Public Hearing Agenda

Improving Investment Advice for Workers & Retirees

September 3, 2020

9:00 a.m. Opening Remarks – Introduction of Department of Labor Panel

9:15 a.m.  Panel One

Committee on Investment of Employee Benefit Assets

*Dennis Simmons, Robin Diamonte*

RetireAware

*Daniel S. Alexander*

DALBAR, Inc.

*Louis Harvey, Cory Clark*

Covington & Burling LLP

*Jason Levy*

10:15 a.m. Panel Two

AFL-CIO

*Brandon Rees*

Pension Rights Center

*Norman Stein*

Public Investors Advocate Bar Association

*Samuel B. Edwards, Joseph Peiffer*

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1 To view the hearing, register here. Witnesses should receive information from the Department on August 31, 2020, with additional details about the hearing. Please contact Mark Connor at (202) 693-8337 if you submitted a request to testify and you did not receive this information.

2 Panel start and end times are approximate.
11:00 a.m. **Panel Three**

American Council of Life Insurers

*James Szostek*

American Association of Life Underwriting/GAMA International Committee of Annuity Insurers
Indexed Annuity Leadership Council
Insured Retirement Institute
National Association for Fixed Annuities
National Association of Independent Life Brokerage Agencies
National Association of Insurance and Financial Advisors

*Bradford Campbell*

Federation of Americans for Consumer Choice

*Kim O’Brien*

Groom Law Group

*Stephen M. Saxon, Jon W. Breyfogle*

12:00 p.m. BREAK

1:00 p.m. **Panel Four**

AARP

*David Certner*

Public Citizen

*Bartlett Naylor*

Ron A. Rhoades
1:45 p.m.  **Panel Five**

Securities Industry and Financial Markets Association

  *Kevin Caroll*

Cetera Financial Group, Inc.

  *Mark Quinn*

Davis & Harman LLP

  *Kent A. Mason*

2:30 p.m.  **Panel Six**

Consumer Federation of America

  *Barbara Roper*

North American Securities Administrators Association, Inc.

  *Andrea Seidt*

CFA Institute

  *James Allen*

National Employment Law Project

  *Judith M. Conti*

3:30 p.m.  **Adjourn Hearing**