



NC DEPARTMENT
of COMMERCE
WORKFORCE SOLUTIONS

Workforce Innovation and Opportunity Act (WIOA) Annual Statewide Performance Report Narrative

State of North Carolina

Program Year 2022

Submitted December 1, 2023

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December 1, 2023

Ms. Kimberly Staley, Regional Administrator
United States Department of Labor

Dear Ms. Staley:

We are pleased to present this Workforce Innovation and Opportunity Act (WIOA) Annual Statewide Performance Report Narrative to the U.S. Department of Labor for Program Year 2022. This report represents the dedicated efforts of numerous professionals in the North Carolina Department of Commerce's Division of Workforce Solutions (DWS) and our workforce development system partners.

During the 2022 program year, our state has continued to respond to a tight labor market, while we have also dealt with significant layoff events, such as the closure of Pactiv Evergreen facilities in western North Carolina. We are fortunate to have a hardworking, creative, compassionate team, and we are grateful to be able to partner with federal officials like you, in efforts to help both jobseekers and employers adapt and succeed.

As North Carolina continues to prioritize workforce development through our strategic economic development plan, "[First in Talent](#)," we have continued to see success in attracting good jobs and investment. In fact, CNBC named North Carolina "America's Top State for Business" for the past two years, in large part because of our workforce strength. We are building on that tradition by promoting the alignment of workforce development and economic development. In February 2023, Governor Cooper approved local county transfers that caused significant realignments in local workforce areas in the central part of the state (effective with the beginning of Program Year 2023). Our agency has facilitated this transition, which coincides with major growth in advanced manufacturing in the same region, much of it related to clean energy, such as electric vehicles.

North Carolina has made strides in the Clean Energy and Advanced Manufacturing sector. DWS has made available \$700,000 in State Set-Aside dollars for local workforce boards to assist them with the development of local plans for engaging employers in Advanced Manufacturing, including Electric Vehicle (EV) manufacturing. The North Carolina Business Committee for Education, which is administered through Governor Cooper's office, recently received a grant from Siemens to focus on the Clean Energy Sector, and DWS plays a leadership role in this grant. We have also initiated a state EV/Advanced Manufacturing collaborative team with our partners, and we are off to a strong start. We have established committee goals and action items, and are working now to implement our action plan. North Carolina A&T State University's \$23.7-million federal Good Jobs Challenge grant, called STEPs4GROWTH, has hired an EV industry backbone lead, who is now serving on this collaborative team.

Meanwhile, we have also ventured into innovative new areas like the federal Partners for Reentry Opportunities in Workforce Development (PROWD) program and grants funded by the American Rescue

Plan Act (ARPA), as we seek to support and empower marginalized groups while promoting Diversity, Equity and Inclusion (DEI) in our activities.

With gratitude for the Department of Labor's collaboration and assistance to North Carolina this year, we are glad to have this opportunity to report on how our workforce professionals are making a difference for our jobseekers, employers and communities.

Sincerely,

A handwritten signature in black ink, appearing to read "Chet Mottershead". The signature is fluid and cursive, with the first name "Chet" being more prominent and the last name "Mottershead" following in a similar style.

Chet Mottershead
Assistant Secretary of Commerce, Division of Workforce Solutions

I. Progress in achieving state goals/vision

State's Strategic Vision and Goals in the Unified Plan

North Carolina established a WIOA State Steering Council to coordinate and align policy among the agencies overseeing WIOA programs. This body collaborated on the submission of the 2020 Unified State Plan and the 2022 plan modification, and continues its efforts on many of the items mentioned below, including sector strategies and system performance. The policy approval structure adopted in PY 2020, giving the NCWorks Commission a more strategic role in policy development for Title I and Title III programs, enabled growth and successes for initiatives in support of the Governor's NC Job Ready vision. A major focus of PY 2021 was a system-wide goal to promote access, alignment, integration and modernization. Toward this goal, the NCWorks Commission voted on March 30, 2022 to recommend the realignment of the existing local areas of the state's (at the time) 23 local workforce development boards, in order to promote alignment with economic development activities and foster regional collaboration. Following the Commission's recommendations, local elected officials in six counties requested county transfers, which Governor Cooper ultimately approved in February 2023. These actions added counties to three local workforce areas and caused two local boards to cease operations, including a single-county board. As a result, North Carolina now has 20 local workforce development boards. During this process, Chief Local Elected Officials and local county administrative leadership became more aware of the workforce system, its functions and its resources to employers and jobseekers.

Sector Strategies

The North Carolina Sector Strategy Council, made up of key system leaders representing workforce development, education, and economic development systems, as well as the NC Chamber, has furthered the strategic vision for employer leadership as the driver of successful career outcomes. This continues to be a key principle of Governor Cooper's workforce development initiative, "NC Job Ready." DWS supported local workforce partners who contributed to 14 sector partnerships across the state, mainly in advanced manufacturing. The NCWorks Commission's Employer Leadership committee continued to evaluate options to better acknowledge and support sector partnerships as part of their strategic plan. One area that emerged in PY 2022 was in the Electric Vehicle (EV) subsector of Advanced Manufacturing. This is also a priority subsector of Clean Energy initiatives in North Carolina. At the state level, workforce partners began collaborating to develop strategies to support the growth of the EV industry, including employer engagement, curriculum and pathway development, prioritizing special jobseeker populations and Diversity, Equity & Inclusion (DEI) initiatives.

Career Pathways

The NCWorks Commission continues to support, promote and recognize NCWorks Certified Career Pathways, which are led at the local area level. During PY 2022 (and continuing into PY 2023), the NCWorks Commission's Skills and Education Attainment committee has led a research project that was funded by an NC Office of State Budget & Management evaluation grant. The project has been conducted by researchers at NC State University to review the NCWorks Certified Career Pathways. This project will describe 1. NCWorks Certified Career Pathways (CCP) in North Carolina; 2. The job seekers that participate in CCPs by sector, region, and demographics; 3. outcomes (credentials and others) of CCP participants and alignment with high-valued credentials; and 4. labor market availability in regions with the most extensive participation by industry sector. The study will provide implications for practice, policy, and designs for future research that could provide causal evidence of the impact of CCPs based on the data quality and availability in North Carolina. The project will also explore data available at the state

and national levels that could be combined to develop further understanding of the impact of career pathways.

Business Engagement

The Division of Workforce Solutions Business Services Unit's goal to support and drive employer-led services resulted in further avenues that foster the alignment of economic and workforce development. The adoption of the NC Job Ready Workforce Investment Grant policy in 2021 resulted in workforce training and support of 16 local economic development projects in PY 2022. Of those, up to \$519,000 is committed to 11 active projects with the potential of creating 3,209 new jobs. An additional five projects were announced with the commitment to create 1,703 new jobs. The NC Job Ready grant supported those five projects in the amount of \$398,000. The strengthening collaboration and exchange of knowledge and expertise between economic and workforce developers included the use of workforce investment training dollars in conjunction with these economic development recruitment, expansion and retention projects.

A Salesforce software platform was put in place to capture activities toward WIOA's Effectiveness in Serving Employers Data Elements, with this year's focus on training workforce staff and partners on utilizing the data to create their own reports and dashboards. Now in its second active year, the NCWorks Commission is leveraging the employer activity and data analyses to guide and measure their Employer Leadership and Engagement strategic goals. Additional emphasis has been placed on capturing Work-Based Learning activities and sector partnership activities that contribute to the state's *First in Talent* strategic plan.

Work-based Learning activities

North Carolina continues a targeted effort to increase the number of work-based learning opportunities with employers across the state. The Division of Workforce Solutions allocated resources to supplement the salary of a permanent business services representative position at each of the local areas again this year. This funding can also be used toward operations that support proactive employer engagement, including awareness of, and contracts for, work-based learning activities. Local area workforce development boards reported serving employers in the following work-based learning categories during PY 2022: 97 employers for Incumbent Worker Training, 210 On-the-Job Training, 199 Internships/ Job Shadowing, 43 Apprenticeship Training, 575 Work Experience and 366 Other Work-Based Learning Activities. All of North Carolina's workforce development boards reported serving employers in one or more work-based learning activity. The largest number of employer services was in the Work Experience category (39%), followed by Other Work-Based Learning efforts (25%), On-the-Job Training (14%), Internships/ Job Shadowing (13%), Incumbent Worker Training (7%), and Apprenticeship Training (3%). A committee of the NCWorks Commission is considering state-level performance measures to continue to increase these numbers.

II. Waivers

For Program Years 2022 and 2023, North Carolina received approval from U.S. DOL, Employment and Training Administration to waive the requirement at WIOA Section 129(a)(4)(A) and 20 CFR 681.410 that the State and local areas expend 75 percent of Governor's reserve youth funds and local formula youth funds on out-of-school youth (OSY). The waiver allows North Carolina to lower the expenditure requirement to 50 percent for OSY.

III. Performance System

Progress on Federal Primary Indicators of Performance

The table below gives an overview of the performance results for North Carolina’s Title I and III programs for Program Year (PY) 2022, with the state achieving at or above 90% of its negotiated goals for each of the primary indicators of performance.

PY 2022 Levels of Performance

Title/Program	Measure	Negotiated Value	Actual Performance	Percentage of Goal Achieved	
Title I	Adult	Employment Q2	78.0%	81.3%	104.23%
		Employment Q4	76.0%	79.5%	104.61%
		Median Earnings	\$7,052	\$8,276	117.36%
		Credential Rate	62.4%	66.2%	106.09%
		Measurable Skill Gains	52.2%	64.2%	122.99%
	Dislocated Worker	Employment Q2	70.7%	76.3%	107.92%
		Employment Q4	72.4%	73.8%	101.93%
		Median Earnings	\$7,604	\$8,595	113.03%
		Credential Rate	64.6%	68.4%	105.88%
		Measurable Skill Gains	58.3%	67.4%	115.61%
	Youth	Employment Q2	70.7%	75.9%	107.36%
		Employment Q4	70.3%	75.3%	107.11%
		Median Earnings	\$3,200	\$4,708	147.13%
		Credential Rate	51.9%	53.4%	102.89%
		Measurable Skill Gains	50.0%	56.8%	113.60%
Title III	Wagner-Peyser	Employment Q2	67.8%	71.3%	105.16%
		Employment Q4	67.1%	70.2%	104.62%
		Median Earnings	\$5,410	\$6,983	129.08%

North Carolina has made a concerted effort over the past few years to educate staff from around the state, and at all organizational levels, about the primary indicators of performance. This training has included information on what the measures are, the cohorts of individuals involved, and how the measures are calculated, including the data sources. Significant focus has been placed on specific areas of our case management system where data entry impacts the accuracy of a measure. Our annual data validation training also reinforces the importance of proper data entry and document upload to ensure the best performance results possible.

Even with the state’s success in achieving performance beyond our negotiated goals, North Carolina recognizes the need to continue our focus on performance levels across all indicators, especially

Credential Attainment and the Measurable Skill Gains measure. We have made a significant effort to better educate staff on the key aspects of the Measurable Skill Gains indicator. Our ongoing staff training has stressed the importance of TEGL 10-16 Change 2, but we also continue to reference our state-issued guidance including Data Entry instructions and a one-page Desk Aid (*see Appendix A*). We acknowledge that there is further work to be done with our workforce boards, both in providing participants the support services needed to complete their training, as well as the need for more consistent and timelier follow-up, particularly as it relates to the Training Completion and Employment Occupational Code metrics from USDOL's provided QRA reports. We have mandated 2nd Quarter follow-up for all programs in an effort to increase occupational code entry as much as possible.

We are also paying close attention to our median earnings figures for all programs, recognizing that increasing wages for participants is a key aspect of long-term economic stability. The North Carolina Department of Commerce Labor and Economic Analysis Division assists us in keeping track of earning projections information and updated unemployment data. PY 2022 has seen low rates of unemployment continue, with an average of just under 3.6% for the program year. North Carolina has recently benefited from economic development efforts to bring in large employers such as Toyota and VinFast, and we look forward, in the coming program years, to continued efforts to improve the employment outlook for the citizens of North Carolina.

Workforce Development Board Negotiated Measures

Workforce Development Board-level performance indicators covering both WIOA Title I and Title III for PY 2022 were negotiated prior to the start of the program year. A chart providing those goals is attached in *Appendix B*.

Local Workforce Board Measures

As per the Workforce Innovation and Opportunity Act (WIOA) section 116 and NC General Statute 143B-438.10, the NCWorks Commission is responsible for developing performance accountability measures for local workforce development boards. In 2023, North Carolina's 20 Local Workforce Development Boards (WDBs) and the NCWorks Commission are working to update specific services and outcomes of performance being provided by the career centers to better understand and trend opportunities for improvement. The updated three core service areas are:

- Basic Services (Initial contact & evaluation; Job search assistance; Provision of information; Referral)
- Career Services (Career planning & counseling; Supportive services; Testing/assessments)
- Education and Training Services (Adult basic education; Occupational skills; Post-secondary; Pre-Vocational skills; Secondary).

The data for these areas will be taken from the NC Association of Workforce Development Boards (www.ncawdb.org) dashboard, which was developed in collaboration with the NC Department of Commerce, Labor and Economic Analysis Division (LEAD).

Common Exit Policy

The U.S. Department of Labor requires that an individual's WIOA exit date be the date on which the last service, funded by the program or partner program, is received by the individual. When an individual has not received a documented WIOA or partner-funded service for 90 days, the case management system will "soft exit" the individual based on entries completed. North Carolina utilizes a common exit model

whereby a participant is not exited (and therefore remains active in all programs) until such time as they have not received *any* service within the past 90 days from any program, be it Title I, Title III, or Trade Adjustment Assistance. Individuals who are participating in more than one program will have a single common exit date, following 90 days of inactivity across *all* program enrollments. Follow-up and support services do not qualify as activities that extend the exit date.

IV. Effectiveness in Serving Employers performance indicator pilot

For the past six years, North Carolina has chosen to submit data for the Employer Penetration Rate and the Repeat Business Customer Rate as its pilot performance measures for Effectiveness in Serving Employers. Employer service data was combined from the Title I and III programs along with data from Title IV Vocational Rehabilitation in past years. In PY 2022, North Carolina was also able to include data for a portion of apprenticeships activity (administered by our community college system), as well as employer engagement for economic and strategy development, agricultural and veteran services, and employers being certified for Work Opportunity Tax Credits. When compared to the number of business establishments in the state (using BLS data for the last quarter of the reporting period as given in the indicator specifications), the North Carolina workforce system served just under 7 percent of the state’s business establishments. When considering the Repeat Business Customer Rate since the start of performance under WIOA, 23.8 percent of those businesses that were served by the system during PY 2022 had been served in the previous three program years.

Employer Measure	NC Workforce System PY 2022
Employer Penetration Rate	6.30%
Repeat Business Customer Rate	23.84%

During PY 2022, North Carolina included additional efforts aimed at gaining a stronger and more accurate understanding of employers receiving services. These areas included, but were not limited to: supplemental reporting, employer services provided through non-WIOA-funded programs considered essential to workforce efforts, and implementing service codes aligned with all eight U.S. Department of Labor Effectiveness in Serving Employer categories. Details for each of these areas are below:

Supplemental Reporting

Work-Based Learning reporting was implemented to track the number of employers participating in six various areas of work-based learning efforts: Incumbent Worker Training, On-the-Job Training, Internships/Job Shadowing, Apprenticeship Training, Work Experience and Other Work-Based Learning Activities. Please reference the Work-Based Learning section of this report for details regarding this effort. All of North Carolina’s local area workforce development boards reported serving employers in one or more work-based learning activity, and a sum total of 1,490 employers were served across these six Work-Based Learning categories.

DWS operationalized the Salesforce platform for employer services reporting, to track the number of employers receiving services aligned with TEGL 10-16, Change 2. All local workforce boards have reported employer activity in the system, in addition to our nightly integration of employer data from our

NCWorks Online system, making our employer services reporting more comprehensive than in years past.

Employer Services Provided by Non-WIOA-funded Programs Considered Essential

In PY 2022, DWS included employers served by both the Work Opportunity Tax Credit and the Foreign Labor (H2A and H2B) programs in the Effectiveness in Serving Employers/ Employer Penetration Rate. It is worth noting that these programs helped North Carolina reach an additional 5,610 employers with workforce services.

Data Validation

Note: the following passage is taken from an Operational Guidance notice issued by the Division of Workforce Solutions (OG 16-2021, Change 2). We anticipate updating the Operational Guidance to include the changes outlined in TEGL 23-19, Change 2 soon.

Conducting Data Validation Reviews

Data Validation will be conducted by four Units within the Division of Workforce Solutions (DWS): the Performance Unit, the Regional Analysts, the Trade Adjustment Assistance (TAA) team, and Information Technology (IT) staff:

- **Performance Unit:** The Performance unit will oversee all Title I and Title III validation. This will include all Participant Individual Record Layout (PIRL) elements noted in TEGLs 07-18 and 23-19, Change 1.
- **Regional Analysts:** The Regional Analysts will assist in the annual data validation process by being included in the pool of validators and by offering their expertise in case management practices for policy and training revisions.
- **TAA:** TAA will complete validation for its cases using the required validation elements noted in TEGL 23-19, Change 1.
- **IT Staff:** For all programs, IT staff will cover the required wage matching PIRL elements.
- Please see Attachment 2 for the exact breakdown of PIRL elements covered by each Unit.

Sample Creation

Each Workforce Development Board (WDB) will have a sample of 6 cases covering each program: Title I – Adult, Title I – Dislocated Worker, Title I – Youth, and Title III – Wagner-Peyser. This will yield a typical case count of 24 cases per WDB per program year. However, in the rare instance that the total number of cases for a program being validated is less than 6, all cases for that program will be reviewed and the total case count for a particular WDB may fall below 24 for that program year.

Sample Size for Each Workforce Development Board		
WIOA Program		Sample Size
Title I	Adult	6
Title I	Dislocated Worker	6
Title I	Youth	6

Sample Size for Each Workforce Development Board		
WIOA Program		Sample Size
Title III	Wagner-Peyser	6
Total Cases per Workforce Development Board		24

Management overseeing the Trade Adjustment Assistance (TAA) program will determine a sample size based on their program’s total case count and provide this figure to the Performance Unit by December 1st each program year for a case sample to be generated.

Timeframe

- **Performance Unit and Regional Analysts**
 - Data Validation by Performance staff and the Regional Analysts will be completed from the third quarter to the fourth quarter of each program year with all validation reviews to be concluded no later than May 31 of each program year to ensure sufficient time for corrective actions to be issued and completed. On December 1 (or first business day thereafter) of each program year, the Director of Performance will randomly assign all 20 Local Area WDBs (or portions thereof based on case count) to a data validation assessor. As a result of the random assignment, Local Area WDBs are likely to be validated in a different order and by a different data validation assessor each program year.
- **TAA and IT Staff**
 - Data Validation will be conducted within each program year from January 2 through May 31.

Validation Process and Worksheet Creation

NCWorks Online (NCWorks), DWS’ Case Management System, will be used to sample the pool of available active and exited cases for WIOA Title I – Adult, WIOA Title I – Dislocated Worker, WIOA Title I – Youth, WIOA Title III – Wagner-Peyser, and TAA. NCWorks will generate the necessary number of worksheets and will cover only the PIRL elements being validated by the respective Units of DWS as noted above (see Attachment 2 [*here, Appendix C*] for the breakdown of elements covered by DWS Units).

Each worksheet will detail one case, with the corresponding PIRL element values, and included columns for the Reported Value, the Audit Outcome, the Document used for validation, and any Comments. Staff will evaluate each PIRL element value against the participant’s file to see if case file documentation supports it and mark the reported value as either Pass, Fail, or Unable to Validate by choosing the corresponding value in the drop-down within the Audit Outcome column. Each PIRL element listed in Attachment 2 (*here, Appendix C*) has a list of the supported documentation acceptable for that element. Only the documents listed as approved documentation for that PIRL element may be used in support. Any elements marked Fail or Unable to Validate must be accompanied by a supportive explanation in the Comments column, detailing why the value failed or was not able to be validated and suggesting possible corrective action.

At the conclusion of the validation process, an electronic copy of the Pass or Fail record sheets must be returned to the head of each respective Unit (noted below) responsible for completing the validation, to ensure proper records retention:

- Performance: Director of Performance
- TAA: Trade Unit Manager and State Trade Adjustment Assistance Coordinator
- IT: Information Technology Director

Monitoring

The Accountability Unit will integrate Data Validation into the Unit’s annual oversight Guide and participant reviews. The Unit will document the results in the Local Area WDB Oversight Summary Report. Any documented deficiencies will be recorded with a date required for rectification consistent with the current monitoring correction timelines.

Data Integrity

On a quarterly basis, Department of Labor (DOL) will provide DWS with feedback on its submitted performance reports to aid in data integrity efforts and support data accuracy. The analysis will include, but is not limited to, a review of the data submitted, anomalies and outliers, and other potential data quality issues, which may indicate reporting inaccuracies. DWS will make use of these feedback reports to conduct quarterly data integrity reviews to identify data errors, missing data, out-of-range variances in values reported, and other anomalies.

Correcting Missing or Erroneous Data

Each Unit will provide a summary to the Local Area WDBs outlining areas that Failed or were marked Unable to Validate during the data validation process. All Data Validation related reports, desk reviews, or annual reviews will provide required corrective actions with an indicated due date based on the process needed for correction. Local Area WDBs must take appropriate actions to correct missing or erroneous data found during Data Validation. Such actions may include:

- working with the Local Area WDB’s superuser and potentially the Local Area WDB’s assigned Regional Analyst to make data corrections;
- providing additional training or technical assistance to staff to address data errors; and
- collecting missing documentation to provide necessary verification.

Failure to comply with identified corrective actions by the identified completion date may cause:

- One year after the initial identification:
 - Mandatory on-site facilitated training by DWS staff on the required reporting for data elements.
- Two years of high or repeated error rates:
 - Issue of a monitoring "Finding"; and
 - Formal notification to the Local Area WDB Chair or Chief Local Elected Officer (CLEO) of failure to comply.

Records Retention

All Data Validation records and documentation will be maintained in accordance with Federal records retention requirements, as given in 2 CFR 200.333:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient.

This would include:

- copies of worksheets on data elements or records reviewed;

- frozen quarterly wage records for wage record matching used for reporting outcomes;
- trends in common data accuracy issues and error rates; and
- corrective action efforts made after data validation reviews.

Each respective Unit will retain the records associated with its portion of the Data Validation process with retention overseen by the Unit leaders noted below:

- Performance: Director of Performance
- TAA: Trade Unit Manager and State Trade Adjustment Assistance Coordinator
- IT: Information Technology Director

Process Assessment

The Director of Performance and Accountability Manager will meet during the first quarter of each program year to assess the effectiveness of current data validation procedures and determine whether revisions to the policy and process are necessary. Any updates or changes will be released as a formal update to this Operational Guidance (OG).

Training

During the second quarter of each program year, Data Validation staff will review, and receive training on the Data Validation process to ensure uniform application of all policies and procedures. In addition, DWS will provide annual Data Validation training for local office staff. Beyond the annual training provided by DWS, Local Area WDBs are expected to provide additional staff training, on at least an annual basis, on the importance of accurate data entry and allowable source documentation as given in WIOA and Wagner-Peyser Employment Act Participant Eligibility.

Local Area WDB/Local Area

Each Local Area WDB is required to conduct Data Validation at least once annually for the Title I – Adult, Title I – Dislocated Worker, Title I – Youth, and Title III – Wagner-Peyser programs as a part of its ongoing monitoring efforts. Attachment 2 (*here, Appendix C*) to this OG, is a modified version of Attachment II of TEGL 23-19 Change 1, which is the complete list of PIRL elements that need to be validated. (Note that the WIOA and Wagner-Peyser Employment Act Participant Eligibility Reference Guide provided as Operational Guidance by the DWS has been updated to be in agreement with TEGL 23-19 Change 1, Attachment II.) Local Area WDBs should be aware that the Youth and Wagner-Peyser programs may have different elements required compared to the Adult and Dislocated Worker programs and attention should be paid to ensure each program is validated according to its respective list of required elements.

For the most up-to-date guidance on PIRL elements and their definitions, please refer to USDOL’s website: <https://www.dol.gov/agencies/eta/performance/reporting>. All records must be retained as outlined in the Records Retention section of this policy. This would include retention of a list of validated records, Pass or Fail worksheets, and documentation associated with any corrective actions taken.

Self-Attestation in WIOA Title I Programs

In the three WIOA Title I Programs (Adult, Dislocated Worker, and Youth) there are a number of PIRL elements for which self-attestation is an allowable form of data validation documentation. Please refer to Attachment 2 for the complete list of PIRL elements for which self-attestation can be used. When using self-attestation for WIOA Title I documentation, there are three key considerations:

1. Self-Attestation should be used when an item is unverifiable, or it is unreasonably difficult to obtain other acceptable documentation. All other acceptable forms of documentation for a given PIRL element should be utilized first since self-attestation is not the primary method of gathering

documentation to verify data elements. The lack of source documentation beyond self-attestation should not delay or prevent enrollment and the receipt of services.

2. Self-Attestation always refers to signed documentation. If the participant has not signed the supporting documentation, the documentation is not sufficient and will fail data validation.
3. If self-attestation is used, the scanned supporting documentation must directly relate to the PIRL element the scanned document is being used to support. For example, if self-attestation is being used as documentation for PIRL 802 – Low Income Status at Program Entry, then the uploaded supporting document must include an entry specifying the participant’s income. In this example, if the scanned document did not indicate the participant’s income, the documentation would not be sufficient and would fail data validation.

In addition to the considerations above, please be aware of the specific self-attestation usage limitations for PIRL Elements 200 – Date of Birth and 301 – Eligible Veteran Status for WIOA Title I programs. For both elements, self-attestation may only be used for Homeless Individuals or Runway Youth. Please refer to Attachment 2 for a full listing of the PIRL elements for which self-attestation can be used and any limitations on usage.

Self-Attestation in WIOA Title III – Wagner-Peyser

In the WIOA Title III – Wagner-Peyser, there are a number of PIRL elements for which self-attestation is an allowable form of data validation documentation. Please refer to Attachment 2 for the complete list of PIRL elements for which self-attestation can be used. When using self-attestation for WIOA Title III documentation, there are two key considerations:

1. Self-Attestation always refers to signed documentation. If the participant has not signed the supporting documentation, the documentation is not sufficient and will fail data validation. For WIOA Title III, signed documentation often takes the form of a signed application. Please note, detailed in the section below, the requirement for every participant’s WIOA Title III application to now be signed beginning on July 1, 2023.
2. If self-attestation is used, the scanned supporting documentation must directly relate to the PIRL element the scanned document is being used to support. For example, if self-attestation is being used as documentation for PIRL 802 – Low Income Status at Program Entry, then the uploaded supporting document must include an entry specifying the participant’s income. In this example, if the scanned document did not indicate the participant’s income, the documentation would not be sufficient and would fail data validation.

Veterans Status and DD-214s

A special note regarding veterans receiving services. A DD-214 is not necessary for a veteran to be registered or begin receiving services under either the WIOA Title I (Adult, Dislocated Worker) or WIOA Title III (Wagner-Peyser) programs. A DD-214 (or alternative documentation as indicated in Attachment 2) only becomes necessary for WIOA Title I participants when services rise above basic career services.

Signed Applications and Disability Status

Beginning July 1, 2023 (start of PY 2023), there are two new requirements for how WDBs must handle WIOA Title I/Title III applications:

1. Each WDB must ensure that every Title I/Title III application is signed, either electronically or physically, and retained as a saved document within the participant’s electronic case files in NCWorks. WDBs are encouraged to use electronic signature capabilities as it makes for an easier process regardless of whether the participant is physically in the local office or not.
2. Each application must include the Disability Information section as a standard component of a signed Title I/Title III application. Including the Disability Information section of both applications facilitates validators’ ability to track the necessary disability PIRL elements for WIOA Title I/Title III programs.

A separate Operational Guidance document will be published that specifically addresses the signed application requirements for WIOA Title I and Title III programs.

References

2 CFR 200.333 “Retention Requirements for Records”

TEGL 23-19, Change 1 “Guidance for Validating Required Performance Data Submitted by Grant Recipients of U.S. Department of Labor (DOL) Workforce Programs” (and included attachments). Issued: October 25, 2022.

TEGL 07-18 “Guidance for Validating Jointly Required Performance Data Submitted under the Workforce Innovation and Opportunity Act (WIOA)” (and included attachments). Issued: December 19, 2018.

Attachments

Attachment 2 – Modified version of TEGL 23-19, Change 1 Attachment II to show required PIRL validation elements by program and their alignment with DWS Oversight Units (*Appendix C*)

V. Current or Planned Evaluation and Research Projects

Employer Needs Survey

Every two years, the NCWorks Commission partners with the NC Commerce Labor and Economic Analysis Division (LEAD) to gather and use relevant data to inform strategies that enable North Carolina’s workforce and businesses to compete in a global economy. A copy of the full 2022 Employer Needs Survey report may be found at: <https://files.nc.gov/nccommerce/documents/files/2022-Employer-Needs-Report-Final.pdf>.

The upcoming 2024 Employer Needs Survey will not only be an update to reports published biannually since 2014, but it will also be the first year in which it will be implemented in two parts – the first to continue its base questions for trends and tracking purposes, and the second to study more locally-focused topics. The results of the 2022 survey showed that more employers were interested in being contacted by a workforce professional to become aware of workforce programs and services. Employers also expressed an interest in participating in discussion and focus groups about their workforce needs. As a result, the NCWorks Commission facilitated Employer Roundtables in each of the state’s economic prosperity zones. The Employer Roundtable report showed that feedback was consistent, with common themes including the need for soft skills training, more funding resources, more engagement with middle and high school students and more work-based learning opportunities. Also consistent was the awareness and familiarity of the NCWorks “brand.” The NCWorks Commission has been evaluating ways to improve employer services and engagement through the recommendations of the report.

Meanwhile, LEAD also created a separate “Business Pulse Survey” of employers in 2021 to track pandemic impact over time. This survey concluded in June 2023 (during PY 2022).

VI. Customer Satisfaction

State's approach to customer satisfaction

The multi-year NCWorks Customer Satisfaction Survey was an initiative to solicit feedback from individuals and businesses registered with NCWorks, in an effort to improve overall services offered, as well as to increase customer satisfaction. Results and feedback from the survey were used to determine and promote continuous quality improvement initiatives and make overall improvements to the one-stop system. The NCWorks system continues to apply the feedback for data-informed decisions on how to better serve our customers: both businesses and job seekers.

Survey Methodology

Two independent online customer satisfaction surveys were developed using a robust online survey platform, to obtain feedback from individuals and businesses that utilized one or more services (Online, Career Center, and Telephone) provided by NCWorks. The Initial Customer Experience Satisfaction Survey (ICESS) was developed to assess the initial customer experience of job seekers throughout the state of North Carolina. The Business Customer Satisfaction Survey (BCSS) was developed to assess business customers' needs and their satisfaction with the services received.

Sample questions on the survey included, *'In what ways have you used NCWorks services?'*, *'Tell us the reason(s) for your most recent website visit to NCWorks Online'*, *'How easy was it to use the automated phone system?'* and *'Based on your experience, how likely are you to recommend calling the NCWorks Career Center to others?'*. Online survey data collection included feedback from over 30,000 job seekers and 10,000 business customers across all 20 local area workforce development boards.

Results

Job Seeker Survey Results.

- The top three reasons for using NCWorks included: job search, obtaining information on unemployment benefits, and job application.
- NCWorks Online: Job seekers identified specific areas of improvement: (a) increase access to NCWorks staff, resources and community outreach; (b) improve NCWorks online navigation; (c) improve NCWorks website; and (d) increase number and variety of jobs available.
- NCWorks Career Center: Job seekers identified specific areas of improvement: (a) improve NCWorks online navigation and center equipment; (b) increase access to staff, follow-up services and resources; and (c) reduce customer wait-time and improve customer service.
- NCWorks Telephone: Job seekers identified specific areas of improvement: (a) update telephone and online service; (b) improve wait-time and follow-up; and (c) improve customer service.

Business Survey Results

- NCWorks Online: Business customers identified specific areas of improvement: (a) increase access to qualified applicants; (b) improve NCWorks website and online navigation; and (c) improve customer service.
- NCWorks Career Center: Business customers identified specific areas of improvement: (a) improve NCWorks online and customer service; and (b) need for training, education and outreach. As part of the response to this feedback, local area and state staff drafted a consistency of service framework and implementations strategy, which includes a Business Services Teams approach. Teams include board, contractor, state, One-Stop and partner staff. A training outline

has also been drafted for participation by Team members to improve collaboration, customer service and reduce duplication of services.

- *NCWorks Staff: Business customers identified specific areas of improvement: (a) update NCWorks online and employer account assistance; (b) increase opportunity for employer-employee engagement; and (c) improve customer service.* The NCWorks Commission's Regional Employer Roundtables offered an opportunity for local area staff to engage employers in conversation regarding their workforce needs.

Indicators from the survey showed jobseekers had a high satisfaction level with services they received from NCWorks staff while using telephone services, and that staff were knowledgeable about the services provided. Efforts are being made to build off these areas where the customer satisfaction rate was high to enhance the overall customer experience.

Continuous improvement processes for incorporating the customer satisfaction feedback

As part of the state's Career Center certification process, local workforce area and center management are required to monitor survey outcomes by accessing an online dashboard of results. Centers are asked to meet with their staff periodically to discuss the results and any customer feedback received.

Survey results informed ongoing DWS efforts to prioritize a focus on the customer's needs, whether the customer is served in person, over the phone, or through the NCWorks.gov technology platform.

VII. Wagner-Peyser

Reemployment Programs

The federally funded Reemployment Services and Eligibility Assessment (RESEA) program is an integral part of North Carolina's Integrated Service Delivery System to enhance and expand the capacity of the workforce system to improve reemployment service delivery to unemployment insurance (UI) claimants. The RESEA program is a statewide initiative. North Carolina is participating in a mandatory RESEA evaluation with a third-party evaluator. The evaluation is based on the random assignment of individuals for intervention and no intervention. Eighty (80) percent of first-pay claimants are referred to RESEA for services, while the remaining twenty (20) percent are placed in a control group receiving no services.

RESEA

The intent of the RESEA program is to provide unemployment insurance (UI) claimants with an entry to a wide array of available resources that support reemployment. RESEA programs are designed to be an integral part of the state's strategies for delivering reemployment services. The target populations for RESEA services include individuals who are identified as most likely to exhaust their UI benefits and transitioning veterans receiving Unemployment Compensation for Ex-Servicemembers (UCX).

PY 2022 RESEA efforts and activities included:

- Providing virtual services, so that jobseekers can be served remotely and securely;
- Ongoing program enhancement within NCWorks Online to streamline Career Center staff program processes and procedures, such as promotion and email/text appointment reminders to improve RESEA show-rates;
- Evaluating the profiling model used to select participants;
- Revising call-in letters to stress the importance and value of attending the reemployment service program;

- Making reminder phone calls/emails when possible to reinforce the positive value of the service and to remind the customer of the appointment.

North Carolina Department of Commerce Reentry Initiative

The Reentry Initiative promotes “Equitable Hiring,” or “second chance” hiring, to employers, individuals with criminal records, and the community through engagement, education, and partnerships. The program ensures job seekers with criminal records receive assistance with overcoming the barriers to employment that having a criminal record can cause. Staff at NCWorks Career Centers have been trained to provide direct services to individuals with criminal records, promote hiring incentives to employers, participate in outreach efforts, and coordinate efforts with community partners.

Federal Bonding Program

The Federal Bonding Program is an incentive for employers who hire “at risk” workers, including those with a history of criminal conviction or arrest, a history of substance abuse, those receiving TANF (Temporary Assistance to Needy Families); those with poor credit; those who are economically disadvantaged or with little or no work history; or have been dishonorably discharged from the military. The hiring business is eligible for financial protection of \$5,000 for six months after hiring an eligible individual. DWS promotes this program to employers and as a result has continued to increase the utilization of the Federal Bonding Program over the past several years. The division plans to improve promotional activities to increase bonds issued in the upcoming year.

Older Worker Program

The program ensures older workers are provided with resources to assist them in seeking employment.

Equal Opportunity, Americans with Disabilities Act, Limited English Proficiency

DWS is responsible for ensuring Career Center and partner agency facilities have the capacity to provide a full range of employment and training services that are accessible to persons with disabilities, those with limited English proficiency, and other special populations.

VIII. National Dislocated Worker Grants (NDWG)

North Carolina’s COVID-19 Disaster Recovery and QVC Fire Employment Recovery National Dislocated Worker Grants continued to be in operation during Program Year 2022. The U.S. Department of Labor announced the National Dislocated Worker Grant to support people affected by the closure of Pactiv Evergreen’s facilities in western North Carolina near the end of PY 2022, but the grant was implemented in PY 2023.

National Dislocated Worker Grant participants are often co-enrolled in the WIOA Dislocated Worker program in order to provide additional supportive services not offered through NDWG programs. National Dislocated Worker Grants have minimal impact on North Carolina’s established performance measures.

North Carolina's Rapid Response unit works closely with local area staff to coordinate state rapid response activities with services offered through National Dislocated Worker Grants.

COVID-19 Disaster Recovery Dislocated Worker Grant

The ***COVID-19 Disaster Recovery Dislocated Worker Grant*** was awarded by the U.S. Department of Labor in the approved amount of \$6 million. The Disaster Recovery DWG funds provide disaster-relief employment, as well as employment and training services to minimize the employment and economic impact caused by the COVID-19 Public Health Emergency. The funds provided opportunities to participants who became temporarily or permanently unemployed as a result of the COVID-19 pandemic or were long-term unemployed. The funds may also provide supportive services to participants receiving either disaster-relief employment or employment and training services.

Humanitarian assistance employment has included contact tracing positions to prevent the spread of the COVID-19 virus in the community; and non-contact thermal screener positions in public buildings to screen both employees and visitors. Humanitarian efforts have included delivering medicine, food, or other supplies to older individuals and individuals with underlying health conditions. Disaster-relief cleanup employment has included cleaning schools and sanitizing public facilities such as parks, recreational centers or government building. Workers are limited to working for up to 12 months or 2,080 hours.

Employment and training services activities have included career and training services. The training is designed to ensure participants can obtain unsubsidized, sustainable employment following their participation in the grant. The training activities included: occupational training, entrepreneurial training, and work-based learning.

QVC Fire Employment Recovery National Dislocated Worker Grant

The QVC Fire Employment Recovery National Dislocated Worker Grant was awarded by the U.S. Department of Labor in the initial amount of \$1,333,333 based on the conditionally awarded amount of \$4,000,000. The funds were provided after an employment disaster that occurred on December 18, 2021. The QVC Rocky Mount, Inc. ("QVC") Distribution Center, which is an operating brand within the Qurate Retail Group ("QRG"), suffered a tragic fire, resulting in the closure of the site (distribution center) located in Rocky Mount, NC.

Career and Training Services are provided to help dislocated workers make informed decisions based on local and regional economic demand to achieve reemployment and education goals. This includes initial assessments, individual employment plans, labor market information, and referral for employment opportunities. Outreach and employment activities and events are also provided. Training services include On-the-Job Training (OJT), classroom training, occupational skills training, work experience, and customized training that prepares participants for current in-demand jobs. Supportive Services are also provided to address any unknown barriers that may prevent individuals from participating in employment and training services when supportive services cannot be obtained through other programs.

Efforts and strategies were developed immediately after the tragic fire and resulting mass dislocation. Approaches to respond to the needs of the dislocated workers included Job Fairs and Employment Events held with local partner agencies.

IX. Rapid Response

During Program Year 2022, the DWS Business Services Section responded to a total of 71 Worker Adjustment and Retraining Notifications (WARN) from across the state, which covered 6,475 employees. The counties with the largest numbers of employees impacted were Haywood County (16%), followed by Mecklenburg County (13%) and Wake County (10%). Of the 6,475 employees covered by WARN during PY 2022, the manufacturing industry represented a significant source of layoffs, with 3,122 employees impacted, or 48% of total employee separations; followed by transportation and warehousing, with 1,022 employees, or 16% of the total.

The Rapid Response team engages with businesses to develop a comprehensive plan of action to ensure employees have a successful transition into new employment based upon sector strategies and career pathways. This is a proactive process with services conveniently provided onsite at the business location or held virtually and may include customized job seeking skills workshops, hiring events, targeted job fairs, health insurance information, and financial resources. The NCWorks Mobile Unit was dispatched 40 times statewide to cover events to assist with business closures, targeted hiring events, and job fairs.

Layoff Aversion Strategies, Business Edge

Business Edge, North Carolina's layoff aversion strategy

During PY 2022, Business Edge sustained its collaboration with strategic partners, including, but not limited to, the North Carolina Small Business & Technology Development Center and North Carolina State University Industry Expansion Solutions. Employers statewide remain informed about the program and the available services designed to mitigate and prevent layoffs within the manufacturing sector. Throughout the program year, companies reached out to DWS and other offices of the N.C. Department of Commerce, seeking assistance in developing layoff aversion strategies, activities, and training. Relevant referrals were made to partner agencies to provide support with training programs, hiring strategies, and innovative recruitment approaches.

X. Progress in sector strategies and pathways

Sector Strategies

The 2021 NC Sector Strategies Report outlined best practices and recommendations from other states. After the North Carolina State Sector Strategy Council evaluated and defined the various stages of regional industry sector partnerships, a map was created to highlight sector partnership activity and industries. The NCWorks Commission's strategic plan includes the acknowledgement and encouragement of sector partnerships, so there was increased interest in seeing growth in this form of employer engagement. Concurrently, North Carolina's discussions with USDOL and Region III states around Electric Vehicle (EV) manufacturing, as well as the state's economic development priority for the recruitment and expansion of EV manufacturing and supply chain, allowed for the NCWorks Commission and DWS to develop six priority goals to meet the workforce needs of this manufacturing industry subsector. The six goals include:

- Goal 1: Increase awareness and recruitment of individuals into EV production and advanced manufacturing jobs;
- Goal 2: Increase implementation of promising practices to support this initiative;
- Goal 3: Streamline and enhance education and job training opportunities;
- Goal 4: Develop policy recommendations to enhance employer engagement and workforce development;
- Goal 5: Enhance employer partnerships to promote hiring of individuals from the priority populations;
- Goal 6: Build locally driven regional collaborations among economic developers, local workforce development boards, community colleges, K-12 school districts, and other education and training partners within each county (or multi-county region).

Funding opportunities and workforce partners will continue to develop into PY 2023 to support the growth of these efforts across the state.

Career Pathways

The NCWorks Commission Skills and Education Attainment Committee has led a research project that was funded by an Office of State Budget & Management evaluation grant. The project has been conducted by researchers at NC State University to review the NCWorks Certified Career Pathways.

Scope of Work: This project will describe:

- NCWorks Certified Career Pathways (CCP) in North Carolina
- The job seekers that participate in CCPs by sector, region, and demographics
- Outcomes (credentials and others) of CCP participants and alignment with high-valued credentials
- Labor market availability in regions with the most extensive participation by industry sector.

The study will provide implications for practice, policy, and designs for future research that could provide causal evidence of the impact of CCPs based on the data quality and availability in North Carolina. The project will also explore data available at the state and national levels that could be combined to develop further understanding of the impact of career pathways.

The first three questions are:

1. How many job seekers participated in each CCP?
2. How many job seekers completed at least one credential in the CCP, and what credential(s) did they receive?
3. Are any credentials received by job seekers non-degree and high value, and are they on the NC Workforce Credentials high-value credential list?

XI. Governor’s Reserve

Consistent with Governor Cooper’s NC Job Ready initiative, the Division of Workforce Solutions [awarded three grants](#) totaling almost \$288,000 to support innovative youth workforce development projects in July of 2021, which continued to be implemented through PY 2022 and into PY 2023. Three local workforce development boards have used these “Youth Initiative Grants” to expand or enhance employment and training services to eligible youth and young adults in their regions.

Continuing in line with Governor Cooper’s NC Job Ready initiative, DWS partnered with local area workforce development boards in awarding 20 American Rescue Plan Act (ARPA) grants totaling \$8 million. These grants focused on Reentry/Justice-Involved initiatives, Substance Use Disorder initiatives and Work-Based Learning initiatives. DWS is also continuing its support of local area economic development and workforce efforts by continuing to fund work-based learning and focused employer services. Additionally, the Governor’s Reserve is resourcing NCWorks Commission Local Innovation Grants, Reentry-focused supportive services, major economic development project support and assisting local areas with county transfers as part of the state’s workforce alignment initiative.

The Division of Workforce Solutions is also partnering with the North Carolina Department of Military and Veterans Affairs (DMVA) to implement initiatives resulting in improved services to veterans and those employers supporting veterans. As part of the North Carolina for Military Employment (NC4ME) initiative, DWS conducts ten NC4ME Hiring Events across the state throughout the year (often adapted to a virtual format after the pandemic began). These events entail veteran job seeker resume and interview preparation, jobseeker skill set matching with employer needs, and on-site interviews during the hiring event. A supporting effort of this initiative is formal training for employer Human Resources Directors on interpreting/cross-walking service member skills into civilian parlance, and on the benefits of hiring veterans. DWS also partners with DMVA to publish the annual North Carolina Veterans Resource Guide as an all-encompassing reference for veterans and employers.

The Division of Workforce Solutions is also supporting local workforce efforts by investing in Future Works performance data tracking, apprenticeship programs, NC Careers support that engages jobseekers in the early stages through the K-12 programs, and training both front-line staff and leaders through the NCWorks Training Center, managed by DWS.

XII. Promising practices/lessons learned/success stories

Examples of success are found in the recipients of the 2023 Governor’s NCWorks Awards of Distinction, which recognize outstanding accomplishments and contributions related to workforce development. NCWorks Awards were presented to the following recipients:

Amanda Abernathy of Murphy: Outstanding Adult. After she was laid off from her job in 2020, Abernathy inquired about services at the Tri-County NCWorks Career Center in Murphy. She received a workforce training scholarship through NCWorks to attend Tri-County Community College. While juggling responsibilities as a mother and as a caregiver to her grandfather, Abernathy excelled as a student and was accepted into the Tau Upsilon Alpha and Phi Theta Kappa honors societies. Amid the COVID-19 pandemic, she and her son grew closer and helped each other as both attended school in an online format for some time. In May 2023, Abernathy graduated with honors, with two degrees: Human Services Technology and Human Services Technology/Social Worker. With the encouragement of her Macon Program for Progress advisor at NCWorks, she applied for a position with the Cherokee County Department of Social Services, and was hired as a visitation coordinator. Abernathy plans to continue her education at Appalachian State University for her undergraduate degree and then pursue her Master’s Degree in Social Work, while continuing to work for Cherokee County DSS.

Jeffrey Harris of North Wilkesboro: Outstanding Young Adult. Struggling with school during the pandemic, Harris dropped out in the eleventh grade, but he realized that getting an education would be critical for his future employment prospects. In 2022, he enrolled in the GED high school equivalency program at Wilkes Community College, which referred him to the NCWorks Career Center - Wilkes County for workforce and career development services. Harris identified diesel mechanics as a potential career, and the NCWorks team connected him to a local business, Injected Diesel Performance, which

was willing to provide him with a Work Experience program so he could learn about the field. He found his niche there and gained technical skills through the Work Experience that complemented the education he received at the college. His excellent work ethic, willingness to experience and learn the business and work with his co-workers demonstrated to Injected Diesel that Harris was a good fit for the company. In November 2022, he was offered and accepted a full-time position at Injected Diesel, where he is currently employed as a Mechanics Assistant. His next goal is to earn his degree in diesel technology at Wilkes Community College.

Elijah James of Shelby: Outstanding Teen. Through the NCWorks “NextGen” youth program of Foothills Workforce Development Board, James has found support and opportunities to gain skills while overcoming obstacles. He has a diagnosed disability of juvenile arthritis, which brings with it some physical limitations. James has demonstrated positivity, determination and persistence in the face of barriers and continues to be successful both in his high school curriculum and in college-level courses. He anticipates graduating in May 2024 from Pinnacle Classical Academy with his high school diploma and an associate degree from Cleveland Community College. In the NextGen program, James has participated in activities such as a Youth Summit, an entrepreneurial workshop, the Chess Club, and multiple Work Experiences, with employers such as the Cleveland County Library and Hospice of Cleveland County. A model NextGen program participant, he has applied himself wholeheartedly in his Work Experiences and learned numerous critical skills, such as time management, organization, communication, customer service, problem-solving, and teamwork.

Lea Johnson of Reidsville: Wayne Daves Award for Outstanding Achievement in Workforce Development. Johnson, a career advisor for the Trade Adjustment Assistance program at the NCWorks Career Center in Rockingham County, embodies the concept of customer service. She has been employed with the state Division of Workforce Solutions for 24 years, and in her current role, provides a full range of services to job-seeking customers to meet their employment and training needs, including assessment, referral to jobs and job training programs, job development contacts, and placement services. In addition to serving as the local subject matter expert on TAA, she works with teammates to provide reemployment services to customers who have lost their jobs. Customers regularly ask for Johnson by name, due to her reputation in the community for patience, care and understanding. She is known for being helpful not only to customers but also to her colleagues, who appreciate her wealth of knowledge and experience. Committed to continuous improvement and training, Johnson also works to strengthen community partnerships to bring new resources to Career Center customers.

Jeff Frederick of Greensboro: Bill Ragland Private Sector Award for Outstanding Achievement in Workforce Development. Frederick, the Senior Vice President of Global Human Resources Business Partnering at Research Triangle Institute (RTI) International, is the former chair of the Durham Workforce Development Board and of the Guilford County Workforce Development Board (GuilfordWorks). He has also served for more than six years on the executive committee of the North Carolina Association of Workforce Development Boards (NCAWDB), with two terms as the organization’s President. Under his active leadership, NCAWDB grew, developed a strategic plan and secured grant funding for board member training. Frederick is a champion of the workforce system on the federal level, as well, serving as a board member of the National Association of Workforce Boards (NAWB). Governor Cooper appointed Frederick to the NCWorks Commission (the state workforce development board), on which he has served as chair of the Employer Leadership Committee. A strong proponent of collaboration among businesses, economic development partners and the NCWorks system, he helped support the creation of the North Carolina Workforce Development Leadership Initiative at N.C. State University. Earlier this year, the program produced 30 graduates who earned the designation, “Certified Workforce Developer.”

Master Tech Auto of Hickory: Outstanding Employer. A small, family-owned auto repair business, Master Tech Auto recognizes the value of a talented workforce and partners with the NCWorks system to provide skill development opportunities for the community. For several years, Master Tech Auto has hosted paid interns through the NCWorks NextGen program of Western Piedmont Workforce Development Board. Their leadership in providing work-based learning has allowed 11 young adults to become trained as they gain real-world experience. One of the shop's current full-time employees started as an intern. Training participants not only learn the mechanics of a car and how each component functions, but also acquire the "soft skills" that will be valuable in their future careers. Active in the community, Master Tech Auto also supports local schools by furnishing school supplies and hosting fundraisers, advocates for the homeless, donates car repairs to those in need, and provides oil services to military families at no charge.

Driver Heating and Cooling of Trenton: Outstanding Family-Friendly Employer. Driver Heating and Cooling, a small business in rural Jones County, has an intentional focus on providing a family-friendly work environment. Jeff Driver, the owner of the company, has a passion for providing his staff with great working conditions, competitive wages, and a holistic approach to employment. Driver makes a point of encouraging employees to share their specific family needs and providing them flexibility, whether that means giving time off to care for newborns or adjusting an employee's schedule to accommodate his wife's college classes. He also pays for employees' textbooks and tuition while they work on completing HVAC certification training. The company also partners with the workforce system, including 10 successful On-the-Job Training contracts through NCWorks and Eastern Carolina Workforce Development Board over the past five years, and works with Jones County Schools to offer job shadowing opportunities to high school students. Meanwhile, the business has also welcomed transitioning military service-members and justice-involved individuals as employees. Driver Heating & Cooling's workplace practices have contributed to the company's growth and employee retention, demonstrating the value of such investments to other employers.

Fresh Start Reentry Initiative of Guilford County: Outstanding Innovative Partnership. The Fresh Start Reentry Initiative is a partnership providing opportunities for the justice-involved population, including training, employability workshops, job placement, and access to community resource partners to help people address barriers to self-sustaining employment. Primary partners in the initiative are the GuilfordWorks Workforce Development Board through the NCWorks Career Center – Guilford County, Goodwill Industries of Central North Carolina, and the Guilford County Sheriff's Reentry Program. Fresh Start has established On-The-Job Training opportunities with a variety of employers, who also receive information on incentives and benefits related to hiring justice-involved jobseekers. Meanwhile, partners work together to provide other services that empower people to succeed, such as securing acceptable identification documents, reliable transportation, adequate housing, food assistance, and other government programs. The initiative has sponsored several community events, including a hiring event/resource fair with over 25 employers and 15 community partners participating. As a result, more than 200 individuals have enrolled in federally-funded workforce services, and 50 who did not have medical coverage have been connected to health insurance. In addition, the partners have organized clothing drives for individuals recently released from incarceration.

APPENDIX A (PART 1)

Measurable Skill Gains Guidance

October 2020

Purpose

To provide guidelines for the Measurable Skill Gain (MSG) Performance Indicator, as it relates to Federal definitions, documentation requirements, and reporting procedures for participants of WIOA Title I programs who are enrolled in education or training at any point during their program participation.

References

- TEGL 10-16, Change 1 - Performance Accountability Guidance for Workforce Innovation and Opportunity Act (WIOA) Title I, Title II, Title III, and Title IV Core Programs;
- TEGL 23-19 – Guidance for Validating Required Performance Data Submitted by Workforce Programs;
- TEGL 7-18 Guidance for Validating Jointly Required Performance Data Submitted under the Workforce Innovation and Opportunity Act (WIOA);
- Public Law P.L. 113-128; 20 CFR parts §651, §652, §677, §680, and §681

Background

Section 116 of WIOA establishes performance accountability indicators and performance reporting requirements to assess the effectiveness of States and Local Areas in achieving positive outcomes for individuals served by the workforce development system's six core programs:

- Adult, Dislocated Worker, and Youth Programs, authorized under WIOA Title I and administered by the U.S. Department of Labor (USDOL);
- Adult Education and Family Literacy Act Program, authorized under WIOA Title II and administered by the U.S. Department of Education (ED);
- Employment Service Program authorized under the Wagner-Peyser Act, as amended by WIOA Title III and administered by USDOL; and
- Vocational Rehabilitation (VR) Program authorized under Title I of the Rehabilitation Act of 1973, as amended by WIOA Title IV and administered by ED.

WIOA provides an historic opportunity to align performance definitions, streamline performance indicators and ensure comparable data collection and reporting across all six of these programs, while also implementing program specific requirements. The six WIOA performance indicators are:

- Employment Rate – 2nd Quarter After Exit
- Employment Rate – 4th Quarter After Exit
- Median Earning – 2nd Quarter After Exit
- Credential Attainment
- **Measurable Skill Gains**
- Effectiveness in Serving Employers

This document addresses the requirements for the Measurable Skill Gains performance indicator for WIOA Title I Programs.

Guidance

A. Defining Measurable Skill Gains

The Measurable Skill Gains indicator is the percentage of participants who, during a program year, are in an education or training program that leads to a recognized postsecondary credential or employment and who are achieving documented academic, technical, occupational, or other forms of progress, towards such a credential or employment.

Depending on the type of education or training program in which a participant is enrolled, progress is defined as one of the following five types of Measurable Skill Gains.

1. **Educational Functioning Level (EFL):** Documented achievement of at least one educational functioning level of a participant who is receiving instruction below the postsecondary education level. Programs may measure EFL gains in one of the following ways:
 - (1) **Pre-Test and Post-Test:** Results from state approved tests (e.g., CASAS or TABE) of the same version that show an increase of at least one EFL; OR
 - (2) **Enrollment in Postsecondary Education or Training:** Participants who exit a program below the postsecondary level and enroll in postsecondary education or training during the program year as determined through data match, survey documentation, or case notes. Note: A program below the postsecondary level applies to participants enrolled in a basic education program.

2. **Secondary School Diploma/Recognized Equivalent:** Documented attainment of a secondary school diploma or its recognized equivalent by obtaining certification of achieving passing scores on all parts of a State-recognized high school equivalency test. For the NC Community College System, a High School Equivalency Diploma is issued upon completion of one of these three assessments:
 - a. GED Testing Service – <https://ged.com/>
 - b. ETS HiSET – <https://hiset.ets.org/>
 - c. Test Assessing Secondary Completion – <https://tasctest.com/>Accepted documentation includes:
 - Copy of credential
 - Copy of school record
 - Follow-up survey from program participants
 - Case notes documenting information obtained from education or training provider

3. **Transcript/Report Card:** Secondary or postsecondary transcript or report card for a sufficient number of credit hours that shows a participant is meeting the State unit's academic standards as follows:
 - a. Must show grades of D or higher and/or be considered passing
 - b. The semester must have occurred within the current program year
 - c. The document must reflect that the participant is in good academic standing: nothing to indicate that the participant dropped out of school or was removed from the institution on academic/conduct grounds

(1) **Secondary Education** - Documented through receipt of a secondary transcript or report card for one semester showing that the participant is achieving the State unit's policies for academic standards (noted above). Secondary transcript is specific to youth attending high school.

(2) **Postsecondary Education** - Transcript demonstrates a sufficient number of credit hours – which is at least 12 hours per semester (or equivalent) or, for part-time students, a total of at least 12 hours over the course of two completed semesters (or equivalent) during a 12 month period that show a participant is achieving the State unit's academic standards (or the equivalent for their credit hour programs).

Note: If a postsecondary student completed 6 hours in the spring semester and 6 more hours in the fall semester and those semesters crossed two program years, they would not count as a skill gain in the first program year but they would count as a skill gain in the second program year. In other words, the Measurable Skill Gain occurs at the end of the 12 hours of accrued academic credit if coursework is split across two program years.

4. **Training Milestone/Progress Report:** Satisfactory or better progress report towards established milestones from an employer or training provider who is providing training. Progress reports must document substantive skill development that the participant has achieved.

(1) Acceptable documentation includes:

- a. Documentation of a skill gained (or completed steps) through OJT or Registered Apprenticeship. Completed steps may be a mid-point evaluation, final evaluation, or exam results as required by Registered Apprenticeship program.
- b. Contract and/or evaluation from employer or training provider documenting a skill gain, or training reports on milestones completed as the individual masters the required job skills. Increases in pay resulting from newly acquired skills or increased performance also can be used to document progress if provided as an evaluation from employer.
- c. Progress report from employer documenting a skill gain that the participant has achieved.

5. **Skills Progression:** Successful passage of an exam that is required for a particular occupation or, progress in attaining technical or occupations skills as evidenced by trade-related benchmarks, such as a knowledge-based exams.

(1) Acceptable documentation includes:

- a. Results of knowledge-based exam or certification of completion, including a component exam of a Registered Apprenticeship program
- b. Documentation demonstrating progress in attaining technical or occupational skills
- c. Documentation from training provider or employer such as a satisfactory attainment of an element on an industry or occupational competency-based assessment

- d. Copy of credential that is required for a particular occupation and only is earned after the passage of an exam. Examples include: Class A Commercial Driver’s License, Certified Nursing Assistance License, or CompTIA A+ Certification

B. Measuring Performance

The Measurable Skill Gains indicator calculates the number of participants who attain at least one type of gain during each period of participation within a given program year by dividing the total number in the numerator by the total number in the denominator to produce the percentage of successful MSG attainment by the local area.

$$\text{MSG \% Achieved} = \frac{\text{A}}{\text{B}}$$

A Numerator Inclusion: The numerator is the number of program participants defined above who achieved at least one type of gain. A participant may have achieved more than one type of gain in a reporting period; however, only one gain per participant in a reporting period may be used to calculate success on the Measurable Skill Gains indicator. Note, however, that all Measurable Skill Gains should still be recorded regardless.

B Denominator Inclusion: Participants who, during any point in the program year, are in an education or training program that leads to a recognized postsecondary credential or employment are included in the denominator. This number includes participants who do not exit the program and continue to receive services beyond the end of the program year, as well as those who have exited the program by the end of the program year.

Note: Data for the denominator in this calculation is drawn from *PIRL 1811: Date Enrolled During Program Participation in an Education or Training Program Leading to a Recognized Postsecondary Credential or Employment*.

Participants Included in Measurable Skill Gains Denominator	
Title I Adult and Dislocated Worker	Title I Youth
<ul style="list-style-type: none"> • All participants who are in a Title I Adult- or Dislocated Worker-funded training program • Training programs for a secondary school program equivalent • Work-based training 	<ul style="list-style-type: none"> • All ISY (in school youth) are included • OSY (out of school youth) in the following are included: <ul style="list-style-type: none"> ◆ Occupational skills training ◆ Secondary education or above 9th grade ◆ Postsecondary education ◆ Title II-funded adult education at or above the 9th grade level ◆ YouthBuild program participants ◆ Job Corps participants

Denominator Inclusion based on Service/Activity Codes

Title I – Adult and Dislocated Worker:

All participants who are in a Title I Adult or Dislocated Worker-funded training program are included in the Measurable Skill Gains indicator (which includes funding a training program for a secondary school program equivalent). This includes all participants in work-based training. Service codes in NCWorks Online that will place Adult and Dislocated Worker participants in the denominator include:

- 300 – Occupational Skills Training – on ETPL
- 301 – OJT Training (Not WIOA Youth)
- 302 – Entrepreneurial Training
- 304 – Customized Training
- 310 – Occupational Skills Training for Special Grants
- 314 – Registered Apprenticeship
- 328 – Occupational skills Training – Not on ETPL
- 333* – TAA – Approved Remedial Training (for those with GED/HS Diploma)
- 335* – TAA – Approved Occupational Skills Training – Approved by State
- 339* – TAA – Approved GED Training
- 222* – TAA – English as a Second Language (ESL)

* denotes TAA-only

Title I – Youth:

All In-School Youth (ISY) are included in the Measurable Skill Gains indicator since they are attending secondary or postsecondary school.

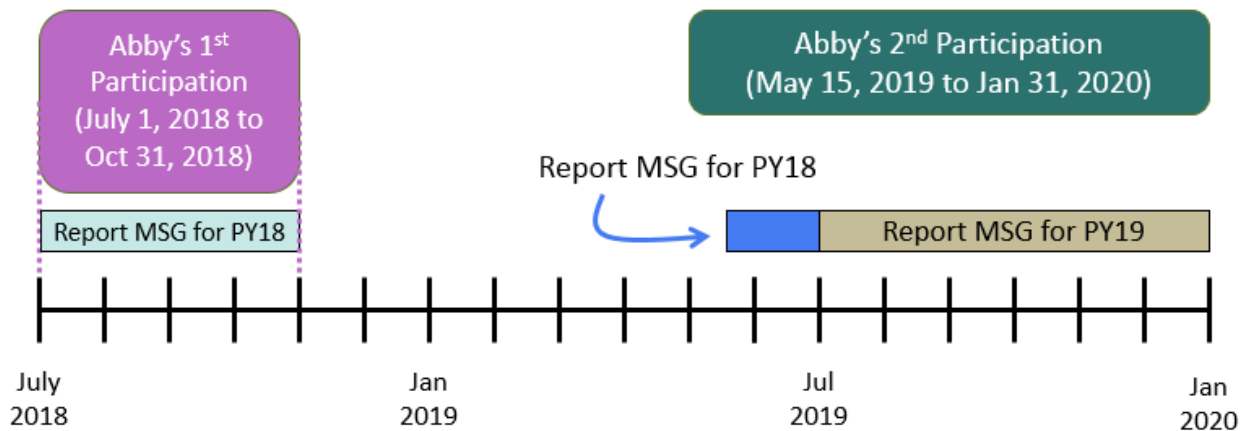
Only Out of School Youth (OSY) who are in one of the following are included in the denominator:

- 407 – Alternative Secondary School services or dropout recovery services
- 416 – Youth Occupational Skills Training - on ETPL
- 424 – NC Registered Apprenticeship Training – Youth
- 429 – Enrolled in Traditional Secondary School (H.S.)
- 430 – Youth Occupational Skills Training - Not on ETPL
- 441 – Entrepreneurial Skills Training

C. Periods of Participation

Unlike the other WIOA performance measures, **MSG is not an exit-based measure**, meaning that a participant can achieve a Measurable Skill Gain while still participating in a program. Successful Measurable Skills Gains may be keyed in NCWorks **after** the participant has exited the program as long as it is before the end of the same program year in which they exited. The MSG indicator is a year-to-year measure, meaning one MSG outcome can be achieved in each continuing program year that a participant is active.

Since this indicator is not exit-based, each unique program entry date (not exit date) triggers inclusion in the calculation. Participants are only included in the denominator one time per program year (July 1st – June 30th), regardless of how many skill gains they achieve in that program year. It is possible for a participant to be included in the denominator more than one time during a program year if they exit the program and are subsequently reenrolled in a program later in the same program year **AND** they participate in an education or training program during each enrollment. The following provides a visual example of this situation.



In this example, Abby's 1st period of participation only occurs within PY18. She exits her first period of participation in PY18 and then reenrolls later in PY18 for her second period of participation that crosses over into PY19. This second period of participation results in two inclusions in the denominator because it crossed over from one Program Year to the next; therefore, Abby will be included in the MSG denominator two times for PY18 and one time for PY19.

Note: Programs should not delay enrollment or services to participants until a new program year even if case managers believe there is insufficient time for the participant to make any type of Measurable Skill Gain by the end of that program year.

D. Exclusions

Participants who exit for any of the following reasons are excluded from the Measurable Skill Gains indicator.

- a. **Institutionalized:** The participant exits the program because he or she has become incarcerated in a correctional institution or has become a resident of an institution or facility providing 24-hour support such as a hospital or treatment center during the course of receiving services as a participant.
- b. **Health/Medical:** The participant exits the program because of medical treatment and that treatment is expected to last longer than 90 days and precludes entry into unsubsidized employment or continued participation in the program.
- c. **Deceased:** The participant is deceased.
- d. **Reserve Forces called to Active Duty:** The participant exits the program because the participant is a member of the National Guard or other reserve military unit of the armed forces and is called to active duty for at least 90 days.
- e. **Foster Care (for Youth participants only):** The participant is in the foster care system as defined in 45 CFR 1355.20(a), and exits the program because the participant has moved from the local workforce area as part of such a program or system.

Additional Resources

- 1) WorkforceGPS – Measurable Skill Gains E-Module: <https://tinyurl.com/yxeom9hz>
- 2) [Interactive Timing Chart by Future Works: A visual tool for understanding the performance indicators: what are their exiting cohorts, when are they being measured, and when they are being reported. https://tinyurl.com/y3kho422](https://tinyurl.com/y3kho422)

Appendices:

- 1) Guide to Entering MSGs in NCWorks
- 2) MSG Guidance Desk Reference
- 3) Frequently Asked Questions

Guide to Entering Measurable Skill Gains in NCWorks

Transcript/Report Card Entry

Directions	System View																		
<p>1. Start on the Programs screen with the participant's current WIOA Case expanded to show all the menu options.</p> <p>2. Click on "Create Measurable Skills Gain"</p>	<div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Eligibility Summary</p> <p>Participation 12/20/2018</p> <p>Activities / Enrollments / Services 5</p> <p>Measurable Skills Gain 0</p> <p style="text-align: center;">Create Measurable Skills Gain</p> <p style="text-align: center; font-size: small;">Please enter EFL gains in the Educational Functioning Level for Measureable Skills Gain screen.</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p style="text-align: center; font-weight: bold; font-size: small;">Fill out the information below to create/edit a skill achievement record.</p> <p>NCWorks online</p> <p>General Information</p> <p>User Login: JOHNDOE1 State ID: 1234567 User ID: 7654321 Name: John Doe Program Entry Date: 12/20/2018</p> <p>LWDB: <input type="text" value="Capital Area Workforce Development Board"/> Office Location: <input type="text" value="YOUTH - Johnston Co Industries"/></p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Skill Attainment Information</p> <p>Fill in the following information for the skill achievement. Program: Title I - Workforce Development (WIOA)</p> <p>* Skill Type: <input type="text" value="Post-Secondary Transcript/Report Card"/> * Date Skill Attained: <input type="text" value="06/12/2019"/> Today * Type of Achievement: <input type="text" value="Completed minimum of 12 credit hours in semester and n"/></p> <p style="text-align: center; font-size: small;">[Verify Scan Upload Link] <input checked="" type="checkbox"/> Other Applicable Documentation, (specify)</p> <p>Skills Gain Achievement Type Verification</p> <p><input checked="" type="radio"/> Other Applicable Documentation, (specify) <input type="text" value="Transcript"/></p> <p style="text-align: right;"><input type="button" value="Reset"/></p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>* Skill Type: <input type="text" value="Secondary Transcript/Report Card"/> * Date Skill Attained: <input type="text" value=""/> Today * Type of Achievement: <input type="text" value="None Selected"/> Report card/transcript for one semester and meets academic standards</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>* Skill Type: <input type="text" value="Post-Secondary Transcript/Report Card"/> * Date Skill Attained: <input type="text" value=""/> Today * Type of Achievement: <input type="text" value="None Selected"/> None Selected Completed minimum of 12 credit hours in semester and meets academic standards Part-time student and completed at least 12 credit hours over the course of two completed consecutive semesters and meets academic standards</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Staff Information</p> <p style="font-size: small;">[Add a new Case Note Show Filter Criteria]</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>ID</th> <th>Create Date</th> <th>Subject</th> <th>Action</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>Edit</td> <td></td> </tr> </tbody> </table> <p>Signature</p> <p><input type="checkbox"/> Create PDF <input type="checkbox"/> Include Staff Signature</p> <p style="font-size: x-small;">Applicant Signature _____ Parent/Guardian Signature _____</p> <p style="text-align: right;"><input type="button" value="Save"/> <input type="button" value="Cancel"/></p> </div> <div style="border: 1px solid #ccc; padding: 5px;"> <p>Measurable Skills Gain</p> <p style="font-size: small;">Create Measurable Skills Gain Please enter EFL gains in the Educational Functioning Level for Measureable Skills Gain screen.</p> <p style="text-align: right;">Search: <input type="text"/></p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Date Achieved</th> <th>Skill Type</th> <th>Last Edited By</th> <th>Last Edited Date</th> <th>Action</th> </tr> </thead> <tbody> <tr> <td>06/12/2019</td> <td>Post-Secondary Transcript/Report Card</td> <td>Coppley, Nathaniel (5407624)</td> <td>08/12/2019 2:07 PM</td> <td>Edit Print</td> </tr> </tbody> </table> </div>	ID	Create Date	Subject	Action			Edit		Date Achieved	Skill Type	Last Edited By	Last Edited Date	Action	06/12/2019	Post-Secondary Transcript/Report Card	Coppley, Nathaniel (5407624)	08/12/2019 2:07 PM	Edit Print
ID	Create Date	Subject	Action																
		Edit																	
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06/12/2019	Post-Secondary Transcript/Report Card	Coppley, Nathaniel (5407624)	08/12/2019 2:07 PM	Edit Print															
<p>3. Select your LWDB and Office Location from the drop-down menus.</p>	<p>4. Skill Type: Select the appropriate type of MSG. In this case, either Post-Secondary Transcript/ Report Card or Secondary Transcript/ Report Card.</p>																		
<p>5. Date Skill Attained: Enter the date the MSG occurred. The date entered should match date on transcript/report card.</p>	<p>6. Type of Achievement: Depending on which Skill Type was selected, a different list of Type of Achievements will appear. Both options are shown here at right.</p>																		
<p>7. Verify Scan Upload Link: Attach the documentation here.</p>	<p>8. Add a new Case Note: Enter an appropriate Case Note to document the circumstances of the MSG.</p>																		
<p>9. Click the Save button at the bottom of the screen.</p>	<p>10. The system will return you to the Programs menu where the MSG submenu will reflect what you just entered.</p>																		

Secondary School Diploma or Equivalent Entry

Directions

1. Start on the Programs screen with the participant's current WIOA Case expanded to show all the menu options.

2. Click on "[Create Credential](#)"

3. Select your **LWDB** and **Office Location** from the drop-down menus.

4. **Credential Received:** Select High School Diploma or Secondary/High School Equivalency

5. Click **Verify** and then indicate the document used to verify the credential.

6. Complete the scanning/upload process of the diploma.

7. **Date Credential Received:** Enter the date the diploma/equivalency was received by the participant as listed on document.

8. Click the Save button at the bottom.

9. The system will then return you to the Programs menu where the MSG submenu will reflect what you just entered.

System View

Eligibility Summary	
Participation	12/20/2018
Activities / Enrollments / Services	5
Measurable Skills Gain	0
Educational Functioning Level for Measurable Skills Gain	0
Training Justification	0
Credentials	0

[Create Credential](#)

There are no records to display.

NCWorks online Track Credentials for WIOA

• Indicates required fields.

General Information

Program: WIOA
 Application Number: 5905263
 Name: Doe, John
 Application Date: 12/20/2018
 Program Participation Date: 12/20/2018
 Exit Date: Not Applicable
 Maximum date to record after exit: Not Applicable

* LWIA/Region:

* Office Location:

Credential Information

* Credential Received:

Other Credential:

Credential Verification: [Verify](#) | [Scan](#) | [Upload](#) | [Link](#)
 Copy of Degree or Certificate

WIOA Credential Verification

School Records
 Copy of Degree or Certificate
 Other (Specify)

* Date Credential Received: (mm/dd/yyyy)

Associate to Training/Activity record:

ID	Program	APPID	Credential	Source/Source ID	Date Received	Staff Entered
89157	WIOA	5905263	Secondary / High School Equivalency		06/01/2019	Coppley, Nathaniel

Progress Report/ Training Milestone Entry

Directions

1. Start on the Programs screen with the participant's current WIOA Case expanded to show all the menu options.

2. Click on "[Create Measurable Skills Gain](#)"

3. Select your **LWDB** and **Office Location** from the drop-down menus.

4. **Skill Type:** Select the appropriate type of MSG. In this case, Training Milestone.

5. **Date Skill Attained:** Enter the date the MSG occurred. The date entered should match the date on the attached documentation.

6. **Type of Achievement:** Select option from menu (shown at right).

7. **Verify | Scan | Upload | Link:** Attach the documentation here.

8. **Add a new Case Note:** Enter an appropriate Case Note to document the circumstances of the MSG.

9. Click the **Save** button at the bottom of the screen.

10. The system will return you to the Programs menu where the MSG submenu will reflect what you just entered.

System View

Eligibility Summary

Participation 12/20/2018

Activities / Enrollments / Services 5

Measurable Skills Gain 0

[Create Measurable Skills Gain](#)

Please enter EFL gains in the Educational Functioning Level for Measurable Skills Gain screen.

NCWorks online Fill out the information below to create/edit a skill achievement record.

General Information

User Login: JOHNDOE1

State ID: 1234567

User ID: 7654321

Name: John Doe

Program Entry Date: 12/20/2018

* LWDB:

* Office Location:

Skill Attainment Information

Fill in the following information for the skill achievement. Program: Title 1 - Workforce Development (WIOA)

* Skill Type:

* Date Skill Attained:

* Type of Achievement:

[Verify | Scan | Upload | Link]

Other Applicable Documentation, (specify)

Skills Gain Achievement Type Verification

Other Applicable Documentation, (specify)

* Type of Achievement:

None Selected

Achieved satisfactory or better progress report towards an established OJT training milestone - not previously recorded

Completed 1 year of Registered Apprenticeship program and achieved satisfactory or better progress report

Other training milestone

Staff Information

[Add a new Case Note](#) | [Show Filter Criteria](#) |

ID	Create Date	Subject	Action
		Edit	<input type="button" value="Edit"/>

Signature

Create PDF

Include Staff Signature

Applicant Signature _____

Parent/Guardian Signature _____

Measurable Skills Gain 1

[Create Measurable Skills Gain](#)

Please enter EFL gains in the Educational Functioning Level for Measurable Skills Gain screen.

Search:

Date Achieved	Skill Type	Last Edited By	Last Edited Date	Action
06/12/2019	Training Milestone	Coppley, Nathaniel (5407624)	08/12/2019 2:07 PM	Edit Print

Skill Progression Entry															
Directions	System View														
<p>1. Start on the Programs screen with the participant's current WIOA Case expanded to show all the menu options.</p> <p>2. Click on "Create Measurable Skills Gain"</p>	<div style="border: 1px solid gray; padding: 5px;"> <p>Eligibility Summary</p> <table border="1"> <tr> <td>Participation</td> <td style="text-align: right;">12/20/2018</td> </tr> <tr> <td>Activities / Enrollments / Services</td> <td style="text-align: right;">5</td> </tr> <tr> <td>Measurable Skills Gain</td> <td style="text-align: right;">0</td> </tr> </table> <p style="text-align: center;">Create Measurable Skills Gain</p> <p style="text-align: center; font-size: small;">Please enter EFL gains in the Educational Functioning Level for Measurable Skills Gain screen.</p> </div>	Participation	12/20/2018	Activities / Enrollments / Services	5	Measurable Skills Gain	0								
Participation	12/20/2018														
Activities / Enrollments / Services	5														
Measurable Skills Gain	0														
<p>3. Select your LWDB and Office Location from the drop-down menus.</p>	<div style="border: 1px solid gray; padding: 5px;"> <p style="text-align: center;">NCWorks online</p> <p style="text-align: center; font-size: small;">Fill out the information below to create/edit a skill achievement record.</p> <p>General Information</p> <table border="1"> <tr> <td>User Login:</td> <td>JOHNDOE1</td> </tr> <tr> <td>State ID:</td> <td>1234567</td> </tr> <tr> <td>User ID:</td> <td>7654321</td> </tr> <tr> <td>Name:</td> <td>John Doe</td> </tr> <tr> <td>Program Entry Date:</td> <td>12/20/2018</td> </tr> <tr> <td>LWDB:</td> <td>Capital Area Workforce Development Board</td> </tr> <tr> <td>Office Location:</td> <td>YOUTH - Johnston Co Industries</td> </tr> </table> </div>	User Login:	JOHNDOE1	State ID:	1234567	User ID:	7654321	Name:	John Doe	Program Entry Date:	12/20/2018	LWDB:	Capital Area Workforce Development Board	Office Location:	YOUTH - Johnston Co Industries
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User ID:	7654321														
Name:	John Doe														
Program Entry Date:	12/20/2018														
LWDB:	Capital Area Workforce Development Board														
Office Location:	YOUTH - Johnston Co Industries														
<p>4. Skill Type: Select the appropriate type of MSG. In this case, Skills Progression.</p> <p>5. Date Skill Attained: Enter the date the MSG occurred. The date entered should match the date on the attached documentation.</p>	<div style="border: 1px solid gray; padding: 5px;"> <p>Skill Attainment Information</p> <p style="font-size: small;">Fill in the following information for the skill achievement. Program: Title I - Workforce Development (WIOA)</p> <p>*Skill Type: Skills Progression</p> <p>*Date Skill Attained: 06/12/2019 Today</p> <p>*Type of Achievement: Satisfactory progress in attaining technical or occupational</p> <p style="font-size: x-small;">[Verify Scan Upload Link] <input checked="" type="checkbox"/> Other Applicable Documentation, (specify)</p> <p>Skills Gain Achievement Type Verification</p> <p><input checked="" type="radio"/> Other Applicable Documentation, (specify) <input type="text" value="CCNA Certificate"/></p> <p style="text-align: center;">Reset</p> </div>														
<p>6. Type of Achievement: Select option from menu.</p> <p>7. Verify Scan Upload Link: Attach the documentation here.</p>	<div style="border: 1px solid gray; padding: 5px;"> <p>*Type of Achievement: None Selected</p> <p>None Selected</p> <p>Successfully completed a required exam for a particular occupation</p> <p>Satisfactory progress in attaining technical or occupational skills as evidenced by trade-related benchmarks such as knowledge-based exams</p> <p>Other skills progression achievement</p> </div>														
<p>8. Add a new Case Note: Enter an appropriate Case Note to document the circumstances of the MSG.</p> <p>9. Click the Save button at the bottom of the screen.</p>	<div style="border: 1px solid gray; padding: 5px;"> <p>Staff Information</p> <p style="font-size: x-small;">[Add a new Case Note Show Filter Criteria]</p> <table border="1"> <thead> <tr> <th>ID</th> <th>Create Date</th> <th>Subject</th> <th>Action</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>Edit</td> <td></td> </tr> </tbody> </table> <p>Signature</p> <p><input type="checkbox"/> Create PDF</p> <p><input type="checkbox"/> Include Staff Signature</p> <p>Applicant Signature _____</p> <p>Parent/Guardian Signature _____</p> <p style="text-align: right;">Save Cancel</p> </div>	ID	Create Date	Subject	Action			Edit							
ID	Create Date	Subject	Action												
		Edit													
<p>10. The system will return you to the Programs menu where the MSG submenu will reflect what you just entered.</p>	<div style="border: 1px solid gray; padding: 5px;"> <p>Measurable Skills Gain</p> <p style="font-size: x-small;">Please enter EFL gains in the Educational Functioning Level for Measurable Skills Gain screen.</p> <p style="text-align: right;">Search: <input type="text"/></p> <table border="1"> <thead> <tr> <th>Date Achieved</th> <th>Skill Type</th> <th>Last Edited By</th> <th>Last Edited Date</th> <th>Action</th> </tr> </thead> <tbody> <tr> <td>06/12/2019</td> <td>Skills Progression</td> <td>Coppley, Nathaniel (5407624)</td> <td>08/12/2019 2:07 PM</td> <td>Edit Print</td> </tr> </tbody> </table> </div>	Date Achieved	Skill Type	Last Edited By	Last Edited Date	Action	06/12/2019	Skills Progression	Coppley, Nathaniel (5407624)	08/12/2019 2:07 PM	Edit Print				
Date Achieved	Skill Type	Last Edited By	Last Edited Date	Action											
06/12/2019	Skills Progression	Coppley, Nathaniel (5407624)	08/12/2019 2:07 PM	Edit Print											

Educational Functional Level Entry

Directions

1. Start on the Programs screen with the participant's current WIOA Case expanded to show all the menu options.

2. Click on "[Create Educational Functioning Level Record](#)"

3. **Customer Group:** Select (or confirm) the program.

4. **LWIA/Region and One Stop Location:** select from the drop-down menus.

5. **Assessment Category:** Select either ABE or ESL.

6. **Type of Assessment:** Select from NRS approved list.

7. **Assessment Form/Version info:** Enter version (if applicable).

8. **Functional Area:** Select from drop down menu.

9. **Date of Pre-Test:** Enter date pre-test was taken by participant.

10. **Pre-Test Score:** Enter score achieved by participant.

* Note: once you enter the score, the **Educational Functioning Level** field tabulates automatically.

11. **Position:** verify the correct information is entered.

13. **Current Case Manager:** verify the correct information is entered.

14. **Add a new Case Note:** Enter an appropriate Case Note.

15. Click the **Save** button at the bottom of the screen.

System View

Eligibility Summary

Participation	12/20/2018
Activities / Enrollments / Services	5
Measurable Skills Gain	0
Educational Functioning Level for Measurable Skills Gain	0

[Create Educational Functioning Level Record](#)

There are no records to display.

NCWorks online This page will help you gather WIOA Educational Functioning Level information. Please fill in the required fields and then click the Save button to proceed.

General Information

Participant - Last 4 SSN:	John Doe (***-**-xxxx)
Case ID:	1234567
* Customer Group:	Adult
* LWIA/Region:	Capital Area Workforce Development Board
One Stop Location:	NCWorks Career Center- Capital Area (Raleigh)
Basic Skills Deficient at Eligibility:	No
School Status at Participation:	Not attending school, H.S. Graduate
* Test Type:	Pre-Test
* Assessment Category:	ABE
* Type of Assessment:	TABE 11-12
Assessment Form/Version info:	A
* Functional Area:	Mathematics
Other Functional Area:	

Content Level	
L	K-1
E	2-3
M	4-6
D	6-8
A	9-12

Pre-Test

* Date of Pre-Test:	06/12/2019 Today
* Pre-Test Score:	628
* Educational Functioning Level:	Low Adult Secondary Ed/High Intermed Basic Ed (Level 5)
Score reflects Basic Skills deficient:	No
Position:	Staff
Current Case Manager:	<p>Group: Capital Area Workforce Development Board</p> <p>Case Manager: Ballard, Darrin</p> <p>Temporary Case Manager: Not Applicable</p> <p>Assign Case Manager</p> <p>Assign Me</p> <p>Remove Case Manager Assignment</p>

[[Add a new Case Note](#) | [Show Filter Criteria](#)]

ID	Create Date	Subject	Action
		Edit	

Save
Cancel

Educational Functional Level Entry - Continued

Directions

16. The system will return you to the Programs menu where the EFL for MSG submenu will reflect the pre-test that was just entered.

17. To enter Post-test information, click on the appropriate link in Functional Area.

18. Scroll to the bottom of the next page and click on [Create Post Assessment Record](#).

Fill in the remaining prompts for the Post Assessments screen:

19. **Assessment Form/Version info.**

20. **Post-Test Score:** Enter score achieved by participant.

* Note: once you enter the score, verify the **Educational Functioning Level** field populates correctly.

21. **Date Assessed:** Enter Date post-test was taken by participant.

22. **Position:** Verify the correct information is entered.

23. Click the **Save** button at the bottom of the screen.

24. The system will return you to the Programs menu where the EFL for MSG submenu will now reflect both the pre-test and the post-test that was just entered.

System View

1

[Create Educational Functioning Level Record](#)

Search:

Funct Area	Pre-Test		1st PY Post-Test		2nd PY Post-Test		3rd PY Post-Test	
	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)
Mathematics	06/12/2019 (18)	5 (ABE / Level 5)						

Post Assessments

No post test records found.

Assessments beyond Year 3 are not reportable in the federal extract file, and will not count in federal performance calculations.

[Create Post Assessment Record](#)

Post Assessments

Test Type: Post-Test

* **Assessment Category:** ABE

* **Type of Assessment:** TABE 11-12

Assessment Form/Version info:

* **Post Test Score:**

* **Educational Functioning Level:** Adult Secondary Ed/High Adult Secondary Education (Level 6)

* **Date Assessed:** [Today](#)

Participant remains Basic Skills deficient: No

* **Position:**

2

[Create Educational Functioning Level Record](#)

Search:

Funct Area	Pre-Test		1st PY Post-Test		2nd PY Post-Test		3rd PY Post-Test	
	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)	Date (PY)	EFL (Category / Level)
Mathematics	06/12/2019 (18)	5 (ABE / Level 5)	06/29/2019 (18)	6 (ABE / Level 6)				

Measurable Skill Gains Desk Reference				
MSG	Definition	Categories of MSG	Documentation Required	NCWorks Keying
Educational Functioning Level (EFL)	Documented achievement of at least one educational functioning level of a participant who is receiving instruction below the postsecondary education level.	Pre- and post tests	<ul style="list-style-type: none"> Pre- and post test results 	From the Program's screen -> expand Education Functioning Level for Measurable Skills Gains -> click "Create Educational Functioning Level Record" *
		Participant who exits a program below the postsecondary level (includes a basic education program) and enrolls in postsecondary education and training during the program year.	<ul style="list-style-type: none"> Postsecondary education or training enrollment determined through data match, survey documentation, or case notes 	This MSG is automatically captured by the system when the case manager records the participant's enrollment in postsecondary education or training through follow-up; thorough and complete case notes are extremely important.
Secondary School Diploma/Recognized Equivalent	Documented attainment of a secondary school diploma or its recognized equivalent.	Secondary School Diploma	<ul style="list-style-type: none"> Copy of credential Copy of school record 	From the Program's screen -> expand Credentials -> click "Create Credential" *
		Certification of passing scores on all parts of a State Recognized High School Equivalency test	<ul style="list-style-type: none"> Follow-up survey from program participant Case notes documenting information obtained from education or training provider 	
Transcript/Report Card	Secondary or postsecondary transcript or report card for a sufficient number of credit hours that shows a participant is meeting the State unit's academic standards.	Secondary School Diploma	<ul style="list-style-type: none"> Transcript that demonstrates: grades of D or higher; semester occurred within current program year; participant is in good academic standing Report Card that demonstrates: grades of D or higher; semester occurred within current program year; participant is in good academic standing 	From the Program's screen -> expand Measurable Skills Gain -> click "Create Measurable Skills Gain" *
		Postsecondary Education	<ul style="list-style-type: none"> Transcript that demonstrates: grades of D or higher; semester occurred within current program year; participant is in good academic standing Report Card that demonstrates: grades of D or higher; semester occurred within current program year; participant is in good academic standing 	
Training Milestone/Progress Report	Satisfactory or better progress report towards established milestones from an employer or training provider who is providing training.	Training Milestone/Progress Report	<ul style="list-style-type: none"> Documentation of a skill gained through OJT or Registered Apprenticeship Contract and/or evaluation from employer or training provider documenting a skill gain Progress report from employer documenting skill gain (or documenting a pay increase resulting from newly acquired skills or increased performance) 	From the Program's screen -> expand Measurable Skills Gain -> click "Create Measurable Skills Gain" *
Skill Progression	Successful passage of an exam that is required for a particular occupation or progress in attaining technical or occupations skills as evidenced by trade-related benchmarks, such as a knowledge-based exam.	Skills Progression	<ul style="list-style-type: none"> Results of knowledge-based exam or certification of completion Documentation demonstrating progress in attaining technical or occupational skills through an exam or benchmark attainment Documentation from training provider or employer Copy of credential that is required for a particular occupation and only is earned after the passage of an exam 	From the Program's screen -> expand Measurable Skills Gain -> click "Create Measurable Skills Gain" *

* For more detailed procedures please reference "Keying MSGs in NCWorks Guidance" located in the NCWorks Resource section.

APPENDIX B

Final PY 2022 Local Area Performance Indicator Goals by Program

WDB	Adult					Dislocated Worker					Youth					Wagner-Peyser		
	Employment Q2	Employment Q4	Median Earnings	Credential Attainment	Measurable Skill Gains	Employment Q2	Employment Q4	Median Earnings	Credential Attainment	Measurable Skill Gains	Employment Q2	Employment Q4	Median Earnings	Credential Attainment	Measurable Skill Gains	Employment Q2	Employment Q4	Median Earnings
	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22	PY22
33 - Cape Fear	75.5%	72.0%	\$6,000	58.0%	50.0%	73.0%	75.0%	\$7,300	60.0%	52.0%	71.5%	73.5%	\$3,300	47.0%	46.5%	71.7%	71.7%	\$5,410
34 - Capital Area	78.5%	76.5%	\$7,300	66.0%	72.5%	73.0%	74.0%	\$8,355	65.0%	74.0%	74.0%	74.0%	\$3,900	62.7%	48.5%	72.7%	72.7%	\$7,000
35 - Durham	76.0%	76.0%	\$7,052	60.0%	53.5%	77.5%	73.0%	\$7,604	65.0%	57.0%	74.5%	69.3%	\$3,100	53.7%	47.0%	71.0%	71.0%	\$5,700
36 - Centralina	83.5%	79.0%	\$7,052	66.0%	60.0%	81.5%	81.5%	\$7,604	70.0%	59.5%	73.0%	71.4%	\$3,500	58.0%	54.0%	67.8%	67.1%	\$5,600
37 - Charlotte	76.5%	76.0%	\$7,000	56.0%	46.0%	77.0%	75.0%	\$8,700	55.0%	56.0%	76.0%	74.5%	\$3,025	57.0%	47.0%	70.0%	72.0%	\$6,400
39 - DavidsonWorks	82.0%	82.0%	\$7,052	70.0%	52.2%	76.0%	73.0%	\$7,000	69.0%	52.0%	65.0%	60.0%	\$2,800	60.0%	52.0%	67.8%	67.1%	\$5,500
40 - Eastern Carolina	77.0%	76.0%	\$6,600	59.0%	52.2%	75.0%	70.0%	\$6,800	60.0%	56.5%	71.0%	70.3%	\$3,300	44.0%	50.0%	66.0%	66.0%	\$5,200
41 - Gaston	78.0%	79.0%	\$6,900	68.0%	69.0%	75.0%	72.4%	\$7,300	75.0%	59.0%	70.7%	64.0%	\$3,600	45.0%	50.0%	66.0%	67.1%	\$5,500
42 - GuilfordWorks	79.0%	78.5%	\$6,950	65.0%	52.2%	79.0%	77.0%	\$7,200	67.0%	52.0%	74.5%	76.5%	\$3,300	54.0%	47.5%	72.0%	72.0%	\$5,410
43 - Kerr-Tar	75.0%	76.5%	\$6,000	64.0%	54.0%	68.5%	72.4%	\$7,000	65.0%	60.0%	71.0%	73.0%	\$3,200	53.0%	48.0%	67.8%	67.1%	\$5,300
44 - Lumber River	85.5%	80.0%	\$6,900	62.4%	48.0%	75.0%	73.0%	\$5,900	65.0%	55.0%	75.0%	77.0%	\$3,200	59.0%	50.0%	67.8%	70.0%	\$5,200
47 - Piedmont Triad	78.5%	76.0%	\$7,052	63.0%	64.0%	79.0%	77.0%	\$7,604	60.0%	64.0%	75.0%	75.0%	\$4,000	55.0%	58.0%	67.8%	67.1%	\$5,500
48 - Foothills	83.0%	82.0%	\$7,052	65.0%	53.0%	74.0%	73.0%	\$6,900	64.6%	54.0%	75.0%	75.0%	\$3,500	59.1%	61.0%	70.0%	70.0%	\$5,410
49 - High Country	76.5%	75.0%	\$6,500	68.0%	51.0%	68.0%	73.0%	\$6,700	69.6%	54.5%	74.0%	76.0%	\$4,200	55.0%	50.0%	62.5%	62.5%	\$5,500
51 - Turning Point	78.0%	76.5%	\$5,900	62.4%	54.0%	79.0%	75.0%	\$6,400	53.6%	66.5%	76.0%	76.0%	\$2,600	50.0%	40.0%	67.8%	67.1%	\$5,000
52 - Rivers East	78.0%	79.0%	\$6,500	56.0%	50.0%	72.0%	72.0%	\$7,000	64.6%	53.0%	70.0%	70.5%	\$3,100	46.7%	45.0%	70.7%	70.7%	\$4,900
54 - Reg Partnership	81.5%	83.6%	\$7,800	80.0%	52.0%	70.0%	75.0%	\$8,600	79.0%	58.3%	78.7%	81.0%	\$4,200	61.0%	50.0%	67.8%	68.2%	\$5,780
55 - Southwestern	82.0%	80.0%	\$7,400	72.0%	50.0%	77.0%	76.0%	\$6,700	60.6%	46.0%	73.5%	74.0%	\$3,000	53.0%	50.0%	67.8%	67.1%	\$5,850
56 - Western Piedmont	84.0%	84.0%	\$7,052	74.0%	55.0%	80.0%	72.4%	\$7,604	69.0%	60.0%	80.0%	78.5%	\$3,800	66.5%	50.0%	67.8%	67.1%	\$5,800
59 - Northeastern	77.0%	76.0%	\$6,450	64.0%	52.2%	75.0%	67.0%	\$6,000	64.6%	58.3%	65.0%	67.5%	\$3,200	50.0%	46.0%	70.0%	67.1%	\$5,410
61 - Mountain Area	80.0%	78.0%	\$7,052	65.0%	52.2%	72.0%	74.0%	\$8,000	67.0%	58.3%	73.0%	73.0%	\$3,700	75.9%	50.0%	67.8%	67.1%	\$5,600
63 - Mid-Carolina	73.0%	71.0%	\$6,700	46.0%	52.2%	63.0%	63.0%	\$7,200	64.6%	58.3%	70.7%	69.0%	\$3,500	51.9%	50.0%	67.8%	67.1%	\$5,410
Statewide	78.0%	76.0%	\$7,052	62.4%	52.2%	70.7%	72.4%	\$7,604	64.6%	58.3%	70.7%	70.3%	\$3,200	51.9%	50.0%	67.8%	67.1%	\$5,410

APPENDIX C

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability (TAA)	200	Date of Birth (WIOA)	Record the participant's date of birth.	R	R	R	R	R	One of the following: - Drivers License - Birth Certificate - DD-214 - Report of Transfer or Discharge Paper - Federal, State, Local, or Tribal Identification Card - Passport - Hospital Record of Birth - Public Assistance/Social Service Records - School Records or ID Cards - Work Permit - Cross-Match with State Agency Records - Justice System Records - Selective Service Registration - Self-Attestation for WIOA Title I - can only be used as documentation for Homeless Individuals or Runaway Youth - Self-Attestation for WIOA Title III - can be used for all Title III participants
Performance/Accountability	202	Individual with a Disability (WIOA)	Record 1 if the participant indicates that he or she has any "disability", as defined in Section 3(2)(a) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102). Under that definition, a "disability" is a physical or mental impairment that substantially limits one or more of the person's major life activities. Record 0 if the participant indicates that he or she does not have a disability that meets the definition. Record 9 if the participant did not self-identify.	R	R	R	R		One of the following: - Self-Attestation - School 504 Records Provided by Student - Assessment Test Results - School Individualized Education Program (IEP) record
Performance/Accountability (TAA)	301	Eligible Veteran Status	Record 1 if the participant is a person who served in the active U.S. military, naval, or air service for a period of less than or equal to 180 days, and who was discharged or released from such service under conditions other than dishonorable. Record 2 if the participant served on active duty for a period of more than 180 days and was discharged or released with other than a dishonorable discharge; or was discharged or released because of a service connected disability; or as a member of a reserve component under an order to active duty pursuant to section 167(a), (d), or (g), 673 (a) of Title 10, U.S.C., served on active duty during a period of war or in a campaign or expedition for which a campaign badge is authorized and was discharged or released from such duty with other than a dishonorable discharge. Record 3 if the participant is: (a) the spouse of any person who died on active duty or of a service connected disability, (b) the spouse of any member of the Armed Forces serving on active duty who at the time of application for assistance under this part, is listed, pursuant to 38 U.S.C 101, and the regulations issued there under, by the Secretary concerned, in one or more of the following categories and has been so listed for more than 90 days: (i) missing in action; (ii) captured in the line of duty by a hostile force; or (iii) forcibly detained or interned in the line of duty by a foreign government or power; or (c) the spouse of any person who has a total disability permanent in nature resulting from a service connected disability or the spouse of a veteran who died while a disability so evaluated was in existence. Record 0 if the participant does not meet any one of the conditions described above. Leave "blank" if the data is not available.	R	R	R		R	One of the following: - DD-214 - A Letter from the Veterans Administration - Cross-Match with Department of Defense Records - Cross-Match with Veterans Service Database - NGB- 22 documenting Title 10 federal active duty service - Self-Attestation for WIOA Title I - can only be used as documentation for Homeless Individuals or Runaway Youth - Self-Attestation for WIOA Title III - can be used for all Title III participants
Performance/Accountability	401	UC Eligible Status	Record 1 if the participant is a person who (a) filed a claim and has been determined eligible for benefit payments under one or more State or Federal Unemployment Compensation (UC) programs and whose benefit year or compensation, by reason of an extended duration period, has not ended and who has not exhausted his/her benefit rights, and (b) was referred based on participation in the Reemployment Services and Eligibility Assessment (RESEA) program. Record 2 if the participant is a person who (a) filed a claim and has been determined eligible for benefit payments under one or more State or Federal Unemployment Compensation (UC) programs and whose benefit year or compensation, by reason of an extended duration period, has not ended and who has not exhausted his/her benefit rights, and (b) was referred to service through the state's Worker Profiling and Reemployment Services (WPRS) system. Record 3 if the participant is a person who meets condition 2 (a) described above, but was not referred to service through the state's WPRS system or the RESEA program. Record 4 if the participant meets condition 2(a), but has exhausted all UC benefit rights for which he or she has been determined eligible, including extended supplemental benefit rights. Record 5 if the participant is claimant who is exempt from normal work search requirements according state law, and does not have to perform work search activities. Record 0 if the participant was neither a UC Claimant nor an Exhaustee. Leave blank if this data element does not apply to the participant.	R	R	R			One of the following: - Cross-Match to State UI Database - Cross-Match to State MIS Database - Referral Transmittal by RESEA or WPRS - Self-Attestation for Code Values 3 and 4 only
Performance/Accountability	402	Long-Term Unemployed at Program Entry (WIOA)	Record 1 if the participant, at program entry, has been unemployed for 27 or more consecutive weeks. Record 0 if the participant does not meet the condition described above.	R	R	R			One of the following: - Self-Attestation - Public Assistance Records - Refugee Assistance Records - Cross-Match with Public Assistance Database - Cross-Match to State UI Database
Performance/Accountability	409	School Status at Program Entry (WIOA)	Record 1 if the participant, at program entry, has not received a secondary school diploma or its recognized equivalent and is attending any primary or secondary school (including elementary, intermediate, junior high school, whether full- or part-time), or is between school terms and intends to return to school. Record 2 if the participant, at program entry, has not received a secondary school diploma or its recognized equivalent and is attending an alternative high school or an alternative course of study approved by the local educational agency whether full- or part-time, or is between school terms and is enrolled to return to school. Record 3 if the participant, at program entry, has received a secondary school diploma or its recognized equivalent and is attending a postsecondary school or program (whether full or part-time), or is between school terms and is enrolled to return to school. Record 4 if the participant, at program entry, is not within the age of compulsory school attendance; and is no longer attending any school and has not received a secondary school diploma or its recognized equivalent. Record 5 if the participant, at program entry, is not attending any school and has either graduated from secondary school or has attained a secondary school equivalency. Record 6 if the participant, at program entry, is within the age of compulsory school attendance, but is not attending school and has not received a secondary school diploma or its recognized equivalent.	R	R	R	R		One of the following: - Applicable Records from Education Institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) - Self-Attestation - Signed Intake Application or Enrollment Form - Electronic Records - Case Notes
Performance/Accountability	410	Date of Actual Dislocation	Record the participant's date of actual dislocation from employment. This date is the last day of employment at the dislocation job. Leave blank if there is no dislocation job (e.g., displaced homemaker) or this data element does not apply to the participant.	R	R	R			One of the following: - Verification from Employer - Rapid Response List - Notice of Layoff - Public Announcement with Follow-Up Cross-Match with UI Database - Self-Attestation

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation	
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA		
TAA	411	Most Recent Date of Qualifying Separation	Record the participant's most recent date of separation from trade-impacted employment that qualifies the participant to receive benefits and/or services under the Trade Act. Leave blank if there is no qualifying separation date or the separation date is the same as the Date of Actual Dislocation or this data element does not apply to the participant.						R	One of the following: - Verification from Employer - Rapid Response List - Notice of Layoff - Public Announcement with Follow-Up Cross-Match with UI Database - Self-Attestation
TAA	412	Tenure with Employer at Separation	Record the total number of months that the participant was employed with the employer of record as of the participant's most recent qualifying date of separation. Employment of at least one day but less than one month should be recorded as "1". Leave blank if this data element does not apply to the participant.						R	One of the following: - Verification from Employer - Worker List from Firm - Self-Attestation - Cross-Match - Signed Intake Application or Enrollment Form - Case Notes (Note: Self-Attestation only in cases when other allowable source documentation is not available due to records retention timelines expiring.)
Performance/Accountability	413	Migrant and Seasonal Farmworker Designation as defined at 20 CFR 651.10	Record 1 if the participant is a seasonal farmworker, meaning an individual who is employed, or was employed in the past 12 months, in farmwork (as described at 20 CFR 651.10) of a seasonal or other temporary nature and is not required to be absent overnight from his or her permanent place of residence. Non-migrant individuals who are full-time students are excluded. Labor is performed on a seasonal basis where, ordinarily, the employment pertains to, or is of the kind exclusively performed at certain seasons, or periods of the year and which, from its nature, may not be continuous or carried on throughout the year. A worker, who moves from one seasonal activity to another, while employed in farm work, is employed on a seasonal basis even though he or she may continue to be employed during a major portion of the year. A worker is employed on other temporary basis where he or she is employed for a limited time only or his or her performance is contemplated for a particular piece of work, usually of short duration. Generally, employment which is contemplated to continue indefinitely is not temporary. Record 2 if the participant is a migrant farmworker, meaning a seasonal farmworker (as defined above) who travels to the job site so that the farmworker is not reasonably able to return to his or her permanent residence within the same day. Full-time students traveling in organized groups rather than with their families are excluded. Record 0 if the participant does not meet the condition described above. Leave blank if this data element does not apply to the individual.	R						One of the following: - Self-Attestation - Cross-Match with Public Assistance Records - Case Notes - Cross-Match with State MIS Database - Employment Records
Performance/Accountability	600	Temporary Assistance to Needy Families (TANF)	Record 1 if the participant is listed on the welfare grant or has received cash assistance or other support services from the TANF agency in the last six months prior to participation in the program. Record 0 if the participant does not meet the condition described above. Leave blank if this data element does not apply to the participant.	R	R	R				One of the following: - TANF Eligibility Verification - TANF Period of Benefit Receipt Verification - Referral Transmittal from TANF - Cross-Match with TANF Public Assistance Records
Performance/Accountability	601	Exhausting TANF Within 2 Years (Part A Title IV of the Social Security Act) at Program Entry (WIOA)	Record 1 if the participant, at program entry, is within 2 years of exhausting lifetime eligibility under part A of Title IV of the Social Security Act (42 U.S.C. 601 et seq.), regardless of whether receiving these benefits at program entry. Record 0 if the participant does not meet the condition described above. Record 9 if the data element does not apply to the participant (i.e., the participant has never received TANF, or if the participant has already exhausted lifetime TANF eligibility).	R	R	R				One of the following: - TANF Eligibility Verification - TANF Period of Benefit Receipt Verification - Referral Transmittal from TANF - Cross-Match with TANF Public Assistance Records
Performance/Accountability	602	Supplemental Security Income (SSI) / Social Security Disability Insurance (SSDI)	Record 1 if the participant is receiving or has received SSI under Title XVI of the Social Security Act in the last six months prior to participation in the program. Record 2 if the participant is receiving or has received SSDI benefit payments under Title XIX of the Social Security Act in the last six months prior to participation in the program. Record 3 if the participant is receiving or has received both SSI and SSDI in the last six months prior to participation in the program. Record 4 if the participant is receiving or has received SSI under Title XVI of the Social Security Act in the last six months prior to participation in the program and is a Ticket to Work Program Ticket Holder issued by the Social Security Administration. Record 5 if the participant is receiving or has received SSDI benefit payments under Title XIX of the Social Security Act in the last six months prior to participation in the program and is a Ticket to Work Program Ticket holder issued by the Social Security Administration. Record 6 if the participant is receiving or has received both SSI and SSDI in the last six months prior to participation in the program and is a Ticket to Work Program Ticket holder issued by the Social Security Administration. Record 0 if the participant does not meet any of the conditions described above.	R	R	R				One of the following: - SSI/SSDI Receipt of Benefits Verification - Referral Transmittal from SSA - SSI/SSDI Eligibility Verification - Cross-Match with SSA Database
Performance/Accountability	603	Supplemental Nutrition Assistance Program (SNAP)	Record 1 if the participant is receiving assistance through the Supplemental Nutrition Assistance Program (SNAP) under the Food and Nutrition Act of 2008 (7 USC 2011 et seq.) Record 0 if the participant does not meet the above criteria.	R	R	R				One of the following: - SNAP Eligibility Verification - Documentation of Food Stamp Benefit Receipt - Referral Transmittal from SNAP - Cross-Match with SNAP Public Assistance Records
Performance/Accountability	604	Other Public Assistance Recipient	Record 1 if the participant is a person who is receiving or has received cash assistance or other support services from one of the following sources in the last six months prior to participation in the program: General Assistance (GA) (state/local government), or Refugee Cash Assistance (RCA). Does not include foster child payments. Record 0 if the participant does not meet the above criteria. Leave blank if this data element does not apply to the participant.		R	R				One of the following: - Copy of Authorization to Receive Cash Public Assistance - Copy of Public Assistance Check - Medical Card Showing Cash Grant Status - Public Assistance Eligibility Verification - Cross-Match

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability	701	Pregnant or Parenting Youth	Record 1 if the participant is a youth who is pregnant, or an individual (male or female) who is providing custodial care for one or more dependents under age 18. Record 0 if the participant does not meet the conditions described above. Leave blank if the data is not available.				R		One of the following: - Self-Attestation - Case Notes - Needs Assessment - WIC Eligibility Verification - TANF Single Parent Eligibility Verification - Signed Intake Application or Enrollment Form - Signed Individual Service Strategy
Performance/Accountability	702	Youth Who Needs Additional Assistance	Record 1 if the participant is an out-of-school youth who requires additional assistance to enter or complete an educational program, or to secure and hold employment or an in-school youth who requires additional assistance to complete an educational program or to secure or hold employment as defined by State or local policy. If the State Board defines a policy, the policy must be included in the State Plan. Record 0 if the participant does not meet the conditions described above. Leave blank if this data element does not apply to the participant.				R		One of the following (see state policy on definition): - Signed Individual Service Strategy - Self-Attestation - Signed Intake Application or Enrollment Form - Case Notes - Needs Assessment
Performance/Accountability	704	Foster Care Youth Status at Program Entry (WIOA)	Record 1 if the participant, at program entry, is a person aged 24 or under who is currently in foster care or has aged out of the foster care system. Record 0 if the participant does not meet the conditions described above.	R	R	R	R		One of the following: - Written Confirmation from Social Services Agency - Case Notes - Self-Attestation - Foster Care Agency Referral Transmittal - Signed Intake Application or Enrollment Form - Needs Assessment - Signed Individual Service Strategy
Performance/Accountability	800	Homeless participant, Homeless Children and Youths, or Runaway Youth at Program Entry (WIOA)	Record 1 if the participant, at program entry: (a) lacks a fixed, regular, and adequate nighttime residence; this includes a participant who is sharing the housing of other persons due to loss of housing, economic hardship, or a similar reason; is living in a motel, hotel, trailer park, or campground due to a lack of alternative adequate accommodations; is living in an emergency or transitional shelter; is abandoned in a hospital; or is awaiting foster care placement; has a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, such as a car, park, abandoned building, bus or train station, airport, or camping ground; is a migratory child who in the preceding 36 months was required to move from one school district to another due to changes in the parent's or parent's spouse's seasonal employment in agriculture, dairy, or fishing work; or is under 18 years of age and absents himself or herself from home or place of legal residence without the permission of his or her family (i.e., runaway youth). This definition does not include a participant imprisoned or detained under an Act of Congress or State law. A participant who may be sleeping in a temporary accommodation while away from home should not, as a result of that alone, be recorded as homeless. Record 0 if the participant does not meet the conditions described above. Note: WIOA youth who meet the definition of homeless as defined in WIOA section 681.210(c)(5) and 681.220(d)(4) are reported in this data element.	R	R	R	R		One of the following: - Self-Attestation - Signed Intake Application or Enrollment Form - Written Statement or Referral Transmittal from a Shelter or Social Service Agency - Needs Assessment - Case Notes - Signed Individual Service Strategy - A letter from caseworker or support provider
Performance/Accountability	801	Ex-Offender Status at Program Entry (WIOA)	Record 1 if the participant, at program entry, is a person who either (a) has been subject to any stage of the criminal justice process for committing a status offense or delinquent act, or (b) requires assistance in overcoming barriers to employment resulting from a record of arrest or conviction. Record 0 if the participant does not meet any one of the conditions described above. Record 9 if the participant did not disclose.	R	R	R	R		One of the following: - Documentation from the Juvenile or Adult Criminal Justice System - Written Statement or Referral Document from a Court or Probation Officer - Referral Transmittal from a Reintegration Agency - Signed Intake Application or Enrollment Form - Case Notes - Needs Assessment - Self-Attestation - Signed Individual Service Strategy - Federal Bonding Program Application
Performance/Accountability	802	Low Income Status at Program Entry (WIOA)	Record 1 if the participant, at program entry, is a person who:(a) receives, or in the 6 months prior to application to the program has received, or is a member of a family that is receiving or in the past 6 months prior to application to the program has received: Assistance through the supplemental nutrition assistance program (SNAP) under the Food and Nutrition Act of 2008 (7 USC 2011 et seq.); assistance through the temporary assistance for needy families program under part A of Title IV of the Social Security Act (42 USC 601 et seq.); assistance through the supplemental security income program under Title XVI of the Social Security Act (42 USC 1381); or State or local income-based public assistance. Is in a family with total family income that does not exceed the higher of the poverty line or 70% of the lower living standard income level; is an individual who receives, or is eligible to receive a free or reduced price lunch under the Richard B. Russell National School Lunch Act (42 USC 1751 et seq.); is a foster child on behalf of whom State or local government payments are made; is a participant with a disability whose own income is at the poverty line but who is a member of a family whose income does not meet this requirement; is a homeless participant or a homeless child or youth or runaway youth (see Data Element #800); or is a youth living in a high-poverty area. Record 0 if the participant does not meet the criteria presented above.	R	R	R	R		One of the following: - Award Letter From Veteran's Administration - Bank Statements - Pay Stubs - Compensation Award Letter - Court Award Letter - Pension Statement - Employer Statement/Contact - Family or Business Financial Records - Housing Authority Verification - Quarterly Estimated Tax for Self-Employed Persons - Social Security Benefits - UI Claim Documents - Copy of Authorization to Receive Cash Public Assistance - Copy of Public Assistance Check - Public Assistance Eligibility Verification - Cross-Match with Refugee Assistance Records - Cross-Match with Public Assistance Records - Cross-Match with UI Wage Records - Self-Attestation - For Youth Living in a High Poverty Area: Case notes documenting High Poverty Area status

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability	803	English Language Learner at Program Entry (WIOA)	Record 1 if the participant, at program entry, is a person who has limited ability in speaking, reading, writing or understanding the English language and also meets at least one of the following two conditions (a) his or her native language is a language other than English, or (b) he or she lives in a family or community environment where a language other than English is the dominant language. Record 0 if the participant does not meet the conditions described above.	R	R	R	R		One of the following: - Case notes - Assessment Test Results - Applicable Records from Education Institution (transcripts, or other school documentation) - Self-Attestation - Signed Intake Application or Enrollment Form - Signed Individual Service Strategy
Performance/Accountability	804	Basic Skills Deficient/Low Levels of Literacy at Program Entry	Record 1 if the participant is, at program entry: a youth, who has English reading, writing, or computing skills at or below the 8th grade level on a generally accepted standardized test; or a youth or adult, who is unable to compute and solve problems, or read, write, or speak English at a level necessary to function on the job, in the participant's family, or in society. Record 0 if the participant does not meet the conditions described above.	R	R	R	R		One of the following: - Case notes - Assessment Test Results - Applicable Records from Education Institution (transcripts, academic assessments, or other school documentation)
Performance/Accountability	806	Single Parent at Program Entry (WIOA)	Record 1 if the participant, at program entry, is single, separated, divorced or a widowed individual who has primary responsibility for one or more dependent children under age 18 (including single pregnant women). Record 0 if the participant does not meet the condition described above. Record 9 if the participant did not self-identify.	R	R	R	R		One of the following: - Self-Attestation - TANF Single Parent Eligibility Verification - Case Notes - Needs Assessment - Signed Intake Application or Enrollment Form - Signed Individual Service Strategy or Employment Plan
Performance/Accountability	807	Displaced Homemaker at Program Entry (WIOA)	Record 1 if the participant, at program entry, has been providing unpaid services to family members in the home and who:(A)(i) has been dependent on the income of another family member but is no longer supported by that income; or (ii) is the dependent spouse of a member of the Armed Forces on active duty (as defined in section 101(d)(1) of title 10, United States Code) and whose family income is significantly reduced because of a deployment (as defined in section 991(b) of title 10, United States Code, or pursuant to paragraph (4) of such section), a call or order to active duty pursuant to a provision of law referred to in section 101(a)(13)(B) of title 10, United States Code, a permanent change of station, or the service-connected (as defined in section 101(16) of title 38, United States Code) death or disability of the member; and(B) is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment. Record 0 if the participant does not meet the conditions described above.	R	R	R			One of the following: - Self-Attestation - Signed Intake Application or Enrollment Form - Cross-Match with Public Assistance Records - Copy of Spouse's Layoff Notice - Copy of Spouse's Death Record - Copy of Spouse's Permanent Change of Station (PCS) Orders (for a military move or assignment) - Copy of Divorce Records - Copy of Applicable Court Records - Copy of Bank Records (showing financial dependence on spouse, no separate individual income support, or no employment income earned) - Needs Assessment - Signed Individual Employment Plan
Performance/Accountability	808	Eligible Migrant and Seasonal Farmworker Status (WIOA sec. 167)	Record 1 if the participant, at program entry, is a low-income individual (i) who for the 12 consecutive months out of the 24 months prior to application for the program involved, has been primarily employed in agriculture or fish farming labor that is characterized by chronic unemployment or underemployment; and (ii) faces multiple barriers to economic self-sufficiency. Record 2 if the participant, at program entry, is a seasonal farmworker and whose agricultural labor requires travel to a job site such that the farmworker is unable to return to a permanent place of residence within the same day. Record 3 if the participant is a migrant farmworker or seasonal farmworker (as defined above) aged 14-24. Record 4 if the participant is an adult program participant and a dependent (as defined in 20 CFR 685.110) of the individual described as a seasonal or migrant seasonal farmworker above. Record 5 if the participant is a youth program participant and a dependent (as defined in 20 CFR 685.110) of the individual described as a seasonal or migrant seasonal farmworker above.	R	R	R			One of the following: - Self-Attestation - Case Notes - Cross-Match with Public Assistance Records - NFJP Eligibility Documents used to determine low-income status - Cross-Match with State MIS Database - Cross-Match with H-1B Records - Employer Contract/Letter - Program Application
Performance/Accountability	900	Date of Program Entry (WIOA)	Record the date on which an individual became a participant as referenced in 20 CFR 677.150 satisfying applicable programmatic requirements for the provision of services. Leave blank if this data element does not apply.	R	R	R	R		One of the following: - Individual Plan for Employment - Electronic Records - Program intake documents, such as eligibility determination documentation or program enrollment forms
Performance/Accountability (TAA)	901	Date of Program Exit (WIOA)	Record the last date the participant received services that are not self-service, information-only, or follow up services. Record this last date of receipt of services only if there are no future services, that are not self-service, information-only, or follow up services, planned from the program. For Titles I, II and III, record the last date of funded service(s). For Vocational Rehabilitation programs, record the date when the participant's record of service is closed pursuant to 34 CFR 361.43 or 361.56. Leave blank if this data element does not apply to the participant	R	R	R	R	R	One of the following: - A copy of the letter sent to the individual indicating that the case was closed - WIOA status/exit forms - Electronic Records - Attendance records - Review of service records identifying the last qualifying service (and lack of a planned gap)
TAA	902	Date of First Case Management and Employment Service	Record the date on which the participant begins receiving his or her first case management and employment service funded by a program following a determination of eligibility to participate in the program.					R	One of the following: - Cross-Match - Case notes
Performance/Accountability	906	Date of First WIOA Youth Service	Record the date on which the participant began receiving his or her first WIOA youth service (i.e., 1 of the 14 youth program elements in WIOA §129(c)(2)). Leave blank if the participant did not receive services funded by the WIOA Youth program.				R		One of the following: - Electronic Records - Case Notes - Signed Individual Service Strategy - Vendor Contract - Attendance Record - Sign-in Sheets - Activity Sheets - Cross-Match

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Performance/Accountability	907	Recipient of Incumbent Worker Training	Record 1 if the participant received Incumbent Worker training services under WIOA section 134(a)(3)(A)(i) and/or 134(a)(2)(A)(i). Record 2 if the participant received Incumbent Worker training services by Local Formula funds under WIOA section 134(d)(4). Record 3 if the participant received Incumbent Worker training services under both Statewide funds (Governor's Reserve and/or Rapid Response) WIOA section 134(a)(3)(A)(i) and/or 134(a)(2)(A)(i) and Local Formula funds under WIOA section 134(d)(4). Record 4 if the participant received Incumbent Worker training services under H1B. Record 5 if the participant received Incumbent Worker training services under a National Dislocated Worker Grant (DWG) (WIOA section 170). Record 6 if the participant received Incumbent Worker training services under a National Farmworker Job Program (NFJP) (WIOA section 167). Record 0 if the participant did not receive services under the condition described above, or received services by a local area with statewide funds passed down from the state to the local area.	R	R	R			One of the following: - Signed IWT Contract - Cross-Match - Case Notes
Performance/Accountability (TAA)	908	Rapid Response	Record 1 if the participant participated in rapid response activities authorized at WIOA section 134(a)(2)(A)(i)(I). Record 0 if the participant did not receive services under the condition described above. Record 9 if grantee is unable to track enrollment in the program. Leave blank if this data element does not apply to the participant.	R		R		R	One of the following: - Cross-Match - Case Notes - Self-Attestation - Rapid Response List
TAA	915	TAA Petition Number	Record the petition number (and full alphabetical suffix, if applicable) of the certification which applies to the participant's group. If there is more than one petition number, create multiple records in the PIRL for each occurrence. Leave blank if this data element does not apply to the participant.					R	One of the following: - Employer Worker List - Designation of Eligibility Form - Case Notes
Performance/Accountability (TAA)	923	Other Reasons for Exit (WIOA)	Record 01 if the participant exits the program because he or she has become incarcerated in a correctional institution or has become a resident of an institution or facility providing 24-hour support such as a hospital or treatment center during the course of receiving services as a participant. Record 02 if the participant exits the program because of medical treatment and that treatment is expected to last longer than 90 days and precludes entry into unsubsidized employment or continued participation in the program. Record 03 if the participant is deceased. Record 04 if the participant exits the program because the participant is a member of the National Guard or other reserve military unit of the armed forces and is called to active duty for at least 90 days. Record 05 if the participant is in the foster care system as defined in 45 CFR 1355.20(a), and exits the program because the participant has moved from the area as part of such a program or system (Youth participants only). Record 06 if the participant, who was determined to be eligible, is later determined not to have met eligibility criteria. NOTE: This circumstance applies only to the VR program, in which participant eligibility is routinely revisited during the participation period. For titles I, II, and III program eligibility is determined at the time an individual becomes a participant. Record 07 if the participant is a criminal offender in a correctional institution under section 225 of WIOA. Record 00 if the participant meets none of the above conditions.	R	R	R	R	R	One of the following: - Information from partner services - WIOA or program status/exit forms - Electronic Records - Withdrawal form with explanation - Information from institution or facility - Case Notes
TAA	924	TAA Application Date	Record the date on which the individual first applied for Trade Act services or benefits under the applicable certification.					R	One of the following: - Electronic Records - Designation on Eligibility form - TAA Application Form - Cross-Match
TAA	925	Date of First TAA Benefit or Service	Record the date of the first Trade funded benefit or service received after the participant was determined eligible to participate.					R	One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability	1001	Date of First Basic Career Service (Staff-Assisted)	Record the first date the participant received any staff-assisted basic services (includes any career service under WIOA section 134(c)(2)(A)(i)-(xi) that is not provided via self-service or information-only services and activities)". Leave blank if the participant did not receive a staff-assisted basic career service.	R	R	R			One of the following: - Case Notes - Cross-Match - Electronic Records
Performance/Accountability	1002	Most Recent Date Received Basic Career Services (Self-Service/Information-Only)	Record the most recent date a job seeker accessed self-services or information-only services or activities during the reporting period, either a physical location or remotely via the use of electronic technologies. Self-service does not uniformly apply to all virtually accessed services; i.e., virtual accessed services that provide a level of support above independent job or information seeking on the part of a reportable individual or participant would not qualify as self-service. Information-only activities or services may be either self-service or staff assisted. Leave blank if the reportable individual or participant did not access a self-service or information-only basic career service.	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability	1003	Most Recent Date Received Basic Career Services (Staff-Assisted)	Record the most recent date on which the participant received any basic career service (includes any career service under WIOA Section 134(c)(2)(A)(i)-(xi) that is not provided via self-service or information services and activities). Leave blank if the participant did not receive a basic career service with significant staff involvement.	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability	1004	Date of Most Recent Career Service (WIOA)	Record the date on which career services (both basic and individualized) were last received (excluding self-services, information services or activities, or follow-up services). Leave blank if the participant did not receive career services.	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability	1005	Most Recent Date Received Staff-Assisted Services (DVOP specialist)	Record the most recent date on which the participant received any career service provided by a DVOP specialist. Leave blank if the participant did not receive a service with significant staff involvement or this data element does not apply to the participant.	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match

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Performance/Accountability	1006	Date Referred to Department of Veterans Affairs Vocational Rehabilitation and Employment Program	Record the most recent date on which the participant was referred to the Department of Veterans Affairs Vocational Rehabilitation and Employment Program.	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability	1007	Date of Most Recent Reportable Individual Contact	Record the most recent date on which the job seeker had reportable individual level contact, including provision of identifying information or enrollment, with one or more applicable programs.	R	R	R			One of the following: - Case Notes - Cross-Match - Electronic Records
Performance/Accountability	1200	Date of First Individualized Career Service	Record the first date the participant received any individualized career service on or after the date of participation. Individualized Career Services include development of an Individual Employment Plan, Pre-Vocational Services, provision of comprehensive skills and career assessments, internships or work experiences, financial literacy services, English as Second Language Services, or any other service that comprises a significant amount of staff time with an individual participant as described in WIOA sec. 134(c)(2)(xii). Leave blank if the participant did not receive any individualized career service or this data element does not apply to the individual.	R	R	R			One of the following: - Case Notes - Cross-Match - Electronic Records
Performance/Accountability	1201	Most Recent Date Received Individualized Career Service	Record the most recent date on which the participant received individualized career services as described in WIOA sec. 134(c)(2)(xii).	R	R	R			One of the following: - Case Notes - Electronic Records - Cross-Match
Performance/Accountability (TAA)	1202	Date Individual Employment Plan Created	Record the date on which the participant's Individual Employment Plan (IEP) was created or otherwise established to identify the participant's employment goals, their appropriate achievement objectives, and the appropriate combination of services for the participant to achieve the employment goals. Leave blank if an employment plan was not created for the participant, or if the individual is not a participant.	R	R	R		R	One of the following: - Cross-Match - Case Notes - Signed Individual Employment Plan or Individual Service Strategy - Electronic Records
Performance/Accountability	1205	Type of Work Experience	If the participant received work experience, record the appropriate code to indicate the type of work experience provided to the participant. Record 1 if the participant participated in summer employment or an internship during the summer months (WIOA Youth). Record 2 if the participant participated in an internship or employment opportunity during the non-summer months or if it extends beyond the summer months. Record 3 if the participant participated in a pre-apprenticeship program. Record 4 if the participant participated in job shadowing. Record 5 if the participant participated in on-the-job training (WIOA Youth). Record 6 if the participant participated in a transitional job, as defined in WIOA Section 134(d)(5). Record 7 if the participant participated in another type of work experience not covered in 1 through 5. Record 0 if the participant did not participate in a work experience. Leave blank if this data element does not apply to the participant. NOTE: Code Value 6 should only be selected when other work experience opportunities are provided that are not captured elsewhere. This code value is also for use with Adult, Dislocated Worker, and Dislocated Worker Grants programs only. NOTE: If employment opportunities not limited to summer months are part of a pre-apprenticeship program, or if on-the-job training for WIOA Youth is part of a pre-apprenticeship program, choose Code 3 for pre-apprenticeship.	R	R	R	R		One of the following: - Case Notes - Signed Work Experience Agreement - Electronic Records
Performance/Accountability	1206	Date Received Financial Literacy Services	Record the date, at any time during participation in the program, that the participant received any financial literacy services. He or she may include services that help with creating budgets, initiate checking and savings accounts at banks, applying for and managing loans and credit cards, learning about credit reports and credit scores, and identifies identity theft. Leave blank if this data element does not apply to the participant.	R	R	R	R		One of the following: - Activity sheets - Sign-in sheets - Attendance record - Vendor contract - Case Notes - Electronic Records
Performance/Accountability	1211	Transitional Jobs	Record 1 if the participant received work experience at a transitional job as described in WIOA Section 134(d)(5). Record 0 if the participant did not receive transitional jobs training as described above.		R	R			One of the following: - Electronic Records - Case Notes - Signed Transitional Job Agreement
Performance/Accountability	1300	Received Training (WIOA)	Record 1 if the participant received training services. Record 0 if the participant did not receive training services.	R	R	R	R		One of the following: - Cross-match - Vendor/Training Provider Records - Signed Training Contract - Individual Training Account (ITA) - Electronic Records
Performance/Accountability	1301	Eligible Training Provider - Name - Training Service #1 (WIOA)	Enter the name of the eligible training provider where the participant received training. Leave blank if this data element does apply to the participant.		R	R			One of the following: - Vendor Training Records - Receipts - Cross-Match - Attendance Sheets or Records - Signed Training Contract - Individual Training Account (ITA)

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				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability (TAA)	1302	Date Entered Training #1 (WIOA)	Record the date on which the participant's first training service actually began. Leave blank if the participant did not receive a first training service or this data element does not apply to the participant.		R	R	R	R	One of the following: - Individual Training Account (ITA) - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes
Performance/Accountability (TAA)	1303	Type of Training Service#1 (WIOA)	Use the appropriate code to indicate the type of approved training being provided to the participant. NOTE: If OJT or Skill Upgrading is being provided as part of a Registered Apprenticeship program, choose Code 09. NOTE: Code 06 should only be utilized when other codes are clearly not appropriate. Record 00 if the participant did not receive a training service. Leave blank if this data element does not apply to the participant.		R	R	R	R	One of the following: - Copy of enrollment record - Case Notes - Cross-match between dates of service and vendor training information - Vendor training documentation - Electronic Records - Individual Training Account (ITA) - Attendance records
Performance/Accountability (TAA)	1306	Occupational Skills Training Code #1	Enter the 8 digit O*Net 4.0 (or later versions) code that best describes the training occupation for which the participant received training services. Leave blank if occupational code is not available or not known. Additional NOTES: If all 8 digits of the occupational skills code are not collected, record as many digits as are available. If the participant receives multiple training services, use the occupational skills training code for the most recent training.		R	R	R	R	One of the following:: - Cross-Match - Case notes - Signed Individual Employment Plan or Training Plan - Signed Training Contract - Individual Training Account (ITA)
Performance/Accountability (TAA)	1307	Training Completed #1	Record 1 if the participant completed approved training. Record 0 if the participant did not complete training (withdrew). Leave blank if the participant did not receive a first training service or this data element does not apply to the participant.		R	R	R	R	One of the following: - Cross-match - Vendor Training Records - Attendance Sheets or Records - Case Notes - Electronic Records - Individual Training Account (ITA)
Performance/Accountability (TAA)	1308	Date Completed, or Withdrew from, Training#1	Record the date when the participant completed training or withdrew permanently from training. If multiple training services were received, record the most recent date on which the participant completed training. Leave blank if the participant did not receive a first training service or this data element does not apply to the participant.		R	R	R	R	One of the following: - Cross-match - Vendor Training Records - Attendance Sheets or Records - Case Notes - Electronic Records
Performance/Accountability	1309	Date Entered Training #2	Record the date on which the participant's second training service actually began. Leave blank if the participant did not receive a second training service or this data element does not apply to the participant.		R	R	R		One of the following: - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)
Performance/Accountability	1310	Type of Training Service#2 (WIOA)	If the participant received a second type of training, record the appropriate code to indicate the type of approved training being provided to the participant. NOTE: If OJT or Skill Upgrading is being provided as part of a Registered Apprenticeship program, choose Code 09. NOTE: Code 06 should only be instances when other codes are clearly not appropriate. Record 00 if the participant did not receive a second training service. Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Copy of enrollment record - Case Notes - Cross-match between dates of service and vendor training information - Vendor training documentation - Electronic Records - Individual Training Account (ITA) - Attendance records
Performance/Accountability	1311	Occupational Skills Training Code #2	Enter the 8 digit O*Net 4.0 (or later versions) code that best describes the training occupation for which the participant received training services. Leave blank if occupational code is not available or not known. Additional NOTES: If all 8 digits of the occupational skills code are not collected, record as many digits as are available. If the participant receives multiple training services, use the occupational skills training code for the most recent training.		R	R			One of the following:: - Cross-Match - Case notes - Signed Individual Employment Plan or Training Plan - Signed Training Contract - Individual Training Account (ITA)
Performance/Accountability	1312	Training Completed #2	Record 1 if the participant completed approved training. Record 0 if the participant did not complete training (withdrew). Leave blank if the participant did not receive a second training service or this data element does not apply to the participant.		R	R	R		One of the following: - Cross-Match - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability	1313	Date Completed, or Withdrew from, Training#2	Record the date when the participant completed training or withdrew permanently from training. If multiple training services were received, record the most recent date on which the participant completed training. Leave blank if the participant did not receive a second training service or this data element does not apply to the participant.		R	R	R		One of the following: - Cross-Match - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)
Performance/Accountability	1314	Date Entered Training #3	Record the date on which the participant's third training service actually began. If the participant received more than three training services, record the date on which the participant actually began the last (or most recent) training service. Leave blank if the participant did not receive a third training service or this data element does not apply to the participant.		R	R	R		One of the following: - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)
Performance/Accountability	1315	Type of Training Service#3 (WIOA)	If the participant received a third type of training, record the appropriate code to indicate the type of approved training being provided to the participant. NOTE: If OJT or Skill Upgrading is being provided as part of a Registered Apprenticeship program, choose Code 09. NOTE: Code 06 should only be utilized when other codes are clearly not appropriate. Record 00 if the participant did not receive a third service. Leave blank if this data element does not apply to the participant. Additional NOTE: If the participant receives more than three training services, record the last (or most recent) training services received by the participant in this field.		R	R	R		One of the following: - Copy of enrollment record - Case Notes - Cross-match between dates of service and vendor training information - Vendor training documentation - Electronic Records - Individual Training Account (ITA) - Attendance records
Performance/Accountability	1316	Occupational Skills Training Code #3	Enter the 8 digit O*Net 4.0 (or later versions) code that best describes the training occupation for which the participant received training services. Leave blank if occupational code is not available or not known or if this data element does not apply to the participant. Additional NOTES: If all 8 digits of the occupational skills code are not collected, record as many digits as are available. If the participant receives multiple training services, use the occupational skills training code for the most recent training.		R	R			One of the following: - Cross-Match - Case notes - Signed Individual Employment Plan or Training Plan - Signed Training Contract - Individual Training Account (ITA)
Performance/Accountability	1317	Training Completed #3	Record 1 if the participant completed approved training. Record 0 if the participant did not complete training (withdrew). Leave blank if the participant did not receive a third training service or this data element does not apply to the participant.		R	R	R		One of the following: - Cross-Match - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)
Performance/Accountability	1318	Date Completed, or Withdrew from, Training#3	Record the date when the participant completed training or withdrew permanently from training. If multiple training services were received, record the most recent date on which the participant completed training. Leave blank if the participant did not receive a third training service or this data element does not apply to the participant.		R	R	R		One of the following: - Cross-Match - Vendor Training Records - Electronic Records - Attendance Sheets or Records - Case Notes - Individual Training Account (ITA)
Performance/Accountability	1319	Established Individual Training Account (ITA)	Record 1 if any of the individual's services were purchased utilizing an Individual Training Account funded by WIOA Title I. This information can be updated anytime during participation. Record 0 if the individual does not meet the condition described above. Leave blank if this data element does not apply to the participant.		R	R			One of the following: - Cross-Match - Case notes - Individual Training Account (ITA) Approval, Allocation or Activation Records
TAA	1321	Waiver from Training Requirement	Use the appropriate code to indicate the reason for which a waiver from the training requirements was issued to the participant. Record 0 if the participant did not receive a training waiver. Leave blank if this data element does not apply to the participant.					R	One of the following: - Waiver Documentation in Case File (that includes initial approval and renewals at 30 day intervals) - Cross-Match with State UI Records of TRA Checks - Verification Form from UI or Employment Counselor
TAA	1322	Date of Most Recent Case Management and Reemployment Service	Record the date on which the participant received his or her most recent Case Management and Reemployment Service. Leave blank if this does not apply to the participant.					R	One of the following: - Cross-Match - Case notes
TAA	1323	Date Waiver From Training Requirement Issued	Record the date on which the participant received his or her most recent waiver from training. Leave blank if this does not apply to the participant.					R	One of the following: - Waiver Documentation in Case File - Cross-Match with State UI Database - Verification Form from UI or Employment Counselor

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
Performance/Accountability	1332	Participated in Postsecondary Education During Program Participation(WIOA)	Record 1 if the participant was in a postsecondary education program that leads to a credential or degree from an accredited postsecondary education institution at any point during program participation Record 0 if the participant was not a postsecondary education program that leads to a credential or degree from an accredited postsecondary education institution during program participation. Leave blank if this does not apply to the participant. NOTE: This data element relates to the credential indicator denominator and those who are recorded as 1 are included in the credential rate denominator. This element is a subset of PIRL 1811. Do not record 1 if the participant was first enrolled in postsecondary education after exiting the program.		R	R	R		One of the following: - Data match with postsecondary data system - Copy of enrollment record - Case Notes - School records - Transcript or report card
Performance/Accountability	1401	Enrolled in Secondary Education Program (WIOA)	Record 1 if the participant was enrolled in a Secondary Education Program at or above the 9th Grade level. A Secondary Education program includes both secondary school and enrollment in a program of study with instruction designed to lead to a high school equivalent credential. Examples may include adult high school credit programs and programs designed to prepare participants to pass recognized high school equivalency exams such as the GED, HiSET, or TASC. Programs of study designed to teach English proficiency skills or literacy skills below the 9th grade equivalent are not considered Secondary Education Programs. States may use this coding value if the participant was either already enrolled in education or training at the time of application to the program OR became enrolled in an education or training program at or above the 9th Grade level at any point while participating in the program. Record 0 if the participant was not enrolled in a secondary education program at or above the 9th grade level.		R	R	R		One of the following: - Copy of enrollment record - Case Notes - School records - Transcript or report card - Data match to State K-12 data system
Performance/Accountability	1402	Most Recent Date Received Educational Achievement Services	Record the most recent date on which the participant received an educational achievement service. Educational achievement services include, but are not limited to, tutoring, study skills training, instruction, and evidence-based dropout prevention and recovery strategies that lead to completion of the requirements for a secondary school diploma or its recognized equivalent (including a recognized certificate of attendance or similar document for individuals with disabilities) or for a recognized postsecondary credential. Leave blank if the participant did not receive educational achievement services or this data element does not apply to the individual.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1403	Most Recent Date Received Alternative Secondary School Services	Record the most recent date on which the participant received alternative secondary school services, or dropout recovery services, as appropriate. Leave blank if the participant did not receive alternative secondary school services or dropout recovery services.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1405	Most Recent Date Received Work Experience Opportunities	Record the most recent date on which the youth participant received work experience opportunities that have as a component academic and occupational education. Work experiences are a planned, structured learning experience that takes place in a workplace for a limited period of time. Work experiences include: summer employment opportunities and other employment opportunities available throughout the school year; pre-apprenticeship programs; internships and job shadowing; and on-the-job training opportunities. Leave blank if the participant did not receive work experience opportunities or this data element does not apply to the participant.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1406	Date Enrolled in Post Exit Education or Training Program Leading to a Recognized Postsecondary Credential (WIOA)	Record the date the participant is enrolled in an education or training program that leads to a recognized postsecondary credential after program exit. Leave blank if this data element does not apply to the participant. NOTE: This element only applies to participants who exited secondary education and obtained a secondary school diploma or its equivalency per Sec 116(b)(2)(A)(iii). This data element applies to the Credential Rate indicator.		R	R	R		One of the following: - Copy of enrollment record - Case Notes - School records - Transcript or report card. - Cross-Match
Performance/Accountability	1407	Most Recent Date Received Education Offered Concurrently with Workforce Preparation	Record the most recent date on which the participant received education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster. Leave blank if the participant did not receive education offered concurrently with workforce preparation.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1408	Most Recent Date Received Leadership Development Opportunities	Record the most recent date on which the participant received services that include, but are not limited to, opportunities that may include community service and peer-centered activities encouraging responsibility and other positive social and civic behaviors, as appropriate. Leave blank if the participant did not receive a leadership development service or this data element does not apply to the participant.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match

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Performance/Accountability	1409	Most Recent Date Received Supportive Services	Record the most recent date on which the participant received a supportive service (WIOA section 134(d)(2)) which include, but are not limited to, assistance with transportation, child care, dependent care, and housing that are necessary to enable the participant to participate in programs which provide career and training services as defined in WIOA sec. 134(c)(2) and 134(c)(3). Support services for youth participants include: (a) linkages to community services; (b) assistance with transportation; (c) assistance with child care and dependent care; (d) assistance with housing; (e) needs-related payments; (f) assistance with educational testing; (g) reasonable accommodations for youth with disabilities; (h) referrals to healthcare; (i) assistance with uniforms or other appropriate work attire and work-related tools, including such items as eye glasses and protective eye gear; (j) assistance with books, fees, school supplies, and other necessary items for students enrolled in postsecondary education classes; and (k) payments and fees for employment and training-related applications, tests, and certifications. Leave blank if the participant did not receive supportive services or this data element does not apply to the participant.		R	R	R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1410	Most Recent Date Received Adult Mentoring Services	Record the most recent date on which the participant received adult mentoring services. Adult mentoring services may last for at least 12 (twelve) months and may occur both during and after program participation. Leave blank if the participant did not receive adult mentoring services or this data element does not apply to the participant.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1411	Most Recent Date Received Comprehensive Guidance and Counseling Services	Record the most recent date on which the participant received comprehensive guidance and counseling services, which may include drug and alcohol abuse counseling. Leave blank if the participant did not receive comprehensive guidance and counseling services or this data element does not apply to the participant.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1412	Most Recent Date Received Youth Follow-up Services	Record the most recent date on which the youth participant received follow-up services after exiting the program. Follow-up services for youth participants are described as: (a) Follow-up services are critical services provided following a youth's exit from the program to help ensure the youth is successful in employment and/or postsecondary education and training. Follow-up services may include regular contact with a youth participant's employer, including assistance in addressing work-related problems that arise. (b) Follow-up services for youth may also include the following program elements: (1) Supportive services; (2) Adult mentoring; (3) Financial literacy education; (4) Services that provide labor market and employment information about in-demand industry sectors or occupations available in the local area, such as career awareness, career counseling, and career exploration services; and (5) Activities that help youth prepare for and transition to postsecondary education and training. (c) All youth participants must be offered the opportunity to receive follow-up services that align with their Individual Service Strategies. Furthermore, follow-up services must be provided to all participants for a minimum of 12 (twelve) months unless the participant declines to receive follow-up services or the participant cannot be located or contacted. Leave blank if the participant did not receive follow-up services or if this data element does not apply to the participant.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1413	Most Recent Date Youth Received Entrepreneurial Skills Training	Record the most recent date on which the participant participated in entrepreneurial skills training. Leave blank if the participant did not participate in entrepreneurial skills training.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1414	Most Recent Date Youth Received Services that provide Labor Market Information and Employment Information	Record the most recent date on which the participant participated in services that provide labor market and employment information about in-demand industry sectors or occupations available in the local area, such as career awareness, career counseling, and career exploration services. Leave blank if the participant did not participate in these services.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1415	Most Recent Date Youth Received Postsecondary Transition and Preparatory Activities	Record the most recent date on which a youth participant received activities that helped them to prepare for and transition to postsecondary education and training. Leave blank if the participant did not participate in activities that helped them to prepare for and transition to postsecondary education and training.				R		One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match
Performance/Accountability	1500	Received Needs-Related Payments	Record 1 if the participant received needs-related payments (WIOA section 134(d)(3)) for the purpose of enabling the participant to participate in approved training funded under WIOA Title IB. Record 0 if the participant did not receive any needs-related payments as described above. Leave blank if this data element does not apply to the participant.		R	R			One of the following: - Activity Sheets - Sign-in Sheets - Attendance Records - Vendor Contract - Electronic Records - Case Notes - Cross-Match

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TAA	1511	Date Received First Basic TRA Payment	Record the date on which the participant received their first Basic TRA payment. Leave blank if the participant did not receive a Basic TRA Payment, or if the individual is not a TAA participant.						R	One of the following: - Cross-Match - Request for allowance - Electronic Records
TAA	1526	Date Received First Completion TRA Payment	Record the date on which the participant received his/her first Completion TRA payment. Leave blank if the participant did not receive a Remedial/Prerequisite TRA Payment, or if the individual is not a TAA participant.						R	One of the following: - Cross-Match - Request for allowance - Electronic Records
TAA	1534	Date Received First A/RTAA Payment	Record the date on which the participant received his or her first Alternative/Reemployment Trade Adjustment Assistance (A/RTAA) payment. Leave blank if the individual is not a TAA participant.						R	One of the following: - Cross-match - Request for Allowance - Electronic Records
TAA	1535	Number of A/RTAA Payments Current Quarter	Record the number of A/RTAA payments paid to the participant in the current report quarter. "0" if this data element does not apply to the participant. Leave blank if the individual is not a TAA participant.						R	One of the following: - Cross-match - Request for Allowance - Electronic Records
IT	1600	Employed in 1st Quarter After Exit Quarter (WIOA)	Record 1 if the participant is in unsubsidized employment (not including Registered Apprenticeship, or the military). Record 2 if the participant is in a Registered Apprenticeship. Record 3 if the participant is in the military. Record 0 if the participant was not employed in the first quarter after the quarter of exit. Record 9 if the participant has exited but employment information is not yet available.	R	R	R	R			One of the following: - UI wage data match/administrative wage match, such as the National Directory of New Hires - Follow-up survey from program participants - Pay check stubs, tax records, W2 form - Quarterly tax payment forms, such as a IRS form 941 - Document from employer on company letterhead attesting to an individual's employment status and earnings - Self-employment worksheets signed and attested to by program participants - Detailed case notes verified by employer and signed by the counselor
IT	1601	Type of Employment Match 1st Quarter After Exit Quarter (WIOA)	Use the appropriate code to identify the method used in determining the participant's employment status in the first quarter following the quarter of exit. Wage records will be the primary data source for tracking employment in the first quarter after the exit quarter. If the participant is not found in wage records, grant recipients may then use supplemental data sources. If the participant is found in more than one source of employment using wage records, record the data source for which the participant's earnings are greatest. Record 0 if the participant was not employed in the first quarter after the quarter of exit.	R	R	R	R			One of the following (consistent with TEGL 26-16): - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1602	Employed in 2nd Quarter After Exit Quarter (WIOA)	Record 1 if the participant is in unsubsidized employment (not including Registered Apprenticeship, or the military). Record 2 if the participant is in a Registered Apprenticeship. Record 3 if the participant is in the military. Record 0 if the participant was not employed in the second quarter after the quarter of exit. Record 9 if the participant has exited but employment information is not yet available.	R	R	R	R		R	One of the following: - UI wage data match/administrative wage match, such as the National Directory of New Hires - Follow-up survey from program participants - Pay check stubs, tax records, W2 form - Quarterly tax payment forms, such as a IRS form 941 - Document from employer on company letterhead attesting to an individual's employment status and earnings - Self-employment worksheets signed and attested to by program participants - Detailed case notes verified by employer and signed by the counselor
IT	1603	Type of Employment Match 2nd Quarter After Exit Quarter (WIOA)	Use the appropriate code to identify the method used in determining the participant's employment status in the second quarter following the quarter of exit. Wage records will be the primary data source for tracking employment in the second quarter after the exit quarter. If the participant is not found in wage records, grantees may then use supplemental data sources. If the participant is found in more than one source of employment using wage records, record the data source for which the participant's earnings are greatest. Record 0 if the participant was not employed in the second quarter after the quarter of exit.	R	R	R	R			One of the following (consistent with TEGL 26-16): - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)

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IT	1604	Employed in 3rd Quarter After Exit Quarter (WIOA)	Record 1 if the participant is in unsubsidized employment (not including Registered Apprenticeship, or the military). Record 2 if the participant is in a Registered Apprenticeship. Record 3 if the participant is in the military. Record 0 if the participant was not employed in the third quarter after the quarter of exit. Record 9 if the participant has exited but employment information is not yet available.	R	R	R	R		<ul style="list-style-type: none"> One of the following: <ul style="list-style-type: none"> - UI wage data match/administrative wage match, such as the National Directory of New Hires - Follow-up survey from program participants - Pay check stubs, tax records, W2 form - Quarterly tax payment forms, such as a IRS form 941 - Document from employer on company letterhead attesting to an individual's employment status and earnings - Self-employment worksheets signed and attested to by program participants - Detailed case notes verified by employer and signed by the counselor
IT	1605	Type of Employment Match 3rd Quarter After Exit Quarter (WIOA)	Use the appropriate code to identify the method used in determining the participant's employment status in the third quarter following the quarter of exit. Wage records will be the primary data source for tracking employment in the third quarter after the exit quarter. If the participant is not found in the wage records, grantees may then use supplemental data sources. If the participant is found in more than one source of employment using wage records, record the data source for which the participant's earnings are greatest. Record 0 if the participant was not employed in the third quarter after the quarter of exit.	R	R	R	R		<ul style="list-style-type: none"> One of the following (consistent with TEGL 26-16): <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1606	Employed in 4th Quarter After Exit Quarter (WIOA)	Record 1 if the participant is in unsubsidized employment (not including Registered Apprenticeship, or the military). Record 2 if the participant is in a Registered Apprenticeship. Record 3 if the participant is in the military. Record 0 if the participant was not employed in the fourth quarter after the quarter of exit. Record 9 if the participant has exited but employment information is not yet available.	R	R	R	R	R	<ul style="list-style-type: none"> One of the following: <ul style="list-style-type: none"> - UI wage data match/administrative wage match, such as the National Directory of New Hires - Follow-up survey from program participants - Pay check stubs, tax records, W2 form - Quarterly tax payment forms, such as a IRS form 941 - Document from employer on company letterhead attesting to an individual's employment status and earnings - Self-employment worksheets signed and attested to by program participants - Detailed case notes verified by employer and signed by the counselor
IT	1607	Type of Employment Match 4th Quarter After Exit Quarter (WIOA)	Use the appropriate code to identify the method used in determining the participant's employment status in the fourth quarter following the quarter of exit. Wage records will be the primary data source for tracking employment in the fourth quarter after the exit quarter. If the participant is not found in the wage records, grantees may then use supplemental data sources. If the participant is found in more than one source of employment using wage records, record the data source for which the participant's earnings are greatest. Record 0 if the participant was not employed in the fourth quarter after the quarter of exit.	R	R	R	R		<ul style="list-style-type: none"> One of the following (consistent with TEGL 26-16): <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1608	Employment Related to Training (2nd Quarter After Exit) (WIOA)	Record 1 if the participant received training services and obtained employment directed related to the training services received. Record 0 if the participant received training services and did not obtain employment directly related to the training services received. Leave blank if the data is not available.	R	R	R	R		<ul style="list-style-type: none"> One of the following: <ul style="list-style-type: none"> - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases, - Other out of state federal wage record systems, - Case notes
IT	1610	Occupational Code (if available)	Record the 8-digit occupational code that best describes the participant's employment using the O*Net Version 4.0 (or later versions) classification system. This information can be based on any job held after exit from the program. Leave blank if occupational code is not available or not known, or the data element does not apply. Additional NOTES: This information can be based on any job held after exit and only applies to adults, dislocated workers, and youth who entered employment in the quarter after the exit quarter. If all 8 digits of the occupational skills code are not collected, record as many digits as are available. If the individual had multiple jobs, use the occupational code for the most recent job held.	R	R	R			<ul style="list-style-type: none"> One of the following: <ul style="list-style-type: none"> - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1611	Entered Non-Traditional Employment	Record 1 if the participant's employment is in an occupation or field of work for which individuals of the participant's gender comprise less than 25% of the individuals employed in such occupation or field of work. Non-traditional employment can be based on either local or national data, and both males and females can be in non-traditional employment. This information can be based on any job held after exit and only applies to adults, dislocated workers, and youth who entered employment in the second quarter after the exit quarter. Record 0 if the participant does not meet the condition described above. Record 9 if not known.		R	R			<ul style="list-style-type: none"> One of the following: <ul style="list-style-type: none"> - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes

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IT	1612	Occupational Code of Employment 2nd Quarter After Exit Quarter (If available)	Record the 8-digit occupational code that best describes the participant's employment using the O*Net Version 4.0 (or later versions) classification system.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1613	Occupational Code of Employment 4th Quarter After Exit Quarter (If available)	Record the 8-digit occupational code that best describes the participant's employment using the O*Net Version 4.0 (or later versions) classification system.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems, - Case notes
IT	1614	Industry Code of Employment 1st Quarter After Exit Quarter	Record the 4 to 6-digit industry code that best describes the participant's employment using the North American Industrial Classification System (NAICS). If more than one NAICS is reported, then the NAICS associated with the highest gross wage should be reported. Enter 999999 if wages 1st quarter after the exit quarter exist and NAICS Code is not known. Leave blank if this data element does not apply to the person or wages are not yet available.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1615	Industry Code of Employment 2nd Quarter After Exit Quarter	Record the 4 to 6-digit industry code that best describes the participant's employment using the North American Industrial Classification System (NAICS). If more than one NAICS is reported, then the NAICS associated with the highest gross wage should be reported. Enter 999999 if wages 2nd quarter after the exit quarter exist and NAICS Code is not known. Leave blank if this data element does not apply to the person or wages are not yet available.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1616	Industry Code of Employment 3rd Quarter After Exit Quarter	Record the 4 to 6-digit industry code that best describes the participant's employment using the North American Industrial Classification System (NAICS). If more than one NAICS is reported, then the NAICS associated with the highest gross wage should be reported. Enter 999999 if wages 3rd quarter after the exit quarter exist and NAICS Code is not known. Leave blank if this data element does not apply to the person or wages are not yet available.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1617	Industry Code of Employment 4th Quarter After Exit Quarter	Record the 4 to 6-digit industry code that best describes the participant's employment using the North American Industrial Classification System (NAICS). If more than one NAICS is reported, then the NAICS associated with the highest gross wage should be reported. Enter 999999 if wages 4th quarter after the exit quarter exist and NAICS Code is not known. Leave blank if this data element does not apply to the person or wages are not yet available.	R	R	R			One of the following: - UI Wage Records - Supplemental data sources defined by TEGL 26-16 follow up services - Surveys - Record sharing and/or automated record matching with other employment and administrative databases - Other out of state federal wage record systems - Case notes
IT	1618	Retention with the Same Employer in the 2nd Quarter and the 4th Quarter (WIOA)	Record 1 if the participant's employer in the second quarter also matches the employer in the fourth quarter. Record 0 if the participant is not employed in the second or fourth quarters after exit, or the employer in the second quarter does not match the employer in the fourth quarter.	R	R	R	R		One of the following (consistent with TEGL 26-16): - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)

DWS Oversight Unit	Data Element Number	Data Element Name	Participant Individual Record Layout (PIRL) Elements	Required Elements by Program (R = Required)					WIOA Source Documentation
				Wagner-Peyser	WIOA Adult	WIOA DW	WIOA Youth	TAA	
IT	1700	Wages 3rd Quarter Prior to Participation Quarter	Record total earnings from wage records for the third quarter prior to the quarter of participation. Leave blank if data element does not apply to the participant.	R	R	R			<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1701	Wages 2nd Quarter Prior to Participation Quarter	Record total earnings from wage records for the second quarter prior to the quarter of participation. Leave blank if data element does not apply to the participant.	R	R	R			<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1702	Wages 1st Quarter Prior to Participation Quarter	Record total earnings from wage records for the first quarter prior to the quarter of participation. Leave blank if data element does not apply to the participant.	R	R	R		R	<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1703	Wages 1st Quarter After Exit Quarter (WIOA)	Record total earnings for the first quarter after the quarter of exit. Record 999999.99 if data is are not yet available for this item. Leave blank if data element does not apply to the participant.	R	R	R			<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1704	Wages 2nd Quarter After Exit Quarter (WIOA)	Record total earnings for the second quarter after the quarter of exit. Record 999999.99 if data is not yet available for this item. Leave blank if data element does not apply to the participant.	R	R	R	R	R	<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)

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IT	1705	Wages 3rd Quarter After Exit Quarter (WIOA)	Record total earnings for the third quarter after the quarter of exit. Record 999999.99 if data is not yet available for this item. Leave blank if data element does not apply to the participant	R	R	R			<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
IT	1706	Wages 4th Quarter After Exit Quarter (WIOA)	Record total earnings for the fourth quarter after the quarter of exit. Record 999999.99 if data is not yet available for this item. Leave blank if data element does not apply to the participant.	R	R	R			<p>One of the following (consistent with TEGL 26-16):</p> <ul style="list-style-type: none"> - Cross-Match with State and Out-of-State UI Quarterly Wage Records (intrastate and interstate) - Federal Government Employment Records (such as military employment, Department of Defense, Office of Personnel Management, and US Postal Service) - Cross-Match with Federal Administrative Wage Record Databases (such as the National Directory of New Hires) - State New Hires Registry - Signed Follow-up Survey Response from Program Participants - Copy of Pay Check Stubs, Payroll Slip, or Leave and Earnings Statements (minimum of two per TEGL 26-16) - Income Tax Records, W-2 Form, or Other Records from the State Department of Revenue or Taxation - Railroad Retirement System - Quarterly Tax Payment Forms (such as IRS Form 941) - A Signed Letter from an Employer on Company Letterhead (attesting to an individual's employment status and earnings) - Self-Employment or Sales Commission Worksheets Signed and Attested to by Program Participants - Cross-Match with Partner Program Administrative Databases (such as TANF, SNAP or other public assistance programs)
Performance/Accountability (TAA)	1800	Type of Recognized Credential (WIOA)	Use the appropriate code to record the type of recognized diploma, degree, or a credential consisting of an industry-recognized certificate or certification, a certificate of completion of a Registered Apprenticeship, a license recognized by the State involved or Federal Government, or an associate or baccalaureate degree attained by the participant who received education or training services. Record 0 if the participant received education or training services, but did not attain a recognized diploma, degree, license or certificate. Leave blank if data element does not apply to the participant. NOTE: Diplomas, degrees, licenses, or certificates must be attained either during participation or within one year of exit. This data element applies to both the Credential Rate indicator and the Measurable Skills Gain indicator for all programs.	R	R	R	R	R	<p>One of the following:</p> <ul style="list-style-type: none"> - Cross-Match - Copy of credential - Copy of school record - Signed Follow-up Survey Response from Program Participant - Case notes documenting information obtained from education or training provider
Performance/Accountability (TAA)	1801	Date Attained Recognized Credential (WIOA)	Record the date on which the participant attained a recognized credential. Leave blank if the participant did not attain a degree or certificate.	R	R	R	R	R	<p>One of the following:</p> <ul style="list-style-type: none"> - Cross-Match - Copy of credential - Copy of school record - Signed Follow-up Survey Response from Program Participant - Case notes documenting information obtained from education or training provider
Performance/Accountability	1802	Type of Recognized Credential #2 (WIOA)	Use the appropriate code to record the type of recognized diploma, degree, or a credential consisting of an industry-recognized certificate or certification, a certificate of completion of a Registered Apprenticeship, a license recognized by the State involved or Federal Government, or an associate or baccalaureate degree attained by the participant who received education or training services. Record 0 if the participant received education or training services, but did not attain a recognized diploma, degree, license, or certificate. Leave blank if data element does not apply to the participant. NOTE: Diplomas, degrees, licenses, or certificates must be attained either during participation or within one year of exit. This data element applies to both the Credential Rate indicator and the Measurable Skills Gain indicator for all DOL programs.		R	R	R		<p>One of the following:</p> <ul style="list-style-type: none"> - Cross-Match - Copy of Credential - Copy of School Records - Signed Follow-up Survey Response from Program Participant - Case Notes documenting information obtained from education or training provider
Performance/Accountability	1803	Date Attained Recognized Credential #2 (WIOA)	Record the date on which the participant attained a second recognized credential. Leave blank if the participant did not attain a second recognized credential, or if this data element does not apply.		R	R	R		<p>One of the following:</p> <ul style="list-style-type: none"> - Cross-Match - Copy of Credential - Copy of School Records - Signed Follow-up Survey Response from Program Participant - Case Notes documenting information obtained from education or training provider
Performance/Accountability	1804	Type of Recognized Credential #3 (WIOA)	Use the appropriate code to record the type of recognized diploma, degree, or a credential consisting of an industry-recognized certificate or certification, a certificate of completion of a Registered Apprenticeship, a license recognized by the State involved or Federal Government, or an associate or baccalaureate degree attained by the participant who received education or training services. Record 0 if the participant received education or training services but did not attain a recognized diploma, degree, license, or certificate. Leave blank if data element does not apply to the participant. NOTE: Diplomas, degrees, licenses, or certificates must be attained either during participation or within one year of exit. This data element applies to both the Credential Rate indicator and the Measurable Skills Gain indicator for all DOL programs.		R	R	R		<p>One of the following:</p> <ul style="list-style-type: none"> - Cross-Match - Copy of Credential - Copy of School Records - Signed Follow-up Survey Response from Program Participant - Case Notes documenting information obtained from education or training provider

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Performance/Accountability	1805	Date Attained Recognized Credential #3 (WIOA)	Record the date on which the participant attained a third recognized credential. Leave blank if the participant did not attain a third recognized credential, or if this data element does not apply.		R	R	R		One of the following: - Cross-Match - Copy of Credential - Copy of School Records - Signed Follow-up Survey Response from Program Participant - Case Notes documenting information obtained from education or training provider
Performance/Accountability	1806	Date of Most Recent Measurable Skill Gains: Educational Functioning Level (EFL) (WIOA)	Record the most recent date the participant who received instruction below the postsecondary education level achieved at least one EFL. EFL gain may be documented in one of three ways: 1) by comparing a participant's initial EFL as measured by a pre-test with the participant's EFL as measured by a participant's post-test; or 2) for States that offer secondary school programs that lead to a secondary school diploma or its recognized equivalent, an EFL gain may be measured through the awarding of credits or Carnegie units; or 3) States may report an EFL gain for participants who exit the program and enroll in postsecondary education or training during the program year. Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Pre- and post-test results measuring EFL gain - Adult High School transcript showing EFL gain through the awarding of credits or Carnegie units - Postsecondary education or training enrollment determined through data match, survey documentation, or program notes
Performance/Accountability	1807	Date of Most Recent Measurable Skill Gains: Postsecondary Transcript/Report Card (WIOA)	Record the most recent date of the participant's transcript or report card for postsecondary education who complete a minimum of 12 hours per semester, or for part-time students a total of at least 12 credit hours over the course of two completed semesters during the same 12 month period, that shows a participant is meeting the State unit's academic standards. Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Transcript - Report Card
Performance/Accountability	1808	Date of Most Recent Measurable Skill Gains: Secondary Transcript/Report Card (WIOA)	Record the most recent date of the participant's transcript or report card for secondary education for one semester showing that the participant is meeting the State unit's academic standards. Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Transcript - Report Card
Performance/Accountability	1809	Date of Most Recent Measurable Skill Gains: Training Milestone (WIOA)	Record the most recent date that the participant had a satisfactory or better progress report towards established milestones from an employer/training provider who is providing training (e.g., completion of on-the-job training (OJT), completion of one year of a registered apprenticeship program, etc.). Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Documentation of a skill gained through OJT or Registered Apprenticeship - Contract and/or evaluation from employer or training provider documenting a skill gain - Progress report from employer documenting a skill gain
Performance/Accountability	1810	Date of Most Recent Measurable Skill Gains: Skills Progression (WIOA)	Record the most recent date the participant successfully completed an exam that is required for a particular occupation or progress in attaining technical or occupational skills as evidenced by trade-related benchmarks such as knowledge-based exams. Leave blank if this data element does not apply to the participant.		R	R	R		One of the following: - Results of knowledge-based exam or certification of completion - Documentation demonstrating progress in attaining technical or occupational skills through an exam or benchmark attainment - Documentation from training provider or employer - Copy of a credential that is required for a particular occupation and only is earned after the passage of an exam
Performance/Accountability	1811	Date Enrolled During Program Participation in an Education or Training Program Leading to a Recognized Postsecondary Credential or Employment (WIOA)	Record the date the participant was enrolled during program participation in an education or training program that leads to a recognized postsecondary credential, including a secondary education program, or training program that leads to employment as defined by the core program in which the participant participates. States may use this coding value if the participant was either already enrolled in education or training at the time of program entry or became enrolled in education or training at any point while participating in the program. If the participant was enrolled in postsecondary education at program entry, the date in this field should be the date of Program Entry. This includes, but is not limited to, participation in Job Corps or YouthBuild or Adult Education or secondary education programs. Leave blank if the data element does not apply to the participant. NOTE: This data element applies to the Measurable Skill Gains Indicator, and specifically will be utilized to calculate the denominator. It encompasses all education and training program enrollment.		R	R	R		One of the following: - Copy of enrollment record - Case Notes - School records - Transcript or report card - Cross-Match
Performance/Accountability	1813	Date Completed, During Program Participation, an Education or Training Program Leading to a Recognized Postsecondary Credential, or Employment (WIOA)	Record the date the participant complete, during program participation, an education or training program that leads to a recognized postsecondary credential, including a secondary education program, or training program that leads to employment as defined by the core program in which the participant participates. States may use this coding value if the participant was either already enrolled in education or training at the time of program entry or became enrolled in education or training at any point while participating in the program. If the participant was enrolled in postsecondary education at program entry, the date in this field should be after the date of Program Entry. This includes, but is not limited to, participation in Job Corps, Youthbuild, a Registered Apprenticeship program, Adult Education or secondary education programs. Leave blank if the data element does not apply to the participant. NOTE: This data element applies to the Measurable Skill Gains Indicator, and specifically will be utilized to calculate the denominator. It encompasses all education and training program enrollment.		R	R	R		One of the following: - Cross-Match - Copy of Diploma, Credential or Degree Awarded by Education Institution - Applicable Records from Education Institution (GED certificate, transcripts, report card, enrollment record, or other school documentation) - Signed File Documentation with Information Obtained from Education or Training Provider - Case Notes - Self-Attestation
Performance/Accountability	1900	Youth 2nd Quarter Placement (Title I) (WIOA)	Record 1 if the participant is enrolled in occupational skills training (including advanced training). Record 2 if the participant is enrolled in postsecondary education. Record 3 if the participant is enrolled in secondary education. Record 0 if the participant was not placed in any of the above conditions.				R		One of the following: - Cross-Match - Copy of registration record - Case Notes - School records - Transcript or report card - Vendor/training provider training documentation

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Performance/Accountability	1901	Youth 4th Quarter Placement (Title I) (WIOA)	Record 1 if the participant is enrolled in occupational skills training (including advanced training). Record 2 if the participant is enrolled in postsecondary education. Record 3 if the participant is enrolled in secondary education. Record 0 if the participant was not placed in any of the above conditions.				R		One of the following: - Cross-Match - Copy of registration record - Case Notes - School records - Transcript or report card - Vendor/training provider training documentation