# EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR

Washington, D.C. 20210

CLASSIFICATION
WIOA
CORRESPONDENCE SYMBOL
OPDR/OWI
DATE

December 1, 2025

ADVISORY: TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 23-19,

**CHANGE 3** 

TO: STATE AND LOCAL STAKEHOLDERS IN THE WORKFORCE

INNOVATION AND OPPORTUNITY ACT

STATE WORKFORCE AGENCIES

STATE WORKFORCE ADMINISTRATORS

STATE WORKFORCE LIAISONS

H-1B SKILLS TRAINING GRANT PROGRAM RECIPIENTS INDIAN AND NATIVE AMERICAN PROGRAM (INAP) GRANT

RECIPIENTS

JOB CORPS CONTRACTORS

JOBS FOR VETERANS STATE GRANTS (JVSG) GRANT RECIPIENTS

STATE MONITOR ADVOCATES

NATIONAL FARMWORKER JOBS PROGRAM (NFJP) GRANT

RECIPIENTS

NATIONAL DISLOCATED WORKER GRANT RECIPIENTS (DWG)

STATE APPRENTICESHIP AGENCIES

OFFICE OF APPRENTICESHIP (OA) STATE DIRECTORS APPRENTICESHIP GRANT RECIPIENTS (OA-MANAGED) REENTRY EMPLOYMENT OPPORTUNITIES (REO) GRANT

RECIPIENTS

SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM (SCSEP)

**GRANT RECIPIENTS** 

YOUTHBUILD GRANT RECIPIENTS

FROM: LORI FRAZIER BEARDEN /s/

**Acting Assistant Secretary** 

**SUBJECT:** Guidance for Validating Required Performance Data Submitted by Grant

Recipients of U.S. Department of Labor (DOL) Workforce Programs

1. <u>Purpose</u>. To announce revisions to Training and Employment Guidance Letter (TEGL) 23-19, Change 1, and Change 2 *Guidance for Validating Required Performance Data Submitted by Grant Recipients of U.S. Department of Labor (DOL) Workforce Programs*. This guidance provides information to grant recipients of the U.S. Department of Labor workforce programs, including states, and provides guidelines for grant recipients to use in developing procedures for ensuring the data submitted for performance reporting are valid and reliable.

	EXPIRATION DATE
TEGL 23-19, Change 1 TEGL 23-19, Change 2	Continuing

With this issuance, DOL (the Department) updates the guidance to align it with changes made by the Workforce Innovation and Opportunity Act (WIOA) Effectiveness in Serving Employers Performance Indicator final rule (89 FR 13814 (Feb. 23, 2024)) (Final Rule), which took effect March 25, 2024. This Final Rule defined the Effectiveness in Serving Employers primary indicator of performance, as required by WIOA section 116(b)(2), as Retention with the Same Employer; it also clarifies what can be counted as an Educational Functional Level gain type for the Measurable Skill Gains indicator outlined in TEGL 10-16, Change 3 Performance Accountability Guidance for Workforce Innovation and Opportunity Act (WIOA) Core Programs issued June 11, 2024. This guidance also revises source documentation in Attachment II to be consistent with Departmental guidance including TEGL 26-16, Change 1 Guidance on the use of Supplemental Wage Information to implement the Performance Accountability Requirements under the Workforce Innovation and Opportunity Act (WIOA) issued July 10, 2025.

2. <u>Action Requested</u>. Please replace the PDF and Excel versions of attachments to TEGL 23-19, Changes 1 and 2 with the revised versions attached to and posted with this advisory to ensure that the guidance properly reflects revised data validation, and source documentation information for WIOA core and non-core programs.

## 3. Summary and Background.

Summary – This guidance replaces Training and Employment Guidance Letter (TEGL) 23-19, Changes 1 and 2, Guidance for Validating Required Performance Data Submitted by Grant Recipients of U.S. Department of Labor (DOL) Workforce Programs, published October 25, 2022, and May 12, 2023, respectively. This guidance provides clarifying language and revisions to data validation requirements specific to grant recipients of DOL workforce programs. The guidance aligns with and expands on the DOL/Department of Education Joint Guidance in TEGL 07-18, Change 1 Guidance for Validating Jointly Required Performance Data Submitted under the Workforce Innovation and Opportunity Act (WIOA) and provides specific data validation guidance for the WIOA core programs and other DOL-funded programs not addressed in TEGL 07-18, Change 1.

Background – Data validation is a series of internal controls or quality assurance techniques established to verify the accuracy, validity, and reliability of data. Establishing a shared data validation framework across programs ensures all program data consistently and accurately reflect the performance of each grant recipient. To that end, the purposes of validation procedures for both DOL-only and jointly required performance data are to:

- Verify that the performance data reported by grant recipients to DOL are valid, accurate, reliable, and comparable across programs;
- Identify anomalies in the data and resolve issues that may cause inaccurate reporting;
- Improve program performance accountability through the results of data validation efforts; and
- Describe source documentation required for common data elements.

This issuance provides additional DOL-specific guidance on data validation for DOL programs for both the DOL-administered WIOA core programs and those that are not WIOA core programs (referred to in this guidance as "non-core programs"). Non-core programs include programs authorized by WIOA, as well as programs authorized by other Federal legislation. Collectively, the non-core programs include:

- Indian and Native American Program (INAP), under WIOA section 166;
- Job Corps, under WIOA sections 141-162;
- National Farmworker Jobs Program (NFJP), under WIOA section 167;
- YouthBuild, under WIOA section 171;
- Reentry Employment Opportunities (REO), under WIOA section 169;
- National Dislocated Worker Grants (DWG), under WIOA section 170;
- H-1B Skills Training Grant Programs, authorized under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 (29 U.S.C. 3224a);
- Senior Community Service Employment Program (SCSEP), authorized under title V of The Older Americans Act of 1965 (42 U.S.C. 3056 et seq.);
- Apprenticeship Grants administered by the Office of Apprenticeship (OA), authorized by the National Apprenticeship Act of 1937 (29 U.S.C. 50); and
- Jobs for Veterans State Grants (JVSG), authorized under 38 U.S.C. chapter 41.

In addition, the data validation requirements in this guidance also apply to the Monitor Advocate System. The Monitor Advocate System protects the standard of services provided to migrant and seasonal farmworkers (MSFW) under the Wagner-Peyser Act Employment Service program. Therefore, as part of a WIOA core program, all the requirements that apply to the Wagner-Peyser Act Employment Service for data validation will apply to the Monitor Advocate System.

DOL, jointly with the Department of Education, issued TEGL 07-18, Change 1 *Guidance* for Validating Jointly Required Performance Data Submitted under the Workforce Innovation and Opportunity Act (WIOA), which elaborated on the data validation guidelines for performance accountability required under WIOA section 116(d)(5). This DOL-only data validation guidance fits within the framework established in TEGL 07-18, Change 1 and provides DOL grant recipients with additional details on the framework for data validation. Grant recipients must develop data validation policies and procedures consistent with the instructions in this guidance.

Implementation – Grantees may implement the revisions outlined in this guidance at their convenience but no later than with participants who have a start date of July 1, 2026.

## 4. **DOL Data Validation Requirements.**

## Requirements for WIOA Core Programs and Non-Core Programs.

i. <u>WIOA Core Programs</u>: WIOA establishes performance accountability indicators and performance reporting requirements to assess the effectiveness of states and local areas in achieving positive outcomes for individuals served by the workforce development system's six core programs. WIOA core

- programs must follow the data validation framework described in this section as well as any program-specific guidance outlined in Attachment I.
- ii. Non-Core Programs: Non-core programs must follow the program-specific instructions and guidance related to their programs as discussed in Attachment I.

<u>Data Validation Framework.</u> The WIOA core programs must use a data validation strategy. However, these programs and the other non-core program grant recipients have the discretion to decide the specific design, implementation, and periodic evaluation of that strategy, so long as the strategy and procedures adhere to this guidance. All core and non-core programs must collect source documentation in compliance with program-specific instructions in Attachment I and Attachment II.

Data validation helps ensure the accuracy of required performance reports, safeguards data integrity, and promotes the timely resolution of data anomalies and inaccuracies. DOL recommends that grant recipients incorporate their data validation policies, procedures, and methodology into their data quality assurance process, internal controls, and the required monitoring policies and procedures in 2 CFR 200.328. DOL also recommends that grantees maintain independence between program or grant staff who collect and report data and those who validate reported data and source documentation.

WIOA core programs must develop data validation procedures that include:

- Written procedures for conducting data validation reviews that contain a
  description of the process for identifying and correcting errors or missing data,
  which may include electronic data checks;
- Regular data validation training for appropriate program staff (DOL recommends at least annually);
- Monitoring protocols, consistent with 2 CFR 200.328, to ensure that program staff are following the written data validation policy and procedures and take appropriate corrective action if those procedures are not being followed;
- Regular data integrity review of program data (DOL recommends quarterly) for errors, missing data, out-of-range variances in values reported, and other anomalies:
- Documentation that missing source documentation and erroneous data identified during the review process have been corrected;
- Documentation processes for maintaining records per the Federal records retention policy, which may include: copies of worksheets on data elements or records reviewed, frozen quarterly wage records for wage record matching used for reporting outcomes, trends in common data accuracy issues, error rates, and corrective action efforts made after data validation reviews; and
- Regular assessments of the effectiveness of the data validation process (DOL recommends at least annually) and revisions to that policy and process as needed.

On a quarterly basis, DOL will provide grant recipients with feedback regarding their performance reports to aid in data integrity efforts and support data accuracy (e.g., Quarterly Report Analysis, performance scorecards, etc.). The analysis will include, but is not limited to, a review of the data submitted, anomalies and outliers, and other potential data quality issues, which may indicate reporting inaccuracies. Grant recipients are encouraged to both incorporate and utilize the DOL-provided analysis in their data validation processes to improve the accuracy of required reports.

<u>Data Validation Parameters.</u> States must validate the information in annual reports for WIOA core programs each program year before submitting the reports to DOL. As noted above, DOL recommends that all grant recipients engage in data validation activities on a quarterly basis to ensure their data are accurate. Establishing quarterly data reviews is a best practice for identifying and correcting errors to improve performance reporting and ensuring that the data reflects the program participants, services, and outcomes.

DOL will not provide a specific statistical validation sampling methodology or specific data validation software but encourages grant recipients to incorporate a comprehensive random sampling methodology and procedure for reviewing the source documentation of participant files (including Exiters) into their data validation processes. Grant recipients should use a random sampling methodology, provide a sufficient representation of records from each program, and for each of the required elements as described in Attachment II.¹ Grant recipients should also consider mechanisms for ensuring that zeros, blanks or null values, and negative responses are accurate. Approaches could include incorporating internal edit checks, outlier reports, and processes for resolving any issues resulting in unreported or missing elements identified through participant file reviews.

Source Documentation. In Attachment II of this guidance, programs mentioned above must identify acceptable source documentation necessary to validate these selected data elements. Grant recipients may: (1) require source documentation for additional program-specific data elements not included in this guidance; (2) maintain supporting source documentation for data elements not included in this guidance; and/or (3) conduct validation on additional data elements not included in this guidance. For any additional data that grantees want to validate beyond the requirements set forth in Attachment II, DOL recommends that grant recipients reduce burden by leveraging the source documentation outlined in Attachment II.

**Documentation of Eligibility.** While the collection of source documentation for data validation will often take place at the same time as the determination of an individual's program eligibility, these actions serve different purposes. Eligibility determination only needs to confirm that an individual meets the requirements of the relevant program before becoming a participant in the program. The list of source documentation outlined in Attachment II describes documents that could be used for eligibility determination.

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<sup>&</sup>lt;sup>1</sup> Grant recipients may use publicly available calculators and tools for determining sample size based on total records

**Reporting Requirements**. Grant recipients must describe their data integrity measures, data validation methodology, policies, and procedures as required by their individual program guidance in Attachment I. Grant recipients should contact their appropriate regional or program offices for technical assistance.

Monitoring. DOL will conduct monitoring of these requirements through the appropriate regional or program office. During these reviews, grant recipients can expect Federal staff to conduct monitoring activities that include, but are not limited to: (1) checking for data validation policies, processes, and procedures conform to guidance; (2) verifying the grantee's compliance with its established policies, processes, and procedures; (3) verifying if grantees are training staff, and if any corrective action is needed or implemented; (4) reviewing files and source documentation; (5) reviewing data validation results maintained per Federal records retention policy per 2 CFR § 200.334; and (6) analyzing data integrity reports generated by DOL. Reviews may result in findings or requirements for corrective action.

5. <u>Inquiries</u>. Please direct inquiries to the appropriate regional or program office.

### 6. References.

- Workforce Innovation and Opportunity Act, Pub. L. 113-128;
- Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62; 107 Stat. 285);
- Joint Rule for Unified and Combined State Plans, Performance Accountability, and the One-Stop System Joint Provisions; Final Rule (WIOA Joint Final Rule) published at 81 FR 55792 (Aug. 19, 2016);
- Employment and Training Administration, Workforce Innovation and Opportunity Act; Final Rule (WIOA DOL Final Rule) published at 81 FR 56071 (Aug. 19, 2016);
- Workforce Innovation and Opportunity Act (WIOA) Common Performance Reporting (OMB No. 1205-0526);
- DOL-only Performance Accountability, Information, and Reporting System (OMB No. 1205-0521);
- <u>TEGL 10-16, Change 3</u>: Performance Accountability Guidance for Workforce Innovation and Opportunity Act (WIOA) Core Programs (June 11, 2024);
- <u>TEGL 18-16, Change 2</u>: Revisions to Training and Employment Guidance Letter 18-16, Change 1 Program Eligibility and Enrollment Guidance for the National Farmworker Jobs Program (January 17, 2025);
- TEGL 19-16: Guidance on Services provided through the Adult and Dislocated Worker Programs under the Workforce Innovation and Opportunity Act (WIOA) and the Wagner-Peyser Act Employment Service (ES), as amended by title III of WIOA, and for Implementation of the WIOA Final Rules (March 1, 2017);
- TEGL 11-19, Change 2: Negotiations and Sanctions Guidance for the Workforce Innovation and Opportunity Act (WIOA) Core Programs (January 6, 2025);

- <u>TEGL 07-18, Change 1</u>: Guidance for Validating Jointly Required Performance Data Submitted under the Workforce Innovation and Opportunity Act (WIOA) (July 10, 2025);
- <u>TEGL 26-16, Change 1</u>: Guidance on the use of Supplemental Wage Information to implement the Performance Accountability Requirements under the Workforce Innovation and Opportunity Act (WIOA) (July 10, 2025)
- <u>TEGL 14-18</u>: Aligning Performance Accountability Reporting, Definitions, and Policies Across Workforce Employment and Training Programs Administered by the U.S. Department of Labor (DOL) (March 25, 2019);
- <u>TEN 19-22</u>, <u>Change 1</u>: Change 1 to Training and Employment Notice No. 19-22: Reporting Training in the U.S. Department of Labor's (DOL) Participant Individual Record Layout (PIRL) (March 31, 2023);
- Employment and Training Administration source for WIOA requirements: <a href="https://www.dol.gov/agencies/eta/wioa/">https://www.dol.gov/agencies/eta/wioa/</a>; and
- The Performance website addresses how performance is measured, reported, and evaluated at the Employment and Training Administration: <a href="https://www.dol.gov/agencies/eta/performance/">https://www.dol.gov/agencies/eta/performance/</a>.

## Attachment(s).

- Revised Attachment I Program-Specific Instructions
- Revised Attachment II Source Documentation for WIOA Core/Non-Core Programs