

PUBLIC SUBMISSION

As of: September 28, 2015
Received: September 22, 2015
Status: Pending_Post
Tracking No. 1jz-8l9g-jk7h
Comments Due: September 24, 2015
Submission Type: Web

Docket: EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

Document: EBSA-2010-0050-DRAFT-6358

Comment on FR Doc # 2015-08831

Submitter Information

Name: Richard Batey

General Comment

My understanding is that this proposed regulation would likely cause brokers to stop offering stock option brokerage services for my IRA account. This would seriously reduce my ability to provide for me and my family now that I'm retired. This is a straight forward brokerage service that does not establish a conflict of interest between highly regulated brokers and their clients. I especially object to having labor lawyers "protecting" me from the securities industry.