

U. S. Department of Labor
Employment and Training Administration
National Farmworker Jobs Program
Risk Review Process

Prior to making an award, the Employment and Training Administration (ETA) will review and consider any information about the applicant that is in the responsibility/qualification records available in [SAM.gov](https://sam.gov) (see 41 U.S.C. 2313). Applicants may review and comment on any information in the responsibility/qualification records available in [SAM.gov](https://sam.gov). Before making decisions in the risk review required by [2 CFR 200.206](https://www.ecfr.gov/current/title-2/chapter-I/subchapter-A/part-200/subpart-B/section-200.206) and [2 CFR 2900.5](https://www.ecfr.gov/current/title-2/chapter-I/subchapter-A/part-2900/subpart-B/section-2900.5), ETA will consider any comments by the applicant, along with information available in the responsibility/qualification records in [SAM.gov](https://sam.gov).

Additionally, ETA will comply with the requirements of [2 CFR Part 180](https://www.ecfr.gov/current/title-2/chapter-I/subchapter-A/part-180) implemented at [2 CFR Part 2998](https://www.ecfr.gov/current/title-2/chapter-I/subchapter-A/part-2998) (Non-procurement Debarment and Suspension). This risk evaluation may incorporate results of the evaluation of the applicant's eligibility (application screening) or the quality of its application (merit review). If ETA determines that an award will be made, special conditions that correspond to the degree of risk assessed may be applied to the award. Criteria to be evaluated include the following:

- i. Financial stability. The applicant's record of effectively managing financial risks, assets, and resources;
- ii. Management systems and standards. Quality of management systems and ability to meet the management standards prescribed in the Uniform Grant Guidance;
- iii. History of performance. The applicant's record of managing previous and current Federal awards, including compliance with reporting requirements and conformance to the terms and conditions of Federal awards, if applicable;
- iv. Reports and findings from audits performed under Subpart F–Audit Requirements of the Uniform Grant Guidance or the reports and findings of any other available audits and monitoring reports containing findings, issues of non-compliance, or questioned costs;
- v. Ability to effectively implement requirements. The applicant's ability to effectively implement statutory, regulatory, and other requirements imposed on recipients

NOTE: As part of ETA's Risk Review process, the Grant Officer will determine the following:

- If the applicant had any restriction on spending for any ETA grant due to adverse monitoring findings;
- If the applicant received a High Risk determination in accordance with [TEGL 23-15](https://www.federalregister.gov/documents/2015/03/16/2015-0315).

Depending on the severity of the findings and whether the findings were resolved, the Grant Officer may, at their discretion, elect not to fund the applicant for a grant award regardless of the applicant's score in the competition.