

# PUBLIC SUBMISSION

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**Docket:** EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

**Comment On:** EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

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## Submitter Information

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## General Comment

To whom it may concern:

There is no reason to restrict individuals from trading options in retirement accounts of any type. Both 401k accounts and IRA accounts should be able to trade options through at least level 3 options trades. These trades can actually be safer than investments in simple stocks, bonds, or mutual funds. As a former FINRA licensed investment advisor, I can find no valid reason to apply these restrictions. I have invested thousands of dollars in education on how to safely trade options in my retirement accounts. I will have no way to recoup those cost due to this type of rule change.