

PUBLIC SUBMISSION

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Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

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Submitter Information

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General Comment

As written, this proposed rule will remove a very important risk management capability of the account owner. Working with options in the IRA or 401K is a risk reduction strategy that allows one to increase potential returns and thus security upon retirement. We have already seen that the Financial institutions do not do this- so the individual investor can increase their potential for retirement success via options and reduce the overall risk to their portfolio.

Do not pass this without specifically stating that reducing portfolio risk through the use of options in an IRA or 401K is allowed ! If you do you will cause great harm to many who use sound financial management techniques to plan for a secure retirement