

Public Hearing Agenda

Improving Investment Advice for Workers & Retirees

September 3, 2020¹

9:00 a.m. **Opening Remarks – Introduction of Department of Labor Panel**

9:15 a.m.² **Panel One**

Committee on Investment of Employee Benefit Assets

Dennis Simmons, Robin Diamonte

RetireAware

Daniel S. Alexander

DALBAR, Inc.

Louis Harvey, Cory Clark

Covington & Burling LLP

Jason Levy

10:15 a.m. **Panel Two**

AFL-CIO

Brandon Rees

Pension Rights Center

Norman Stein

Public Investors Advocate Bar Association

Samuel B. Edwards, Joseph Peiffer

¹ To view the hearing, register [here](#). Witnesses should receive information from the Department on August 31, 2020, with additional details about the hearing. Please contact Mark Connor at (202) 693-8337 if you submitted a request to testify and you did not receive this information.

² Panel start and end times are approximate.

11:00 a.m. **Panel Three**

American Council of Life Insurers

James Szostek

American Association of Life Underwriting/GAMA International
Committee of Annuity Insurers

Indexed Annuity Leadership Council

Insured Retirement Institute

National Association for Fixed Annuities

National Association of Independent Life Brokerage Agencies

National Association of Insurance and Financial Advisors

Bradford Campbell

Federation of Americans for Consumer Choice

Kim O'Brien

Groom Law Group

Stephen M. Saxon, Jon W. Breyfogle

12:00 p.m. BREAK

1:00 p.m. **Panel Four**

AARP

David Certner

Public Citizen

Bartlett Naylor

Ron A. Rhoades

1:45 p.m.

Panel Five

Securities Industry and Financial Markets Association

Kevin Carroll

Cetera Financial Group, Inc.

Mark Quinn

Davis & Harman LLP

Kent A. Mason

2:30 p.m.

Panel Six

Consumer Federation of America

Barbara Roper

North American Securities Administrators Association, Inc.

Andrea Seidt

CFA Institute

James Allen

National Employment Law Project

Judith M. Conti

3:30 p.m.

Adjourn Hearing