

EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D.C. 20210	CLASSIFICATION UI
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ADVISORY: UNEMPLOYMENT INSURANCE PROGRAM LETTER NO. 29-12

TO: STATE WORKFORCE AGENCIES

FROM: JANE OATES
Assistant Secretary

Jane Oates

SUBJECT: Improving Overpayment Detection and Recovery Efforts Related to Emergency Unemployment Compensation (EUC), Extended Benefits (EB), and Federal Additional Compensation (FAC)

1. **Purpose.** To provide guidance to states about their ongoing responsibilities for preventing, detecting and recovering improper payments of EUC, EB and FAC, and inform states of a new management information measure to capture states' progress in conducting and improving their program integrity activities related to these Federally funded programs.

2. **References.**

- Improper Payments Information Act of 2002 (IPIA), Pub. L. 107-300 (31 U.S.C. 3321 note);
- Improper Payments Elimination and Recovery Act of 2010 (IPERA), Pub. L. 111-204 (31 U.S.C. 3321 note);
- Middle Class Tax Relief and Job Creation Act of 2012 (Pub. L. 112-96);
- Office of Management and Budget (OMB) Issuance of Revised Parts I and II to Appendix C of OMB Circular A-123 (April 14, 2011);
- Unemployment Insurance Program Letter (UIPL) No. 23-08, *Supplemental Appropriation Act, 2008, Title IV—Emergency Unemployment Compensation*, and UIPL No. 23-08, Changes 1-6;
- UIPL No. 02-09, *Recovery of Unemployment Compensation Debts Due to Fraud from Federal Income Tax Refunds*, and UIPL No. 02-09, Changes 1-2;
- UIPL No. 11-09, *New Temporary Federal Additional Compensation Program*, and UIPL No. 11-09, Change 1;
- UIPL No. 12-09, *Extended Benefits Program – Temporary Changes made by the Assistance for Unemployed Workers and Struggling Families Act*, and UIPL No. 12-09, Change 1;
- UIPL No. 04-10, *Extension of Temporary Provisions - Emergency Unemployment Compensation, 2008, Federal Additional Compensation, and Extended Benefits*, and UIPL No. 04-10, Changes 1-9;

RESCISSIONS None	EXPIRATION DATE Continuing
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- UIPL No. 19-11, *National Effort to Reduce Improper Payments in the Unemployment Insurance (UI) Program*; and
- U.S. Department of Labor Office of Inspector General (OIG) Audit No. 18-12-001-03-315.

3. **Background.** The integrity of the Unemployment Compensation (UC) programs is a top priority for the U.S. Department of Labor's (Department) Employment and Training Administration (ETA). Throughout the implementation period of the EUC program in all of its iterations and the temporary 100 percent Federally funded EB program, as well as FAC, ETA actively worked with states to help ensure they have properly implemented and administered these programs. Extensive guidance and technical assistance have been provided to states to assist them in understanding and administering the programs. ETA focused additional resources provided under the American Recovery and Reinvestment Act of 2009 (ARRA) primarily on state monitoring activities, including monitoring of program integrity activities. Additionally, ETA developed an extensive and comprehensive strategic plan to improve prevention, detection, and recovery of overpayments for all UC programs in an effort to meet the IPERA requirement of an improper payment rate of less than 10 percent. These strategies are intended for all UC programs, including the temporary Federally funded programs. Please refer to the Department's Improper Payment Web site <http://www.dol.gov/dol/maps/Strategies.htm> for more information.

On January 31, 2012, the OIG issued a Report of Audit No. 18-12-001-03-315, *Recovery Act: ETA is Missing Opportunities to Detect and Collect Billions of Dollars in Overpayments Pertaining to Federally Funded Emergency Benefits*. The objective of the audit was to determine whether ETA had effective controls in place to monitor the states' overpayment detection and recovery efforts for Federal and state UC programs. The period of time covered by the audit is the time between Fiscal Year (FY) 2008 and FY 2010.

During the course of the audit, the Department's OIG concluded that overpayments in these temporary Federal programs have gone undetected and unrecovered. The OIG estimates (by applying the Benefit Accuracy Measurement [BAM] operational overpayment rate to the Federal program outlays) that \$5.6 billion in detectable overpayments in the temporary Federal programs were missed over the past three years and recommended that ETA provide guidance to states to ensure these overpayments are detected and recovered. While recognizing the states' considerable efforts to ensure that these programs were implemented in a timely manner and administered properly during periods with extremely high workloads, ETA agrees that states must make every effort to detect and recover any past or current overpayments in these temporary Federally funded programs. States are expected to use the same procedures and tools to prevent, detect and recover improper payments for EUC, EB and FAC as they use for the regular state UC program.

4. **Preventing, Detecting, and Recovering Overpayments in Federally Funded Temporary Programs Including EUC, FAC, and EB.** Prevention is central to reducing overpayments and maintaining integrity in all UC programs, including any temporary Federal programs. Two key tools states currently use to prevent overpayments are the National Directory of New Hires (NDNH) and the State Directory of New Hires (SDNH), which allow states to

check for unreported earnings. UIPL No. 19-11 contains recommended operating procedures for states' use in conducting cross-matches against the NDNH and SDNH to prevent and detect overpayments. It is essential that states adopt these operating procedures to make optimal use of these tools to prevent overpayments while delivering timely and proper payments. These tools, along with other tools and integrity activities, must be consistently used along with other state tools and cross-matches such as matches to verify a claimant's identity.

5. Actions to Detect and Recover Missed Overpayments and Prevent Future

Overpayments in EUC, FAC, and EB. The Department expects states to continue to take aggressive action to detect and recover any overpayments that were missed during the implementation of the EUC program including all of its iterations, the temporary changes for the 100 percent Federally funded EB program, and the FAC \$25 supplemental benefit. States must report the results of these actions to ETA. Below are suggested actions states can take to most effectively detect and recover overpayments in these programs:

- **Ongoing or Retroactive NDNH Cross-Matching and Investigations of EUC and EB Claims.** States that conducted cross-matches with the NDNH or SDNH but did not complete the associated investigations should now complete these investigations using available records and the same procedures used in the regular state program. States that did not conduct NDNH cross-matches or did not routinely cross-match EUC and EB claims must run special cross-matches against NDNH, if feasible, and cross-matches against the state wage records to the extent that these records are available. NDNH records are available for 24 months from the date they are reported to NDNH, and state wage records in each state are available for longer periods. To conduct such special NDNH cross-matches, states will have to modify the programming for the construction of each record. The following NDNH input detail record parameters (see Attachment A) are recommended:
 - The Passback Data field will include the phrase FED EUC EB.
 - The W-4 Match Indicator will equal Y or a space.
 - The W-4 Same State Data Indicator will equal Y.
 - The W-4 From Date will equal file transmit date minus 732 days.
 - The W-4 Through Date will be equal to the last Federally compensated benefit week plus 48 days.
 - The QW (Quarterly Wage) Match Code will equal R.
 - The QW From Reporting Period will equal 32008 or the first calendar quarter in which the claimant filed for EUC and EB payments.
 - The QW Through Reporting Period will equal the last calendar quarter in which the claimant filed for Federally funded EUC or EB payments.

The state should move the one-time NDNH match (i.e., "hit") return file into a special folder separate from normal production files for investigation and follow up. The state should count the number of hits returned from these special cross-matches and report that number in the comments section on the ETA 227, *Overpayment Detection and Recovery Activities* report. The state will report EB and EUC overpayments identified through this

cross-match on the appropriate ETA 227 Overpayment Detection and Recovery Activities Report reports.

- Active Recovery of Overpayments of Federally Funded EUC and EB Programs. Every procedure and tool available and permitted under the state law should be used to recover EUC, EB and FAC overpayments. The Treasury Offset Program (TOP) is available to recover improper UC payments through offsets from Federal income tax refunds. The states that have implemented this tool have reported considerable recoveries of overpayments. ETA encourages all states to consider using TOP as a recovery tool and will provide technical assistance to states during implementation.
 - Detection and Recovery of FAC Overpayments. ETA is aware that a number of states encountered significant technology challenges in implementing the FAC \$25 supplemental payment, including implementing mechanisms to establish and recover FAC overpayments. States should continue to establish and recover FAC overpayments as they conduct retroactive, as well as, current reviews of EUC and EB. Newly identified EUC, EB and FAC overpayments must also be assessed and established and recovered in accordance with guidance outlined in UIPL No. 11-09, Section 5 of Attachment A. For those FAC overpayments already detected and established but not recovered, recovery efforts must be conducted. Section 303(g)(3) of the Social Security Act, was amended by Pub. L. 112-96 to include in the definition of “unemployment benefits” those payments received under FAC.
 - Review of work search activity for claimants receiving EUC payments. Pub. L. 112-96, enacted on February 22, 2012, requires states to conduct random audits of EUC claimants’ work search activities to ensure the new work search requirement is met. This activity will prevent overpayments by ensuring claimants receiving EUC are able to work, available for work, and actively seeking work, as required.
6. **Management Information Measure.** In response to the OIG’s findings, the Department has developed a management information performance measure to assess the extent to which states were detecting and establishing EUC and EB overpayments. The measure is modeled on the regular program Detection of Overpayments (D/O) measure used for the BAM program and has as its numerator the overpayment dollars established and an estimate of dollars overpaid as its denominator.

Although EB and EUC are not covered by the BAM program because they are temporary and episodic programs, the BAM operational overpayment rate, after adjustments for differences between regular and EUC/EB program eligibility conditions, is used to estimate the likely EB and EUC rate for each state. Two kinds of adjustments were made to the regular state program operational rate. First, the EB/EUC rate contains no separation errors involving base period employers, because these base period separations are only reviewed when a claimant files an initial claim for regular compensation. (Separation errors attributable to employment in the claimant’s benefit year are, however, included as these can occur during receipt of EB or EUC.) Second, because the recent legislation enacted on February 22, 2012, extending EUC added work search and Employment Service registration requirements, the

adjusted rate includes any overpayments that are due to such errors if the state deems them recoverable under its law. Many such overpayments are not recoverable; the operational rate includes only those that are recoverable.

EB and EUC payments (from the ETA 5159, Claims and Payment Activities reports) are multiplied by the modified operational rate to obtain estimated dollars overpaid for each program, and the detection ratio measure is obtained by dividing the dollars overpaid for EUC into dollars established for EUC. This ratio is assumed to fairly estimate the EB D/O ratio as well.

Attachment C contains the results of this measure for overpayments established in Calendar Year 2011 and payments made six months earlier (July 2010 through June 2011). The data suggests that the states are not recovering overpayments on EUC and EB claims at the same rate overpayments are recovered in the regular UC program. The dollars established for EB were obtained by multiplying EB dollars paid by the D/O ratio. The table shows estimates for the states. Eleven states have no EB results to report because their EB programs were not triggered on during the reporting period.

Updates to the measure will be posted to ETA's Performance Management Web site <http://www.oui.doleta.gov/unemploy/performance.asp>. Once states have implemented the revised ETA 227, Overpayment Detection and Recovery Activities report, which breaks out EB dollars established, the EB ratio will be based on reported EB dollars established.

7. **Action Requested.** State Administrators are requested to provide this information to appropriate staff and take the recommended steps in the guidance to prevent, detect, and recover overpayments of EUC, EB and FAC.
8. **Inquiries.** Direct inquiries to the appropriate Regional Office.
9. **Attachments.**
 - A. State Header and Input Detail Record
 - B. State W-4 Output Detail Record
 - C. Detection of Overpayment Ratios for the EB and EUC Programs

CHART 1: STATE INPUT HEADER RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Submitter Identifier	1-3	3	A/N	Required This field uniquely identifies the submitter of the request file and must contain the characters 'SWA'.
Record Identifier	4-6	3	A/N	Required This field must contain the characters 'HDR'.
Submitting State Code	7-8	2	A/N	Required for State Submitters This field must contain the 2-digit numeric state FIPS code of the state submitting the transaction.
Date Stamp	9-16	8	A/N	Required This field must contain the input file transmission date. This must be in the Year 2000-compliant format of CCYYMMDD.
Filler	17-24	8	A/N	This field may be used for future enhancements. For the current version, this must be spaces.
Batch Number	25-32	8	N	Optional This field may contain the unique number assigned by the submitter to identify the batch of transactions submitted. Batch numbers are not edited for uniqueness. It is the responsibility of the submitter to ensure that a unique batch number is used for each submission.
Filler	33-200	168	A/N	This field may be used for future enhancements. For the current version, this field must be spaces.

CHART 2: STATE INPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Submitter Identifier	1-3	3	A/N	Required This field uniquely identifies the submitter of the request file and must contain the characters 'SWA'.
Record Identifier	4-6	3	A/N	Required This field must contain the characters 'MCH'.

CHART 2: STATE INPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
SSN	7-15	9	A/N	Required This field must contain a nine-digit Social Security number (SSN). If this field is spaces, contains any alphabetic characters, is all zeros, all sixes or all nines, then the record will be eliminated from the match and notification will be returned on the Output Error Detail Record.
Verification Request Indicator	16	1	A/N	Optional This field must contain one of the following values to indicate if the submitter is requesting verification of the person's SSN and Name combination: 'Y' - SSN/Name verification is requested. Verification will be performed using SSA SSN verification routines. If verification fails, the record will be eliminated from the match and the verification result will be returned on the Output Error Detail Record. 'N' - SSN/Name verification is not requested and the submitter is certifying that this SSN/Name combination has been verified using SSA SSN verification routines prior to the match request. Default is 'Y'.
Person First Name	17-26	10	A	Required This field must contain at least one alphabetic character or the record will be eliminated from the match and notification will be returned on the Output Error Detail Record. This field may contain hyphens.
Person Middle Name	27-36	10	A	Optional This field must contain alphabetic characters or spaces. This field may contain hyphens.
Person Last Name	37-56	20	A	Required This field must contain at least two alphabetic characters or the record will be eliminated from the match and notification will be returned on the Output Error Detail Record. This field may contain hyphens.

CHART 2: STATE INPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Passback Data	57-76	20	A/N	Optional This field may be used by the submitter for identifying information and will be returned on the corresponding output match detail or error record.
W-4 Match Indicator	77	1	A/N	Optional This field indicates if a state wants to match the submitted SSN to NDNH W-4 data. This field must contain a space or an 'N'. 'N' - Do not match the submitted SSN to NDNH W-4 data. ' ' - A space in this field indicates that the submitted SSN will be matched to NDNH W-4 data. Default is ' ' space.
W-4 Same State Data Indicator	78	1	A/N	Optional This field indicates if the submitter is requesting W-4 data submitted to the NDNH by their state. This field must contain a space or one of the following values: 'Y' - Return matches where the submitter is the same as the W-4 submitting state. 'N' - Do not return matches where the submitter is the same as the W-4 submitting state. Default is 'N'.
W-4 From Date	79-86	8	A/N	Optional If this field contains a date in CCYYMMDD format, match only W-4 records processed from this date forward, dependent upon the W-4 Through Date. If this field contains spaces or an invalid date, match all available W-4 records from two weeks prior to the match, dependent upon the W-4 Through Date.

CHART 2: STATE INPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
W-4 Through Date	87-94	8	A/N	Optional If this field contains a date in CCYYMMDD format and the W-4 indicator is 'Y', match only W-4 records processed through this date, dependent upon the W-4 From Date. If this field contains spaces or an invalid date, match all available W-4 records, dependent upon the W-4 From Date.
QW Match Code	95	1	A/N	Optional This field must contain one of the following values to indicate if the submitter is requesting QW data and the type of match requested: 'N' - QW matching is not requested. 'R' - QW matching is requested based on Reporting Period. Default is 'N'.
QW Same State Data Indicator	96	1	A/N	Optional This field indicates if the submitter is requesting QW data submitted to the NDNH by their state. This field must contain one of the following values: 'Y' - Return matches where the submitter is the same as the QW submitting state. 'N' - Do <u>not</u> return matches where the submitter is the same as the QW submitting state. Default is 'N'.
QW From Reporting Period	97-101	5	A/N	Optional If this field contains a reporting quarter in QCCYY format and the QW match code is 'R', match only QW records containing this calendar year reporting period forward, dependent upon the QW Through Reporting Period. If this field contains spaces or an invalid date and the QW Match Code is 'R', match all available QW records, dependent upon the QW Through Reporting Period. Valid quarter values (Q) are 1, 2, 3, or 4.

CHART 2: STATE INPUT DETAIL RECORD			
Field Name	Location	Length	Alpha/ Numeric
QW Through Reporting Period	102-106	5	A/N
Optional If this field contains a reporting quarter in QCCYY format and the QW Match Code is 'R', match only QW records up through this calendar year reporting period, dependent upon the QW From Reporting Period. If this field contains spaces or an invalid date and the QW Match Code is 'R', match all available QW records, dependent upon the QW From Reporting Period. Valid quarter values (Q) are 1, 2, 3, or 4. This field must contain spaces.			
Filler	107-200	94	A/N

CHART 3: STATE INPUT TRAILER RECORD			
Field Name	Location	Length	Alpha/ Numeric
Submitter Identifier	1-3	3	A/N
Required This field uniquely identifies the submitter of the request file and must contain the characters 'SWA'.			
Record Identifier	4-6	3	A/N
Required This field must contain the characters 'TRL'.			
Data Record Count	7-17	11	N
Required This field must contain the number of records in the transmission, including the header and trailer records.			
Filler	18-200	183	A/N
This field may be used for future enhancements. For the current version, this should be spaces.			

CHART 6: STATE W-4 OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Submitter Identifier	1-3	3	A/N	This field will contain the data submitted on the Input Detail Record.
Record Identifier	4-6	3	A/N	This field will contain the characters 'W4M'.
SSN	7-15	9	A/N	This field will contain the data submitted on the Input Detail Record.
Verification Request Indicator	16	1	A/N	This field will contain the information used in the match process. 'Y' - SSN/Name verification was performed. 'N' - SSN/Name verification was not performed.
Filler	17-21	5	A/N	This field will contain spaces.
Person First Name	22-31	10	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Person Middle Name	32-41	10	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Person Last Name	42-61	20	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Filler	62-71	10	A/N	This field will contain spaces.
W-4 Processed Date	72-79	8	A/N	This field will contain the date the W-4 record was processed by OCSE in the Year 2000-compliant format of CCYYMMDD.
W-4 Employee First Name	80-95	16	A/N	This field will contain the Employee First Name as provided on the W-4 record submitted to the NDNH.
W-4 Employee Middle Name	96-111	16	A/N	If present, this field will contain the Employee Middle Name as provided on the W-4 record submitted to the NDNH.
W-4 Employee Last Name	112-141	30	A/N	This field will contain the Employee Last Name as provided on the W-4 record submitted to the NDNH.
W-4 Employee Street Address Line 1	142-181	40	A/N	If present, this field will contain the Employee Street Address Line 1 as provided on the W-4 record submitted to the NDNH.
W-4 Employee Street Address Line 2	182-221	40	A/N	If present, this field will contain the Employee Street Address Line 2 as provided on the W-4 record submitted to the NDNH.
W-4 Employee Street Address Line 3	222-261	40	A/N	If present, this field will contain the Employee Street Address Line 3 as provided on the W-4 record submitted to the NDNH.
W-4 Employee City	262-286	25	A/N	If present, this field will contain the Employee City as provided

CHART 6: STATE W-4 OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
W-4 Employee State	287-288	2	A/N	on the W-4 record submitted to the NDNH. If present, this field will contain the Employee State as provided on the W-4 record submitted to the NDNH.
W-4 Employee Zip Code	289-293	5	A/N	If present, this field will contain the Employee Zip Code as provided on the W-4 record submitted to the NDNH.
W-4 Employee Zip Code Extension	294-297	4	A/N	If present, this field will contain the Employee Zip Code Extension as provided on the W-4 record submitted to the NDNH.
Employee Foreign Country Code	298-299	2	A/N	If present, this field will contain the Employee Foreign Country Code as provided on the W-4 record submitted to the NDNH.
Employee Foreign Country Name	300-324	25	A/N	If present, this field will contain the Employee Foreign Country Name as provided on the W-4 record submitted to the NDNH.
Employee Foreign Zip Code	325-339	15	A/N	If present, this field will contain the Employee Foreign Zip Code as provided on the W-4 record submitted to the NDNH.
Employee Date of Hire	340-347	8	A/N	If present, this field will contain the Employee Date of Hire as provided on the W-4 record submitted to the NDNH.
Employee State of Hire	348-349	2	A	If present, this field will contain the Employee State of Hire as provided on the W-4 record submitted to the NDNH.
Federal EIN	350-358	9	A/N	If present, this field will contain the Federal Employer Identification Number (FEIN) as reported on the NDNH W-4 record. Values are 000000001 through 999999999, or spaces.
State EIN	359-370	12	A/N	If present, this field will contain the state Employer Identification Number (EIN) as reported on the NDNH W-4 record. Values are A through Z, 0 through 9, or spaces.
Department of Defense Code (DOD)	371	1	A/N	(DOD only) If present, this field will contain the information on the record submitted. The valid values are: A – Active duty employees C – Civilian employees P – Pension/Retired employees R – Reserve employees

CHART 6: STATE W-4 OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Employer Name	372-416	45	A/N	If present, this field will contain the Employer Name as provided on the W-4 record submitted to the NDNH.
Employer Street Address Line 1	417-456	40	A/N	If present, this field will contain the Employer Street Address Line 1 as provided on the W-4 record submitted to the NDNH.
Employer Street Address Line 2	457-496	40	A/N	If present, this field will contain the Employer Street Address Line 2 as provided on the W-4 record submitted to the NDNH.
Employer Street Address Line 3	497-536	40	A/N	If present, this field will contain the Employer Street Address Line 3 as provided on the W-4 record submitted to the NDNH.
Employer City	537-561	25	A/N	If present, this field will contain the Employer City as provided on the W-4 record submitted to the NDNH.
Employer State	562-563	2	A/N	If present, this field will contain the Employer State as provided on the W-4 record submitted to the NDNH.
Employer Zip Code	564-568	5	A/N	If present, this field will contain the Employer Zip Code as provided on the W-4 record submitted to the NDNH.
Employer Zip Code Extension	569-572	4	A/N	If present, this field will contain the Employer Zip Code Extension as provided on the W-4 record submitted to the NDNH.
Employer Foreign Country Code	573-574	2	A/N	If present, this field will contain the Employer Foreign Country Code as provided on the W-4 record submitted to the NDNH.
Employer Foreign Country Name	575-599	25	A/N	If present, this field will contain the Employer Foreign Country Name as provided on the W-4 record submitted to the NDNH.
Employer Foreign Zip Code	600-614	15	A/N	If present, this field will contain the Employer Foreign Zip Code as provided on the W-4 record submitted to the NDNH.
Employer Optional Street Address Line 1	615-654	40	A/N	If present, this is the address where an employer receives child support wage withholding orders. This is a number, street name, rural route or post office box, city, state, and zip code. This may be a foreign address.
Employer Optional Street Address Line 2	655-694	40	A/N	
Employer Optional Street Address Line 3	695-734	40	A/N	
Employer Optional City	735-759	25	A/N	
Employer Optional State	760-761	2	A/N	

CHART 6: STATE W-4 OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Employer Optional Zip Code	762-766	5	A/N	
Employer Optional Zip Code Extension	767-770	4	A/N	
Employer Optional Foreign Country Code	771-772	2	A/N	
Employer Optional Foreign Country Name	773-797	25	A/N	
Employer Optional Foreign Zip Code	798-812	15	A/N	
Filler	813-814	2	A/N	
Passback Data	815-834	20	A/N	This field will contain the data submitted on the Input Detail Record.
W-4 Match Indicator	835	1	A/N	This field will contain information that was used in the match. 'Y' - W-4 match was performed.
W-4 Same State Data Indicator	836	1	A/N	This field will contain information that was used in the match. 'Y' - Return matches where the submitter is the same as the W-4 submitting state. 'N' - Do not return matches where the submitter is the same as the W-4 submitting state.
W-4 From Date	837-844	8	A/N	This field will contain the date in CCYYMMDD format that was used as the beginning process date for the W-4 match process. This field will contain spaces when the match was performed using the earliest available data from the NDNH.
W-4 Through Date	845-852	8	A/N	This field will contain the date in CCYYMMDD format that was used as the ending process date for the W-4 match process. This field will contain spaces when the match was performed using the most recent available data on the NDNH.
Filler	853-908	56	A/N	This field will contain spaces.

CHART 6: STATE W-4 OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Transmitter Agency Code	909-917	9	A/N	If the submitter of the W-4 record was a Federal agency, this field will contain the Federal Employer Identification Number (FEIN) or the FIPS code of the Federal agency that submitted the data to the NDNH. Valid values are the FEIN or the letter 'A' followed by a valid four-digit FIPS code.
Transmitter State Code	918-919	2	A/N	If the submitter of the W-4 record was a state or territory, this field will contain the state or territory that transmitted the data to the NDNH. Valid values are the numeric FIPS code of the state or territory, or spaces.
Transmitter State or Agency Name	920-977	58	A/N	This field will contain the descriptive name of the Federal agency or state that submitted the data to the NDNH.
Filler	978-1000	23	A/N	This field will contain spaces.

CHART 7: STATE NOTIFY OUTPUT DETAIL RECORD				
Field Name	Location	Length	Alpha/ Numeric	Comments
Submitter Identifier	1-3	3	A/N	This field will contain the data submitted on the Input Detail Record.
Record Identifier	4-6	3	A/N	This field will contain the characters 'ERM'.
SSN	7-15	9	A/N	This field will contain the data submitted on the Input Detail Record.
Verification Request Indicator	16	1	A/N	This field will contain the information used in the match process. 'Y' - SSN/Name verification was performed. 'N' - SSN/Name verification was not requested.
Person First Name	17-26	10	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Person Middle Name	27-36	10	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Person Last Name	37-56	20	A/N	This field will contain the data submitted on the Input Detail Record with special characters removed.
Passback Data	57-76	20	A/N	This field will contain the data submitted on the Input Detail Record.
Filler	77-150	74	A/N	This field will contain spaces.
Reject Code	151	1	A/N	This field will contain one of the following values: 'N' - the Input Detail Record contained insufficient name information. The record was eliminated from the match. 'S' - the SSN was missing or invalid. The record was eliminated from the match. 'U' - the SSN/Name combination submitted did not verify using SSA SSN verification routines. The record was eliminated from the match.
Filler	152-1000	849	A/N	This field will contain spaces.

Detection of Overpayments Ratios for the EUC Program, CY 2011 \$ Paid and Overpaid for Year Ending 6/30/2011; \$ Established CY 2011					
State	Benefits Paid ¹	OP Rate	OP Estimate ¹	\$ Established ²	D/O Ratio
AK	\$119,160,983	7.17%	\$8,543,842	\$1,454,361	17.02%
AL	\$432,259,201	4.91%	\$21,223,927	\$12,409,505	58.47%
AR	\$339,755,625	10.41%	\$35,368,561	\$1,369,740	3.87%
AZ	\$781,026,167	19.47%	\$152,065,795	\$20,217,771	13.30%
CA	\$8,909,800,035	3.24%	\$288,677,521	\$144,750,011	50.14%
CO	\$1,054,430,742	14.12%	\$148,885,621	\$42,164,571	28.32%
CT	\$943,754,692	4.99%	\$47,093,359	\$8,346,154	17.72%
DC	\$260,369,968	3.87%	\$10,076,318	\$3,318,455	32.93%
DE	\$126,328,165	7.22%	\$9,120,894	\$3,871,477	42.45%
FL	\$2,904,759,891	6.34%	\$184,161,777	\$40,210,482	21.83%
GA	\$1,361,102,749	2.34%	\$31,849,804	\$5,244,856	16.47%
HI	\$239,829,555	1.60%	\$3,837,273	\$1,317,422	34.33%
IA	\$397,885,748	6.87%	\$27,334,751	\$5,660,365	20.71%
ID	\$226,209,575	8.17%	\$18,481,322	\$4,633,597	25.07%
IL	\$2,899,734,168	12.50%	\$362,466,771	\$81,066,909	22.37%
IN	\$1,202,395,448	6.78%	\$81,522,411	\$14,262,787	17.50%
KS	\$366,340,297	2.96%	\$10,843,673	\$12,371,872	114.09%
KY	\$707,153,356	6.09%	\$43,065,639	\$7,153,196	16.61%
LA	\$424,674,926	19.42%	\$82,471,871	\$4,913,847	5.96%
MA	\$1,908,429,982	2.98%	\$56,871,213	\$17,215,194	30.27%
MD	\$703,532,597	9.52%	\$66,976,303	\$26,160,705	39.06%
ME	\$146,887,201	14.12%	\$20,740,473	\$4,695,617	22.64%
MI	\$2,206,039,465	8.40%	\$185,307,315	\$52,720,376	28.45%
MN	\$954,536,570	7.13%	\$68,058,457	\$38,631,323	56.76%
MO	\$765,396,943	6.61%	\$50,592,738	\$16,221,382	32.06%
MS	\$268,671,248	7.76%	\$20,848,889	\$10,174,682	48.80%
MT	\$113,769,700	9.25%	\$10,523,697	\$2,214,782	21.05%
NC	\$2,250,607,029	7.41%	\$166,769,981	\$42,681,794	25.59%
ND	\$26,747,030	9.66%	\$2,583,763	\$718,314	27.80%
NE	\$135,676,812	10.20%	\$13,839,035	\$3,079,993	22.26%
NH	\$100,389,902	5.58%	\$5,601,757	\$3,181,743	56.80%

Detection of Overpayment Ratios for the EB and EUC Programs

Attachment C

NJ ³			\$0	\$0	
NM	\$302,800,127	10.27%	\$31,097,573	\$9,327,174	29.99%
NV	\$862,004,501	7.47%	\$64,391,736	\$45,629,246	70.86%
NY	\$3,581,465,199	3.65%	\$130,723,480	\$60,557,524	46.32%
OH	\$1,993,861,000	10.74%	\$214,140,671	\$1,034,663	0.48%
OK	\$383,975,110	4.32%	\$16,587,725	\$4,003,941	24.14%
OR	\$1,006,976,966	8.71%	\$87,707,694	\$22,421,591	25.56%
PA	\$3,389,731,845	7.24%	\$245,416,586	\$87,468,092	35.64%
PR	\$274,254,826	5.79%	\$15,879,354	\$2,442,871	15.38%
RI	\$251,705,090	4.67%	\$11,754,628	\$4,640,040	39.47%
SC	\$660,657,995	11.28%	\$74,522,222	\$17,704,765	23.76%
SD	\$15,567,203	14.84%	\$2,310,173	\$675,129	29.22%
TN	\$719,318,987	5.33%	\$38,339,702	\$11,518,539	30.04%
TX	\$2,743,076,271	9.40%	\$257,849,169	\$72,946,984	28.29%
UT	\$287,818,882	8.71%	\$25,069,025	\$11,564,782	46.13%
VA	\$588,499,285	14.95%	\$87,980,643	\$8,070,901	9.17%
VT	\$55,041,619	4.37%	\$2,405,319	\$1,009,716	41.98%
WA	\$1,738,994,068	12.95%	\$225,199,732	\$75,739,573	33.63%
WI	\$1,048,430,711	4.44%	\$46,550,324	\$38,958,259	83.69%
WV	\$177,922,297	1.92%	\$3,416,108	\$3,262,388	95.50%
WY	\$65,711,025	7.16%	\$4,704,909	\$2,256,113	47.95%
U.S. ⁴	\$53,425,468,777		\$3,821,851,523	\$1,113,665,574	29.14%

Notes:

¹ Year ending 6/30/2011

² Calendar Year 2011.

³ Did not submit EUC overpayment report for period.

⁴ U.S. Total excludes NJ (no au227); VI (no BAM results)

The overpayment rate includes fraud and nonfraud recoverable overpayments, including work search and ES registration issues, and excludes base period separation and wage issues, and miscellaneous causes such as benefits paid during a period of disqualification and back pay awards.

Detection of Overpayment Ratios for the EB and EUC Programs

Attachment C

Detection of Overpayments Ratios for the EB Program, CY 2011 \$ Paid and Overpaid for Year Ending 6/30/2011; \$ Established CY 2011					
State	Benefits Paid ¹	OP Rate ¹	OP Estimate ¹	\$ Established ²	D/O Ratio
AK	\$40,286,315	7.17%	\$2,888,529	\$491,695	17.02%
AL	\$101,466,025	4.91%	\$4,981,982	\$2,912,935	58.47%
AR ³					
AZ	\$180,787,027	19.47%	\$35,199,234	\$4,679,883	13.30%
CA	\$2,314,217,884	3.24%	\$74,980,659	\$37,597,147	50.14%
CO	\$207,425,616	14.12%	\$29,288,497	\$8,294,534	28.32%
CT	\$346,099,672	4.99%	\$17,270,374	\$3,060,754	17.72%
DC	\$48,212,626	3.87%	\$1,865,829	\$614,477	32.93%
DE	\$27,101,466	7.22%	\$1,956,726	\$830,557	42.45%
FL	\$705,065,004	6.34%	\$44,701,121	\$9,760,188	21.83%
GA	\$325,521,085	2.34%	\$7,617,193	\$1,254,359	16.47%
HI ³					
IA ³					
ID	\$30,716,304	8.17%	\$2,509,522	\$629,182	25.07%
IL	\$644,375,976	12.50%	\$80,546,997	\$18,014,606	22.37%
IN	\$250,510,368	6.78%	\$16,984,603	\$2,971,548	17.50%
KS	\$52,647,574	2.96%	\$1,558,368	\$1,777,989	114.09%
KY	\$165,288,918	6.09%	\$10,066,095	\$1,671,977	16.61%
LA	\$4,064	19.42%	\$789	\$47	5.96%
MA	\$511,061,867	2.98%	\$15,229,644	\$4,610,087	30.27%
MD ³					
ME	\$24,713,011	14.12%	\$3,489,477	\$790,013	22.64%
MI	\$644,383,702	8.40%	\$54,128,231	\$15,399,612	28.45%
MN	\$181,437,382	7.13%	\$12,936,485	\$7,343,004	56.76%
MO	\$140,242,158	6.61%	\$9,270,007	\$2,972,212	32.06%
MS ³					
MT	\$198,235	9.25%	\$18,337	\$3,859	21.05%
NC	\$481,858,768	7.41%	\$35,705,735	\$9,138,244	25.59%
ND ³					
NE ³					

Detection of Overpayment Ratios for the EB and EUC Programs

Attachment C

NH	\$10,463,038	5.58%	\$583,838	\$331,614	56.80%
NJ ⁴	\$890,099,823	7.52%	\$66,935,507		
NM	\$102,812,210	10.27%	\$10,558,814	\$3,166,932	29.99%
NV	\$173,139,323	7.47%	\$12,933,507	\$9,164,937	70.86%
NY	\$1,222,978,210	3.65%	\$44,638,705	\$20,678,836	46.32%
OH	\$522,143,157	10.74%	\$56,078,175	\$270,953	0.48%
OK ³					
OR	\$241,763,755	8.71%	\$21,057,623	\$5,383,170	25.56%
PA	\$700,601,848	7.24%	\$50,723,574	\$18,078,217	35.64%
PR	\$67,931,077	5.79%	\$3,933,209	\$605,083	15.38%
RI	\$87,519,116	4.67%	\$4,087,143	\$1,613,365	39.47%
SC	\$130,983,300	11.28%	\$14,774,916	\$3,510,180	23.76%
SD	\$205,014,566	14.84%	\$30,424,162	\$8,891,211	29.22%
TN ³					
TX	\$789,630,513	9.40%	\$74,225,268	\$20,998,747	28.29%
UT ³					
VA	\$83,823,760	14.95%	\$12,531,652	\$1,149,591	9.17%
VT	\$839,797	4.37%	\$36,699	\$15,406	41.98%
WA	\$387,900,127	12.95%	\$50,233,066	\$16,894,474	33.63%
WI	\$156,327,287	4.44%	\$6,940,932	\$5,808,909	83.69%
WV	\$35,627,575	1.92%	\$684,049	\$653,268	95.50%
WY ³					
US	\$13,233,219,529		\$924,575,273	\$252,033,802	29.14%

Notes:

¹ Year ending 6/30/2011

² Year ending 12/31/2011

³ No EB payments made in period

⁴ Did not submit reports on EUC overpayments for period.

⁵ U.S. total excludes VI (no BAM program) and NJ (no au227) as well as states with no EB payments

The overpayment rate includes fraud and nonfraud recoverable overpayments, including work search and ES registration issues, and excludes base period separation and wage issues, and miscellaneous causes such as benefits paid during a period of disqualification and back pay awards.