are appropriate to the population served. This explanation may include a description of how the factors of the state's current population was considered when deciding on the intervention(s); Is the intervention(s) the same across the state or to those areas providing RESEA services?; What are the desired outcomes of the intervention(s) (for example: decreased UC, decreased UC duration, people returning to work quicker, wage improvement, etc.)?; and what has been observed already, in terms of desired outcomes?				
22e. Insert, a description of the evaluation structure the State plans to use for interventions and service delivery strategies without at least a moderate or high causal evidence rating. This evaluation structure may include a whole program evaluation, evaluation of specific program components/interventions, or national evaluations conducted by the U.S. Department of Labor or by other entities. At a minimum, the description should: Identify what intervention is being evaluated and how it is being implemented; State the evaluation's research questions; Describe the evaluation's research design (e.g., type of study, outcomes being studied, description of study sample (if applicable), and type of data collection); Identify who is conducting the evaluation; and Clarify the stage of the evaluation: (1) Planning and procurement; (2) Data collection; or (3) Analysis and reporting; and provide an estimate of when the evaluation will be completed.				
22f. Amount of funds that will be set aside to conduct or cause to be conducted evaluations of interventions used in carrying out this year's			\$	

RESEA Program. This amount is limited to 10 percent of this year's grant award (Section 306(d)(2), SSA).	
22g. Insert a description of any evaluations of reemployment interventions and service delivery strategies conducted in the prior fiscal and any data collected on: 1. Characteristics of program participants; 2. Number of weeks for which program participants receive unemployment compensation; and 3. Employment and other outcomes for program participants consistent with State performance accountability measures provided by the State unemployment compensation program and performance outcome measures as defined in section 116(b) of the Workforce Innovation and Opportunity Act (29 U.S.C. 3141(b)) In addition to information from formal evaluations, states may include information from other forms of analyses such as reviews of administrative data analysis of quarterly reporting provided to DOL such as the 9128 or 9178 reporting, or state administrative reports.	

Please insert a PDF copy of the template notification letter in the space below, as indicated in element 18a.

OMB No.: 1205-0538 OMB Expiration Date: 02/28/2029 Average Estimated Response Time: 40 hours O M B Burden Statement:
These reporting instructions have been approved under the Paperwork Reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to retain or obtain benefits under SSA 303(a)(6). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S-4524, 200 Constitution Ave., NW, Washington, DC, 20210.

Additional Grants.gov Submission Instructions

The following is provided as additional instructions beyond what is already available on the www.grants.gov website. If encountering a problem with www.grants.gov, and no resolution is found in any of the other resources, call 1-800-518-4726 or 606-545-5035 to speak to a Customer Support Representative, or email support@grants.gov.

States are strongly advised to initiate the application submission and validation process via www.grants.gov as soon as possible, and to plan for time to resolve technical problems. Please note that validation does not mean the state's application has been accepted as complete, or has been accepted for review. Rather, www.grants.gov only verifies the submission of certain parts of the application.

Before you begin to write the application, the U.S. Department of Labor (Department) strongly recommends initiating and completing the "Get Registered" registration steps at <https://www.grants.gov/register>.

Before registering, read through the registration process carefully. These steps can take as many as four weeks to complete, and this time should be factored into plans for timely electronic submission to avoid unexpected delays that could result in the rejection of an application. The www.grants.gov website also contains the Step-By-Step Guide to Organization Registration to help applicants walk through the process.

The next step in the registration process is creating a username and password with www.grants.gov to become an Authorized Organizational Representative (AOR). AORs will need to know the Unique Entity Identifier number of the organization for which they will be submitting applications to complete this process. To read more detailed instructions for creating a profile on www.grants.gov visit <https://www.grants.gov/register>.

After creating a profile on www.grants.gov, the E-Biz Point of Contact (E-Biz POC), a representative from the applicant's organization who is the contact listed for System of Award Management (SAM), will receive an email to grant the AOR permission to submit applications on behalf of the organization. The E-Biz POC will then log into www.grants.gov and approve an individual as the AOR, thereby giving the AOR permission to submit applications. To learn more about AOR Authorization, visit <https://www.grants.gov/applicants/applicant-registration>, or to track AOR status, visit <https://www.grants.gov/applicants/grant-applications/track-my-application>.

An application submitted through www.grants.gov constitutes a submission as an electronically-signed application. The registration and account creation with www.grants.gov, with E-Biz POC approval, establishes an AOR. When an application is submitted through www.grants.gov, the name of the AOR on file will be inserted into the signature line of the application. **State Workforce Agencies must register the individual who is able to make legally binding commitments for the organization as the AOR.** This step is often missed, and it is crucial for valid submissions.

When a registered applicant submits an application with www.grants.gov, an electronic time stamp is generated within the system when the application is successfully received by www.grants.gov. Within two business days of application submission, www.grants.gov will send the applicant two email messages to provide the status of the application's progress through the system.

- The first email, sent almost immediately, will contain a tracking number and will confirm receipt of the application by www.grants.gov.
- The second email will indicate that the application either has been successfully validated or has been rejected due to errors.

If the applicant's registration in SAM is expired, www.grants.gov will reject the application. Only applications that have been successfully submitted in www.grants.gov by the deadline and subsequently successfully validated will be considered. It is the state's responsibility to ensure a timely submission. While it is not required that an application be successfully validated before the deadline for submission, it is prudent to reserve time before the deadline in case it is necessary to resubmit an application that has not been successfully validated in www.grants.gov. Therefore, adequate time should be allotted for submission (two business days recommended), and additional time to address any errors and requiring validation upon resubmission (an additional two business days for each ensuing submission).

To ensure consideration, the components of the application must be saved as .doc, .docx, .xls, .xlsx, .rtf, or .pdf files. If submitted in any other format, the applicant bears the risk of compatibility or other issues preventing a state's application from being considered. The Department **will not** attempt to convert the document to an appropriate format or apply any other method to open the document. The Department will notify the state and request the components be resubmitted in one of the formats identified above.

The Department strongly advises applicants to use the various tools and documents, including Frequently Asked Questions, which are available on the "Applicant Resources" page at <https://www.grants.gov/applicants/applicant-faqs>.

To receive updated information about critical issues, new tips for users, and other time sensitive updates, you may subscribe to www.grants.gov updates at <https://www.grants.gov/connect/manage-subscriptions/>.

Additional Guidance for Completing the SF-424

I. Application for Federal Assistance (SF-424)

- Use the current version of the form for submission. Expired forms will not be accepted. SF-424, Office of Management and Budget (OMB) Control No. 4040-0004 (Grants.gov) found at <https://www.grants.gov/forms/forms-repository/sf-424-family>. If the form listed on this webpage is expired, this means that the form is under OMB review and will continue to have a month-to-month expiration date until the OMB review process is completed and the updated form is posted to the above website.
- Section # 8, APPLICANT INFORMATION:
 - Legal Name: The legal name must match the name submitted with the System for Award Management (SAM). Please refer to instructions at <https://www.sam.gov>.
 - Employer/Tax Identification Number (EIN/TIN): Input your correct 9-digit EIN and ensure that it is recorded within SAM.
 - Unique Entity Identifier Requirements
 - Effective on April 4, 2022, the DUNS Number was replaced by a new, non-proprietary identifier requested in and assigned by SAM.gov. This new identifier is called the Unique Entity Identifier (UEI), or the Entity ID. To learn more about SAM's rollout of the UEI, please visit the U.S. General Services Administration (GSA), Unique Entity Identifier Update webpage.
 - If the grant award or cooperative agreement recipient is authorized to make subawards under this award, then the recipient:
 1. Must notify potential subrecipients that no entity (see definitions below) may receive a subaward from the grant award recipient until the entity has provided its UEI to the recipient.
 2. May not make a subaward to an entity unless the entity has provided its UEI to the grant or cooperative agreement recipient. Subrecipients are not required to obtain an active SAM registration but must obtain a UEI.
 - Please ensure that your state is registered with the SAM. Instructions for registering with SAM can be found at <https://www.sam.gov>. Additionally, the state must maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration. To remain registered in the SAM database after the initial registration, there is a requirement to review and update the registration at least every 12 months from the date of initial registration or subsequently update the information in the SAM database to ensure it is current, accurate, and complete. Failure to register with SAM and maintain an active account will result in a rejection of your submission.

Attachment IV

- Address: Input the complete address including Zipcode+4; Example: 20110-831. For look-up, use link at <https://tools.usps.com/go/ZipLookupAction!input.action>.
 - Organizational Unit: Input appropriate Department Name and Division Name, if applicable.
 - Name and contact information of person to be contacted on matters involving this application. Provide complete and accurate contact information including telephone number and email address for the point of contact.
- Section # 9, Type of Applicant 1: Select Applicant Type: Input “State Government”.
- Section # 10, Name of the Federal Agency: Input “Employment and Training Administration”
- Section # 11, Catalog of Federal Domestic Assistance Number: include the accurate Catalog of Federal Domestic Assistance Number for the applicable Funding Opportunity; Example: 17.225 for Unemployment Insurance.
- Section # 12, Funding Opportunity Number and Title: Input the appropriate funding opportunity number and Title; Example: UIPL No. 1-17 – Health Coverage Tax Credit; TEGL 17-15 – WIOA Adult, Dislocated Worker and Youth Activities Program Allotments.

Reemployment Services and Eligibility Assessment (RESEA)

Program Evaluation Report Recommendations to Support National Analyses

State evaluation findings will be useful for program operators and policy makers. For this reason, it's important that your RESEA program evaluation reports are well-documented and contain:

- The interventions being tested;
- The context of the evaluation; and
- Impact and analysis results with well-documented statistical details.

Complete, consistent, and high-quality program evaluation reports can enable various types of evidence syntheses and other secondary data analysis, including meta-analyses, that can empirically synthesize information from across multiple relevant evaluations. These types of syntheses can support RESEA evidence building by enabling a broader understanding of effective interventions, which will improve CLEAR's ability to rate RESEA interventions for states' use. Such analyses can also identify gaps in knowledge where states could stand up new studies and inform program operators and policy makers interested in continuous improvement of the RESEA program.

The Department's ability to facilitate or conduct successful secondary analyses, such as meta-analyses, to benefit states is dependent on states' program evaluation reports including consistent information about the key evaluation components described below. At a minimum, each state must include the following information in their evaluation report, as appropriate to their evaluation design. States are not limited to reporting on the below information and should work with their independent evaluator to ensure reports are reporting appropriate information based on the evaluation design used.

STUDY CHARACTERISTICS AND CONTEXT: *What elements of the program, that are being studied, must, if appropriate to the evaluation design, be included to conduct a meta-analysis?*

Intervention and Comparison Conditions:

- ☐ What interventions (program, policy, practice, etc.) does the study evaluate?
- ☐ What specific services or activities did the intervention consist of?
- ☐ Was there any adjustment or adaptation implemented in the study?
- ☐ What services, if any, did the comparison group receive?

Setting:

- ☐ Where did the study take place?
- ☐ What are the key characteristics of the setting (urban, suburban, or rural; state; etc.).
- ☐ In what years did the study take place?

Study Sample:

- ☐ Who participated in the study?
- ☐ How were they selected and recruited?
- ☐ What were the ages of participants?
- ☐ What were the criteria for participation in the program or the intervention?
- ☐ What are their socio-demographic characteristics?

STUDY DESIGN AND ANALYSIS: *The following are the elements that a meta-analysis would need to know about the program, that are being studied, the study's setting, and the sample of study participants.*

Study Design:

- ☐ What was the study's design (e.g., randomized experiment, quasi-experimental design, descriptive)?
- ☐ If an impact evaluation, how were the units (e.g., individuals, groups of individuals) assigned to the program, with a description of the control/comparison condition (e.g., random assignment, matched comparison)?

Measure:

- ☐ Identify the measurement instrument, if any, and data source (self-reports, administrative data) for the measures.
- ☐ Identify the timing of all measurements in the study, including any pre-tests.

Baseline Equivalence:

- ☐ Provide information needed to assess baseline equivalence of program and comparison groups.
 - Evaluators should provide information needed to assess baseline equivalence of program and comparison groups on demographics and on key characteristics that may predict the outcome(s) of interest.
 - For outcomes such as earnings, where pre-intervention measures are available and relevant, equivalence should be shown on those measures.
 - For analysis of employment, evaluators should show equivalence on available measures of employment history and earnings.
 - For analyses of unemployment compensation (UC) duration — equivalence on UC profiling scores is important because that is a measure of expected risk of benefit exhaustion (maximum UC duration).
 - Equivalence on other measures related to pre-claim employment history and prior UC claims may be important as well.

Methods of Data Analysis:

- ☐ Describe the analytical models or methods used to estimate impacts.

- ☐ Specify the variable, if any, that were included as controls in the analysis.
- ☐ Specify the unit of analysis (e.g., cluster, individual), and, if applicable, how clustering was addressed.

Missing Data:

- ☐ How did the analysis account for missing data, if any?
- ☐ Specify the type of data (baseline, outcome, or both) for which missing data methods were used.

IMPACT ANALYSIS RESULTS: *As appropriate to the evaluation design, the evaluation must report the following for each outcome measure (and each subgroup, as available):*

☐ Sample size for the treatment group.	☐ Unadjusted control/comparison group standard deviation.
☐ Unadjusted treatment group mean outcome.	☐ Impact estimate (with information on how it was computed, if other than raw difference in means) and associated p-value.
☐ Unadjusted treatment group standard deviation.	☐ Standardized difference.
☐ Sample size for the control/comparison group.	☐ Unadjusted control/comparison group mean.
If any information from unadjusted sample sizes, group means, standard deviations, are missing, the following should be documented from a study's report:	
☐ Coefficient from the impact estimation model.	☐ Standard error of the impact (and, if the standard error is unavailable, the specific p-value associated with the impact estimate).

For additional information on communicating and reporting study findings, please see the [Reemployment Services and Eligibility Assessment \(RESEA\) Toolkit](#) on the RESEA Evaluation and Evidence Resources page on the [WorkforceGPS](#) site, along with other evaluation technical assistance resources.